

# **COMPILATION OF EU MEMBER STATE CITES BIENNIAL REPORTS 2007–2008**

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the wildlife trade monitoring network

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## INTRODUCTION

The Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) is implemented in the European Union (EU) through two main regulations: *Council Regulation (EC) No. 338/97* and *Commission Regulation (EC) No. 865/2006* (hereafter referred to jointly as the European Community (EC) Wildlife Trade Regulations).

According to Article 15 (c) of *Council Regulation (EC) No. 338/97* of 9 December 1996 *on the protection of species of wild fauna and flora by regulating trade therein*, EU Member States should report biennially to the European Commission “all the information relating to the preceding two years required for drawing up the reports referred to in Article VIII.7 (b) of the Convention and equivalent information on the provisions of this Regulation that fall outside the scope of the Convention”.

The biennial report format for EU Member States consists of two parts: Part 1 is composed exclusively of questions included in the CITES biennial report format, and Part 2 includes supplementary questions which are related to information on the provisions of the EC Wildlife Trade Regulations, that fall outside the scope of CITES.

Biennial reports for 2007–2008 were received by the European Commission from 26 of the 27 EU Member States that were part of the EU during the reporting period. This compilation presents the individual biennial reports 2007–2008 from the 26 reporting EU Member States, in alphabetical order, in the format in which they were received.

The electronic version of annexes to the Biennial Reports 2007–2008 submitted by Member States are compiled on a CD-ROM and are available on the CIRCA website.

# AUSTRIA

### A. General information

Party	AUSTRIA
Period covered in this report: 1 January 2007 to 31 December 2008	2007-2008
Details of agency preparing this report	Federal Ministry of Agriculture, Forestry, Environment and Water Management Stubenbastei 5 A-1010 Wien Austria
Contributing agencies, organizations or individuals	Federal Ministry of Finance (Customs Authority) 9 Federal States "Länder" as Scientific Authorities

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents:  <b>The Federal Republic of Austria has ratified the Convention on International Trade in Endangered Species of Wild Fauna and Flora on 1<sup>st</sup> July 1981 which is enforced by the Federal Law Gazette No 189/1982 of 27<sup>th</sup> April 1982.</b>  <b>The Austrian Species Trade Act of 30<sup>th</sup> January 1998, enforced by Federal Austrian Law Gazette No 33/1998, which has been amended on 7<sup>th</sup> March 2006, enforced by Federal Austrian Law Gazette No 29/2006 includes Control Measures, Penal Provisions etc.; and the Species Marking Ordinance of 19<sup>th</sup> April 2006, enforced by Federal Austrian Law Gazette No 164/2006, which lays down the rules about marking methods of live Annex A animals.</b> <b>The Austrian Species Trade Act and the Species Marking Ordinance are now in the process of being revised.</b> <b>Furthermore, an Austrian national law about Animal Protection was established and has come into force on 1<sup>st</sup> January 2005.</b> <b>In order to support the work of Austrian customs and the nine Scientific authorities of the Austrian Federal states, a list of appointed expert bodies and individuals is continuously updated and made available to these authorities. The task of these independent experts is the identification of animals and plants.</b> <b>The Ordinance on Fees was amended (Federal Law Gazette II, 371/2006; (entry into force: 5<sup>th</sup> October 2006).</b>	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.  <b>ATTACHEMENT B4a to B4e</b>	legislation attached <input checked="" type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable
	The conditions for:	The complete prohibition of:
Issue	Yes No No information	Yes No No information

Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Taking	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Possession	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments						

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable	
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please specify): <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>						
Please provide details if available:						
7	If no review or assessment has taken place, is one planned for the next reporting period?				Yes	<input checked="" type="checkbox"/>
					No	<input type="checkbox"/>
				No information	<input type="checkbox"/>	
Please provide details if available:						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable	
	Subject	Yes	No	No information		
	Access to or ownership of natural resources	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
	Harvesting	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
	Transporting of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
	Handling and housing of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Please provide details if available:						
9	Please provide details of any additional measures taken:					

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls <b>restricted to international airports</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures <sup>1</sup> (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. Illegal Imports and national trade – outcome of legal procedures patchy and one in the process of collection retrospectively.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made? If so, please provide: 1) Number of live specimens: 2007: 74 pcs.; 2008: 1607 pcs and 11,298 kg caviar. 2) Number of dead specimens: 2007: 6523 pcs.; 5571 pcs; 1,751 kg caviar and 15,5 kg corals <b>ATTACHEMENT C4/5</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex. <b>ATTACHEMENT C4/5</b>		Number <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of? Tick if applicable			
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input checked="" type="checkbox"/>
	– Approved, private facilities			<input checked="" type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
Comments:				

11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
Comments: <b>The CITES-Secretariat and also the European Commission are informed quarterly.</b>		
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
13	If Yes, please give a brief description:	
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
15	If Yes, please describe:	
16	Has there been any review or assessment of CITES-related enforcement?	Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input checked="" type="checkbox"/>
Comments:		
17	Please provide details of any additional measures taken:	

#### D. Administrative measures

##### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Is there more than one MA in your country?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
<b>In Austria there is only one Management Authority (Federal Ministry of Agriculture, Forestry, Environment and Water) which is empowered to issue import, export and re-export-permits as well as Cites-certificates.</b>		
3b	If there is more than one MA in your country, has a lead MA been designated?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.	
5	What is the total number of staff members that work in the MA(s)? <b>8 persons</b>	

6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation <b>6 persons are working fulltime, 1 person part-time, 1 person is working 50 % on CITES matters</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?		Tick if applicable
	– Administration		<input checked="" type="checkbox"/>
	– Biology		<input checked="" type="checkbox"/>
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved. <b>Financial support for the Bulbophyllum-project together with the University of Vienna (Botanical Garden).</b>		
10	Please provide details of any additional measures taken		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority? <b>Austria has designated 9 different and independent Scientific Authorities in the federal states (Länder).</b> <b>The Designation of scientific authorities is regulated within § 12 (3) of the Austrian national law No 33/1998 of 30<sup>th</sup> January 1998. The national Scientific Authorities in Austria are located in the nine local governments. These areas are regulated through their own nature- and animal-conservation laws. Additionally, since 1 January 2005 there is a revised Austrian national law about animal protection, including transport and housing for live animals. The scientific experts in the nine different Scientific Authorities are all members of the government of the province, therefore all the members of the Scientific Authorities are fully recognized legal bodies. The nine Scientific Authorities are responsible for the issuance of scientific opinions or expertises and the controlling of animal housing conditions and the legality of the offsprings. All nine Scientific Authorities are located in nine different national countries, therefore they are at independent geographic locations too.</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?		Tick if applicable
	– Government institution		<input checked="" type="checkbox"/>
	– Academic or research institution		<input type="checkbox"/>
	– Permanent committee		<input type="checkbox"/>
	– Pool of individuals with certain expertise		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>

5	How many staff work in each SA on CITES issues? <b>one to two</b>		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation <b>In 8 Scientific Authorities the staff is only working 50 % on CITES related matters, in one SA one person is 100 % involved.</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable	
	- Botany		<input checked="" type="checkbox"/>
	- Ecology		<input checked="" type="checkbox"/>
	- Fisheries		<input type="checkbox"/>
	- Forestry		<input type="checkbox"/>
	- Welfare		<input type="checkbox"/>
	- Zoology		<input checked="" type="checkbox"/>
	- Other (specify) <b>Law/policy</b>		<input checked="" type="checkbox"/>
	- No information		<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and indicate which kind of research was involved		
	Species name	Populations	Distribution
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>
			No information <input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
11	Please provide details of any additional measures taken: <b>Research on the Bulbophyllum-project of the University of Vienna (Botanical Garden).</b>		

**D3 Enforcement Authorities**

1	<p>To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?</p> <p><b>Federal Ministry of Finance (also Customs Authority)</b></p> <p><b>According to paragraph 12 (5) of the Austrian Species Trade Act, the agendas of the Convention and the EC-Regulations on the Austrian borders, the Austrian's Customs Authority, will be executed by the Federal Ministry of Finance.</b></p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
2	If No, please designate them here (with address, phone, fax and email).	
3	<p>Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?</p> <p><b>The Customs Authority, with some police involvement.</b></p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Under consideration <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
4	<p>If Yes, please state which is the lead agency for enforcement:</p> <p><b>Federal Ministry of Finance</b>  <b>Department IV/8</b>  <b>Hintere Zollamtsstraße 2b</b>  <b>A-1030 Vienna</b>  <b>Austria</b></p> <p><b>The customs offices which are authorised under § 12 (5) of the Austrian national law No 33/1998 of 30<sup>th</sup> January 1998 perform border controls (after EU enlargement major international airports represent our borders). At present, this list contains 25 authorised customs offices in Austria. Not all customs offices are permitted to handle all goods. For example, certain offices are only authorised to handle goods sent by post. The handling of live animals subject to certain provisions under veterinary legislation is restricted to a few selected customs offices.</b></p>	
5	Please provide details of any additional measures taken:	

**D4 Communication, information management and exchange**

1	<p>To what extent is CITES information in your country computerized?</p> <p>– Monitoring and reporting of data on legal trade <input checked="" type="checkbox"/></p> <p>– Monitoring and reporting of data on illegal trade <input checked="" type="checkbox"/></p> <p>– Permit issuance <input checked="" type="checkbox"/></p> <p>– Not at all <input type="checkbox"/></p> <p>– Other (specify) <input type="checkbox"/></p>	<p>Tick if applicable</p>
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2	Do the following authorities have access to the Internet?						Tick if applicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Do you have an electronic information system providing information on CITES species?						Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, does it provide information on:						Tick if applicable
	– Legislation (national, regional or international)?						<input checked="" type="checkbox"/>
	– Conservation status (national, regional, international)?						<input checked="" type="checkbox"/>
	– Other (please specify)? <b>Animal Protection</b>						<input checked="" type="checkbox"/>
5	Is it available through the Internet:						Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
	Please provide URL: <u><a href="http://www.cites.at">www.cites.at</a></u> <b>This homepage provides general and specific information on CITES including the Austrian Regulations (Species Trade Act, the Species Marking Ordinance and also the Austrian National law about animal protection), and all relevant EC-Regulations and the Convention text together with information which is needed and helpful for the application of permits and certificates (like forms, fees, tourism, animals protection [transport and housing]) and some additional links. In addition, it provides for an online electronic permitting system.</b>  <b>Furthermore the both german web-sites <u><a href="http://www.wisia.de">www.wisia.de</a></u> and <u><a href="http://www.zeet.de">www.zeet.de</a></u> are used.</b>  <u><a href="http://www.wisia.de">www.wisia.de</a></u> <b>This internet data bank provides information on CITES protected animals and plants not only for professional conservationists but also for the general public. You can also find information on the protection status, including the relevant date since when a respective species has become a CITES protected specimen.</b>  <u><a href="http://www.zeet.de">www.zeet.de</a></u> <b>Information on all decisions taken by the European Union on import restrictions and other stricter measures regarding international trade in CITES species.</b>						
6	Do the following authorities have access to the following publications?						Tick if applicable
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			

	<i>2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
7	If not, what problems have been encountered to access to the mentioned information?			
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable		
	– Mortality in transport?	<input checked="" type="checkbox"/>		
	– Seizures and confiscations?	<input checked="" type="checkbox"/>		
	– Discrepancy in number of items in permit and number of items actually traded?	<input checked="" type="checkbox"/>		
	Comments: <b>Mortality data not systematically collected.</b> <b>ATTACHEMENT D4/8</b>			
9	Is there a government website with information on CITES and its requirements?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	If Yes, please give the URL: <b><u>www.cites.at</u></b>			
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable		
	– Press releases/conferences	<input checked="" type="checkbox"/>		
	– Newspaper articles, radio/television appearances	<input checked="" type="checkbox"/>		
	– Brochures, leaflets	<input checked="" type="checkbox"/>		
	– Presentations	<input type="checkbox"/>		
	– Displays	<input checked="" type="checkbox"/>		
	– Information at border crossing points	<input checked="" type="checkbox"/>		
	– Telephone hotline	<input type="checkbox"/>		
	– Other (specify)	<input type="checkbox"/>		
	Please attach copies of any items as Annex. <b>ATTACHEMENT D4/10</b>			
11	Please provide details of any additional measures taken: <b><u>Brochure:</u></b> Austria has produced (together with WWF-Austria) a brochure on EC-Regulation (EC) No 338/97 for travellers, especially long-distance travellers, where also the penalties are included. The target of this brochure, mainly at border stations, is to inform about the contents of the Convention to avoid infringements. This brochure is also available at travel agencies. Reprints of this brochure were made due to heavy demand: <b><u>Power-Point-Information campaign:</u></b> Furthermore Austria produced (together with WWF-Austria) a Power-Point-Information campaign for the training of travel agencies with the title "looking instead of buying" (it is available for downloading from the homepage of the MA). Austrian Campaign for Travel guides and Travellers which is made together with WWF-Austria as well as an Information campaign for medicinal plants (e.g. Hoodia and Prunus africana).			

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		Not applicable	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
<b>Within the reporting period Austria made two changes referring to persons competent to sign CITES documents. Two more persons were authorized to sign documents. We have a new head of Department and a new clerical assistant. All changes had been notified immediately and original samples of the respective new signatures were provided to the Secretariat.</b>						
2	To date, has your country developed written procedures for any of the following?	Tick if applicable				
		Yes	No			
			No information			
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>				
Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>				
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other*	Comments
	How many documents were issued?	2096	146	3357	3532	<b>ATTACHMENT D5/3</b>
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0	
	Year 2					
	How many documents were issued?	2216	136	3735	3356	<b>ATTACHMENT D5/3</b>
How many applications were denied because of severe omissions or mis-information?	0	0	0	0		
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes	<input type="checkbox"/>			
		No	<input checked="" type="checkbox"/>			
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this.					
5b	What percentage of permits/certificates issued are returned to the MA after Endorsement by Customs?	No information	<input checked="" type="checkbox"/>			
	<b>The Austrian Annual Report is based on documents which were endorsed by the customs. Austrian customs returns every endorsed document to the MA. The consistency with which Austrian imports are customs cleared in other EU countries, and documents returned to the Austrian MA, is unknown. The MA of Austria regularly sends documents which are endorsed by Austrian customs to other Management Authorities in the European Union (mainly to the MA of Germany).</b>					

6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable	
	Reason	Yes	No	No information	
	Technical violations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
	Suspected fraud	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of non-detriment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
7	Are harvest and/or export quotas used as a management tool in the procedure for issuance of permits, such as indicate whether the question refers to export quotas being used for issuing permits, or for exporting endemic species, and how harvest quotas might be used as a management tool for permit issuance as this is unclear?			Yes	<input checked="" type="checkbox"/>
	Comments			No	<input type="checkbox"/>
				No information	<input type="checkbox"/>
	<b>Austria has had no exports of wild taken specimens. But there is a special responsibility in checking export documents of non-EC-Parties if these refer to export quotas.</b>				
8	How many times has the Scientific Authority been requested to provide opinions?				
	<b>Exports only took place regarding specimen which were either bred in captivity or artificially propagated or products thereof. In such cases the Scientific Authorities are requested to provide advice whether the conditions for artificial propagation or breeding in captivity have been met. Referring to imports of CITES Appendix II species import permits are necessary in accordance with the EU regulations. To optimise and harmonize the application procedure the CITES Scientific Review Group (SRG) of the EU discusses regularly special import cases and concludes on basic scientific statements which either ends in a positive opinion to allow imports or comes to a negative opinion which leads to the restriction of respective imports. Our Scientific Authorities will be requested for an opinion in every case.</b>				
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?			Tick if applicable	
	- Issuance of CITES documents:				<input checked="" type="checkbox"/>
	- Licensing or registration of operations that produce CITES species:				<input type="checkbox"/>
	- Harvesting of CITES-listed species :				<input type="checkbox"/>
	- Use of CITES-listed species:				<input type="checkbox"/>
	- Assignment of quotas for CITES-listed species:				<input type="checkbox"/>
	- Importing of CITES-listed species:				<input checked="" type="checkbox"/>
	- Other (specify):				<input type="checkbox"/>
10	If Yes, please provide the amounts of such fees. <b>ATTACHEMENT D5/9</b>				
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?			Tick if applicable	
	- Entirely:				<input type="checkbox"/>
	- Partly:				<input type="checkbox"/>
	- Not at all:				<input checked="" type="checkbox"/>
	- Not relevant:				<input type="checkbox"/>
	Comments:				
12	Please provide details of any additional measures taken:				

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?				Tick if applicable	
	Increased budget for activities	<input checked="" type="checkbox"/>	Improvement of national networks	<input checked="" type="checkbox"/>		
	Hiring of more staff	<input checked="" type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>		
	Development of implementation tools	<input type="checkbox"/>	Computerisation	<input checked="" type="checkbox"/>		
	– Other (specify)			<input type="checkbox"/>		
2	Have the following target groups in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?					
	Please tick boxes to indicate which target group and which activity.				What were the external sources?	
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance		Other (specify)
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement agencies, prosecution services and the judiciary	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?					
	Please tick boxes to indicate which target group and which activity.					
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Other (specify)	
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>WWF-Austria</b> Financial support for the information campaign of the WWF-Austria to traders and the public.
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Enforcement agencies, prosecution services and the judiciary	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3b	Please provide details of training undertaken and training tools developed in this reporting period.					
	Year	Training	Subject	Training received by	Training provided by	Joint partners and collaborators
4	Please provide details of any additional measures taken					

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes <input checked="" type="checkbox"/>
		No <input type="checkbox"/>
		No information <input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet? <b>An informal task force group with members of the Animals- and Plants-Committee, the Enforcement Authority and veterinary- and phytosanitary-agency meets annually.</b>	

3	If No, please indicated the frequency of meetings or consultations used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>as required</b>	
4	At the national level have there been any efforts to collaborate with:					Tick if applicable	Details if available	
	Agencies for development and trade					<input type="checkbox"/>		
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>		
	Local authorities or communities					<input type="checkbox"/>		
	Indigenous peoples					<input type="checkbox"/>		
	Trade or other private sector associations					<input type="checkbox"/>		
	NGOs					<input checked="" type="checkbox"/>		
Other (specify)					<input type="checkbox"/>			
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?						Tick if applicable	
	SA						<input type="checkbox"/>	
	Customs						<input type="checkbox"/>	
	Police						<input type="checkbox"/>	
	Other border authorities (specify)						<input type="checkbox"/>	
	Other government agencies						<input type="checkbox"/>	
	Private sector bodies						<input type="checkbox"/>	
	NGOs						<input type="checkbox"/>	
	Other (specify)						<input type="checkbox"/>	
<b>No Memorandum of Understanding has been made with any other national agency.</b>								
6	Has your country participated in any regional activities related to CITES?					Tick if applicable		
	Workshops					<input type="checkbox"/>		
	Meetings					<input type="checkbox"/>		
	Regional enforcement networks					<input type="checkbox"/>		
	Other (specify)					<input type="checkbox"/>		
7	Has your country encouraged any non-Party to accede to the Convention?					Yes	<input type="checkbox"/>	
						No	<input checked="" type="checkbox"/>	
						No information	<input type="checkbox"/>	
8	If Yes, which one(s) and in what way?							
9	Has your country provided technical or financial assistance to another country in relation to CITES?					Yes	<input checked="" type="checkbox"/>	
						No	<input type="checkbox"/>	
						No information	<input type="checkbox"/>	

10	If Yes, which country(ies) and what kind of assistance was provided? <b>Financial assistance for the CITES-Trust funds for the participation of other countries at the CoP14 (€ 10.000,-).</b>		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
12	If Yes, please give a brief description. <b>Species identification for Bulbophyllum-species.</b>		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description. <b>Our expert for the CITES-Convention on the plants-section is also the expert for the Convention on Biological Diversity (CBD).</b>		
15	Please provide details of any additional measures taken:		

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
7	If Yes, please give a brief description. <b>Marking of live reptiles (photo-documentation or micro-chips)</b>			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B2 and B4, please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of Council Regulation (EC) No. 338/97?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available,						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) _____ Maximum penalties that may be imposed;</p> <p>ii) _____ Maximum sanctions which have been imposed over this reporting period;</p> <p>iii) _____ The outcomes of any prosecutions;</p> <p>iv) _____ Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).</p> <p><b>Specific sanctions for the illegal import, export or re-export of species included in the EC-Regulation Annexes A, B, C and D are covered by different paragraphs in the Austrian Species Trade Act of 30<sup>th</sup> January 1998, which has amended on 7<sup>th</sup> March 2006 (it creates sanctions for the offences listed in Article 16 of the Council Regulation). Penalties range from 730 € to 36.340 €; alternatively, offenders can be sentenced to up to 2 years imprisonment.</b></p> <p><b>There is the possibility of administrative and penal sanctions (only for Annex A) according to the weight of the offence. Paragraph 7 of the Austrian Species Trade Act authorizes the confiscation of specimens in order to secure (the) forfeiture. Paragraph 11 provides the possibility to impose punishment or replacement of the value if there is no possibility to execute (the) forfeiture or if there is a need to consider the ownership of any other person.</b></p>
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### C. Compliance and enforcement measures

2b	<p>Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
16b	<p>Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Comments:</p>
17 new	<p>Have specimens been marked to establish whether they were born and bred in captivity or artificially propagated? (In accordance with <i>Commission Regulation (EC) No.865/2006, Article 66</i>);</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Comments:</p> <p><b>Further to Article 66 of Reg. (EC) No 865/2006 which regulates the marking of specimens of captive born and bred animals as well as other than captive bred live vertebrates area, all marking methods in Austria, like the possibility of closed rings, bands, tags, tatoos, marks, microchip-transponders and DNA-fingerprinting with feathers, blood and excrements regulated through the Species Marking Ordinance of 19<sup>th</sup> April 2006. Austria also accepts photo-documentation for reptiles as a method of identifying live specimens, reptiles in particular.</b></p> <p><b>The valid marking methods and also the persons who are allowed to do the marking of birds are contained in the enclosed documents.</b></p>
18 new	<p>Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 c) of <i>Council Regulation (EC) No. 338/97</i>).</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Comments: <b>These activities are executed by our Scientific Authorities before issuing an expertise for the import.</b></p>
19 new	<p>Have any monitoring activities been undertaken to ensure that live animals are transported in accordance with Article 9 paragraph 5 of <i>Council Regulation (EC) No. 338/97</i>?</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>

	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	<b>Comments: The Management Authority created an national action plan which also involves the enforcement and scientific authorities.</b>		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb)  If yes, please provide details	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? ? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comments:		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? ? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comments:		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIh)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comments:		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph Iii)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

	<p>Comments:</p> <p><b>If live animals or plants are seized, they have to be brought to a rescue center or an appropriate institution. With regard to seized specimens, an agreement with the competent Scientific Authority requires a search for a suitable accommodation. In Austria 23 institutions or rescue centers are now at disposal for such specimens (see also <u>Table IV – Rescue Centers</u>).</b></p> <p><b>According to Article 16 (3) and (4) of the Council Regulation (EC) No 338/97, all confiscated and seized parts, goods and derivatives are at the disposal of the Management Authority. These goods will be passed on to museums, schools, scientific institutions as well as for trainings courses for the officers of the customs authority. Some confiscated specimens will be used for information events about the theme of protected species regulated through the Convention.</b></p>		
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation (EC) No. 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comments:		
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
10 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> ).	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>

**D2 Scientific Authority (SA)**

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.							
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	1							
	2							
	3							
	etc.							
							No information	<input type="checkbox"/>
11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number				<input checked="" type="checkbox"/>		
	<b>All meetings of the SRG have been attended by Dr. Andreas Ranner.</b>							
	Indicate any difficulties that rendered attendance to the SRG difficult:							

**D3 Enforcement Authorities**

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>	No information <input type="checkbox"/>
	see answer in question D7 (2)				

**D4 Communication, information management and exchange**

1b	Is Regulation-related information in your country computerized on?	Tick if applicable		
	- Annex D listed species	<input checked="" type="checkbox"/>		
	- Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>		
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>

**D5 Permitting and registration procedures**

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	If yes, please provide details of these Regulation related matters and the amount of any such fees.			
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Number: XX		
	<b>The Austrian Annual Report is based on documents which were endorsed by the customs. Austrian customs returns every endorsed document to the MA. The consistency with which Austrian imports are customs cleared in other EU countries, and documents returned to the Ausrian MA, is unknown. The MA of Austria regularly sends documents which are endorsed by Austrian</b>			

<b>customs to other Management Authorities in the European Union (mainly to the MA of Germany).</b>			
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<b>ATTACHEMENT D1/14</b>			
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
16 new	Have scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC No. 865/2006)? If yes, please provide details.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<b>ATTACHEMENT D1/16</b>			
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC No. 865/2006)? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC No. 865/2006)? If yes, please provide details.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<b>Austria has only on caviar producing plant for exporting, processing and repackaging, which has the Code AT 0001.</b>			
19 new	Are <u>phytosanitary</u> certificates used in accordance with Article 17 of Commission Regulation (EC No. 865/2006)? If yes, please provide details.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<b>Austria has also notified the issuance of Phytosanitary Certificates, also called Plants Health Certificates for Annex II/B plants. This is executed by the Chamber of Agriculture in Vorarlberg (enclosed there is a <u>Phytosanitary certificate</u>). They will be used for the export of artificially propagated plants to Switzerland (ATTACHEMENT D1/19).</b>			
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC No. 865/2006)? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8(4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

# BELGIUM

## Rapport bisannuel belge 2007-2008

### A. Informations générales

Partie	
Période couverte par ce rapport: 1 <sup>er</sup> janvier 2007 au 31 décembre 2008	
Service préparant le rapport	SPF SANTE PUBLIQUE, SECURITE DE LA CHAINE ALIMENTAIRE ET ENVIRONNEMENT DG Animaux, Végétaux et Alimentation Service Bien-être animal et CITES EUROSTATION Bloc II - 7ème étage Place Victor Horta 40 bte 10 1060 Bruxelles tél. 0032 2 524.74.00 fax. 0032 2 524.74.49
Services, organisations ou personnes y ayant contribué	Georges.Evrard@health.fgov.be isabelle.gregoire@health.fgov.be

### B. Mesures législatives et réglementaires

1	Des informations sur la législation CITES ont-elles déjà été fournies dans le cadre du projet sur les législations nationales? Si oui, passer à la question 5.	Oui (complètement)	<input checked="" type="checkbox"/>					
		Oui (partiellement)	<input type="checkbox"/>					
		Non	<input type="checkbox"/>					
		Pas d'information	<input type="checkbox"/>					
2	Si une législation CITES a été planifiée, préparée ou adoptée, veuillez indiquer: le titre et la date de la législation: _____ où elle en est: _____ Brève description du contenu: _____							
3	Existe-t-il une législation adoptée, disponible dans une des langues de travail de la Convention?	Oui	<input type="checkbox"/>					
		Non	<input type="checkbox"/>					
		Pas d'information	<input type="checkbox"/>					
4	Si oui, veuillez joindre une copie du texte législatif complet ou des principales dispositions législatives publiées au journal officiel.	législation jointe	<input type="checkbox"/>					
		fournie antérieurement	<input type="checkbox"/>					
		non disponible, sera envoyée ultérieurement	<input type="checkbox"/>					
5	Quelles sont parmi les questions suivantes celles qui sont traitées par des mesures internes plus strictes adoptées pour des espèces CITES (conformément à l'Article XIV de la Convention)? Cochez les cases applicables	Sous conditions:			Interdiction complète:			
		Question	Oui	Non	Pas d'information	Oui	Non	Pas d'information
		Commerce	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>
		Prélèvement	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>
		Possession	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>
		Transport	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>
Autre (à préciser)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

Depuis 1984, l'Union européenne applique les dispositions CITES au travers de règlements européens. Le règlement de base le plus récent étant le Règlement CE du Conseil n° 338/97 relatif à la protection des espèces de faune et de flore sauvages par le contrôle de leur commerce, entré en vigueur le 1 juin 1997.

Les modalités d'application sont prévues par le Règlement CE de la Commission n° 865/06 du 4 mai 2006 modifié par le Règlement CE n° 100/08 de la Commission du 4 février 2008. Ce dernier apportant de nouvelles dispositions résultant des mesures adoptées lors des 13<sup>ème</sup> et 14<sup>ème</sup> Conférences des Parties. Ces nouvelles dispositions concernent, entre autres, les dérogations pour les effets personnels et les exigences relatives au commerce du caviar ;

#### ➤ Commerce :

##### Au niveau européen :

La réglementation européenne fournit des mesures plus strictes pour le commerce des espèces visées par la CITES:

- Un permis d'importation est requis pour les importations de spécimens des Annexes (CE) A et B ;
- Possibilité d'établir des restrictions à l'importation pour certaines combinaisons espèces-pays; avis négatifs à l'importation de certaines combinaisons espèces-pays établis par le "Scientific Review Group" de la CE.
- Les activités commerciales sont interdites en ce qui concerne les spécimens d'espèces inscrites à l'Annexe (CE) A sauf dérogations générales (ex. espèces fréquemment élevées en captivité, cf. Annexe X du Règlement n°865/06 du 4 mai 2006) ou particulières (certificats délivrés au cas par cas et sous certaines conditions).

##### Au niveau belge:

- Obligation pour toute personne commercialisant des spécimens d'animaux de l'Annexe A ou B de tenir un registre des entrées et des sorties.
- Espèces CITES visées par les Directives "Oiseaux" (n°79/409/CEE) et "Habitat" (n° 92/43/CEE). La compétence concernant la détention et de la commercialisation des espèces indigènes visées par ces directives appartient aux Régions.

#### ➤ Possession/Détention:

##### Au niveau européen :

L'article 8.2 du Règlement n°338/97 prévoit que les Etats membres peuvent interdire la détention de spécimens, notamment d'animaux vivants appartenant à des espèces de l'Annexe A.

##### Au niveau belge:

La Loi du 28/07/1981 portant approbation de la CITES prévoit en son article 4 l'interdiction de détention de spécimens de l'Annexe I sauf dérogations générales ou particulières, visées à l'article 2 de l'AR du 09/04/2003 relatif à la protection des espèces de faune et de flore sauvages par le contrôle de leur commerce.

Les dérogations particulières sont notamment accordées aux personnes physiques ou morales détenant des animaux vivants de l'Annexe I qui ont, notamment, introduit un inventaire selon les modalités prévues.

L'arrêté royal du 16/07/09 fixant la liste des animaux qui peuvent être détenus établit une liste de 42 espèces de mammifères qui peuvent être détenus par des particuliers en Belgique. Cet arrêté royal est entré en vigueur le 1<sup>er</sup> octobre 2009.

#### ➤ Transport:

- Le Règlement CE n°338/97 rend contraignante l'application des règles de l'IATA pour le transport aérien d'animaux vivants et les directives de la CITES en matière de transport.
- La réglementation CE peut également restreindre les importations de spécimens d'espèces inscrites à l'Annexe B (CE) susceptibles d'une mortalité importante en cours de transport.

6	Quels sont les résultats de tout examen ou évaluation de l'efficacité de la législation CITES pour les éléments suivants? Cochez les cases applicables				
	Eléments	Adéquate	En partie inadéquate	Inadéquate	Pas d'information
	Compétences des organes CITES	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarté des obligations légales	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Maîtrise du commerce CITES	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Cohérence avec la politique de gestion et d'utilisation des espèces sauvages	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	X
	Couverture par la loi de tous les types d'infraction	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Couverture par la loi de tous les types de sanction	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>
	Application des réglementations	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Cohérence interne de la législation	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Autre (veuillez préciser)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Veuillez fournir les détails disponibles:					
<p>➤ La CE a entamé en 2006 un processus de révision de la réglementation européenne (déjà en place depuis plus de 10 ans !), un document publié à ce sujet par la CE est paru en décembre 2007 (*).</p> <p>Celui-ci fait nomment suite à l'atelier de Vilm (Allemagne -avril 2006) dont le but était de faire le point sur les difficultés rencontrées dans l'application de la réglementation européenne CITES . Des réunions auxquelles ont participé des experts ainsi que différents stakeholder ont ensuite été organisées pour trouver des solutions pratiques aux différents problèmes posés. Les résultats de l'étude ont démontré qu'il n'était pas nécessaire de revoir le Règlement du Conseil (n°338/97) à ce stade. Des modifications du Règlement n°865/06 et le développement de lignes directrices pour les Règlements 338/97 et 865/06. sont plutôt envisagés..</p> <p>(*) voir <a href="http://ec.europa.eu/environment/cites/pdf/studies/effectiveness_study.pdf">http://ec.europa.eu/environment/cites/pdf/studies/effectiveness_study.pdf</a>).</p> <p>➤ Parallèlement à ce processus, la CE a élaboré en juin 2007 un Plan d'Action européen visant à renforcer l'application de la réglementation CITES dans l'UE (EU Enforcement Action Plan). Il consiste en une série de recommandations entre autres sur la nécessité de fixer des pénalités suffisantes pour les infractions, de former les agents habilités à opérer les ontrôles CITES et d'accroître la coopération et les échanges d'information entre autorités de contrôle à l'intérieur de chaque Etat membre et au niveau de la Communauté. Ce plan devant se traduire ensuite par des initiatives concrètes dans les différents Etats membres notamment au travers d'un plan d'action national.</p>					
7	Si aucun examen ou évaluation n'a eu lieu, y en a-t-il un planifié pour la prochaine période de rapport?			Oui	<input type="checkbox"/>
				Non	<input type="checkbox"/>
			Pas d'information	<input type="checkbox"/>	
Le processus de révision de la réglementation européenne est toujours en cours en 2009 .					
Veuillez donner les précisions disponibles:					
8	Y a-t-il eu un examen de la législation sur les sujets suivants pour ce qui est de l'application de la Convention? Cochez toutes les cases applicables				
	Sujet	Oui	Non	Pas d'information	
	Accès aux ressources naturelles ou propriété des ressources	<input type="checkbox"/>	x	<input type="checkbox"/>	
	Prélèvement	<input type="checkbox"/>	x	<input type="checkbox"/>	
	Transport des spécimens vivants	<input type="checkbox"/>	x	<input type="checkbox"/>	
Manutention des spécimens vivants et installations les abritant		<input type="checkbox"/>	x	<input type="checkbox"/>	

	Veuillez fournir les détails disponibles:
9	<p>Veuillez fournir des indications sur toute mesure supplémentaire prise: (voir textes à l'Annexe n°1).</p> <p>➤ 1. Parution le 04.06.08 de l'arrêté ministériel portant nomination des membres du groupe Application de la réglementation visé à l'article 17 de l'arrêté royal du 9 avril 2003 relatif à la protection des espèces de faune et de flore sauvages par le contrôle de leur commerce. Ce groupe est présidé par l'organe de gestion CITES et est composé de différents représentants des autorités visées à l'article 7 de la loi, ainsi que d'une représentante du collège des procureurs généraux. Le groupe est chargé d'examiner toutes les questions techniques relatives à l'application de la réglementation CITES au niveau national, européen ou international. Il donne son avis sur les questions dont l'examen lui est confié par le Ministre et peut lui soumettre toute proposition.</p> <p>➤ 2. Parution le 16.06.08 de la loi-programme modifiant l'article 5 de la loi du 28/07/1981 concernant les pénalités. Cette modification concerne la révision à la baisse du montant minimum des amendes à payer en cas d'infraction afin d'assurer une meilleure pondération entre le type d'infraction commis et le montant à payer. Le montant minimum de l'amende est donc passé de 1000 euros à 25 euros. Le montant maximum de l'amende étant toujours de 50 000 euros (les montants en question devant encore être multipliés par 5.5 (= centimes additionnels). Les modalités pratiques pour la mise en place du système des amendes administratives font l'objet actuellement d'une évaluation par l'organe de gestion et son service juridique.</p> <p>➤ 3. Parution le 15.12.08 d'un arrêté royal déterminant la contribution financière de l'autorité fédérale belge pour l'année 2008 au WWF-Belgium pour la maintenance et le développement du projet EU-TWIX. Un montant de quinze mille euros (15.000 EUR) du budget du Service public fédéral Santé publique, Sécurité de la Chaîne alimentaire et Environnement pour l'année budgétaire 2008 a été alloué au WWF-Belgium.</p>

### C. Mesures pour le respect de la Convention et la lutte contre la fraude

		Oui	Non	Pas d'information
1	Y a-t-il eu des opérations telles que celles indiquées ci-dessous?			
	Examen des rapports et d'autres informations fournies par les négociants et les producteurs	x	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections de négociants, de producteurs, de marchés	x	<input type="checkbox"/>	<input type="checkbox"/>
	Contrôles aux frontières	x	<input type="checkbox"/>	<input type="checkbox"/>
	Autres (veuillez préciser)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Détails des contrôles voir Annexe n°2			
2	Des mesures administratives (amendes, interdictions, suspensions, etc.) ont-elles été imposées pour des violations de la CITES?	X	<input type="checkbox"/>	<input type="checkbox"/>
3	Si oui, veuillez indiquer combien et pour quels types de violations et joindre les détails disponibles. Détails voir Annexe n°3.a.			
4	Y a-t-il eu des saisies, confiscations ou séquestres importants de spécimens CITES?	X	<input type="checkbox"/>	<input type="checkbox"/>

5	Si des informations sont disponibles: <input checked="" type="checkbox"/> Saisies/confiscations importantes <input checked="" type="checkbox"/> Total des saisies/confiscations  Veuillez les indiquer si possible par groupe d'espèces ou joindre des indications.	<b>Détails voir Annexe n°3.b.</b>		
6	Y a-t-il eu des poursuites pénales pour violation importante de la CITES?	x	<input type="checkbox"/>	<input type="checkbox"/>
7	Si oui, combien et pour quels types de violation? Veuillez joindre les détails en annexe.  ➤ Suite de l'affaire concernant le cas de commerce illégal de reptiles survenu entre 1998 et 2004 (cf rapport bisannuel précédent) : le tribunal de première instance ayant condamné l'intéressé, ce dernier a fait appel. La Cour d'Appel d'Anvers, en date du 09.11.2007, s'est prononcée et a condamné l'intéressé, pour plusieurs chefs d'accusation à : 1) <b>une peine d'emprisonnement de 6 mois et paiement d'une amende d'un montant de 14538,49 EUR ; 2) une peine d'emprisonnement de 3 mois ou paiement d'une amende d'un montant de 12394,68 EUR ; et 3) une peine d'emprisonnement de 12 mois avec sursis et paiement d'une amende de 7500 EUR ou peine d'emprisonnement de 3 mois avec sursis.</b>			
8	Y a-t-il eu d'autres actions en justice pour violation de la CITES?	<input type="checkbox"/>	<input type="checkbox"/>	X
9	Si oui, pour quelles violations et avec quels résultats? Veuillez joindre les détails en annexe.			
10	Que deviennent habituellement les spécimens confisqués?		Cochez si applicable	
– Retour au pays d'exportation		X		
– Zoos ou jardins botaniques publics		X		
– Centres de sauvetage désignés		X		
– Installations privées approuvées		X		
– Euthanasie		X		
– Autre (veuillez spécifier) – Destruction		X		

➤ Centres de sauvegarde:

- La Société Royale de Zoologie d'Anvers constitue le seul centre de sauvegarde officiellement reconnu pour les animaux vivants (le seul avec lequel l'organe de gestion ait signé un contrat). Comme ce centre n'a pas toujours la possibilité d'héberger les spécimens saisis, l'OG doit parfois faire appel à d'autres solutions (voir ci-dessous)

- Le Jardin Botanique National de Meise, est le seul centre de sauvegarde officiellement reconnu pour les plantes vivantes (le seul avec lequel l'organe de gestion ait signé un contrat).

➤ Refuges désignés:

- "CARAPACE" asbl, siège au Parc Paradisio pour l'accueil des reptiles/amphibiens et des tortues en particulier

- Centre de revalidation, Opglabeeek (principalement oiseaux)

➤ Zoos

➤ Aquarium de l'Université de Liège: poissons, coraux.

➤ Musées:

- Musée d'Histoire Naturelle de Tournai: reptiles, amphibiens vivants et spécimens morts

- Institut Royal des Sciences naturelles de Belgique, Bruxelles: spécimens morts et invertébrés vivants

- Musée Royal d'Afrique centrale: spécimens morts

\* Dans certains cas, assez rares, lorsque le placement n'est possible dans aucune des différentes institutions pré-citées et qu'il s'agit d'espèces sensibles, le service peut avoir recours à une solution alternative comme le placement chez un spécialiste connu disposant des installations adéquates pour accueillir les spécimens.

N.B. Les cas de refolement vers le pays d'origine sont assez exceptionnels et ne se produisent que lorsque l'OG a l'assurance que les dispositions concernant le bien-être animal seront respectées.

➤ **Cas de destruction de spécimens** . En mars 2007 (voir communiqué de presse à l'Annexe n°5, on a procédé à la destruction de marchandises illégales saisies. Ces spécimens sans aucune valeur scientifique ou didactique particulière avaient été interceptés par les services de douanes lors de leur arrivée en Belgique.

Les marchandises avaient été confiées au Musée Royal d'Afrique centrale de Tervuren en vue de leur stockage temporaire. Il s'agissait d'environ 5 mètres cubes d'ivoire, d'articles de maroquinerie en peaux de reptiles, de peaux de félinés ainsi que des médicaments asiatiques comprenant des substances animales ou végétales interdites. L'opération de destruction s'est déroulée sous le contrôle de la douane et de responsables de l'organe de gestion CITES.

➤ **Cas de vente publique** : En octobre 2008 la douane de d'Anvers a procédé à la vente publique de 14,407 m3 de bois scié brut d'Fromosia (Pericopsis elata) importés illégalement.

	Des informations détaillées ont-elles été communiquées au Secrétariat concernant des affaires importantes de commerce illicite (par ECOMESSAGE ou un autre moyen), ou des informations sur des négociants illicites reconnus coupables et sur des récidivistes?	Oui Non Non applicable Pas d'information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Commentaires		
12	Y a-t-il eu des activités de lutte contre la fraude menées en coopération avec d'autres pays? (échange de renseignements, appui technique, assistance pour enquête, opération conjointe, etc.)?	Oui Non Pas d'information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
13	<p>Si oui, veuillez les indiquer brièvement:</p> <p>Opération conjointe avec :</p> <ul style="list-style-type: none"> <li>• le US Fish and Wildlife Service : en 2007 et 2008 au sujet d'importations illégales en Belgique de caviar de l'espèce <i>Polyodon spathula</i> provenant d'une firme américaine;</li> <li>• les Pays-Bas : cas de commerce frauduleux de caviar sur le marché hollandais : les informations ont été communiquées en février 2008 au service CITES belge par une firme de reconditionnement de caviar belge agréée;</li> <li>• l'Allemagne et la Tchéquie : cas d'un commerce illégal de tortues terrestres par un récidiviste déjà condamné (Voir point C7);</li> <li>• l'Allemagne concernant des cas d'envoi illégaux de caviar ;</li> <li>• la France, les Pays-Bas, le Royaume-Uni : cas de saisie chez un éleveur belge d'un certain nombre d'oiseaux dont une partie provenant d'espèces CITES. L'enquête internationale a révélé l'existence d'un trafic d'espèces CITES à grande échelle. L'enquête est encore en cours en 2009;</li> </ul> <p>Coopération bilatérale avec : la France</p> <ul style="list-style-type: none"> <li>• Transfert de spécimens d'Annexe A appartenant à des parcs animaliers.</li> </ul>		
14	Des incitations ont-elles été proposées aux populations locales pour qu'elles contribuent à l'application de la législation CITES, avec pour effet d'entraîner, par exemple, des arrestations et la condamnation des contrevenants?	Oui Non Pas d'information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
15	Si oui, veuillez indiquer lesquelles:		

16	<p>Y a-t-il eu un examen ou une évaluation de la lutte contre la fraude liée à la CITES?</p> <p>➤ - Suivis réguliers des développements du commerce illégal au sein de la Belgique via les réunions du Groupe application de la réglementation (voir point 9) : 3 réunions en 2007 et 2 réunions en 2008. Ces réunions précèdent généralement les réunions de l'Enforcement Group au niveau européen.</p> <p>Au niveau national, la mise en place d'un groupe d'Application de la réglementation présidé par l'OG est prévu par l'arrêté royal du 09.04.2003 (article 17) réunissant différents représentants des autorités visées à l'article 7 de la loi. (voir point B9)</p> <p>➤ La principale mission de ce groupe est :</p> <ul style="list-style-type: none"> <li>- d'échanger des informations et d'établir des canaux de communication efficaces au niveau national et international;</li> <li>- d'évaluer les mesures d'exécution et d'élaborer la stratégie des contrôles et</li> <li>- d'assurer la formation des services de contrôle.</li> </ul>	<p>Oui <input checked="" type="checkbox"/></p> <p>Non <input type="checkbox"/></p> <p>Non applicable <input type="checkbox"/></p> <p>Pas d'information <input type="checkbox"/></p>
<p>Commentaires:</p>		
17	<p>Veillez fournir des indications sur toute mesure supplémentaire prise:</p> <p>➤ <b>Action caviar - décembre 2007 :</b></p> <p>Les actions « caviar » des autorités de contrôle CITES menées fin 2007 ont été précédées d'une formation des différents agents des autorités de contrôle assurée par le SPF et en partie par la douane (voir Annexe 5). Ces contrôles ont abouti à la <b>saisie, en décembre 2007, dans les cuisines de deux restaurants de la capitale de respectivement, 1 kg de caviar, et ½ kg de caviar non étiquetés.</b> Le laboratoire qui a effectué l'analyse ADN a mentionné qu'il s'agissait de caviar de l'espèce <i>Acipenser naccarii</i>.</p> <p>Fin 2008 de nouvelles actions de contrôle ont été menées qui ont permis <b>la saisie de 3 kg 205 de caviar vendu en tant que commerce « annexe » dans un magasin de tapis.</b> Les conteneurs de caviar (de 30 gr, 50 gr, 100 gr, 125 gr, 200 gr) saisis n'étaient pas étiquetés selon la CITES. Le commerçant a pu produire des preuves de l'origine légale (factures) pour une partie du caviar qu'il vendait mais l'analyse ADN a pu démontrer que le caviar vendu était d'une espèce différente que celle qu'il avait achetée. Ce qui a confirmé l'assomption d'origine illégale de ce caviar et permis d'étayer la procédure judiciaire en cours.</p>	

➤ **Projet faucon pèlerin *Falco peregrinus* - décembre 2007** : L'institut Royal des Sciences Naturelles de Belgique (IRSNB- Institution enregistrée selon l'article 4.6 de la Convention CITES) a lancé un projet d'identification (bagues fermées et micropuces électroniques) de jeunes spécimens de faucons pèlerins de source sauvage. Des plumes de ces spécimens sont également prélevées lors de l'identification afin de répertorier leur ADN. L'objectif de ce projet est la mise sur pied d'une base de données reprenant les différentes données d'identification de ces oiseaux afin d'assurer leur suivi et de détecter les cas de fraude éventuels. En effet ces spécimens à haute valeur commerciale sont susceptibles de faire l'objet d'un prélèvement et commerce illégal.

➤ **Action «rapaces»- année 2008** : dans le cadre du projet faucon pèlerin, un groupe de travail pluridisciplinaire a été créé afin d'organiser des contrôles ciblés de certaines espèces de rapaces dites « sensibles » auprès de certains éleveurs. Ce groupe est constitué d'un expert de l'IRSNB, d'agents des Régions (compétentes pour la conservation des espèces indigènes et pour la CITES), d'un représentant de la police fédérale et de l'organe de gestion CITES. La liste d'espèces 'sensibles' (19 espèces) a été établie sur base de certains critères tels que la présence naturelle à l'état sauvage en Belgique, le statut de l'espèce en Europe et en Belgique, l'existence d'élevage en captivité, la vulnérabilité, etc.. Les éleveurs ont été sélectionnés sur base de leur réputation dans le milieu. Les contrôles ont été effectués afin de vérifier la véracité des informations reprises sur les attestations d'élevage fournies à l'appui de demandes de certificats pour les jeunes spécimens déclarés nés en captivité. Des prélèvements de plumes ont été effectués sur chaque spécimen afin de contrôler le lien de parenté, et des analyses ADN ont été entamées pour les cas les plus pertinents.

➤ Publication en avril 2007 d'un rapport intitulé : "Le commerce illégal et la vente des espèces CITES en Belgique : ivoire d'éléphant et autres spécimens" (voir Annexe n°5)

➤ Certains engagements politiques relatifs à la CITES ont été développés dans le cadre du « Printemps de l'Environnement » (\*) notamment celui de 'développer, en collaboration avec les autorités de contrôle fédérales et régionales compétentes, un **plan d'action national** visant à améliorer l'application de la réglementation CITES en Belgique'. Des actions concrètes ont été menées afin de respecter cet engagement notamment au travers de la participation au soutien financier d'EU-TWIX (voir point B9). D'autres doivent encore être réalisées : la création de centres d'hébergement supplémentaires de spécimens saisis, la modernisation des techniques de contrôles et l'instauration d'un centre de formation à l'intention des autorités de contrôle. Toutes ces actions devraient se développer en 2010 et années subséquentes.

(\*) il s'agit du plus grand processus participatif, politique et décisionnel belge lié à l'environnement, initié en avril 2008 par le Ministre fédéral du Climat et de l'Energie. Tous les niveaux de pouvoirs concernés ainsi que la société civile ont été invités à participer à différents ateliers thématiques. Parmi les différents ateliers, celui axé sur la Biodiversité prévoyait entre autres des mesures à prendre au niveau de la CITES. Le but étant d'aboutir à des objectifs communs et des engagements de la part des autorités concernées, des actions concrètes devant émerger du processus. Les mesures pour lesquelles des accords politiques ont eu lieu ont été publiées en juillet 2008.

## D. Mesures administratives

### D1 Organe de gestion (OG)

1	Y a-t-il eu des changements dans l'OG désigné ou dans ses coordonnées, n'ayant pas encore été consignés dans le Répertoire CITES?	Oui Non Pas d'information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	Si oui, veuillez indiquer ici ces changements:		
3	S'il y a plus d'un OG dans votre pays, un OG principal a-t-il été désigné?	Oui Non Pas d'information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	Si oui, veuillez indiquer son nom et s'il figure comme principal OG dans le Répertoire CITES.		
5	Quel est l'effectif de chaque OG?	- 1 Vétérinaire, chef de service - 3 assistants administratifs (dont un engagé en 2008) - 4 biologistes (dont 3 à 4/5 temps)	
6	Pouvez-vous estimer le pourcentage de temps qu'il consacre aux questions CITES?	Oui Non Pas d'information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Si oui, veuillez faire une estimation: + ou - 80%		
7	Quelles sont les compétences du personnel des OG?	Cochez si applicable	
	- Administration		X
	- Biologie		X
	- Economie/commerce		<input type="checkbox"/>
	- Loi/politiques		X
	- Autre (veuillez spécifier) Sciences vétérinaires		X
	- Pas d'information		<input type="checkbox"/>
8	Les OG ont-ils entrepris ou appuyé des activités de recherche sur des espèces ou des questions techniques CITES (étiquetage, marquage, identification d'espèces, etc.) non couvertes par D2 (8) et D2 (9)?	Oui Non Pas d'information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	Si oui, veuillez indiquer les espèces et le type de recherche.		
10	Veuillez fournir des indications sur toute mesure supplémentaire prise:		

## D2 Autorité scientifique (AS)

1	Y a-t-il eu des changements dans l'AS désignée ou dans ses coordonnées, n'ayant pas encore été consignés dans le Répertoire CITES?	Oui Non Pas d'information	<input type="checkbox"/> x <input type="checkbox"/>
2	Si oui, veuillez indiquer ici ces changements:		
3	L'autorité scientifique désignée est-elle indépendante de l'organe de gestion?	Oui Non Pas d'information	x <input type="checkbox"/> <input type="checkbox"/>
4	Quelle est la structure des AS?	Cochez si applicable	
	- Institution gouvernementale		<input type="checkbox"/>
	- Institution académique ou de recherche		<input type="checkbox"/>
	- Commission permanente		<input type="checkbox"/>
	- Groupe d'individus ayant certaines connaissances		X
	- Autre (veuillez spécifier):		<input type="checkbox"/>
5	<p>Quel est l'effectif de chaque AS pour les questions CITES?</p> <p>Le comité scientifique est constitué d'experts bénévoles émanant de différents universités, musées, instituts, jardins botaniques et parcs zoologiques de Belgique. Il est composé de 20 membres répartis en 5 sections : mammifères (4), oiseaux (4) ; reptiles et autres vertébrés (4), invertébrés (4) et plantes (5).</p>		
6	Pouvez-vous estimer le pourcentage de temps qu'il consacre aux questions CITES?	Oui Non Pas d'information	x <input type="checkbox"/> <input type="checkbox"/>
	<p>Si oui, veuillez faire une estimation</p> <p>Entre 1 et 8%, dépendant de la fonction et de l'activité du membre au sein de cette autorité.</p>		
7	Quelles sont les compétences du personnel des AS?	Cochez si applicable	
	- Botanique		X
	- Ecologie		X
	- Pêcheries		<input type="checkbox"/>
	- Foresterie		X
	- Protection animale		X
	- Zoologie		X
	- Autre (veuillez préciser)		<input type="checkbox"/>
	- Pas d'information		<input type="checkbox"/>

8	<p>Des activités de recherche ont-elles été menées par les AS sur des espèces CITES?</p> <p>► En 2008, une étude intitulée ‘Capacité de charge des populations de <i>Pericopsis elata</i> de la RDC : évaluation préliminaire du quota’ est menée par un doctorant sous la responsabilité d’un membre de la section ‘Plantes’ du Comité scientifique belge travaillant au Musée Royal d’Afrique Centrale de Tervuren, l’étude est encore en cours en 2009.</p>	<p>Oui <input checked="" type="checkbox"/></p> <p>Non <input type="checkbox"/></p> <p>Pas d’information</p>																																			
9	<p>Si oui, veuillez indiquer les espèces et le type de recherche</p> <table border="1" data-bbox="225 972 1082 1211"> <thead> <tr> <th data-bbox="225 972 357 1039">Espèce</th> <th data-bbox="357 972 507 1039">Population</th> <th data-bbox="507 972 719 1039">Répartition géographique</th> <th data-bbox="719 972 932 1039">Prélèvement</th> <th data-bbox="932 972 1082 1039">Commerce licite</th> <th data-bbox="1082 972 1270 1039">Commerce illicite</th> <th data-bbox="1270 972 1434 1039">Autre (préciser)</th> </tr> </thead> <tbody> <tr> <td data-bbox="225 1039 357 1084">1</td> <td data-bbox="357 1039 507 1084"></td> <td data-bbox="507 1039 719 1084"></td> <td data-bbox="719 1039 932 1084"></td> <td data-bbox="932 1039 1082 1084"></td> <td data-bbox="1082 1039 1270 1084"></td> <td data-bbox="1270 1039 1434 1084"></td> </tr> <tr> <td data-bbox="225 1084 357 1128">2</td> <td data-bbox="357 1084 507 1128"></td> <td data-bbox="507 1084 719 1128"></td> <td data-bbox="719 1084 932 1128"></td> <td data-bbox="932 1084 1082 1128"></td> <td data-bbox="1082 1084 1270 1128"></td> <td data-bbox="1270 1084 1434 1128"></td> </tr> <tr> <td data-bbox="225 1128 357 1173">3</td> <td data-bbox="357 1128 507 1173"></td> <td data-bbox="507 1128 719 1173"></td> <td data-bbox="719 1128 932 1173"></td> <td data-bbox="932 1128 1082 1173"></td> <td data-bbox="1082 1128 1270 1173"></td> <td data-bbox="1270 1128 1434 1173"></td> </tr> <tr> <td data-bbox="225 1173 357 1211">etc.</td> <td data-bbox="357 1173 507 1211"></td> <td data-bbox="507 1173 719 1211"></td> <td data-bbox="719 1173 932 1211"></td> <td data-bbox="932 1173 1082 1211"></td> <td data-bbox="1082 1173 1270 1211"></td> <td data-bbox="1270 1173 1434 1211"></td> </tr> </tbody> </table>	Espèce	Population	Répartition géographique	Prélèvement	Commerce licite	Commerce illicite	Autre (préciser)	1							2							3							etc.							<p>Pas d’information <input type="checkbox"/></p>
Espèce	Population	Répartition géographique	Prélèvement	Commerce licite	Commerce illicite	Autre (préciser)																															
1																																					
2																																					
3																																					
etc.																																					
10	<p>Des propositions de projets de recherche scientifique ont-elles été soumises au Secrétariat au titre de la résolution Conf. 12.2?</p>	<p>Oui <input type="checkbox"/></p> <p>Non <input checked="" type="checkbox"/></p> <p>Pas d’information <input type="checkbox"/></p>																																			
11	<p>Veuillez fournir des indications sur toute mesure supplémentaire prise:</p> <p>Depuis plusieurs années, une procédure a été lancée auprès du SPF Santé Publique (anciennement Ministère de l’Agriculture) pour le recrutement de 2 conseillers scientifiques (1 zoologiste et 1 botaniste) qui assisteraient le comité scientifique belge dans sa charge. Après plusieurs tentatives pour trouver le budget nécessaire, un accord pour le <u>recrutement d’une seule personne</u> a pu finalement être trouvé via l’utilisation d’un « Fonds CITES ». Le recrutement de ce conseiller scientifique a eu lieu en mai 2009. La mission principale du conseiller sera de préparer les avis scientifiques à soumettre au comité, d’organiser les réunions du comité scientifique, d’assurer la représentation de la Belgique et de défendre les positions belges au niveau de la CE (SRG) et au niveau international (Comité Animaux, Comité Plantes et Conférences des parties).</p>																																				

### D3 Autorités chargées de la lutte contre la fraude

1	Les autorités désignées pour recevoir des informations confidentielles sur la lutte contre la fraude dans le cadre de la CITES ont-elles été indiquées au Secrétariat?	Oui Non Pas d'information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	Si non, veuillez les désigner ici (avec l'adresse, le téléphone, le fax et le courriel):		
3	Y a-t-il un service spécialisé dans la lutte contre la fraude CITES (dans le département chargé des espèces sauvages, les douanes, la police, l'appareil judiciaire, etc.)?	Oui Non A l'étude Pas d'information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	Si oui, veuillez indiquer le principal organisme chargé de la lutte contre la fraude: plusieurs services sont compétents pour exercer les contrôles CITES (article 7 de la loi de 1981), parmi ceux-ci : - Police fédérale, service environnement, - Inspection nationale des recherches des Douanes - Service d'Inspection Bien-être animal et CITES		
5	Veuillez fournir des indications sur toute mesure supplémentaire prise: Un groupe application de la réglementation a été officiellement créé en juin 2008, il réunit des représentants des différentes autorités chargées de contrôle (voir point B9)		

### D4 Communication; gestion et échange des informations

1	Quelles sont les informations CITES ayant été informatisées?	Cochez si applicable					
	- Suivi et rapport sur les données sur le commerce licite	<input checked="" type="checkbox"/>					
	- Suivi et rapport sur les données sur le commerce illicite	<input checked="" type="checkbox"/>					
	- Délivrance des permis	<input checked="" type="checkbox"/>					
	- Aucune	<input type="checkbox"/>					
	- Autres (veuillez préciser):	<input type="checkbox"/>					
2	Les autorités suivantes ont-elles accès à Internet?	Cochez si applicable					
	Autorité	Oui, accès continu et sans restriction	Oui, mais seulement par téléphone	Oui, mais seulement par l'intermédiaire d'un autre service	Seulement certains services	Non, aucune	Veuillez, s'il y a lieu, donner des précisions
	Organe de gestion	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Autorité scientifique	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Autorité de lutte contre la fraude	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Y a-t-il un système d'information électronique donnant des informations sur les espèces CITES?	Oui <input checked="" type="checkbox"/>	Non <input type="checkbox"/>	Pas d'information <input type="checkbox"/>
4	Si oui, donne-il des informations sur: – la législation (nationale, régionale ou internationale)? – la conservation (nationale, régionale ou internationale)? – autre (veuillez préciser)?	Cochez si applicable		
			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
5	Est-il disponible par Internet?	Oui <input checked="" type="checkbox"/>	Non <input type="checkbox"/>	Non applicable <input type="checkbox"/>
			Pas d'information <input type="checkbox"/>	
	Veuillez indiquer l'URL:			
	- www.unep-wcmc.org : information décisions combinaison espèces/pays			
	Autres :			
	- les autorités chargées de la lutte contre la fraude ont reçu en novembre 2008 le CD ROM 'Green Parrot Project' qui fournit une aide à l'identification des espèces de faune et flore figurant aux annexes CITES.			
6	Les autorités mentionnées ont-elles accès aux publications suivantes? Cochez si applicable	Cochez si applicable		
	Les autorités de lutte contre la fraude et les autorités scientifiques ont également à leur disposition :			
	- les guides d'identification produits par Environnement Canada (Oiseaux, tortues, crocodiles, esturgeons et spatules, papillons, trophées de chasse, bois tropicaux) ainsi que ceux produits par Traffic (Hippocampes et Médicaments chinois).			
	- un compendium CITES contenant la législation en vigueur au niveau international, communautaire dont notamment le règlement CE concernant la liste des espèces reprises aux annexes A,B, C et D, national, mais aussi toute une série d'informations pratiques utiles facilitant la compréhension et l'application de la CITES en Belgique,			
	Publications	Organe de gestion	Autorité scientifique	Autorité de lutte contre la fraude
	Liste des espèces CITES 2005 (livre)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Liste des espèces CITES 2008 et Annexes et réserves CITES annotées (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Manuel d'identification	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Guide CITES	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	Si non, quels ont été les problèmes rencontrés pour accéder à ces informations?			
8	Des autorités chargées de la lutte contre la fraude ont-elles soumis à l'organe de gestion des rapports sur:	Cochez si applicable		
	– la mortalité pendant le transport?		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	– les saisies et les confiscations?		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	– des écarts entre le nombre d'articles figurant sur les permis et le nombre d'articles effectivement commercialisés?		<input type="checkbox"/>	<input type="checkbox"/>
	Commentaires:			

	La base de données informatisée utilisée pour l'encodage des documents, permet l'enregistrement des données de mortalité pendant le transport transmises par les vétérinaires chargés des contrôles sanitaires à l'importation (voir <b>Annexe n°4</b> ).		
9	Y a-t-il un site Internet du gouvernement donnant des informations sur la CITES et les obligations qui en découlent?	Oui Non Pas d'information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Si oui, veuillez indiquer l'URL: <a href="http://www.health.fgov.be">www.health.fgov.be</a> ; ce site doit encore faire l'objet d'une mise à jour complète.		
10	Des autorités CITES ont-elles participé aux activités suivantes pour un plus large accès du public à la Convention et une meilleure compréhension des obligations qui en découlent?	Cochez si applicable	
	– Communiqués de presse /conférences de presse		<input checked="" type="checkbox"/>
	– Articles de journaux, interventions à la radio/à la télévision		<input checked="" type="checkbox"/>
	– Brochures, fascicules		<input checked="" type="checkbox"/>
	– Présentations		<input checked="" type="checkbox"/>
	– Expositions		<input checked="" type="checkbox"/>
	– Information aux frontières		<input checked="" type="checkbox"/>
	– Ligne téléphonique spéciale		<input type="checkbox"/>
	– Autre (veuillez préciser)		<input type="checkbox"/>
	Veuillez joindre une copie de ces éléments.	Disponible sur demande auprès de l'OG	
11	Veuillez fournir des indications sur toute mesure supplémentaire prise:  Dans le cadre de la Campagne du 'Printemps de l'environnement' (voir point C17), un engagement politique a été pris visant l'information/sensibilisation des commerçants, touristes et public en général. Cet engagement se traduit par des mesures concrètes notamment le développement du volet CITES du site web du SPF Santé publique (actuellement incomplet), mais également la campagne d'information relative aux souvenirs pour touristes ( <b>voir Annexe n°5</b> ).  Dans le contexte de la loi (05/05/2006) relative à l'accès du public à l'information en matière d'environnement, un rapport détaillé reprenant l'état de la politique environnementale fédérale doit être déposé tous les 4 ans auprès de la Chambre législative. Le premier rapport doit être publié en 2010. Le thème de la CITES sera traité dans ce rapport.		

#### **D5 Procédures en matière de permis et d'enregistrement**

1	Des changements dans le formulaire de permis, dans les cadres désignés pour signer les permis/certificats CITES, ou dans les signatures, ont-ils été signalés au Secrétariat?	Oui Non Non applicable Pas d'information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Si non, veuillez donner des précisions sur:		
	les changements dans le formulaire de permis:		
	les changements dans les cadres désignés et les signatures:		
2	Votre pays a-t-il élaboré des procédures écrites pour ce qui suit?	Cochez si applicable	

		Oui	Non	Pas d'information		
	Délivrance/acceptation des permis	x	<input type="checkbox"/>	<input type="checkbox"/>		
	Enregistrement des négociants	x	<input type="checkbox"/>	<input type="checkbox"/>		
	Enregistrement des producteurs	x	<input type="checkbox"/>	<input type="checkbox"/>		
3	Combien de documents CITES ont-ils été délivrés et rejetés dans la période de deux ans? (Notez que dans les rapports annuels, certaines Parties enregistrent le commerce effectif. Cette question se réfère aux documents délivrés).					
	Année 2007	Importation ou introduction en provenance de la mer	Exportation	Réexportation	Autre	Commentaires
	Nombre de documents délivrés:	1044	637	190	4266	Autre=Certificat intracommunautaire
	Nombre de demandes rejetées en raison de graves omissions ou d'informations erronées?	4 rejets sur base scientifique				
	Année 2008					
	Nombre de documents délivrés:	998	510	240	4075	Autre=Certificat intracommunautaire
	Nombre de demandes rejetées en raison de graves omissions ou d'informations erronées?	2 rejets sur base scientifique				
4	Des documents CITES délivrés ont-ils par la suite été annulés et remplacés en raison de graves omissions ou d'informations erronées?			Oui <input type="checkbox"/>	Non <input checked="" type="checkbox"/>	Pas d'information <input type="checkbox"/>
5	Si oui, veuillez en indiquer les motifs.					
6	Veuillez indiquer les motifs du rejet de documents CITES émanant d'autres pays.				Cochez si applicable	
	Motif	Oui	Non	Pas d'information		
	Violation technique	x	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspicion de fraude	x	<input type="checkbox"/>	<input type="checkbox"/>		
	Base insuffisante pour établir l'avis de commerce non préjudiciable	x	<input type="checkbox"/>	<input type="checkbox"/>		
	Base insuffisante pour établir la légalité de l'acquisition	x	<input type="checkbox"/>	<input type="checkbox"/>		
	Autre (veuillez préciser)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Les quotas de prélèvement/d'exportation sont-ils des outils de gestion dans la procédure de délivrance des permis?			Oui <input type="checkbox"/>	Non <input checked="" type="checkbox"/>	Pas d'information <input type="checkbox"/>
	Commentaires:					
8	Combien de fois l'autorité scientifique a-t-elle été priée de donner son opinion? Pour les deux années : oui, 23 fois dans le cadre de demandes d'avis pour l'importation de spécimens de certaines combinaison espèces/pays.					

9	L'OG perçoit-il des droits pour la délivrance des permis, l'enregistrement ou d'autres activités touchant à la CITES?	Cochez si applicable
	– Délivrance de documents CITES	<input checked="" type="checkbox"/>
	– Octroi de licences ou enregistrement des établissements produisant des espèces CITES	<input type="checkbox"/>
	– Prélèvement d'espèces CITES	<input type="checkbox"/>
	– Utilisation d'espèces CITES	<input type="checkbox"/>
	– Affectation de quotas à des espèces CITES	<input type="checkbox"/>
	– Importation d'espèces CITES	<input type="checkbox"/>
	– Autre (veuillez préciser)	
10	Si oui, veuillez en indiquer le montant. Pour l'ensemble des documents CITES délivrés au cours de 2007 et 2008 pour autoriser le commerce des plantes et animaux CITES (permis d'importation, permis d'exportation, certificats de réexportation) : 85 887,50 EUR (2007) + 116 075, 00 (2008)= <b>201 962, 50 EUR</b>	
11	Les recettes des droits sont-elles utilisées pour l'application de la CITES ou pour la conservation des espèces sauvages?	Cochez si applicable
	– Entièrement	<input type="checkbox"/>
	– Partiellement	<input checked="" type="checkbox"/>
	– Pas du tout	<input type="checkbox"/>
	– Non pertinent	<input checked="" type="checkbox"/>
	Commentaires: les recettes ont permis le recrutement de personnel supplémentaire affecté à la CITES : 2 biologistes et 1 aide administrative	
12	Veuillez fournir des indications sur toute mesure supplémentaire prise: Un cadre légal fixe le montant des rétributions ainsi que leur modalité de perception (AR 17/02/2007 et loi 14/12/2002 –voir point..B9).	

### D6 Renforcement des capacités

1	Les activités suivantes ont-elles été entreprises pour améliorer l'efficacité de l'application de la CITES au niveau national?	Cochez si applicable
	Augmentation du budget des activités	<input type="checkbox"/>
	Engagement de personnel	<input checked="" type="checkbox"/>
	Elaboration d'outils d'application	<input type="checkbox"/>
	Amélioration des réseaux nationaux	<input type="checkbox"/>
	Achat d'équipements techniques pour la surveillance continue/la lutte contre la fraude	<input type="checkbox"/>
	Informatisation	<input checked="" type="checkbox"/>
	– Autres (veuillez préciser)	F <input type="checkbox"/>
	➤ Nomination officielle des membres du groupe application de la CITES (point C.16)	
	➤ Financement de la base de données EU-TWIX	
	➤ Dans le cadre de la Campagne du 'Printemps de l'environnement' (voir point C17), un engagement politique a été pris visant l'amélioration de l'action du Parquet. En effet, il a été constaté que la suite pénale donnée aux infractions CITES constatées était peu satisfaisante. Une remarque qui vaut également	

pour toutes les réglementations qui ont une influence sur le maintien de la biodiversité. Il est cependant primordial que les poursuites soient effectives. Pour ce faire il est prévu d'assurer des formations spécifiques en matière de CITES auprès des magistrats.

2	<p>Les autorités CITES ont-elles bénéficié des activités suivantes de renforcement des capacités assurées par des sources externes?</p> <p>Veillez cocher les cases pour indiquer les groupes ciblés et les activités.</p>	Avis/orientation fourni oralement ou par écrit	Assistance technique	Assistance financière	Formation	Autre (à spécifier)	Quelles étaient les sources externes?
Groupes ciblés							
Personnel de l'OG		x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CE, Secrétariat, Traffic europe
Personnel de l'AS		x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Personnel d'autorités de lutte contre la fraude		x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>	
Négociants		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
ONG		x	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Traffic europe
Public		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Autres (veuillez préciser)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Les autorités CITES ont-elles assuré les activités de renforcement des capacités suivantes?  Veuillez cocher les cases pour indiquer les groupes ciblés et les activités.	Avis/orientation fourni oralement ou par écrit	Assistance technique	Assistance financière	Formation	Autres (à spécifier)	Détails
	Groupes ciblés						
	Personnel de l'OG	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Personnel de l'AS	x	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<p>Pour les membres de l'Autorité scientifique (AS) :</p> <ul style="list-style-type: none"> <li>- transmission de toute information utile en vue de faciliter la formulation d'avis dans le cadre de dossiers et améliorer les connaissances sur la CITES via CIRCA (site internet sécurisé de la CE), guide de référence CITES, guideline CE pour formulation d'avis scientifiques, compendium etc..</li> <li>-organisation de réunions avec l'ensemble des membres env. 4 fois/an</li> </ul>
	Personnel d'autorités de lutte contre la fraude	x	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<p>Pour les autorités chargées de lutte contre la fraude (ALF) :</p> <ul style="list-style-type: none"> <li>- transmission de toute information utile et pratique pour faciliter les contrôles et améliorer les connaissances de la CITES via CIRCA (site internet sécurisé de la CE) et EU-TWIX ; mise à disposition du compendium..)</li> <li>-organisation de réunions env. 4 fois/an avec tous les membres du groupe application voir point (B9)</li> <li>-organisation de formation (voir Annexe n°5)</li> </ul>
	Négociants	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<p>Négociants et public via la diffusion de circulaires ciblées ou non, diffusion de dépliants d'information (souvenirs, caviar) transmission de réponse à des 'FAQ's) lors de bourses etc..</p>
	ONG	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Autres parties/réunions internationales	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Assistance technique et financière pour EU-TWIX
	Autres (veuillez préciser)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Veuillez fournir des indications sur toute mesure supplémentaire prise:						
	<p>➤ Octobre 2007 : Participation d'un représentant de la Douane belge en tant que conférencier au même titre que des représentants du Secrétariat CITES, de la CE, de l'Espagne et de l'Allemagne à un séminaire CITES à Chisneau (Moldavie) financé par le programme EU-TAIEX. Le principal objectif étant l'application de la CITES à travers la coopération nationale et internationale. Les groupes ciblés pour la formation étaient des représentants d'Organes de Gestion et Autorités Scientifiques</p> <p>➤ Novembre 2007 : Participation d'un représentant de la Douane belge à un séminaire sur l'identification des bois CITES organisée par les autorités scientifiques allemandes pour les Plantes en collaboration avec l'Institute for Wood Biology and Wood protection of the German Federal research center for Forestry and Forest products ». Environ 40 participants de différents EM étaient présents ainsi que des représentants du Secrétariat CITES. Tous les participants ont été formés à utiliser le CD rom CITES woodID facilitant l'identification du bois CITES en excluant les bois non CITES.</p>						

#### D7 Collaboration/ initiatives concertées

1	Existe-t-il un comité sur la CITES interagences ou intersectoriel?	Oui	<input type="checkbox"/>
		Non	<input checked="" type="checkbox"/>
		Pas d'information	<input type="checkbox"/>
2	Si oui, quelles sont les agences représentées et à quelle fréquence les réunions ont-elles lieu?		
3	Si non, veuillez indiquer à quelle fréquence l'organe de gestion tient des réunions ou des consultations pour assurer la coordination entre les autorités CITES [autres OG, AS, douanes, police, autres]:		
		Par jour	Par semaine
		Par mois	Par an
		Aucune	Pas d'information
		Autres (spécifier)	
	Réunions	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		3 -4 pour AS (avant SRG) et idem pour ALF (avant EWG)	
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		En fonction des besoins	
4	Y a-t-il au niveau national un effort pour collaborer avec:	Cochez si applicable	Détails, si disponibles
	Les agences pour le développement et le commerce	<input type="checkbox"/>	

	<p>Les autorités provinciales, d'Etat ou territoriales</p> <p>Les autorités régionales sont compétentes pour la conservation de la nature (Directives CE Habitat et Oiseaux); .des représentants de ces autorités siègent au niveau du Groupe application de la réglementation (voir point D9) et</p>	<input checked="" type="checkbox"/>	
	Les autorités locales ou les collectivités	<input type="checkbox"/>	
	Les populations indigènes	<input type="checkbox"/>	
	<p>Les associations privées, commerciales ou autres</p> <p>Andibel et Anizoo (animaux vivants), Fédération du bois, Fédération de la Fourrure, Clubs de fauconniers, Association d'éleveurs d'oiseaux..</p>	<input checked="" type="checkbox"/>	
	<p>Les ONG</p> <p>Traffic, WWF, IUCN, FACE (Chasseur)</p>	<input checked="" type="checkbox"/>	
	<p>Autres (veuillez préciser)</p> <p>Agence pour la Sécurité de la Chaîne alimentaire (AFSCA) , compétente en matière de contrôle CITES (art 7 de la loi de 1981)</p>	<input checked="" type="checkbox"/>	
5	<p>Existe-t-il des protocoles d'accord ou autres arrangements formels entre l'organe de gestion et les agences suivantes en vue d'une coopération institutionnelle touchant à la CITES? Cochez si applicable</p>		
	Autorités scientifiques		<input checked="" type="checkbox"/>
	Douanes		<input checked="" type="checkbox"/>
	Police		<input checked="" type="checkbox"/>
	<p>Autres autorités aux frontières (veuillez préciser) :</p> <p>Services d'Inspection de l'AFSCA aux postes d'inspection frontaliers</p>		<input checked="" type="checkbox"/>
	Autres agences gouvernementales		<input type="checkbox"/>
	Organismes du secteur privé		<input type="checkbox"/>
	ONG		<input type="checkbox"/>
	Autres (veuillez préciser)		<input type="checkbox"/>
6	<p>Des fonctionnaires ont-ils participé à des activités régionales touchant à la CITES? Cochez si applicable</p>		
	Ateliers		<input checked="" type="checkbox"/>
	Réunions		<input checked="" type="checkbox"/>
	Autres (veuillez préciser)		<input type="checkbox"/>
	<p>➤ Du 7-9 octobre 2008 à Lampedusa (Italie): un représentant de l'organe de gestion a participé à la réunion régionale CITES « Plantes » pour les Parties européennes. Un des objectifs de la réunion étant l'échange d'information entre Parties européennes concernant : l'application spécifique de la CITES pour les plantes, notamment les matières relatives aux formations et contrôles .</p>		

7	Une action a-t-elle été menée pour encourager des non-Parties à adhérer à la Convention?	Oui <input type="checkbox"/> Non <input checked="" type="checkbox"/> Pas d'information <input type="checkbox"/>
8	Si oui, lesquels et comment?	
9	Une assistance technique ou financière a-t-elle été fournie à un autre pays concernant la CITES?	Oui <input type="checkbox"/> Non <input type="checkbox"/> Pas d'information <input checked="" type="checkbox"/>
10	Si oui, quel type d'assistance a-t-elle été fournie et à quels pays?	
11	Des données à inclure dans le Manuel d'identification CITES ont-elles été fournies?	Oui <input type="checkbox"/> Non <input type="checkbox"/> Pas d'information <input type="checkbox"/>
12	Si oui, veuillez en faire une brève description.	
13	Des mesures ont-elles été prises pour coordonner les activités des autorités CITES nationales et celles d'autres accords multilatéraux sur l'environnement (conventions liées à la biodiversité, etc.) et pour réduire les doubles-emplois?	Oui <input checked="" type="checkbox"/> Non <input type="checkbox"/> Pas d'information <input type="checkbox"/>
14	Si oui, veuillez en faire une brève description.  - Participation aux réunions du groupe de contact "espèces exotiques envahissantes" (IAS) organisées par la DG Environnement du SPF Santé publique, Sécurité de la Chaîne alimentaire et Environnement en collaboration avec différentes autorités (plateforme biodiversité, régions,..).Le but de ce groupe étant notamment de dégager des propositions de mesures réglementaires à prendre pour limiter l'introduction d'IAS en Belgique.  - Participation aux réunions du "Groupe Directeur Nature" dépendant du CCPIE (Comité de Coordination de la Politique internationale de l'environnement ). Ce Groupe a , entre autre, pour mission de coordonner la position des entités fédérées et du fédéral sur la scène internationale.  - Collaboration avec le service 'Milieu marin' de la Direction générale environnement et le service 'Affaire Multilatérales et stratégiques' pour constituer un dossier pour la protection des requins-taupes et aiguillat commun . Le but étant de reprendre ces deux espèces de requins dans la Convention internationale des espèces migratrices (CMS) afin d'assurer leur survie. Finalement , la proposition belge a été acceptée par les Etats membres et la Commission européenne en juillet 2008. Ces deux espèces ont été reprises à l'annexe II de la CMS	
15	Veuillez fournir des indications sur toute mesure supplémentaire prise  ➤ Dans le cadre du Printemps de l'Environnement (voir point C17) : engagement politique pour deux mesures :  • la mesure 'Elargir les discussions du centre de connaissance CITES de l'Administration des Douanes et Accises (voir point D3.4) aux différents aspects de la biodiversité (FLEGT,	

	<p>pêche, ...);</p> <ul style="list-style-type: none"> <li>La mesure « Contribuer à une réduction du rythme d'appauvrissement de la diversité biologique dans les pays en voie de développement, en fonction de leurs demandes spécifiques », il est donc prévu que les Ministres fédéraux de la Santé Publique, de l'Environnement et de la Politique Scientifique renforcent la mise à disposition des autorités de pays en voie de développement utilisateurs de leur faune ou flore sauvage, l'expertise scientifique et technique belge et examineront ensemble comment apporter un appui logistique et scientifique à l'organisation de réunions internationales.</li> </ul>
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### D8 Domaines pour une activité future

1	Les activités suivantes sont-elles nécessaires pour améliorer l'efficacité de l'application de la CITES au niveau national et quel est leur rang de priorité?			
	Activité à rang de priorité:	élevé	moyen	faible
	Augmentation du budget des activités	x	<input type="checkbox"/>	<input type="checkbox"/>
	Engagement de personnel	x	<input type="checkbox"/>	<input type="checkbox"/>
	Elaboration d'outils d'application	<input type="checkbox"/>	x	<input type="checkbox"/>
	Amélioration des réseaux nationaux	<input type="checkbox"/>	x	<input type="checkbox"/>
	Achat d'équipements techniques pour la surveillance continue/ la lutte contre la fraude	<input type="checkbox"/>	<input type="checkbox"/>	x
	Informatisation	<input type="checkbox"/>	<input type="checkbox"/>	x
Autres (veuillez préciser)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Des difficultés ont-elles été rencontrées dans l'application de certaines résolutions ou décisions adoptées par la Conférence des Parties?	Oui	x	
		Non	<input type="checkbox"/>	
		Pas d'information	<input type="checkbox"/>	
3	Si oui, lesquelles et quelle est la principale difficulté?			
	<ul style="list-style-type: none"> <li>➤ Délai entre la date de mise en vigueur des amendements aux Annexes de la CITES et des Résolutions de la CdP et celui de leur entrée en vigueur dans l'UE par la publication des Règlements ad-hoc au Journal Officiel des CE.</li> <li>➤ Trop nombreux changements au niveau de la nomenclature CITES suite à la COP 14, beaucoup de confusion sur le statut de protection de certaines espèces ce qui entrainer une mauvaise application de la CITES.</li> </ul>			
4	Des contraintes au niveau de l'application de la Convention nécessitant une attention ou une assistance ont-elles surgi dans votre pays?	Oui	<input type="checkbox"/>	
		Non	x	
		Pas d'information	<input type="checkbox"/>	
5	Si oui, veuillez indiquer lesquelles et le type d'attention ou d'assistance requise.			
6	Des mesures, des procédures ou des mécanismes qui bénéficieraient d'un examen et/ou d'une simplification ont-ils été décelés dans la Convention?	Oui	x	
		Non	<input type="checkbox"/>	
		Pas d'information	<input type="checkbox"/>	
7	Si oui, veuillez en faire une brève description.			

	Révision des procédures pour les échanges internationaux d'échantillons médicaux.
8	Veillez fournir des indications sur toute mesure supplémentaire prise:

### E. Commentaires généraux

Veillez joindre tous autres commentaires, y compris au sujet de cette présentation.

Merci d'avoir rempli ce formulaire. Veuillez inclure toutes les annexes demandées dans ce document. Elles sont rappelées ci-après:

Partie	A fournir		
B4	Copie du texte complet de la législation CITES	Jointe	x
		Non disponible	<input type="checkbox"/>
	Commentaires : seulement les textes pas encore transmis	Non applicable	<input type="checkbox"/>
C3	Détail des violations et des mesures administratives imposées	Joint	x
		Non disponible	<input type="checkbox"/>
		Non applicable	<input type="checkbox"/>
C5	Détail des spécimens saisis, confisqués ou mis sous séquestre	Joint	x
		Non disponible	<input type="checkbox"/>
		Non applicable	<input type="checkbox"/>
C7	Détail des violations et résultat des poursuites	Joint	<input type="checkbox"/>
		Non disponible	x
		Non applicable	<input type="checkbox"/>
C9	Détail des violations et résultat des actions en justice	Joint	<input type="checkbox"/>
		Non disponible	x
		Non applicable	<input type="checkbox"/>
D4(10)	Détails sur les brochures ou fascicules sur la CITES produits au plan national à des fins d'éducation ou de sensibilisation du public	Joint	X
		Non disponible	<input type="checkbox"/>
		Non applicable	
	Commentaires : documents disponibles sur simple demande auprès de l'OG		

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments	<b>See answer to question B5 – Part 1</b>					
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	x	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	x	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed;						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						
	i) Art. 5 of the updated version of the Law of 28.07.1981 mentions the highest penalties that can be given for CITES infringements : 50.000 EUR fine and jail sentence up to 5 years.						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions;	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
Comments: * <b>Depending on the species and/or the age of the specimen in some case, article 36 may not be applied (for example for specimens of <i>Testudo kleinmanni</i> for which photo-identification can be accepted, the offspring of <i>Testudo hermanni</i>, <i>T. graeca</i>, with a &lt; 10 cm : we deliver a restricted certificate, when the specimen can be identified with a micropuce, this certificate needs to be replaced.</b>		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
Comments: <b>Guarantees to ensure that the intended accomodation is adequately equipped are made on the basis of a written declaration given by the intended new owner. In case of specimens of wild origin ('W'), advice from the Belgian SA is required. As for other origin codes, the MA will take the decision on the basis of the provided data. If needed, inspection activities verify the intended accomodation. Furthermore all commercial operations dealing with live animals must be registered according to the law of 14 August 1986 on animal welfare. Registration implies that specific standards for the holding of live animals have to be respected.</b>		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
Comments:		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
<b>A list of experts for species identification is mentioned in our compendium CITES which is distributed to all our enforcement authorities. See answers to question D4: 5-6.</b>		
Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

	Comments: <b>Our service is currently establishing, with the help of our legal department, the amount of the different administrative fines that will be given for infringements to CITES dispositions. The market value of the specimens, the status of the offender, the number of specimens involved, etc. will be taken into account.</b>		
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II.d.)	Yes No No information	<input type="checkbox"/> x <input type="checkbox"/>
	Comments:		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II.g.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments: <b>Article 7 of our national law of 1981 names the different authorities in charge of CITES controls. Checks at shops, nurseries, .. are usually carried out by veterinary inspectors of our service (Animal welfare and CITES) in collaboration with the federal or local police. CITES controls of shops dealing with live animals are usually carried out together with Animal welfare controls.</b>		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.h.)	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
	Comments:		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.i.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	<b>Comments: see answer to question C10.</b>		
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.e.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments: <b>such cooperation takes place on a case by case basis. Belgium participates actively in the meetings of the EC Enforcement Group.</b>		
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.j.)	Yes No No information	x <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.k.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments: <b>such liaison take place on a case by case basis</b>		
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.l.)	Yes No No information	<input type="checkbox"/> x <input type="checkbox"/>
	Comments:		

## D. Administrative measures

### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9b	If Yes, please give the species name and provide details of the kind of research involved.		
	Species name	Populations	Distribution
	Off take	Legal trade	Illegal trade
	Other (specify)		
	1		
	2		
	3		
	etc.		
		No information	<input type="checkbox"/>
11 new	How many Scientific Review Group (SRG) meetings have the SA attended? Representatives of our scientific authority attended 1 out of the 7 meetings of the SRG that took place in 2007 and 2008. The Management authority always attended these meetings and transmitted the opinion of the Scientific Authority when available on the different issues that were discussed.	Number	<input type="checkbox"/>
	Indicate any difficulties that rendered attendance to the SRG difficult: Members of our Scientific Authority are experts working for CITES on a voluntary basis. They all have very busy schedules. This situation will although improved when the new scientific advisor of the Management Authority will be in place (as of 01.10.09).		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes No Under consideration No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	<b>See answer C16</b>		

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species		<input checked="" type="checkbox"/>
	– Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes No	<input checked="" type="checkbox"/> <input type="checkbox"/>

		No information	<input type="checkbox"/>
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#### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?  <b>Approximately 86%</b>	Percentage : ....% No information	<input type="checkbox"/> <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. <b>See Annexe n°6</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>One firm registered in 2008 is entitled to use pre-issued documents for (re) export of reptiles leather items in the framework of Article 19 of Regulation 865/2006.</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>Not during this period 2007-2008. The scientific institutions already registered can be found : <a href="http://www.cites.org/common/reg/f_si.html">http://www.cites.org/common/reg/f_si.html</a></b>	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>See following : <a href="http://www.cites.org/common/resources/reg_caviar.pdf">http://www.cites.org/common/resources/reg_caviar.pdf</a></b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>Belgium Sanitary authority issues phytosanitary certificates that are used as CITES export permit for artificially propagated plants in accordance with Article 17 of Regulation 865/2006. This for all third countries except Switzerland .</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

#### D8 Areas for future work

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

# **BULGARIA**

### A. General information

Party	<b>BULGARIA</b>
Period covered in this report:	<b>1 January 2007 to 31 December 2008</b>
Details of agency preparing this report	National Nature Protection Service Directorate Ministry of Environment and Water 22, Maria Luisa Blvd., Sofia 1000 tel. (+359 2 940 6151) tel. (+359 2 940 6127)
Contributing agencies, organizations or individuals	National Customs Agency 47 Raovsky Str., 1002 Sofia

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input type="checkbox"/> Yes (partly) <input checked="" type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: <b>Since 1 January 2007 Bulgaria has become party of European Union and implements EU Regulation 338/97 on protection of species of wild fauna and flora by regulating trade therein. In november 2007 an amendment akt to the Biological Diversity Act was enacted in order to harmonise the national legislation with the EU Regulation 338/97.</b>					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input checked="" type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable				
	The conditions for:	The complete prohibition of:				
Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments	<b>Complete prohibitions exists for protected native species and Appendix I species taken from the wild. Prohibition has been imposed for keeping of wild species of Felines and Primates outside the zoos and Rescue Centers.</b>					

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?		Tick all applicable		
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify):		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?		Yes	<input type="checkbox"/>	
			No	<input checked="" type="checkbox"/>	
			No information	<input type="checkbox"/>	
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?		Tick all applicable		
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Handling and housing of live specimens		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available: Since 2003 a Regulation for minimum requirements of keeping animals in zoos is in place. The regulation serves as a base for evaluation of the existing facilities in the zoos. It is a base also for the decisions about issuance of import permits for Appendix I animals. An amendment regulation was prepared in the end of 2008 and will be enacted in 2009.					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3	<p>If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.</p> <p><b>In 2008 a penalty was imposed for trade with illegally imported and traded on the domestic market parrots and monkies (See line 5 below).</b></p>			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5	<p>If information available:</p> <p><input type="checkbox"/> Significant seizures/confiscations</p> <p><input checked="" type="checkbox"/> Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex.</p>	<p>Number</p> <p><b>4 cases</b></p> <p><b>See Annex 1</b></p>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	- Return to country of export	<input type="checkbox"/>		
	- Public zoos or botanical gardens	<input checked="" type="checkbox"/>		
	- Designated rescue centres	<input type="checkbox"/>		
	- Approved, private facilities	<input type="checkbox"/>		
	- Euthanasia	<input type="checkbox"/>		
	- Other (specify)	<input checked="" type="checkbox"/>		
	Comments: <b>Both specimens of Gray Parrot (Psittacus erithacus) described in line 5 were sold by the Government according to the national legislation.</b>			
11	Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>	
No		<input checked="" type="checkbox"/>		
Not applicable		<input type="checkbox"/>		
No information		<input type="checkbox"/>		
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input type="checkbox"/>	
No		<input checked="" type="checkbox"/>		
No information		<input type="checkbox"/>		
13	If Yes, please give a brief description:			
14	Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>	
No		<input checked="" type="checkbox"/>		
No information		<input type="checkbox"/>		
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>	
No		<input type="checkbox"/>		
Not applicable		<input type="checkbox"/>		
No information		<input checked="" type="checkbox"/>		
	Comments:			
17	Please provide details of any additional measures taken:			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. <b>See Annex 2</b>	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.	
5	How many staff work in each MA? 4 experts	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation: <b>The percentage of the time spend on CITES issues depends of the number of cases arised.</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	- Administration	<input checked="" type="checkbox"/>
	- Biology	<input checked="" type="checkbox"/>
	- Economics/trade	<input type="checkbox"/>
	- Law/policy	<input checked="" type="checkbox"/>
	- Other (specify)	<input type="checkbox"/>
	- No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
10	Please provide details of any additional measures taken	

### D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable
	- Government institution	<input type="checkbox"/>
	- Academic or research institution	<input checked="" type="checkbox"/>
	- Permanent committee	<input checked="" type="checkbox"/>
	- Pool of individuals with certain expertise	<input type="checkbox"/>

	- Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? <b>Since 2003 a special CITES Scientific council with 14 experts has been working to support the CITES MA in implementation of the Convention.</b>		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?		Tick if applicable
	- Botany		<input checked="" type="checkbox"/>
	- Ecology		<input checked="" type="checkbox"/>
	- Fisheries		<input checked="" type="checkbox"/>
	- Forestry		<input type="checkbox"/>
	- Welfare		<input type="checkbox"/>
	- Zoology		<input checked="" type="checkbox"/>
	- Other (specify)		<input type="checkbox"/>
	- No information		<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
	Species name	Populations	Distribution
	Off take	Legal trade	Illegal trade
	Other (specify)		
	1		
	2		
	3		
	etc.		
			No information <input checked="" type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
11	Please provide details of any additional measures taken:		

**D3 Enforcement Authorities**

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Is there a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>
		No information <input type="checkbox"/>		
4	If Yes, please state which is the lead agency for enforcement: <b>Biodiversity Division, 'National Nature protection Service' Directorates in the Ministry of Environment and Water and 'Tariff Policy' Division in the National Custom Agency</b>			
5	Please provide details of any additional measures taken:			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized?		Tick if applicable				
	-	Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>				
	-	Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>				
	-	Permit issuance	<input checked="" type="checkbox"/>				
	-	Not at all	<input type="checkbox"/>				
	-	Other (specify)	<input type="checkbox"/>				
2	Do the following authorities have access to the Internet?		Tick if applicable				
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species?		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>		

4	If Yes, does it provide information on:	Tick if applicable		
	- Legislation (national, regional or international)?			<input checked="" type="checkbox"/>
	- Conservation status (national, regional, international)?			<input type="checkbox"/>
	- Other (please specify)?			<input type="checkbox"/>
5	Is it available through the Internet:	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
	Please provide URL: <b><a href="http://www.moew.government.bg/">http://www.moew.government.bg/</a> and <a href="http://www.chm.moew.government.bg/">http://www.chm.moew.government.bg/</a></b>			
6	Do the following authorities have access to the following publications?	Tick if applicable		
	Publication	Management Authority	Scientific Authority	Enforcement Authority
	<i>2005 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>2005 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If not, what problems have been encountered to access to the mentioned information?			
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable		
	- Mortality in transport?			<input checked="" type="checkbox"/>
	- Seizures and confiscations?			<input checked="" type="checkbox"/>
	- Discrepancy in number of items in permit and number of items actually traded?			<input checked="" type="checkbox"/>
	<b>Comments: The Customs are obliged by law to report of any seizures and confiscations. The Minister of Environment and Water is empowered to decide about the final disposal of the confiscated specimens.</b>			
9	Is there a government website with information on CITES and its requirements?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	If Yes, please give the URL: <b><a href="http://chm.moew.government.bg/nnps/IndexDetails.cfm?vID=5">http://chm.moew.government.bg/nnps/IndexDetails.cfm?vID=5</a></b>			
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable		
	- Press releases/conferences			<input type="checkbox"/>
	- Newspaper articles, radio/television appearances			<input type="checkbox"/>
	- Brochures, leaflets			<input type="checkbox"/>
	- Presentations			<input type="checkbox"/>
	- Displays			<input type="checkbox"/>
	- Information at border crossing points			<input type="checkbox"/>
	- Telephone hotline			<input type="checkbox"/>
	- Other (specify)			<input type="checkbox"/>
	Please attach copies of any items as Annex.			
11	Please provide details of any additional measures taken:			

D5 *Permitting and registration procedures*

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		Not applicable	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable				
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	41	16	3	33	No permits for introduction from the sea were issued.
	How many applications were denied because of severe omissions or mis-information?					
	Year 2	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	251	16	2	10	No permits for introduction from the sea were issued.
	How many applications were denied because of severe omissions or mis-information?	2		1		
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this. <b>The usual reason of cancellation and replacement of CITES documents is the change of the importer (in case of export) or expired validity of the import permits because of late issuance of the (re-) export documents from the country of (re-) export.</b>					
6	Please give the reasons for rejection of CITES documents from other countries.	Tick if applicable				
	Reason	Yes	No	No information		
	Technical violations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
Comments: <b>Only for export of caviar harvested form the wild</b>						

8	How many times has the Scientific Authority been requested to provide opinions? <b>16 times for each application for import of Appendix I listed species and some application for import of Annex II species where the stricter domestic measures of the EU legislation are applicable.</b>	
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities? <ul style="list-style-type: none"> <li>- Issuance of CITES documents: <input checked="" type="checkbox"/></li> <li>- Licensing or registration of operations that produce CITES species: <input checked="" type="checkbox"/></li> <li>- Harvesting of CITES-listed species : <input type="checkbox"/></li> <li>- Use of CITES-listed species: <input type="checkbox"/></li> <li>- Assignment of quotas for CITES-listed species: <input type="checkbox"/></li> <li>- Importing of CITES-listed species: <input type="checkbox"/></li> <li>- Other (specify): <input type="checkbox"/></li> </ul>	Tick if applicable
10	If Yes, please provide the amounts of such fees. 1. Issuance of CITES import or export permits or re-export certificate for native species for Bulgaria - 30 BG leva (Appr. 15 Euro) for each species. 2. Issuance of CITES import permits for non native species for Bulgaria - 45 BG leva (Appr. 23 Euro) for each species. 3. Issuance of CITES import or export permits or re-export certificate the zoos, botanical gardens, circuses, delphinariums, expositions of plants and animals and for specimens museums and scientific institutions - 25 BG leva (Appr. 12,80 Euro) for the permit. 4. Registration of breeding operation for Appendix – I species and caviar processing and exporting plants – 300 BG leva (App. 154 Euro).	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? <ul style="list-style-type: none"> <li>- Entirely: <input type="checkbox"/></li> <li>- Partly: <input checked="" type="checkbox"/></li> <li>- Not at all: <input type="checkbox"/></li> <li>- Not relevant: <input type="checkbox"/></li> </ul> Comments: The revenue comes into the Enterprise for Management of Environmental Protection Activities (EMEPA) in the Ministry of Environment and Water. EMEPA finances the running cost of the designated CITES Rescue Centers.	Tick if applicable
12	Please provide details of any additional measures taken:	

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable																
	<table border="0" style="width: 100%;"> <tr> <td style="width: 45%;">Increased budget for activities</td> <td style="width: 5%; text-align: center;"><input type="checkbox"/></td> <td style="width: 45%;">Improvement of national networks</td> <td style="width: 5%; text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Hiring of more staff</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Purchase of technical equipment for monitoring/enforcement</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Development of implementation tools</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Computerisation</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td colspan="2">- Other (specify):</td> <td></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </table>	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input checked="" type="checkbox"/>	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>	Development of implementation tools	<input type="checkbox"/>	Computerisation	<input type="checkbox"/>	- Other (specify):			<input type="checkbox"/>	
Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input checked="" type="checkbox"/>															
Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>															
Development of implementation tools	<input type="checkbox"/>	Computerisation	<input type="checkbox"/>															
- Other (specify):			<input type="checkbox"/>															

2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?																																									
Please tick boxes to indicate which target group and which activity.	<table border="1"> <thead> <tr> <th data-bbox="740 215 815 472">Oral or written advice/guidance</th> <th data-bbox="815 215 906 472">Technical assistance</th> <th data-bbox="906 215 997 472">Financial assistance</th> <th data-bbox="997 215 1072 472">Training</th> <th data-bbox="1072 215 1123 472">Other (specify)</th> </tr> </thead> </table>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?																																			
Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)																																						
Target group	<table border="1"> <tbody> <tr> <td data-bbox="740 472 815 521">Staff of Management Authority</td> <td data-bbox="815 472 906 521"><input type="checkbox"/></td> <td data-bbox="906 472 997 521"><input type="checkbox"/></td> <td data-bbox="997 472 1072 521"><input type="checkbox"/></td> <td data-bbox="1072 472 1123 521"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="740 521 815 571">Staff of Scientific Authority</td> <td data-bbox="815 521 906 571"><input checked="" type="checkbox"/></td> <td data-bbox="906 521 997 571"><input type="checkbox"/></td> <td data-bbox="997 521 1072 571"><input type="checkbox"/></td> <td data-bbox="1072 521 1123 571"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="740 734 815 784">Staff of enforcement authorities</td> <td data-bbox="815 734 906 784"><input checked="" type="checkbox"/></td> <td data-bbox="906 734 997 784"><input type="checkbox"/></td> <td data-bbox="997 734 1072 784"><input type="checkbox"/></td> <td data-bbox="1072 734 1123 784"><input checked="" type="checkbox"/></td> </tr> <tr> <td data-bbox="740 784 815 833">Traders</td> <td data-bbox="815 784 906 833"><input checked="" type="checkbox"/></td> <td data-bbox="906 784 997 833"><input type="checkbox"/></td> <td data-bbox="997 784 1072 833"><input type="checkbox"/></td> <td data-bbox="1072 784 1123 833"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="740 833 815 882">NGOs</td> <td data-bbox="815 833 906 882"><input checked="" type="checkbox"/></td> <td data-bbox="906 833 997 882"><input type="checkbox"/></td> <td data-bbox="997 833 1072 882"><input type="checkbox"/></td> <td data-bbox="1072 833 1123 882"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="740 882 815 931">Public</td> <td data-bbox="815 882 906 931"><input type="checkbox"/></td> <td data-bbox="906 882 997 931"><input type="checkbox"/></td> <td data-bbox="997 882 1072 931"><input type="checkbox"/></td> <td data-bbox="1072 882 1123 931"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="740 931 815 958">Other (specify)</td> <td data-bbox="815 931 906 958"><input type="checkbox"/></td> <td data-bbox="906 931 997 958"><input type="checkbox"/></td> <td data-bbox="997 931 1072 958"><input type="checkbox"/></td> <td data-bbox="1072 931 1123 958"><input type="checkbox"/></td> </tr> </tbody> </table>	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	The CITES MA provided regular training at least ones per year for officers from the National Custom Agency.					
Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																						
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Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																						
3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?																																									
Please tick boxes to indicate which target group and which activity.	<table border="1"> <thead> <tr> <th data-bbox="740 1043 815 1301">Oral or written advice/guidance</th> <th data-bbox="815 1043 906 1301">Technical assistance</th> <th data-bbox="906 1043 997 1301">Financial assistance</th> <th data-bbox="997 1043 1072 1301">Training</th> <th data-bbox="1072 1043 1123 1301">Other (specify)</th> </tr> </thead> </table>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details																																			
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Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																						
4	<p>Please provide details of any additional measures taken:</p> <p><b>Each year the CITES MA provides training to the staff of the Regional Environment Inspectorates (REW) about the implementation of the Convention. REW are responsible for regulation of the domestic trade with CITES listed species.</b></p>																																									

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>				
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultations used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Consultations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>The frequency of the consultations is very much depended on the subjects and cases arised</b>
4	At the national level have there been any efforts to collaborate with:	Tick if applicable		Details if available				
	Agencies for development and trade	<input type="checkbox"/>						
	Provincial, state or territorial authorities	<input type="checkbox"/>						
	Local authorities or communities	<input type="checkbox"/>						
	Indigenous peoples	<input type="checkbox"/>						
	Trade or other private sector associations	<input checked="" type="checkbox"/>						
	NGOs	<input checked="" type="checkbox"/>						
	Other (specify)	<input checked="" type="checkbox"/>		<b>Exsecutive Agency of Fishery and Aquaculture</b>				
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable						
	SA	<input type="checkbox"/>						
	Customs	<input type="checkbox"/>						
	Police	<input type="checkbox"/>						
	Other border authorities (specify): <b>Veterinary and Phytosanitary Border Control</b>	<input checked="" type="checkbox"/>						
	Other government agencies:	<input type="checkbox"/>						
	Private sector bodies	<input type="checkbox"/>						
	NGOs	<input type="checkbox"/>						
	Other (specify): <b>The relations between the CITES Implementation bodies are clearly determined by the Biological Diversity Act (2002, ammended).</b>	<input checked="" type="checkbox"/>						
6	Has Government staff participated in any regional activities related to CITES?	Tick if applicable						
	Workshops	<input checked="" type="checkbox"/>						
	Meetings	<input checked="" type="checkbox"/>						
	Other (specify)	<input type="checkbox"/>						
7	Has there been any effort to encourage any non-	Yes	<input type="checkbox"/>					

	Party to accede to the Convention?	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
14	If Yes, please give a brief description. <b>'National Nature Protection Service' Directorates in the Ministry of Environment and Water is only the body authorised to administrate the implementation of the biodiversity -related Conventions.</b>		
15	Please provide details of any additional measures taken:		

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Were any difficulties encountered in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Have any measures, procedures or mechanisms been identified within the Convention that would benefit from review and/or simplification?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## ANNEX - PROPOSED BIENNIAL REPORT FORMAT

### PART 2 - SUPPLEMENTARY QUESTIONS<sup>1</sup>

Note: Questions in Part 2 are additional to those in Part 1, and relate to information on the provisions of the EC Regulations (Regulation (EC) No. 338/97 and Regulation (EC) No. 865/2006) that fall outside the scope of CITES.

Please be aware that questions in Part 2 have been updated since the last reporting period, and this new version should be used when submitting biennial reports.

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<sup>1</sup> Part 2 agreed at COM 45

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Additional comments	<b>The Animal Protection Act (SG No. 13/2008) prohibits import, acquisition and keeping of Primates and Wild Cats except for the zoos and Rescue Centers.</b>						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
<b>Please provide details if available: In 2008 a Regulation No 3 on marking and labelling of specimens listed in the Appendices of the Council Regulation (EC) No. 338/97 was prepared and enacted in SG 97/2008 .</b>							
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed; <b>The maximum penalties for the natural persons is between 500 to 10000 BGN ( 255.64 to 5112.91 EURO), and the legal persons and the sole entrepreneurs by a property sanction of 1000 to 20 000 BGN (511.29 to 10225.82 EURO).</b>						
ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).							

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions;			
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: <b>Only for the zoos.</b>			
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.) If yes, please provide details.	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	<p>Comments: <b>In March 2007 and 2008, the National Customs Agency has organized 2-days training seminars on CITES implementation. The each seminar was attended by 25 customs officers from different customs borders point and was held in the Customs Headquarter in Sofia. An expert from the CITES MA was invited as a lecturer.</b></p> <p><b>Three days training seminar on the control on domestic trade with CITES-listed species for biodiversity inspectors from the Regional Inspectorates of Environment and Water was organised By the Bulgarian Management Authority in November 2008.</b></p>			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: <b>5 rescue centers - 3 for animals and 2 for plants operate since 2003.</b>			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: <b>Whit the World Ciustom Organisation</b>			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIII.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
		11 new Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?		
		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>		
	9b		If Yes, please give the species name and provide details of the kind of research involved.				
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	2
	Indicate any difficulties that rendered attendance to the SRG difficult: <b>Scientific Authority doesn't have difficulty to ensure funding for participation to the SRG.</b>		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	- Annex D listed species		<input type="checkbox"/>
	- Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : <b>average 97 %</b>	
		No information	<input type="checkbox"/>
			<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. <b>See Annex 3 below.</b>	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
16 new	Have scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	<p><b>Three caviar processing plants are currently registered as caviar exporters, they are:</b></p> <p><b>a) Beluga Inc. Vidin</b> South Industrial Zone, 3700 Vidin registration code: BG 0513002</p> <p><b>b) Oscietre Commerce Ltd.</b> 4114 Boliartzi, Reg. Plovdiv registration code: BG 1613002</p> <p><b>c) Parpen Tchobanov Ltd.</b> 4114 Boliartzi, Reg. Plovdiv registration code: BG 1613002</p> <p><b>The caviar processing plant is the same for Parpen Tchobanov Ltd. and Oscietre Commerce Ltd. and they have using the same kind of labels and tins and bearing the same registration code.</b></p>	
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>

# CYPRUS

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 34 held on 14 June 2005.

### A. General information

Party	CYPRUS
Period covered in this report: 1 January 2007 to 31 December 2008	√
Details of agency preparing this report	Environment Service, Ministry of Agriculture, Natural Resources and Environment
Contributing agencies, organizations or individuals	Environment Service

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4. <a href="#">See Annex I</a>	Yes (fully) Yes (partly) No No information/unknown	√ <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____		
3	Is enacted legislation available in one of the working languages of the Convention?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached provided previously not available, will send later	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable	
	Issue	The conditions for: Yes      No      No information	The complete prohibition of: Yes      No      No information
	Trade	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Taking	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Possession	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Transport	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Other (specify)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Additional comments		

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable	
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Clarity of legal obligations	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Control over CITES trade	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consistency with existing policy on wildlife management and use	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of offences	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of penalties	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	
	Implementing regulations	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coherence within legislation	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:						
7	If no review or assessment has taken place, is one planned for the next reporting period?				Yes	<input type="checkbox"/>
					No	<input type="checkbox"/>
				No information	<input type="checkbox"/>	
Please provide details if available:						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable	
	Subject	Yes	No	No information		
	Access to or ownership of natural resources	√	<input type="checkbox"/>	<input type="checkbox"/>		
	Harvesting	<input type="checkbox"/>	√	<input type="checkbox"/>		
	Transporting of live specimens	√	<input type="checkbox"/>	<input type="checkbox"/>		
	Handling and housing of live specimens	√	<input type="checkbox"/>	<input type="checkbox"/>		
Please provide details if available:						
9	Please provide details of any additional measures taken:					

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	√	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	√	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	√	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input type="checkbox"/>	√	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. <i>None for 2007-2008.</i>			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	√	<input type="checkbox"/>	<input type="checkbox"/>

5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex. <a href="#">Carved ivory specimen (Loxodonta africanus) – confiscated October 2007.</a>	Number		
		1	1	
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export		<input type="checkbox"/>	
	– Public zoos or botanical gardens		<input type="checkbox"/>	
	– Designated rescue centres		<input type="checkbox"/>	
	– Approved, private facilities		<input type="checkbox"/>	
	– Euthanasia		<input type="checkbox"/>	
	– Other (specify)		<input checked="" type="checkbox"/>	
	Comments: <a href="#">Confiscated parts and derivatives are kept in the premises on the Environment Service.</a>			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
13	If Yes, please give a brief description:			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments:			
17	Please provide details of any additional measures taken:			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated? <b>There is only one MA.</b>	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.	
5	How many staff work in each MA? <b>2 persons</b>	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation <b>10 hours per week.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input checked="" type="checkbox"/>
	– Biology	<input checked="" type="checkbox"/>
	– Economics/trade	<input checked="" type="checkbox"/>
	– Law/policy	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
10	Please provide details of any additional measures taken	

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority? <a href="#">Yes, there are 4 Scientific Authorities. Please see Annex II.</a>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable	
	– Government institution		<input type="checkbox"/>
	– Academic or research institution		<input type="checkbox"/>
	– Permanent committee		<input checked="" type="checkbox"/>
	– Pool of individuals with certain expertise		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? <a href="#">Most members of the scientific authorities work in more than one SA. Please see Annex II.</a>		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable	
	– Botany		<input checked="" type="checkbox"/>
	– Ecology		<input checked="" type="checkbox"/>
	– Fisheries		<input checked="" type="checkbox"/>
	– Forestry		<input checked="" type="checkbox"/>
	– Welfare		<input checked="" type="checkbox"/>
	– Zoology		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species? <a href="#">Basic research from web Resources, for information purposes.</a>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
						No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes	<input type="checkbox"/>
						No	<input checked="" type="checkbox"/>
						No information	<input type="checkbox"/>
11	Please provide details of any additional measures taken:						

### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).		
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: <a href="#">The Customs and Excise Department</a> .		
5	Please provide details of any additional measures taken:		

### D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?	Tick if applicable
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	– Permit issuance	<input checked="" type="checkbox"/>
	– Not at all	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>

2	Do the following authorities have access to the Internet?						Tick if applicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species?						Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, does it provide information on:						Tick if applicable
	– Legislation (national, regional or international)?						<input checked="" type="checkbox"/>
	– Conservation status (national, regional, international)?						<input type="checkbox"/>
	– Other (please specify)?						<input type="checkbox"/>
5	Is it available through the Internet:						Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
	Please provide URL: <a href="http://www.moa.gov.cy">www.moa.gov.cy</a> (Environment Service link)						
6	Do the following authorities have access to the following publications?						Tick if applicable
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	<i>Checklist of CITES Species</i> (book)	√	√	√			
	<i>Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	√	√	√			
	<i>Identification Manual</i>	√	√	√			
	<i>CITES Handbook</i>	√	√	√			

7	If not, what problems have been encountered to access to the mentioned information?		
8	Have enforcement authorities reported to the Management Authority on:		Tick if applicable
	– Mortality in transport?		<input type="checkbox"/>
	– Seizures and confiscations?		<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?		<input type="checkbox"/>
	Comments:		
9	Is there a government website with information on CITES and its requirements?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.moa.gov.cy">www.moa.gov.cy</a>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?		Tick if applicable
	– Press releases/conferences		<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances		<input type="checkbox"/>
	– Brochures, leaflets		<input checked="" type="checkbox"/>
	– Presentations		<input checked="" type="checkbox"/>
	– Displays		<input type="checkbox"/>
	– Information at border crossing points (N/A)		<input type="checkbox"/>
	– Telephone hotline		<input type="checkbox"/>
	– Other (specify) <a href="#">Articles in the web site.</a>		<input checked="" type="checkbox"/>
	Please attach copies of any items as Annex.		
11	Please provide details of any additional measures taken:		

#### D5 *Permitting and registration procedures*

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?		Yes	<input type="checkbox"/>	
			No	<input checked="" type="checkbox"/>	
			Not applicable	<input type="checkbox"/>	
			No information	<input type="checkbox"/>	
	If no, please provide details of any:				
	Changes in permit format:				
	Changes in designation or signatures of relevant officials:				
2	To date, has your country developed written permit procedures for any of the following?		Tick if applicable		
			Yes	No	No information
	Permit issuance/acceptance		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Registration of traders		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of producers		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).						
	Year 1 2007	Import or introduction from the sea	Export	Re-export	Other	Comments	
	How many documents were issued?	3	26	-	-	-	
	How many applications were denied because of severe omissions or mis-information?	-	-	-	-	-	
	Year 2 2008	1	18	-	-	-	
	How many applications were denied because of severe omissions or mis-information?	-	-	-	-	-	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>	No information	<input type="checkbox"/>
5	If Yes, please give the reasons for this.						
6	Please give the reasons for rejection of CITES documents from other countries.					Tick if applicable	
	Reason			Yes	No	No information	
	Technical violations			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Suspected fraud			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of non-detriment			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of legal acquisition			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>	No information	<input type="checkbox"/>
	Comments						
8	How many times has the Scientific Authority been requested to provide opinions? <b>Two to three times monthly, depending on the various species for import or export.</b>						
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities? <b>No fees charged.</b>					Tick if applicable	
	– Issuance of CITES documents:					<input type="checkbox"/>	
	– Licensing or registration of operations that produce CITES species:					<input type="checkbox"/>	
	– Harvesting of CITES-listed species :					<input type="checkbox"/>	
	– Use of CITES-listed species:					<input type="checkbox"/>	
	– Assignment of quotas for CITES-listed species:					<input type="checkbox"/>	
	– Importing of CITES-listed species:					<input type="checkbox"/>	
– Other (specify):					<input type="checkbox"/>		
10	If Yes, please provide the amounts of such fees.						
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?					Tick if applicable	
	– Entirely:					<input type="checkbox"/>	
	– Partly:					<input type="checkbox"/>	
	– Not at all:					<input type="checkbox"/>	
– Not relevant:					<input type="checkbox"/>		

	Comments: <a href="#">No fees charged</a>
12	Please provide details of any additional measures taken:

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?		Tick if applicable				
	Increased budget for activities	<input checked="" type="checkbox"/>	Improvement of national networks	<input type="checkbox"/>			
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>			
	Development of implementation tools	<input type="checkbox"/>	Computerisation	<input checked="" type="checkbox"/>			
	– Other (specify)			<input type="checkbox"/>			
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
		Target group					
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						Details
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?						Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?								
3	If No, please indicate the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):								
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)	
	Meetings	<input type="checkbox"/>	Case by case, when a number of cases has to be considered. (looking at no more than 4 cases at each meeting)						
	Consultations	<input type="checkbox"/>	Case by case						
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available	
	Agencies for development and trade					<input type="checkbox"/>			
	Provincial, state or territorial authorities					<input type="checkbox"/>			
	Local authorities or communities					<input type="checkbox"/>			
	Indigenous peoples					<input type="checkbox"/>			

	Trade or other private sector associations		√	
	NGOs		√	
	Other (specify)		<input type="checkbox"/>	
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?			Tick if applicable
	SA			√
	Customs			√
	Police			<input type="checkbox"/>
	Other border authorities (specify)			<input type="checkbox"/>
	Other government agencies. <a href="#">The Veterinary Department</a>			√
	Private sector bodies			<input type="checkbox"/>
	NGOs			<input type="checkbox"/>
	Other (specify)			<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?			Tick if applicable
	Workshops			√
	Meetings			√
	Other (specify) <a href="#">Seminars</a>			√
7	Has your country encouraged any non-Party to accede to the Convention?	Yes	<input type="checkbox"/>	
		No	√	
		No information	<input type="checkbox"/>	
8	If Yes, which one(s) and in what way?			
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes	<input type="checkbox"/>	
		No	√	
		No information	<input type="checkbox"/>	
10	If Yes, which country(ies) and what kind of assistance was provided?			
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>	
		No	√	
		No information	<input type="checkbox"/>	
12	If Yes, please give a brief description.			
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input type="checkbox"/>	
		No	√	
		No information	<input type="checkbox"/>	

14	If Yes, please give a brief description.
15	Please provide details of any additional measures taken:

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	√	<input type="checkbox"/>
	Hiring of more staff	√	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	√	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	√	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	√	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	√
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input type="checkbox"/>
		No		√
		No information		<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		√
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required. <a href="#">The identification of certain species.</a>			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		<input type="checkbox"/>
		No		√
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation <a href="#">In Greek.</a> <a href="#">Annex I</a>	Enclosed	√
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes, Comments	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

**ANNEX - REGULATION BIENNIAL REPORT FORMAT**  
**PART 2 SUPPLEMENTARY QUESTIONS**

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

**B. Legislative and regulatory measures**

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	
Additional comments							
8b	Has there been any review of legislation on the following subjects in relation to implementation of the Regulation?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	√	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	√	<input type="checkbox"/>			
Please provide details if available,							
9b	Please provide details of maximum penalties that may be imposed for Regulation-related violations, or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9). <b>Maximum penalty CY1.000 or/and 3 years of imprisonment.</b>						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
17 new	Have specimens been marked to establish whether they were born and bred in captivity or artificially propagated? (In accordance with Commission Regulation (EC) No 1808/2001 Article 36),	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
18 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c)).	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

### D. Administrative measures

#### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
10 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2),	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

#### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
						No information	<input type="checkbox"/>
11 new	How many Scientific Review Group (SRG) meetings have the SA attended? <b>None</b>	Number	<input type="checkbox"/>				
	Indicate any difficulties that rendered attendance to the SRG difficult: <b>Additional staff needed.</b>						

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**D3 Enforcement Authorities**

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	√
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

**D4 Communication, information management and exchange**

1b	Is Regulation-related information in your country computerized on?		Tick if applicable
	– Annex D listed species		<input type="checkbox"/>
	– Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species? <a href="#">We use the UNEP database</a>	Yes	√
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

**D5 Permitting and registration procedures**

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes	<input type="checkbox"/>
		No	√
		No information	<input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes	<input type="checkbox"/>
		No	√
		No information	<input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8(4) , arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
		No	√
		No information	<input type="checkbox"/>
7 bis new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs? <a href="#">All permits are returned by customs</a>		

# **THE CZECH REPUBLIC**

## 4. DOTAZNIK CITES (POUZE V ANGLICTINE) / CITES QUESTIONNAIRE

### PART 1 - CITES QUESTIONS

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

#### A. General information

Party	Czech Republic
Period covered in this report:	1 January 2007 to 31 December 2008
Details of agency preparing this report	Ministry of the Environment of the Czech Republic – CITES Management Authority
Contributing agencies, organizations or individuals	<ul style="list-style-type: none"> <li>- Agency for Nature Conservation and Landscape Protection of the Czech Republic – CITES Scientific Authority</li> <li>- Czech Environmental Inspectorate (CEI)</li> <li>- General Directorate of Customs</li> <li>- Police of the Czech Republic</li> </ul>

#### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <b>Y</b> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:  Title and date: Draft Act amending the Act. No. 100/2004 Coll. on the Protection of Species of Wild Fauna and Flora by regulating trade therein and on further measures for protection of these species and on amendment of several acts (Act on Trade in Endangered Species) <span style="float: right;">Status: Parliament reading</span>  Brief description of contents: Governmental proposal. Adjustment of the new EC legislation [Regulation (EC) No. 865/2006].					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <b>Y</b> No <input type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <b>Y</b> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable				
	The conditions for:	The complete prohibition of:				
Issue	Yes	No	No information	Yes	No	No information
Trade	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional comments	<p>Most of the stricter measures resulted in the implementation of the Council Regulation (EC) No 338/97 (CITES) and other relevant EC legislation.</p> <p>The additional stricter measures implemented by the Czech Republic:</p> <p>(1) Most of species listed by the CITES which are indigenous for the Czech Republic are strictly protected by the Act No. 114/1992 Coll., on Protection of Nature and the Landscape. Taking from the wild, trade and possession are prohibited. Exceptions only under strict conditions, e.g. for bred-in-captivity animals or artificially propagated plants.</p> <p>(2) Live exotic specimens of selected species of mammals, birds and reptiles from Appendix I and II must be registered by the state authorities in accordance with the Section 23 of the Act No. 100/2004 Coll. (Act on Trade in Endangered Species).</p>			
6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?			Tick all applicable
Item	Adequate	Partially Inadequate	Inadequate	No information
Powers of CITES authorities	Y	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Clarity of legal obligations	Y	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Control over CITES trade	Y	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Consistency with existing policy on wildlife management and use	Y	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Coverage of law for all types of offences	Y	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Coverage of law for all types of penalties	Y	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Implementing regulations	Y	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Coherence within legislation	Y	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>Please provide details if available:</p> <p>The former legislation (Act No. 16/1997 Coll.) which was valid between 1997 – 2004 was assessed by the CITES Secretariat as Category 1 during the National Legislation Project. Since May 1, 2004, the Czech R. acceded to the EU and the “new” CITES legislation included the Council Regulation (EC) No 338/97 and the national Act No. 100/2004 Coll. (Act on Trade in Endangered Species).</p>				
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
Please provide details if available:				
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?			Tick all applicable
Subject	Yes	No	No information	
Access to or ownership of natural resources	<input type="checkbox"/>	N	<input type="checkbox"/>	
Harvesting	<input type="checkbox"/>	N	<input type="checkbox"/>	
Transporting of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	N	
Handling and housing of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	N	
Please provide details if available:				
9	<p>Please provide details of any additional measures taken:</p> <p>Maximum penalties that may be imposed for CITES-related violations: a fine for a private person up to CZK 200 000 (EUR 7 150); a fine for a business up to CZK 1 500 000 (EUR 53 500); imprisonment up to 8 years.</p> <p>Transporting of live specimens and handling/housing of live specimens are subject of the veterinary legislation which meets basic requirements by the Convention on animal welfare.</p>			

### C. Compliance and enforcement measures

		Yes	No	No information																																		
1	Have any of the following compliance monitoring operations been undertaken?																																					
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<b>N</b>	<input type="checkbox"/>																																		
	Inspections of traders, producers, markets	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>																																		
	Border controls	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>																																		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																		
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>																																		
3	<p>If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.</p> <p>The main types of fraud were smuggling and not proving the legal origin of CITES specimen in trade.</p> <p>Summary of the report by the Czech Environmental Inspectorate:</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th rowspan="2"></th> <th colspan="2">Penalties</th> <th>Confiscations</th> </tr> <tr> <th>No</th> <th>CZK value</th> <th>Cases</th> </tr> </thead> <tbody> <tr> <td>2007</td> <td style="text-align: center;">142</td> <td style="text-align: center;">901 350</td> <td style="text-align: center;">59</td> </tr> <tr> <td>2008</td> <td style="text-align: center;">123</td> <td style="text-align: center;">1 328 800</td> <td style="text-align: center;">49</td> </tr> </tbody> </table>					Penalties		Confiscations	No	CZK value	Cases	2007	142	901 350	59	2008	123	1 328 800	49																			
	Penalties		Confiscations																																			
	No	CZK value	Cases																																			
2007	142	901 350	59																																			
2008	123	1 328 800	49																																			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>																																		
5	<p>If information available:</p> <p style="padding-left: 20px;"><b>Y</b> Significant seizures/confiscations</p> <p style="padding-left: 20px;"><b>Y</b> Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex. No. of confiscated CITES specimens:</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th></th> <th style="text-align: center;">2007</th> <th style="text-align: center;">2008</th> </tr> </thead> <tbody> <tr> <td>Mammals - (live)</td> <td style="text-align: center;">2</td> <td style="text-align: center;">0</td> </tr> <tr> <td>- (dead)</td> <td style="text-align: center;">171</td> <td style="text-align: center;">10</td> </tr> <tr> <td>Birds - (live)</td> <td style="text-align: center;">77</td> <td style="text-align: center;">12</td> </tr> <tr> <td>- (dead)</td> <td style="text-align: center;">12</td> <td style="text-align: center;">1</td> </tr> <tr> <td>Reptiles - (live)</td> <td style="text-align: center;">65</td> <td style="text-align: center;">1044</td> </tr> <tr> <td>- (dead)</td> <td style="text-align: center;">41</td> <td style="text-align: center;">4</td> </tr> <tr> <td>Fish</td> <td style="text-align: center;">28</td> <td style="text-align: center;">24</td> </tr> <tr> <td>Invertebrates – (dead)</td> <td style="text-align: center;">294</td> <td style="text-align: center;">393</td> </tr> <tr> <td>Plants - (live)</td> <td style="text-align: center;">7</td> <td style="text-align: center;">100</td> </tr> <tr> <td>- (dead)</td> <td style="text-align: center;">360 (boxes of TCM)</td> <td style="text-align: center;">28 kg TCM</td> </tr> </tbody> </table>			2007	2008	Mammals - (live)	2	0	- (dead)	171	10	Birds - (live)	77	12	- (dead)	12	1	Reptiles - (live)	65	1044	- (dead)	41	4	Fish	28	24	Invertebrates – (dead)	294	393	Plants - (live)	7	100	- (dead)	360 (boxes of TCM)	28 kg TCM	<p>Number</p> <p>Exact number are not available. The database by the CEI does not distinguish between significant and non-significant seizures.</p>		
	2007	2008																																				
Mammals - (live)	2	0																																				
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6	Have there been any criminal prosecutions of significant CITES-related violations?	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>																																		
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.																																					
8	Have there been any other court actions of CITES-related violations?	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>																																		

9	<p>If Yes, what were the violations involved and what were the results? Please attach details as Annex.</p> <p>In 2007 there were 6 persons prosecuted and accused of the crime of unauthorized management of protected wild fauna and flora*. Four of them were sentenced for the crime of unauthorized management of protected wild fauna and flora, in two cases the court suspended the trial conditionally.</p> <p>In 2008 there were 6 persons prosecuted and accused of the crime of unauthorized management of protected wild fauna and flora*. For two persons the trial was suspended conditionally, for other four persons the court trials still run.</p> <p>*The crime of unauthorized management of protected wild fauna and flora concerns both nationally protected non-CITES fauna and flora and the CITES-listed species. Unfortunately, we have no statistics on crime concerning the CITES-listed species only.</p>		
10	<p>How were the confiscated specimens generally disposed of? <span style="float: right;">Tick if applicable</span></p> <p>– Return to country of export <span style="float: right;"><input type="checkbox"/></span></p> <p>– Public zoos or botanical gardens <span style="float: right;"><b>Y</b></span></p> <p>– Designated rescue centres <span style="float: right;"><b>Y</b></span></p> <p>– Approved, private facilities <span style="float: right;"><input type="checkbox"/></span></p> <p>– Euthanasia <span style="float: right;"><input type="checkbox"/></span></p> <p>– Other (specify) <span style="float: right;"><b>Y</b></span></p> <p>Comments: Live specimens are most often placed in rescue centers, zoos and botanical gardens. Nonliving specimens are offered by the state to museums and other scientific collections. The Czech Republic does not sell confiscated specimens, but only donates them for non-commercial purposes.</p>		
11	<p>Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?</p> <p>Yes <span style="float: right;"><b>Y</b></span></p> <p>No <span style="float: right;"><input type="checkbox"/></span></p> <p>Not applicable <span style="float: right;"><input type="checkbox"/></span></p> <p>No information <span style="float: right;"><input type="checkbox"/></span></p> <p>Comments: The information provided to the Interpol and Secretariat by the Ecomessages and to the EU by the EU-TWIX database.</p>		
12	<p>Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?</p> <p>Yes <span style="float: right;"><b>Y</b></span></p> <p>No <span style="float: right;"><input type="checkbox"/></span></p> <p>No information <span style="float: right;"><input type="checkbox"/></span></p>		
13	<p>If Yes, please give a brief description: Participation in the EU Enforcement Group on CITES. The Czech Republic is active in the Interpol Wildlife Working Group and the EU-TWIX Advisory Group. The Czech Environmental Inspectorate has close co-operation with enforcement authorities in e.g. the Netherlands, Brazil, New Zealand, USA, Germany, Austria, United Kingdom, Slovakia etc.</p>		
14	<p>Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?</p> <p>Yes <span style="float: right;"><input type="checkbox"/></span></p> <p>No <span style="float: right;"><b>N</b></span></p> <p>No information <span style="float: right;"><input type="checkbox"/></span></p>		
15	<p>If Yes, please describe:</p>		
16	<p>Has there been any review or assessment of CITES-related enforcement?</p> <p>Yes <span style="float: right;"><b>Y</b></span></p> <p>No <span style="float: right;"><input type="checkbox"/></span></p> <p>Not applicable <span style="float: right;"><input type="checkbox"/></span></p> <p>No information <span style="float: right;"><input type="checkbox"/></span></p> <p>Comments:</p>		
17	<p>Please provide details of any additional measures taken:</p>		

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. Ministry of the Environment (No. 1°MA in the CITES Directory).	
5	How many staff work in each MA? Ministry of the Environment - Office of the CITES Management Authority: 6. Other MA (see Chapter 1 on administrative structure of this Report): There is at least one officer responsible for the CITES at each office, but mostly as a part-time job because those officers have other responsibilities as well.	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation Ministry of the Environment - Office of the CITES Management Authority: 4 officers 100%, 1 officer 30%, 1 officer 70%. See also 5	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	- Administration	<input checked="" type="checkbox"/>
	- Biology	<input checked="" type="checkbox"/>
	- Economics/trade	<input type="checkbox"/>
	- Law/policy	<input checked="" type="checkbox"/>
	- Other (specify) – Environment protection	<input checked="" type="checkbox"/>
	- No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
10	Please provide details of any additional measures taken	

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>				
2	If Yes, please use the opportunity to provide those changes here.						
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>				
4	What is the structure of the SA(s) in your country?	Tick if applicable					
	– Government institution		<b>Y</b>				
	– Academic or research institution		<input type="checkbox"/>				
	– Permanent committee		<input type="checkbox"/>				
	– Pool of individuals with certain expertise		<input type="checkbox"/>				
	– Other (specify)		<input type="checkbox"/>				
5	How many staff work in each SA on CITES issues? The Agency for Nature Conservation and Landscape Protection of the Czech Republic: 5 “CITES officers”+ case by case other staff of the Agency and independent experts.						
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>				
	If yes, please give estimation: 5 officers 100%, the others only partially.						
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable					
	– Botany		<b>Y</b>				
	– Ecology		<b>Y</b>				
	– Fisheries		<input type="checkbox"/>				
	– Forestry		<input type="checkbox"/>				
	– Welfare		<input type="checkbox"/>				
	– Zoology		<b>Y</b>				
	– Other (specify) - nature conservation, conservation biology		<b>Y</b>				
	– No information		<input type="checkbox"/>				
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>				
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>				
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).		
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes No Under consideration No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement:		
5	Please provide details of any additional measures taken:		

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>						
	– Monitoring and reporting of data on legal trade					<b>Y</b>	
	– Monitoring and reporting of data on illegal trade					<b>Y</b>	
	– Permit issuance					<b>Y</b>	
	– Not at all					<input type="checkbox"/>	
	– Other (specify)					<input type="checkbox"/>	
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species?						
	Yes					<b>Y</b>	
	No					<input type="checkbox"/>	
	No information					<input type="checkbox"/>	

4	If Yes, does it provide information on:	Tick if applicable	
	– Legislation (national, regional or international)?		<b>Y</b>
	– Conservation status (national, regional, international)?		<b>Y</b>
	– Other (please specify)?		<input type="checkbox"/>
5	Is it available through the Internet:	Yes	<b>Y</b>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Please provide URL: <a href="http://www.mzp.cz/cites">http://www.mzp.cz/cites</a> , <a href="http://www.cizp.cz">www.cizp.cz</a> , <a href="http://www.nature.cz">www.nature.cz</a>		
6	Do the following authorities have access to the following publications?	Tick if applicable	
	Publication	Management Authority	Scientific Authority
	<i>2003 Checklist of CITES Species</i> (book)	<b>Y</b>	<b>Y</b>
	<i>2003 + 2008 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<b>Y</b>	<b>Y</b>
	<i>Identification Manual</i>	<b>Y</b>	<b>Y</b>
	<i>CITES Handbook</i>	<b>Y</b>	<b>Y</b>
7	If not, what problems have been encountered to access to the mentioned information?		
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable	
	– Mortality in transport?		<b>N</b>
	– Seizures and confiscations?		<b>Y</b>
	– Discrepancy in number of items in permit and number of items actually traded?		<b>Y</b>
	Comments: Mortality in transport is competence of the state veterinary authorities. The mortality is reported only occasionally to the MA.		
9	Is there a government website with information on CITES and its requirements?	Yes	<b>Y</b>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If Yes, please give the URL: MA: <a href="http://www.mzp.cz/cites">www.mzp.cz/cites</a> SA: <a href="http://www.nature.cz">www.nature.cz</a> Czech Environmental Inspectorate: <a href="http://www.cizp.cz">www.cizp.cz</a> Customs Administration: <a href="http://www.cs.mfcr.cz">www.cs.mfcr.cz</a>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	– Press releases/conferences		<b>Y</b>
	– Newspaper articles, radio/television appearances		<b>Y</b>
	– Brochures, leaflets		<b>Y</b>
	– Presentations		<b>Y</b>
	– Displays		<b>Y</b>
	– Information at border crossing points		<b>Y</b>
	– Telephone hotline		<b>Y</b>
	– Other (specify)		<input type="checkbox"/>
	Please attach copies of any items as Annex.		
11	Please provide details of any additional measures taken:		

D5 *Permitting and registration procedures*

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?			Yes	<b>Y</b>	
				No	<input type="checkbox"/>	
				Not applicable	<input type="checkbox"/>	
If no, please provide details of any:			No information	<input type="checkbox"/>		
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?			Tick if applicable		
				Yes	No	No information
	Permit issuance/acceptance			<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of traders			<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>
Registration of producers			<input type="checkbox"/>	<b>N</b>	<input type="checkbox"/>	
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1 (2007)	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	463	163	17		
	How many applications were denied because of severe omissions or mis-information?					Total No.: 14 denied applications
	Year 2 (2008)	508	152	7		
	How many applications were denied because of severe omissions or mis-information?					Total No.: 5 denied applications
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?			Yes	<input type="checkbox"/>	
				No	<b>N</b>	
				No information	<input type="checkbox"/>	
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason			Yes	No	No information
	Technical violations			<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?			Yes	<input type="checkbox"/>	
				No	<b>N</b>	
			No information	<input type="checkbox"/>		
Comments: The Czech Republic does not normally export specimens taken from the wild and does not have any export quotas. The export quotas of the third countries are considered when allowing import into the Czech R.						
8	How many times has the Scientific Authority been requested to provide opinions? For every application for a CITES document					

9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities? <ul style="list-style-type: none"> <li>– Issuance of CITES documents: <span style="float: right;">Y</span></li> <li>– Licensing or registration of operations that produce CITES species: <span style="float: right;">N</span></li> <li>– Harvesting of CITES-listed species : <span style="float: right;">N</span></li> <li>– Use of CITES-listed species: <span style="float: right;">N</span></li> <li>– Assignment of quotas for CITES-listed species: <span style="float: right;">N</span></li> <li>– Importing of CITES-listed species: <span style="float: right;">N</span></li> <li>– Other (specify): <span style="float: right;"><input type="checkbox"/></span></li> </ul>	Tick if applicable
10	If Yes, please provide the amounts of such fees. There is a fee of CZK 1 000 (ca EUR 35) per one application for CITES documents.	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? <ul style="list-style-type: none"> <li>– Entirely: <span style="float: right;"><input type="checkbox"/></span></li> <li>– Partly: <span style="float: right;">Y</span></li> <li>– Not at all: <span style="float: right;"><input type="checkbox"/></span></li> <li>– Not relevant: <span style="float: right;"><input type="checkbox"/></span></li> </ul> Comments: The collected fees go to the State Budget, from which the CITES administration is paid. However, the fees do not cover all cost by the Ministry of Environment with the CITES agenda.	Tick if applicable
12	Please provide details of any additional measures taken:	

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable																																																	
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%;">Increased budget for activities</td> <td style="width: 10%; text-align: center;">N</td> <td style="width: 40%;">Improvement of national networks</td> <td style="width: 10%; text-align: center;">Y</td> </tr> <tr> <td>Hiring of more staff</td> <td style="text-align: center;">Y</td> <td>Purchase of technical equipment for monitoring/enforcement</td> <td style="text-align: center;">N</td> </tr> <tr> <td>Development of implementation tools</td> <td style="text-align: center;">Y</td> <td>Computerisation</td> <td style="text-align: center;">Y</td> </tr> <tr> <td colspan="4">– Other (specify) <span style="float: right;"><input type="checkbox"/></span></td> </tr> </table>	Increased budget for activities	N	Improvement of national networks	Y	Hiring of more staff	Y	Purchase of technical equipment for monitoring/enforcement	N	Development of implementation tools	Y	Computerisation	Y	– Other (specify) <span style="float: right;"><input type="checkbox"/></span>																																					
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– Other (specify) <span style="float: right;"><input type="checkbox"/></span>																																																			
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?																																																		
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%;">Please tick boxes to indicate which target group and which activity.</th> <th style="width: 10%; text-align: center;">Oral or written advice/guidance</th> <th style="width: 10%; text-align: center;">Technical assistance</th> <th style="width: 10%; text-align: center;">Financial assistance</th> <th style="width: 10%; text-align: center;">Training</th> <th style="width: 10%; text-align: center;">Other (specify)</th> <th style="width: 10%;">What were the external sources?</th> </tr> </thead> <tbody> <tr> <td>Target group</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Staff of Management Authority</td> <td style="text-align: center;">Y</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;">Y</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Mostly from the EU Commission and Member States and TRAFFIC</td> </tr> <tr> <td>Staff of Scientific Authority</td> <td style="text-align: center;">Y</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;">Y</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>"" + the Netherlands</td> </tr> <tr> <td>Staff of enforcement authorities</td> <td style="text-align: center;">Y</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;">Y</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>TRAFFIC, ZOO, experts, abroad enforcement auth.</td> </tr> <tr> <td>Traders</td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>NGOs</td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?	Target group							Staff of Management Authority	Y	<input type="checkbox"/>	<input type="checkbox"/>	Y	<input type="checkbox"/>	Mostly from the EU Commission and Member States and TRAFFIC	Staff of Scientific Authority	Y	<input type="checkbox"/>	<input type="checkbox"/>	Y	<input type="checkbox"/>	"" + the Netherlands	Staff of enforcement authorities	Y	<input type="checkbox"/>	<input type="checkbox"/>	Y	<input type="checkbox"/>	TRAFFIC, ZOO, experts, abroad enforcement auth.	Traders	<input type="checkbox"/>		NGOs	<input type="checkbox"/>										
Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?																																													
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	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	Y	<input type="checkbox"/>	<input type="checkbox"/>	Y	<input type="checkbox"/>	Regular training for the staff.
	Staff of Scientific Authority	Y	<input type="checkbox"/>	<input type="checkbox"/>	Y	<input type="checkbox"/>	
	Staff of enforcement authorities	Y	<input type="checkbox"/>	<input type="checkbox"/>	Y	<input type="checkbox"/>	
	Traders	Y	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	Y	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	Y	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Please provide details of any additional measures taken						

#### **D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	Y
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	<p>If Yes, which agencies are represented and how often does it meet?</p> <p>CITES committees and working groups by the European Commission.</p> <p>On national level, there is a standing working group on enforcement composed by the Customs and the Czech Environmental Inspectorate.</p>		

3	<p>If No, please indicated the frequency of meetings or consultations used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):</p> <p>(1) Regular weekly consultations of the MA with the SA on new applications for permits.</p> <p>(2) Half-day joint “CITES meetings” of the Ministry of the Environment (MA), the Agency for Nature Conservation and Landscape Protection (SA), the Czech Environmental Inspectorate and the General Directorate of Customs are regularly organized approx. six times a year. Other authorities are invited to those meetings on case-by-case basis.</p> <p>(3) Once a year, there is organized an official meeting on enforcement matters by the Ministry of the Environment, the Agency for Nature Conservation and Landscape Protection, the Czech Environmental Inspectorate and the General Directorate of Customs.</p> <p>(4) Twice a year there are one-day meetings of the Ministry of Environment (principal MA) with other regional MAs.</p> <p>(5) Once or twice a year the Czech Environmental Inspectorate organizes special one-day meetings on CITES enforcement for its wildlife inspectors.</p> <p>(5) Once a year the Czech Environmental Inspectorate organizes a special week-long meeting for its wildlife inspectors, Customs, the Scientific Authority and other experts. The meeting is usually connected with a CITES training.</p>																								
	<table border="1"> <thead> <tr> <th></th> <th>Daily</th> <th>Weekly</th> <th>Monthly</th> <th>Annually</th> <th>None</th> <th>No information</th> <th>Other (specify)</th> </tr> </thead> <tbody> <tr> <td>Meetings</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><b>Y 2)</b></td> <td><b>Y 3) 4) 5) 6)</b></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Consultations</td> <td><input type="checkbox"/></td> <td><b>Y 1)</b></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<b>Y 2)</b>	<b>Y 3) 4) 5) 6)</b>	<input type="checkbox"/>	<input type="checkbox"/>		Consultations	<input type="checkbox"/>	<b>Y 1)</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
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Private sector bodies	<input type="checkbox"/>																								
NGOs	<input type="checkbox"/>																								
Other (specify)	<input type="checkbox"/>																								

6	Has your country participated in any regional activities related to CITES? Workshops Meetings Other (specify)		Tick if applicable <b>Y</b> <b>Y</b> <input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <b>N</b>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description. Biodiversity-related Conventions are administered by the same Department of the Ministry of the Environment.		
15	Please provide details of any additional measures taken:		

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<b>Y</b>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<b>Y</b>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes No No information		<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty? There are problems with official international sharing of information – ex-change of information from investigation is very often blocked by strict or different national rules and laws for protection of personal and sensitive data. Other problem is low priority of CITES and wildlife crime in general. An assistance pointed on increasing of priority of CITES in the Czech Republic (government institutions, politicians...) would be very useful.			

4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.		
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
7	If Yes, please give a brief description.		
8	Please provide details of any additional measures taken:		

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed Not available Not relevant	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed Not available Not relevant	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed Not available Not relevant	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed Not available Not relevant	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed Not available Not relevant	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed Not available Not relevant	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

Note: Questions in Part 2 are additional to those in Part 1, and relate to information on the provisions of the EC Regulations (Regulation (EC) No. 338/97 and Regulation (EC) No. 865/2006) that fall outside the scope of CITES.

Please be aware that questions in Part 2 have been updated since the last reporting period, and this new version should be used when submitting biennial reports.

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	N	<input type="checkbox"/>	<input type="checkbox"/>	N
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	N	<input type="checkbox"/>	<input type="checkbox"/>	N
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	N	<input type="checkbox"/>	<input type="checkbox"/>	N
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	N	<input type="checkbox"/>	<input type="checkbox"/>	N
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Additional comments							
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	N	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	N	<input type="checkbox"/>			
Please provide details if available:							
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed; ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period: 2007 – 165 000 CZK, 2008 – 200 000 CZK ii) The outcomes of any prosecutions;		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
Comments:			
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
Comments:			
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
Comments:			
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
Comments:			
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
Comments:			
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
Comments:			
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
Comments:			

24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes	<b>Y</b>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIh.)	Yes	<b>Y</b>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph Iii.)	Yes	<b>Y</b>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes	<b>Y</b>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes	<input type="checkbox"/>
		No	<b>N</b>
		No information	<input type="checkbox"/>
Comments:			
29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<b>Y</b>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input type="checkbox"/>
		No	<b>N</b>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<b>N</b>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<b>N</b>

## D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?		Yes	<input checked="" type="checkbox"/>
			No	<input type="checkbox"/>
			No information	<input type="checkbox"/>
9b	If Yes, please give the species name and provide details of the kind of research involved.			
	Species name	Populations	Distribution	Off take
				Legal trade
				Illegal trade
				Other (specify)
	Species protected under NATURA 2000	in the Czech Rep.	in the Czech Rep.	
	Species protected under national law on protection of nature	in the Czech Rep.	in the Czech Rep.	
	3			
	etc.			
				No information <input type="checkbox"/>
11 new	How many Scientific Review Group (SRG) meetings have the SA attended?		Number	6
	Indicate any difficulties that rendered attendance to the SRG difficult:			

## D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

## D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable
	– Annex D listed species	<input checked="" type="checkbox"/>
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes <input type="checkbox"/>
		No <input checked="" type="checkbox"/>
		No information <input type="checkbox"/>

**D5 Permitting and registration procedures**

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : 95.% No information	<input type="checkbox"/> <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
16 new	Have scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.: In 2007, there were registered 4 scientific institutions from the Czech Republic in accordance with Article 60 of Commission Regulation (EC) No. 865/2006.	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. In 2008, one breeder from the Czech Republic was approved in the terms of Resolution Conf. 12.10 (Rev. CoP13).	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>

# DENMARK

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 45 held on 14 November 2008.

### A. General information

Party	Denmark
Period covered in this report: 1 January 2007 to 31 December 2008	
Details of agency preparing this report	Danish Forest and Nature Agency
Contributing agencies, organizations or individuals	

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:	
	Title and date:	Status:
	Brief description of contents:	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes, partly <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input checked="" type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? <span style="float: right;">Tick all applicable</span>	
	The conditions for:	The complete prohibition of:
	Yes      No      No information	Yes      No      No information
	Issue	
	Trade	X <input type="checkbox"/> <input type="checkbox"/>
	Taking	X <input type="checkbox"/> <input type="checkbox"/>
	Possession	X <input type="checkbox"/> <input type="checkbox"/>
	Transport	X <input type="checkbox"/> <input type="checkbox"/>
	Other (specify)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Additional comments	

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable	
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Clarity of legal obligations	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Control over CITES trade	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consistency with existing policy on wildlife management and use	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of offences	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of penalties	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Implementing regulations	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coherence within legislation	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please specify):		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:						
7	If no review or assessment has taken place, is one planned for the next reporting period?				Yes	<input type="checkbox"/>
					No	<input type="checkbox"/>
				No information	<input type="checkbox"/>	
Please provide details if available:						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable	
	Subject	Yes	No	No information		
	Access to or ownership of natural resources	<input type="checkbox"/>	X	<input type="checkbox"/>		
	Harvesting	<input type="checkbox"/>	X	<input type="checkbox"/>		
	Transporting of live specimens	<input type="checkbox"/>	X	<input type="checkbox"/>		
	Handling and housing of live specimens	<input type="checkbox"/>	X	<input type="checkbox"/>		
Please provide details if available:						
9	Please provide details of any additional measures taken:					

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	X	<input type="checkbox"/>
	Inspections of traders, producers, markets	X	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	X	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	X	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	X	<input type="checkbox"/>	<input type="checkbox"/>

5	<p>If information available:</p> <p><input type="checkbox"/> Significant seizures/confiscations</p> <p>X Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex.</p>	<p>Number</p> <p><input type="checkbox"/></p> <p>149</p>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	X	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			X
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Comments: Parts and derivatives are not included in the answer			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		<input type="checkbox"/>
		No		X
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments: None significant cases			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes		X
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
13	If Yes, please give a brief description: Joint operation with German police.			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes		<input type="checkbox"/>
		No		X
		No information		<input type="checkbox"/>
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes		X
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments:			
17	Please provide details of any additional measures taken: An enforcement Plan is under preparation			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.			
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. The Forest and Nature Agency as mentioned in the CITES Directory.			
5	How many staff work in each MA? 12 persons, 9 parttime			
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation  Appr. 625% = 5,25 fulltime staff	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable		
	– Administration			<input checked="" type="checkbox"/>
	– Biology			<input checked="" type="checkbox"/>
	– Economics/trade			<input type="checkbox"/>
	– Law/policy			<input checked="" type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	– No information			<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.			
10	Please provide details of any additional measures taken			

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country? <span style="float: right;">Tick if applicable</span>	
	– Government institution	<input type="checkbox"/>
	– Academic or research institution	<input checked="" type="checkbox"/>
	– Permanent committee	<input type="checkbox"/>
	– Pool of individuals with certain expertise	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
5	How many staff work in each SA on CITES issues?	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)? <span style="float: right;">Tick if applicable</span>	
	– Botany	<input checked="" type="checkbox"/>
	– Ecology	<input checked="" type="checkbox"/>
	– Fisheries	<input type="checkbox"/>
	– Forestry	<input type="checkbox"/>
	– Welfare	<input checked="" type="checkbox"/>
	– Zoology	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
	Species name	Populations
	Distribution	Off take
	Legal trade	Illegal trade
	Other (specify)	
	1	
	2	
	3	
	etc.	
	No information <input type="checkbox"/>	
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
11	Please provide details of any additional measures taken:	

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Under consideration <input type="checkbox"/>
		No information <input type="checkbox"/>		
4	If Yes, please state which is the lead agency for enforcement:			
5	Please provide details of any additional measures taken:			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>						
	–	Monitoring and reporting of data on legal trade			<input checked="" type="checkbox"/>		
	–	Monitoring and reporting of data on illegal trade			<input checked="" type="checkbox"/>		
	–	Permit issuance			<input checked="" type="checkbox"/>		
	–	Not at all			<input type="checkbox"/>		
	–	Other (specify)			<input type="checkbox"/>		
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species? <span style="float: right;">Yes <input checked="" type="checkbox"/></span>						
	<span style="float: right;">No <input type="checkbox"/></span>						
	<span style="float: right;">No information <input type="checkbox"/></span>						

4	If Yes, does it provide information on:			Tick if applicable
	–	Legislation (national, regional or international)?		X
	–	Conservation status (national, regional, international)?		X
	–	Other (please specify)?		<input type="checkbox"/>
5	Is it available through the Internet:			Yes X
			No <input type="checkbox"/>	Not applicable <input type="checkbox"/>
			No information <input type="checkbox"/>	
Please provide URL:				
6	Do the following authorities have access to the following publications?			Tick if applicable
	Publication	Management Authority	Scientific Authority	Enforcement Authority
	<i>2003 Checklist of CITES Species</i> (book)	x	x	x
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	x	x	x
	<i>Identification Manual</i>	x	x	x
	<i>CITES Handbook</i>	x	x	x
7	If not, what problems have been encountered to access to the mentioned information?			
8	Have enforcement authorities reported to the Management Authority on:			Tick if applicable
	–	Mortality in transport?		X
	–	Seizures and confiscations?		X
	–	Discrepancy in number of items in permit and number of items actually traded?		X
Comments:				
9	Is there a government website with information on CITES and its requirements?			Yes X
			No <input type="checkbox"/>	No information <input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.skovognatur.dk/DyrOgPlanter/Cites/">http://www.skovognatur.dk/DyrOgPlanter/Cites/</a>			
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?			Tick if applicable
	–	Press releases/conferences		x
	–	Newspaper articles, radio/television appearances		x
	–	Brochures, leaflets		x
	–	Presentations		x
	–	Displays		x
	–	Information at border crossing points		<input type="checkbox"/>
	–	Telephone hotline		<input type="checkbox"/>
	–	Other (specify)		<input type="checkbox"/>
Please attach copies of any items as Annex.				
11	Please provide details of any additional measures taken:			

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		Not applicable	<input type="checkbox"/>			
	No information	<input type="checkbox"/>				
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable				
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	1014	197	222		
	How many applications were denied because of severe omissions or mis-information?					
	Year 2	Import or introduction from the sea	Export	Re-export	Other	Comments
How many documents were issued?	979	229	193			
How many applications were denied because of severe omissions or mis-information?						
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes	<input type="checkbox"/>			
		No	<input checked="" type="checkbox"/>			
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.	Tick if applicable				
	Reason	Yes	No	No information		
	Technical violations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
	Comments					
8	How many times has the Scientific Authority been requested to provide opinions?	Apr. 10 times				
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?	Tick if applicable				
	– Issuance of CITES documents:	<input type="checkbox"/>				

	<ul style="list-style-type: none"> <li>- Licensing or registration of operations that produce CITES species: <input type="checkbox"/></li> <li>- Harvesting of CITES-listed species : <input type="checkbox"/></li> <li>- Use of CITES-listed species: <input type="checkbox"/></li> <li>- Assignment of quotas for CITES-listed species: <input type="checkbox"/></li> <li>- Importing of CITES-listed species: <input type="checkbox"/></li> <li>- Other (specify): <input type="checkbox"/></li> </ul>	
10	If Yes, please provide the amounts of such fees.	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? <span style="float: right;">Tick if applicable</span> <ul style="list-style-type: none"> <li>- Entirely: <input type="checkbox"/></li> <li>- Partly: <input type="checkbox"/></li> <li>- Not at all: <input type="checkbox"/></li> <li>- Not relevant: <input type="checkbox"/></li> </ul> Comments:	
12	Please provide details of any additional measures taken:	

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level? <span style="float: right;">Tick if applicable</span>						
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input type="checkbox"/>			
	Hiring of more staff	x	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>			
	Development of implementation tools	<input type="checkbox"/>	Computerisation	<input type="checkbox"/>			
	- Other (specify)				<input type="checkbox"/>		
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Target group						
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						Details
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>					
		No	<input checked="" type="checkbox"/>					
		No information	<input type="checkbox"/>					
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consultations	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	At the national level have there been any efforts to collaborate with:	Tick if applicable		Details if available				
	Agencies for development and trade	<input type="checkbox"/>						
	Provincial, state or territorial authorities	<input type="checkbox"/>						
	Local authorities or communities	<input type="checkbox"/>						
	Indigenous peoples	<input type="checkbox"/>						
	Trade or other private sector associations	<input checked="" type="checkbox"/>						
	NGOs	<input type="checkbox"/>						
	Other (specify)	<input checked="" type="checkbox"/>		Veterinarian Service, Medicines Agency				

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA		X
	Customs		x
	Police		<input type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops		X
	Meetings		x
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	x <input type="checkbox"/> <input type="checkbox"/>
8	If Yes, which one(s) and in what way? Faroe Islands.		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description. Coordination with colleagues.		
15	Please provide details of any additional measures taken:		

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input type="checkbox"/>	X
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input type="checkbox"/>
		No		X
		No information		<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input type="checkbox"/>
		No		X
		No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		X
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description. Exempt small amount of dead stony corals from CITES.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	x
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	x	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	x	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed;						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/>	x
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions;		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/>	
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	
	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input type="checkbox"/>	x
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	
	Comments: Mainly.		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input type="checkbox"/>	X
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	
	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/>	
		No <input type="checkbox"/>	X
		No information <input type="checkbox"/>	
	Comments:		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes <input type="checkbox"/>	X
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	
	Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input type="checkbox"/>	X
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	
	Comments:		

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
No information <input type="checkbox"/>			
Comments: Only for a), not possible for b) and c), very few cases annually.			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
No information <input type="checkbox"/>			
Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
No information <input type="checkbox"/>			
Comments: Done together with other checks.			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
No information <input type="checkbox"/>			
Comments:			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
No information <input type="checkbox"/>			
Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
No information <input type="checkbox"/>			
Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
No information							<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	all
	Indicate any difficulties that rendered attendance to the SRG difficult:		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species	<input type="checkbox"/>	
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>	
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes	<input type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees.	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : ....%	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ?	Yes	<input checked="" type="checkbox"/>
	If yes, please attach.	No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
	If yes, please provide details.	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
	If yes, please provide details.	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
	If yes, please provide details.	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
	If yes, please provide details. None in Denmark	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006?	Yes	<input checked="" type="checkbox"/>
	If yes, please provide details.	No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. Done in cooperation with the importing Party	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

# ESTONIA

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 45 held on 14 November 2008.

### A. General information

Party	Estonia
Period covered in this report: 1 January 2007 to 31 December 2008	1 January 2007 to 31 December 2008
Details of agency preparing this report	Ministry of the Environment Narva mnt 7a 15172 Tallinn Estonia
Contributing agencies, organizations or individuals Environmental Inspectorate Kopli 76 10416 Tallinn Estonia	Estonian Tax and Customs Board Environmental Inspectorate Estonian SA

### B. Legislative and regulatory measures

1	<p>Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project?</p> <p>If yes, ignore questions 2, 3 and 4.</p>	<p>Yes (fully) <input type="checkbox"/></p> <p>Yes (partly) <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information/unknown <input type="checkbox"/></p>
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2 If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:

1. Regulation of the Minister of Environment 12.04.2007 No 29 „Procedure for registration of the keeping of mammals, birds and reptiles listed in Annex A to Council Regulation (EC) No. 338/97 on the protection of species of wild fauna and flora by regulating trade therein, and prohibition of the keeping of specimens of the Hominoidea family“ (adopted 12.04.2007 )

Brief description of contents: The regulation order the registration and marking of all Annex A mammals, birds and reptiles. All “new” specimens have to register and marked, the “old” ones only if they are sold or exported. It is the responsibility of the seller/exporter to provide documents that prove the legal origin of the specimens

2. Paragraphs added to the Nature Conservation Act (came into force: 1.04.2007):

§ 55 (3<sup>1</sup>) The Minister of Environment shall establish by a regulation on the basis of Annexes A to D to Council Regulation (EC) No. 338/97 on the protection of species of wild fauna and flora by regulating trade therein (OJ L 61, 03.03.1997, pp. 1–69) a list of animal species the killing of commercially imported or bred live specimens of which is permitted.

§ 56 (5) For specimens of species listed in Annexes A and B of Council Regulation (EC) No. 338/97, except in the case of sale of food products, the seller shall enclose documentary proof of origin containing:

- 1) the scientific name of the species;
- 2) information on the legal origin of the specimen (taken from the wild, artificially propagated, farm-bred, etc.);
- 3) in the case of specimens imported into the European Union, the special permits issued under the above Regulation;
- 4) in the case of live specimens of animal species, information on the previous owner and information enabling the identification of the specimen (identification mark, photo, description, etc.).

3. Paragraph added to the Nature Conservation Act (came into force: 1.08.2008):

§ 59<sup>1</sup> License for (re)packaging plants of caviar

Brief description of contents: rules and procedure for license the (re)packaging plants of caviar

4. Paragraph added to the CITES Ratification Act (came into force: 30.03.2007):

(3). In accordance with Article 15(3) and Article 16(2) of the Convention, the Minister of Environment is empowered to make and withdraw by a regulation reservations to Appendix I, II or III on the basis of decisions of the EC Committee on Trade in Wild Fauna and Flora.

5. Regulation No. 63 of the Minister of the Environment of 20 December 2007 “List of animal species specified in Annexes to Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein, the live specimens of which that have been imported or bred for commercial purposes may be killed” (came into force: 12.01.2008):

This Regulation is established on the basis of subsection 55 (3<sup>1</sup>) of the Nature Conservation Act. Killing of the following live specimens of animal species specified in Annexes to Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein that have been imported or bred for commercial purposes is permitted:

- 1) species of the family of sturgeons and paddlefish (*Acipenseriformes*);
- 2) medicinal leech (*Hirudo medicinalis*).

Title and date:

Status:

Brief description of contents:

3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No (not yet, will be translated) <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? <span style="float: right;">Tick all applicable</span>	
		The conditions for:
Issue		The complete prohibition of:
	Yes	No
	No information	Yes
	Yes	No
	No information	No information
Trade	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Additional comments	Growing of a specimen of a non-native species endangering natural balance is prohibited, except in scientifically justified cases on the basis of the permission of the Minister of the Environment Release of living specimen of non-native species, planting and sowing of non-native plant species into the wild shall be prohibited.	

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? Tick all applicable				
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify): <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>					
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input type="checkbox"/>	
				No <input type="checkbox"/>	
			No information <input type="checkbox"/>		
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention? Tick all applicable				
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			

4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex.	Number <input type="checkbox"/> 23		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. As Environmental Inspectorate does not have the right to confiscate, they have to forward the cases to the court. See an attached list of violations, cases proceeded by Environmental Inspectorate are included			
10	How were the confiscated specimens generally disposed of? <span style="float: right;">Tick if applicable</span>			
	– Return to country of export	<input type="checkbox"/>		
	– Public zoos or botanical gardens	<input checked="" type="checkbox"/>		
	– Designated rescue centres	<input type="checkbox"/>		
	– Approved, private facilities	<input type="checkbox"/>		
	– Euthanasia	<input type="checkbox"/>		
	– Other (specify) Collection of confiscated goods for training	<input checked="" type="checkbox"/>		
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
13	If Yes, please give a brief description: Customs collaborate with police and customs of different countries (e.g. joint operations with neighbouring countries. Also cooperation with international bodies (WCO, Europol etc.) is going on.			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments:			

17	Please provide details of any additional measures taken:
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### D. Administrative measures

#### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	How many staff work in each MA? 1 person		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)? 50 %	Tick if applicable	
	– Administration		<input type="checkbox"/>
	– Biology		<input checked="" type="checkbox"/>
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
2	If Yes, please use the opportunity to provide those changes here.							
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>					
4	What is the structure of the SA(s) in your country?	Tick if applicable						
	– Government institution		<input type="checkbox"/>					
	– Academic or research institution (assist in case of need)		<input checked="" type="checkbox"/>					
	– Permanent committee		<input checked="" type="checkbox"/>					
	– Pool of individuals with certain expertise		<input type="checkbox"/>					
	– Other (specify)		<input type="checkbox"/>					
5	How many staff work in each SA on CITES issues? One person works permanently. Scientific Committee consist of 5 persons (work in case of need).							
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation 5-10 %	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>					
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable						
	– Botany		<input type="checkbox"/>					
	– Ecology		<input checked="" type="checkbox"/>					
	– Fisheries		<input type="checkbox"/>					
	– Forestry		<input type="checkbox"/>					
	– Welfare		<input type="checkbox"/>					
	– Zoology		<input checked="" type="checkbox"/>					
	– Other (specify)		<input type="checkbox"/>					
	– No information		<input type="checkbox"/>					
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
9	If Yes, please give the species name and provide details of the kind of research involved.							
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	1							
	2							
	3							
	etc.							
							No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					

11	Please provide details of any additional measures taken:
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**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Under consideration <input type="checkbox"/>
		No information <input type="checkbox"/>		
4	If Yes, please state which is the lead agency for enforcement:			
5	Please provide details of any additional measures taken:			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>						
	– Monitoring and reporting of data on legal trade <input checked="" type="checkbox"/>						
	– Monitoring and reporting of data on illegal trade <input checked="" type="checkbox"/>						
	– Permit issuance <input checked="" type="checkbox"/>						
	– Not at all <input type="checkbox"/>						
	– Other (specify) <input type="checkbox"/>						
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species? We use EU Wildlife Trade Reference Database						
	Yes <input type="checkbox"/>						
	No <input checked="" type="checkbox"/>						
	No information <input type="checkbox"/>						

4	<p>If Yes, does it provide information on:</p> <ul style="list-style-type: none"> <li>– Legislation (national, regional or international)? <input type="checkbox"/></li> <li>– Conservation status (national, regional, international)? <input type="checkbox"/></li> <li>– Other (please specify)? <input type="checkbox"/></li> </ul>	Tick if applicable																					
5	<p>Is it available through the Internet:</p> <p style="text-align: right;">Yes <input type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">Not applicable <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>Please provide URL:</p>																						
6	<p>Do the following authorities have access to the following publications? <span style="float: right;">Tick if applicable</span></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Publication</th> <th style="width: 15%;">Management Authority</th> <th style="width: 15%;">Scientific Authority</th> <th style="width: 10%;">Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td><i>2003 Checklist of CITES Species</i> (book)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>Identification Manual</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>CITES Handbook</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table>			Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
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<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																				
7	<p>If not, what problems have been encountered to access to the mentioned information?</p>																						
8	<p>Have enforcement authorities reported to the Management Authority on:</p> <ul style="list-style-type: none"> <li>– Mortality in transport? <input checked="" type="checkbox"/></li> <li>– Seizures and confiscations? <input checked="" type="checkbox"/></li> <li>– Discrepancy in number of items in permit and number of items actually traded? <input checked="" type="checkbox"/></li> </ul> <p>Comments:</p>	Tick if applicable																					
9	<p>Is there a government website with information on CITES and its requirements?</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>If Yes, please give the URL: <a href="http://www.envir.ee/1091391">http://www.envir.ee/1091391</a></p>																						
10	<p>Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public? <span style="float: right;">Tick if applicable</span></p> <ul style="list-style-type: none"> <li>– Press releases/conferences <input checked="" type="checkbox"/></li> <li>– Newspaper articles, radio/television appearances <input checked="" type="checkbox"/></li> <li>– Brochures, leaflets <input checked="" type="checkbox"/></li> <li>– Presentations <input checked="" type="checkbox"/></li> <li>– Displays <input checked="" type="checkbox"/></li> <li>– Information at border crossing points <input checked="" type="checkbox"/></li> <li>– Telephone hotline <input type="checkbox"/></li> <li>– Other (specify) <input type="checkbox"/></li> </ul> <p>Please attach copies of any items as Annex.</p>																						
11	<p>Please provide details of any additional measures taken:</p>																						

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
			Not applicable	<input type="checkbox"/>		
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?			Tick if applicable		
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Registration of producers	<input checked="" type="checkbox"/> (for caviar)	<input type="checkbox"/>	<input type="checkbox"/>			
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	54	9	3	15	Other: 2 - personal ownership certificates 13 - EU certificates
	How many applications were denied because of severe omissions or mis-information?					
	Year 2					Other:
How many documents were issued?	68	3	5	18	EU certificates	
How many applications were denied because of severe omissions or mis-information?						
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?		Yes	<input type="checkbox"/>		
			No	<input checked="" type="checkbox"/>		
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason	Yes	No	No information		
	Technical violations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
		No information	<input type="checkbox"/>			
Comments: The hunting quotas for lynxes and wolves have been established						

	in Estonia	
8	How many times has the Scientific Authority been requested to provide opinions? Not counted, permanently	
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities? – Issuance of CITES documents: – Licensing or registration of operations that produce CITES species: – Harvesting of CITES-listed species : – Use of CITES-listed species: – Assignment of quotas for CITES-listed species: – Importing of CITES-listed species: – Other (specify):	Tick if applicable       <input checked="" type="checkbox"/>
10	If Yes, please provide the amounts of such fees. A State fee shall be paid for the issue of the official label for the container of caviar (500 labels/320 eur)	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? – Entirely: – Partly: – Not at all: – Not relevant: Comments:	Tick if applicable  <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
12	Please provide details of any additional measures taken:	

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable					
	Increased budget for activities	<input checked="" type="checkbox"/> Improvement of national networks <input checked="" type="checkbox"/>					
	Hiring of more staff	<input checked="" type="checkbox"/> Purchase of technical equipment for monitoring/enforcement <input checked="" type="checkbox"/>					
	Development of implementation tools	<input type="checkbox"/> Computerisation <input type="checkbox"/>					
	– Other (specify)	<input type="checkbox"/>					
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	<table border="1"> <tr> <td>Oral or written advice/guidance</td> <td>Technical assistance</td> <td>Financial assistance</td> <td>Training</td> <td>Other (specify)</td> </tr> </table>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)
Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)			
		What were the external sources?					

Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Written guidance and training to identify Traditional Asian Medical products - provided by expert from Netherland.  Training seminar supported by Latvia and TRAFFIC Europe (in Latvia, sept 2008)
Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	<p>Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?</p> <p>Please tick boxes to indicate which target group and which activity.</p> <p>Target group</p>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
<p>Staff of Management Authority</p> <p>Staff of Scientific Authority</p> <p>Staff of enforcement authorities</p>	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	<p>Seminars and trainings (CITES implementation) for customs officers and environmental inspectors</p>	
<p>Traders</p> <p>NGOs</p> <p>Public</p>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>	<p>* Information Day for pet keepers (March 2007);  * Lectures in State Agency of Medicines (March 2007);  * Lectures in Estonian Consumer Protection Board (May 2007)  * Lectures for pupils (2007 and 2008);  * CITES exhibition in Tartu University (sept 2007)  * CITES exhibition in Environmental Inspectorate (october 2008)  * Presentation in Tourest 2007 and 2008 (Travel Trade Fair) in Tallinn (CITES exhibition and presentation of collection of confiscated goods).  * CITES exhibition in Narva City museum (2008)  * CITES exhibition in Enterprise Day (2008)</p>	
<p>Other parties/International meetings</p> <p>Other (specify)</p>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>		
4	Please provide details of any additional measures taken						

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?		
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):		
		Daily	Weekly
		Monthly	Annually
		None	No information
		Other (specify)	
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>
	Consultations	<input type="checkbox"/>	<input checked="" type="checkbox"/> 1-2
		<input type="checkbox"/>	<input type="checkbox"/>
4	At the national level have there been any efforts to collaborate with:	Tick if applicable	Details if available
	Agencies for development and trade	<input type="checkbox"/>	
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>	Collaboration with State Agency of Medicines and Estonian Consumer Protection Board
	Local authorities or communities	<input type="checkbox"/>	
	Indigenous peoples	<input type="checkbox"/>	
	Trade or other private sector associations	<input type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	Consultations and discussions with different NGO-s
	Other (specify)	<input type="checkbox"/>	
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable	
	SA	<input type="checkbox"/>	
	Customs	<input type="checkbox"/>	
	Police	<input type="checkbox"/>	
	Other border authorities (specify)	<input type="checkbox"/>	
	Other government agencies	<input type="checkbox"/>	
	Private sector bodies	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	
	Other (specify)	<input checked="" type="checkbox"/>	
	Memorandum of Understanding for institutional cooperation related to environmental surveillance have been agreed between the Environmental Inspectorate, Police, Veterinary and Food Board and Tax and Customs Board.		
6	Has your country participated in any regional activities related to CITES?	Tick if applicable	

	Workshops		<input type="checkbox"/>
	Meetings		<input checked="" type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

#### **D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes No No information		<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes No No information		<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			

6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or <u>simplification</u> ? Personal and household effects	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	If Yes, please give a brief description.		
8	Please provide details of any additional measures taken:		

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

9b

Please provide the following details about Regulations-related violations:

- i) Maximum penalties that may be imposed;
- ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).

**Sanctions**

**Nature Conservation Law**

violation of the requirements for use or protection of protected objects - fine up to 18 000 EEK (1150 EUR) or arrest;  
The same violation, if this is committed by legal person - fine up to 50 000 EEK (3200 EUR);

**Penal Code**

\* Violation of the requirements for the use or protection of a protected natural object, if significant damage is thereby caused to the protected natural object, is punishable by a pecuniary punishment or up to 5 years' imprisonment.

The same act, if significant damage is thereby caused to the protected natural object through negligence, is punishable by a pecuniary punishment or up to 3 years' imprisonment or

The same acts, if committed by a legal person, is punishable by a pecuniary punishment

\* Violation of the requirements for hunting, catching or other utilisation of wild game, fish or other wild fauna, if significant damage is thereby caused to the environment, or unlawfully organising the hunting or catching of wild game, fish or other wild fauna, is punishable by a pecuniary punishment or up to 3 years' imprisonment.

The same act, if committed by a legal person, is punishable by a pecuniary punishment

\* A person who, while carrying goods to be declared across the customs frontier, conceals the goods from the customs control or evades customs control, fails to declare the goods, declares the goods under an incorrect commodity code or using a false description, submits falsified documents, or uses any other fraud, if the object of the act is a large quantity of goods or a punishment for a misdemeanour has been imposed on the offender for the same act, shall be punished by a pecuniary punishment or up to 3 years' imprisonment.

The same act, if committed:

1) by an official taking advantage of his or her official position, or

2) by a group,

is punishable by 1 to 5 years' imprisonment.

A court may, pursuant to the provisions of this Code, apply confiscation of a substance or object which was the direct object of the commission of an offence provided for in this section.

**Customs Act**

The conveyance of goods which are subject to prohibitions and restrictions without a mandatory document or register entry, from a third country to Estonia or from Estonia to a third country, the carriage of forbidden goods from a third country to Estonia or from Estonia to a third country, or the declaration of such goods for customs-approved treatment or use is punishable by a fine of up to 18 000 EEK (1150 EUR) or by detention.

The same act, if committed by a legal person, is punishable by a fine of up to 50 000 kroons (3200 EUR)

**Animal Protection Act**

Violation of the requirements for the keeping of animals is punishable by a fine of up to 200 fine units (770 EUR)

The same act, if committed by a legal person, is punishable by a fine of up to 50 000 EEK (3200 EUR)

Commission of a prohibited act with respect to an animal is punishable by a fine of up to 200 fine units (770 EUR)

The same act, if committed by a legal person, is punishable by a fine of up to 50 000 EEK (3200 EUR)

Violation of the requirements for the transport of animals is punishable by a fine of up to 200 fine units (770 EUR).

The same act, if committed by a legal person, is punishable by a fine of up to 50 000 EEK (3200 EUR)

The conduct of an animal experiment without a permit or violation of the requirements for the conduct of animal experiments is punishable by a fine of up to 200 fine units (770 EUR).

The same act, if committed by a legal person, is punishable by a fine of up to 50 000 EEK (3200 EUR)

**Regulation of the Government 08.04.2005 No 69 Computation procedure of and compensation rates for environmental damages caused by destroying or damaging of protected natural objects or species or other species of birds and mammals.**

In the case of infringement of rules established under EC Reg. No. 338/97 for transactions and operations with specimens of species listed in Annexes A-D of the same Regulation, compensation for environmental damages will be 200 - 1 000 000 EEK (12-65 000 EUR), depending on the level of threatenedness and on the market value of the specimen concerned.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions;	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
No information							<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	6	<input checked="" type="checkbox"/>
	Indicate any difficulties that rendered attendance to the SRG difficult:		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species	<input checked="" type="checkbox"/>	
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>	
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input type="checkbox"/>
	We use EU Wildlife Trade Reference Database	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes	<input type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees.	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : 64....%	<input type="checkbox"/>
		No information	<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ?	Yes	<input checked="" type="checkbox"/>
	If yes, please attach. Submitted previously	No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
	If yes, please provide details.	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
	If yes, please provide details.	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
	If yes, please provide details.	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

# FINLAND

## CITES BIENNIAL REPORT 2007 – 2008

### FINLAND

Compiled by the Finnish Environment Institute

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## A. General information

Party	
Period covered in this report: 1 January 2007 to 31 December 2008	
Details of agency preparing this report	Finnish Environment Institute P.Box 140, 00251 Helsinki, Finland (visiting address: Mechelininkatu 34a, 00260 Helsinki, Finland)
Contributing agencies, organizations or individuals	National Board of Customs (Jarkko Keskinen); Finnish Museum of Natural History/Scientific Authority (Olof Biström)

## B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable
	The conditions for:	The complete prohibition of:
	Yes      No      No information	Yes      No      No information
	Issue	
	Trade	x <input type="checkbox"/> <input type="checkbox"/> x <input type="checkbox"/> <input type="checkbox"/>
	Taking	x <input type="checkbox"/> <input type="checkbox"/> x <input type="checkbox"/> <input type="checkbox"/>
	Possession	x <input type="checkbox"/> <input type="checkbox"/> x <input type="checkbox"/> <input type="checkbox"/>
	Transport	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Other (specify)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Additional comments	The stricter domestic measures included in the EU CITES regulations are implemented. Also the possession and trade of certain species listed in the EU Habitats and Bird Directives is prohibited (or strictly regulated). Additional stricter domestic measures on national level: Taking and possession of animal and plant species protected under the Nature Conservation Act is generally prohibited (some of these species are CITES-listed); the taking and possession of dead animals protected under the Nature Conservation Act is either prohibited or regulated by permits. The import of whale (covers all species) meat (and other products) for commercial use is prohibited by a specific law, which also prohibits the taking of whales (includes also their landing on Finnish vessels, which thus cannot take part in whale hunting).

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? Tick all applicable				
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify): <input type="checkbox"/>					
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes	x
				No	<input type="checkbox"/>
			No information	<input type="checkbox"/>	
Please provide details if available: <b>A review of the national Nature protection legislation is planned to start in 2010</b>					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention? Tick all applicable				
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	x	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	x	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	x	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	x	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				
	<b>No permits or certificates are issued for live animals listed as category Annex A in the EU CITES regulations (=CITES Appendix I species + some Appendix II) unless the specimen is permanently marked (if animal too small for marking: the animal must be marked as soon as possible).</b>				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	x	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input type="checkbox"/>	x	<input type="checkbox"/>
	Border controls	x	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	x	<input type="checkbox"/>	<input type="checkbox"/>

3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. <b>See Annex.</b>			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input type="checkbox"/>	x	<input type="checkbox"/>
5	If information available: <input type="checkbox"/> Significant seizures/confiscations x Total seizures/confiscations If possible, please specify per group of species or attach details on annex. <b>The confiscations include mostly a small number of Crocodylia or snake skin items sent in postal packages. Details are attached in Annex.</b>		Number <input type="checkbox"/> 30	
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	x	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	x	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. <b>Details are attached in annex.</b>			
10	How were the confiscated specimens generally disposed of? – Return to country of export – Public zoos or botanical gardens – Designated rescue centres – Approved, private facilities – Euthanasia – Other (specify): <b>Some dead specimens were used for training purposes the rest are stored by the Customs and/or destroyed.</b> Comments: <b>One confiscation of live specimens during 2007-08 at the airport: one Iguana hidden in a private person's rucksack. The specimen was deposited in a private facility.</b>			Tick if applicable <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> x <input type="checkbox"/> x
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders? Comments:	Yes No Not applicable No information	<input type="checkbox"/> <input type="checkbox"/> x <input type="checkbox"/>	
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes No No information	<input type="checkbox"/> x <input type="checkbox"/>	
13	If Yes, please give a brief description:			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes No No information	<input type="checkbox"/> x <input type="checkbox"/>	
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes No	<input type="checkbox"/> x	

		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments:		
17	Please provide details of any additional measures taken: According to the Finnish Penal Code the maximum penalty for CITES violations is 2 years imprisonment. Also the so-called living value of the animal or plant involved is forfeited to the State (this is true for offences involving any species protected under Finnish law, be it CITES or other; almost all native mammals and birds are protected).		

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	x <input type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. New telephone and/or fax numbers: Ministry of the Environment: phone +358.20.490 100 (switchboard); no changes in the fax number. Finnish Environment Institute: phone +358.20.610 123 (switchboard); fax +358.9.5490 2791.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	x <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. The Ministry of the Environment is identified as the lead MA in the CITES directory.		
5	How many staff work in each MA? Staff working with CITES: 2 staff in lead MA, 4 staff in the secondary MA Staff overall: ca 300 in lead MA and ca 630 in secondary MA		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation:	Yes No No information	x <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?		Tick if applicable
	– Administration		x
	– Biology		x
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		x
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> x <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country? <span style="float: right;">Tick if applicable</span>	
	– Government institution	<input type="checkbox"/>
	– Academic or research institution	<input checked="" type="checkbox"/>
	– Permanent committee	<input type="checkbox"/>
	– Pool of individuals with certain expertise	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? <b>2</b>	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation: <b>5 % per staff per year</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)? <span style="float: right;">Tick if applicable</span>	
	– Botany	<input checked="" type="checkbox"/>
	– Ecology	<input checked="" type="checkbox"/>
	– Fisheries	<input type="checkbox"/>
	– Forestry	<input type="checkbox"/>
	– Welfare	<input type="checkbox"/>
	– Zoology	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
	Species name	Populations
	Distribution	Off take
	Legal trade	Illegal trade
	Other (specify)	
	1	
	2	
	3	
	etc.	
	No information	
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
11	Please provide details of any additional measures taken:	

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Under consideration <input type="checkbox"/>
		No information <input type="checkbox"/>		
4	If Yes, please state which is the lead agency for enforcement:			
5	Please provide details of any additional measures taken:			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>						
	–	Monitoring and reporting of data on legal trade			<input checked="" type="checkbox"/>		
		<b>[Details: no data systems; reporting in word and excel formats]</b>					
	–	Monitoring and reporting of data on illegal trade			<input checked="" type="checkbox"/>		
		<b>[Details: real data systems (Customs/Enforcement databases)]</b>					
	–	Permit issuance			<input checked="" type="checkbox"/>		
		<b>[Details: permits are word documents; no electronic system in place]</b>					
	–	Not at all			<input type="checkbox"/>		
	–	Other (specify)			<input type="checkbox"/>		
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species? <span style="float: right;">Yes <input type="checkbox"/></span>						
				No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>		
		<b>[Details: no national EIS; the UNEP-WCMC trade database can be accessed.]</b>					

4	If Yes, does it provide information on:	Tick if applicable		
	– Legislation (national, regional or international)?			<input type="checkbox"/>
	– Conservation status (national, regional, international)?			<input type="checkbox"/>
	– Other (please specify)?			<input type="checkbox"/>
5	Is it available through the Internet:	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Please provide URL:			
6	Do the following authorities have access to the following publications?	Tick if applicable		
	Publication	Management Authority	Scientific Authority	Enforcement Authority
	<i>2003 Checklist of CITES Species</i> (book)	x	x	x
	<i>2003 and 2008 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	x	x	x
	<i>Identification Manual</i>	x	x	x
	<i>CITES Handbook</i>	x	x	x
7	If not, what problems have been encountered to access to the mentioned information?			
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable		
	– Mortality in transport?			x
	– Seizures and confiscations?			x
	– Discrepancy in number of items in permit and number of items actually traded?			x
	Comments:			
	<b>There has been no detected mortality in CITES transports.</b>			
9	Is there a government website with information on CITES and its requirements?	Yes		x
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.ymparisto.fi/cites">www.ymparisto.fi/cites</a> , <a href="http://www.miljo.fi/cites">www.miljo.fi/cites</a> , <a href="http://www.environment.fi/cites">www.environment.fi/cites</a> (websites of the environment administration: in Finnish, Swedish and (short version) English; <a href="http://www.tulli.fi">www.tulli.fi</a> (customs web site where e.g. the handbook for import and export restrictions can be publicly accessed)			
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable		
	– Press releases/conferences			x
	– Newspaper articles, radio/television appearances			x
	– Brochures, leaflets			x
	– Presentations			<input type="checkbox"/>
	– Displays			x
	– Information at border crossing points			x
	– Telephone hotline			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Please attach copies of any items as Annex.			
	<b>A copy of a brochure (by Customs) about import restrictions (CITES is included as one of said restrictions) is attached.</b>			

11	Please provide details of any additional measures taken: The main information channel is the CITES webpage <a href="http://www.ymparisto.fi/cites">www.ymparisto.fi/cites</a>
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**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?  Changes in designation and signatures of relevant officials were communicated to the Secretariat on 20.12.2005.  If no, please provide details of any: Changes in permit format: Changes in designation or signatures of relevant officials:	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>	
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable	
		Yes No No information	
	Permit issuance/acceptance	x <input type="checkbox"/> <input type="checkbox"/>	
	Registration of traders [caviar traders]	x <input type="checkbox"/> <input type="checkbox"/>	
	Registration of producers [caviar producers]	x <input type="checkbox"/> <input type="checkbox"/>	
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).		
	Year 1	Import or introduction from the sea Export Re-export Other Comments	
	How many documents were issued?	121 5 25 2 +102	Explanation for 'Other': 2 personal ownership certificates + 102 internal EU certificates for certain CITES specimens.
	How many applications were denied because of severe omissions or mis-information?	None None None None	
	Year 2	Import or introduction from the sea Export Re-export Other Comments	
	How many documents were issued?	115 6 20 127	Explanation for 'Other': 130 internal EU certificates for certain CITES specimens.
	How many applications were denied because of severe omissions or mis-information?	None None None None	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
5	If Yes, please give the reasons for this.		

6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason			Yes	No	No information
	Technical violations			x	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify): <b>expired export permits</b>			x	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas USED as a management tool in the procedure for issuance of permits?			Yes	x	
				No	<input type="checkbox"/>	
			No information	<input type="checkbox"/>		
<b>Details:</b>						
No export permits for brown bear, wolf or lynx trophies / items are issued unless the bear is harvested in accordance with the national quota for hunting licenses (issued according to the Hunting Act).						
Import permit applications are checked against published quotas when relevant.						
8	How many times has the Scientific Authority been requested to provide opinions? <b>5 - 10 times per year</b>					
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?			Tick if applicable		
	– Issuance of CITES documents:			x		
	– Licensing or registration of operations that produce CITES species:			x		
	– Harvesting of CITES-listed species :			<input type="checkbox"/>		
	– Use of CITES-listed species:			<input type="checkbox"/>		
	– Assignment of quotas for CITES-listed species:			<input type="checkbox"/>		
	– Importing of CITES-listed species:			<input type="checkbox"/>		
– Other (specify): <b>non-CITES statements (needed by some traders when trading in specimens of non-listed species with certain countries, where the authorities for some reason require a document stating that the said species is not listed).</b>			x			
10	If Yes, please provide the amounts of such fees. <b>1. Export permits, import permits and re-export certificates: 75 €</b> <b>2. EU certificates (for sale and/or movement of certain CITES species and specimens inside EU territory): 40 €</b> <b>3. Non-CITES statements: 42 € (one certificate is usually issued for a large quantity of items, see point 9 for further explanation).</b>					
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?			Tick if applicable		
	– Entirely:			<input type="checkbox"/>		
	– Partly:			<input type="checkbox"/>		
	– Not at all:			<input type="checkbox"/>		
	– Not relevant:			x		
Comments: <b>All fees go to cover administrative costs.</b>						
12	Please provide details of any additional measures taken:					

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?			Tick if applicable	
	Increased budget for activities		<input type="checkbox"/>	Improvement of national networks	
	Hiring of more staff		<input type="checkbox"/>	Purchase of technical equipment for	
				<input type="checkbox"/>	<input type="checkbox"/>

	Development of implementation tools	<input type="checkbox"/>	monitoring/enforcement	<input type="checkbox"/>			
	– Other (specify)		Computerisation	<input type="checkbox"/>			
	<p>The improvement of equipment by Customs is done mainly for other or more general reasons: Risk analysis programme, risk analysis centre and electronic export system. Effectiveness of CITES implementation has benefited from that.</p>						
2	<p>Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?</p>						
	<p>Please tick boxes to indicate which target group and which activity.</p> <p>Target group</p>	<p>Oral or written advice/guidance</p>	<p>Technical assistance</p>	<p>Financial assistance</p>	<p>Training</p>	<p>Other (specify)</p>	<p>What were the external sources?</p>
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	The Latvian MA arranged (together with Traffic Europe) a seminar for CITES authorities of the Nordic and Baltic countries
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Visits by Customs officers to Heathrow + advice and guidance given to Customs by MA
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>	MA and Customs to Customs
	Traders	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Customs and/or MA presenting/informing at exhibitions, fairs, etc. Written guidance: MA to fish producers and traders (sturgeon, caviar). MA to pernambuco traders/handicrafters. MA to hunters and traders of CITES listed game species. MA to the Finnish Orchid Society, the Herpetological Society and to the two national parrot societies.
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Customs and/or MA at exhibitions, fairs, etc.
Other parties/International meetings	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	MA to Estonian MA	
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>
		No	x
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?		

3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	x	x	<input type="checkbox"/>	<input type="checkbox"/>	Meetings between the MAs, the SA and Customs are organised several times a year. Board of Customs organises for Custom officers an annual workshop on import/export restrictions. Usually 2 days are reserved for CITES issues, and staff from the MA permit unit attend both days and gives presentations.
Consultations	x	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	MA collaborates daily / several times per week with Customs and weekly or monthly with SA and State Veterinarians.	
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					<input type="checkbox"/>		
	Provincial, state or territorial authorities					x		MA consults with environmental prosecutors, phytosanitary and veterinary inspection and permitting authorities, hunting authorities and regional environment centres.
	Local authorities or communities					<input type="checkbox"/>		
	Indigenous peoples					<input type="checkbox"/>		
	Trade or other private sector associations					x		MA with the Orchid Society and two parrot societies, the Herpetological Society and the Hunter's Central Organisation.
NGOs					<input type="checkbox"/>			
Other (specify)					<input type="checkbox"/>			

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA		<input type="checkbox"/>
	Customs		<input type="checkbox"/>
	Police		<input type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops		x
	Meetings		x
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> x <input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	x <input type="checkbox"/> <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided? Financial assistance to facilitate the participation of developing countries in international CITES meetings. The funds were given to the secretariat to be used at their discretion.		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> x <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	x <input type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description. The work is coordinated, as the staff of both CITES MA:s work in the same administration as the national focal points of all other MEAs in the field of nature conservation. Furthermore, in some cases the same person is responsible for CITES related issues as well as issues related to other MEAs.		
15	Please provide details of any additional measures taken:		

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	x	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	x	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input type="checkbox"/>	
		No	x	
		No information	<input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>	
		No	x	
		No information	<input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes	<input type="checkbox"/>	
		No	x	
		No information	<input type="checkbox"/>	
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	x
C3	Details of violations and administrative measures imposed	Enclosed	x
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	x
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	x
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	x
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes	Enclosed	x
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	Brochure and article enclosed.		

## Part 2 Supplementary Questions

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>
Transport	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>	
Other (specify)	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>	
Additional comments							
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	x	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	x	<input type="checkbox"/>			
Please provide details if available:							
9b	Please provide the following details about Regulations-related violations:						
	i)	Maximum penalties that may be imposed; <b>2 years imprisonment</b>					
ii)	Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; <b>Fines (maximum less than 1000 euros) + the specimen is forfeited.</b> ii) The outcomes of any prosecutions; <b>See Annex relating to Part I.</b>		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: <b>No permits or certificates are issued for live animals listed as category Annex A in the EU regulations unless the specimen is permanently marked (if the specimen is too small for marking: the animal is required to be marked as soon as possible).</b>		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: <b>Live Annex A specimens are relatively rarely imported: usually the place of destination is well known both to the SA and the MA. All facilities keeping (any) live animals for commercial or public purposes are inspected yearly by the Animal Health and Welfare authorities. This inspection does not cover private persons. Irregular extra inspections are done based on information that would imply there is a reason to suspect that a given facility is not run properly; this activity includes also private persons.</b>		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details. <b>Customs: annual training, electronic clearance systems for import, export and transit which contain restriction indicators. Risk analysis system will be functioning in late 2009.</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II.d.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
No information <input type="checkbox"/>			
Comments:			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II.g.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
No information <input type="checkbox"/>			
Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.h.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
No information <input type="checkbox"/>			
Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.i.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
No information <input type="checkbox"/>			
Comments:			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.e.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
No information <input type="checkbox"/>			
Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.j.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
No information <input type="checkbox"/>			
Comments: not relevant			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: <b>not relevant</b>			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )? <b>There has been no such investigations.</b>	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	6
Indicate any difficulties that rendered attendance to the SRG difficult:			

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	x
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species	<input type="checkbox"/>	
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>	
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input type="checkbox"/>
		No	x
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes	<input type="checkbox"/>
		No	x
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs? <b>2008 data</b>	Percentage : 80 %	<input type="checkbox"/>
		No information	<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. <b>Nature Conservation Decree attached, see section 23a.</b>	Yes	x
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	x
		No information	<input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	x
		No information	<input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	x
		No information	<input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>See annexed letter to the Secretariat dated 25.5.2009.</b>	Yes	x
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

# FRANCE

## PART 1 - CITES QUESTIONS

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

### A. General information

Party	FRANCE
Period covered in this report: 1 January 2007 to 31 December 2008	
Details of agency preparing this report	Ministère de l'Ecologie, de l'Energie, du développement Durable et de la Mer Direction de l'Eau et de la Biodiversité Bureau des échanges internationaux d'espèces menacées.
Contributing agencies, organizations or individuals	MNHN, ONCFS

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>	X <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:  Title and date: <i>Ordonnance du Président de la République (Polynésie française et Wallis &amp; Futuna)</i> Status: <i>Publiée</i>  Brief description of contents: <i>Ordonnance n° 2008-527 du 5 juin 2008 relative à la mise en oeuvre en Polynésie française et dans les îles Wallis et Futuna de la convention sur le commerce international des espèces de faune et de flore sauvages menacées d'extinction du 3 mars 1973 NOR : IOCX0810021R (JORF du 6 juin 2008)</i>						
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	X <input type="checkbox"/> <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input checked="" type="checkbox"/> not available, will send later <input type="checkbox"/>	<input type="checkbox"/> X <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable					
		The conditions for:	The complete prohibition of:				
	Issue	Yes	No	No information	Yes	No	No information
	Trade	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional comments	<p>La détention d'animaux vivants d'espèces non domestiques peut, en fonction de l'espèce et du nombre de spécimens :</p> <ul style="list-style-type: none"> <li>• être libre,</li> <li>• nécessiter un simple agrément préfectoral, ou</li> <li>• être réservée aux établissements d'élevage, de vente, de location, de transit ou de présentation au public d'espèces non domestiques disposant des autorisations préfectorales requises</li> </ul> <p>Les interdictions de prélèvement, transport, naturalisation et commerce concernent les espèces protégées du fait qu'elle sont représentées à l'état naturel en France (métropole et territoires d'Outre-mer) ou sur le territoire de l'Union européenne. Ces espèces peuvent être CITES (ex : loup, ours, perroquets guyanais, rapaces) ou non CITES. Les spécimens nés captifs ou légalement introduits ne sont pas concernés par ces interdictions.</p> <p>La possession d'animaux vivants d'espèces rares, difficiles à élever, dangereuses ou protégées au niveau national nécessite des autorisations préfectorales préalables.</p>																																																			
6	<p>What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?</p> <table border="1" data-bbox="225 801 1420 1294"> <thead> <tr> <th data-bbox="225 801 655 869">Item</th> <th data-bbox="655 801 847 869">Adequate</th> <th data-bbox="847 801 1038 869">Partially Inadequate</th> <th data-bbox="1038 801 1198 869">Inadequate</th> <th data-bbox="1198 801 1420 869">No information</th> </tr> </thead> <tbody> <tr> <td data-bbox="225 869 655 902">Powers of CITES authorities</td> <td data-bbox="655 869 847 902">X</td> <td data-bbox="847 869 1038 902"><input type="checkbox"/></td> <td data-bbox="1038 869 1198 902"><input type="checkbox"/></td> <td data-bbox="1198 869 1420 902"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="225 902 655 936">Clarity of legal obligations</td> <td data-bbox="655 902 847 936">X</td> <td data-bbox="847 902 1038 936"><input type="checkbox"/></td> <td data-bbox="1038 902 1198 936"><input type="checkbox"/></td> <td data-bbox="1198 902 1420 936"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="225 936 655 969">Control over CITES trade</td> <td data-bbox="655 936 847 969">X</td> <td data-bbox="847 936 1038 969"><input type="checkbox"/></td> <td data-bbox="1038 936 1198 969"><input type="checkbox"/></td> <td data-bbox="1198 936 1420 969"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="225 969 655 1048">Consistency with existing policy on wildlife management and use</td> <td data-bbox="655 969 847 1048">X</td> <td data-bbox="847 969 1038 1048"><input type="checkbox"/></td> <td data-bbox="1038 969 1198 1048"><input type="checkbox"/></td> <td data-bbox="1198 969 1420 1048"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="225 1048 655 1115">Coverage of law for all types of offences</td> <td data-bbox="655 1048 847 1115"><input type="checkbox"/></td> <td data-bbox="847 1048 1038 1115">X</td> <td data-bbox="1038 1048 1198 1115"><input type="checkbox"/></td> <td data-bbox="1198 1048 1420 1115"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="225 1115 655 1182">Coverage of law for all types of penalties</td> <td data-bbox="655 1115 847 1182"><input type="checkbox"/></td> <td data-bbox="847 1115 1038 1182">X</td> <td data-bbox="1038 1115 1198 1182"><input type="checkbox"/></td> <td data-bbox="1198 1115 1420 1182"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="225 1182 655 1216">Implementing regulations</td> <td data-bbox="655 1182 847 1216">X</td> <td data-bbox="847 1182 1038 1216"><input type="checkbox"/></td> <td data-bbox="1038 1182 1198 1216"><input type="checkbox"/></td> <td data-bbox="1198 1182 1420 1216"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="225 1216 655 1249">Coherence within legislation</td> <td data-bbox="655 1216 847 1249">X</td> <td data-bbox="847 1216 1038 1249"><input type="checkbox"/></td> <td data-bbox="1038 1216 1198 1249"><input type="checkbox"/></td> <td data-bbox="1198 1216 1420 1249"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="225 1249 655 1294">Other (please specify):</td> <td data-bbox="655 1249 847 1294"><input type="checkbox"/></td> <td data-bbox="847 1249 1038 1294"><input type="checkbox"/></td> <td data-bbox="1038 1249 1198 1294"><input type="checkbox"/></td> <td data-bbox="1198 1249 1420 1294"><input type="checkbox"/></td> </tr> </tbody> </table> <p data-bbox="225 1294 1420 1391">Please provide details if available: see the report "Study on the Effectiveness of the EC Wildlife Trade Regulations" (December 2007)  <a href="http://ec.europa.eu/environment/cites/pdf/studies/effectiveness_study.pdf">http://ec.europa.eu/environment/cites/pdf/studies/effectiveness_study.pdf</a></p>	Item	Adequate	Partially Inadequate	Inadequate	No information	Powers of CITES authorities	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Clarity of legal obligations	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Control over CITES trade	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Consistency with existing policy on wildlife management and use	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coverage of law for all types of offences	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	Coverage of law for all types of penalties	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	Implementing regulations	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coherence within legislation	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<p>Tick all applicable</p>
Item	Adequate	Partially Inadequate	Inadequate	No information																																																
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7	<p>If no review or assessment has taken place, is one planned for the next reporting period?</p> <p>Please provide details if available:</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																																																		
8	<p>Has there been any review of legislation on the following subjects in relation to implementation of the Convention?</p> <table border="1" data-bbox="225 1608 1420 1794"> <thead> <tr> <th data-bbox="225 1608 943 1641">Subject</th> <th data-bbox="943 1608 1038 1641">Yes</th> <th data-bbox="1038 1608 1198 1641">No</th> <th data-bbox="1198 1608 1420 1641">No information</th> </tr> </thead> <tbody> <tr> <td data-bbox="225 1641 943 1675">Access to or ownership of natural resources</td> <td data-bbox="943 1641 1038 1675"><input type="checkbox"/></td> <td data-bbox="1038 1641 1198 1675"><input type="checkbox"/></td> <td data-bbox="1198 1641 1420 1675">X</td> </tr> <tr> <td data-bbox="225 1675 943 1709">Harvesting</td> <td data-bbox="943 1675 1038 1709"><input type="checkbox"/></td> <td data-bbox="1038 1675 1198 1709"><input type="checkbox"/></td> <td data-bbox="1198 1675 1420 1709">X</td> </tr> <tr> <td data-bbox="225 1709 943 1742">Transporting of live specimens</td> <td data-bbox="943 1709 1038 1742"><input type="checkbox"/></td> <td data-bbox="1038 1709 1198 1742"><input type="checkbox"/></td> <td data-bbox="1198 1709 1420 1742">X</td> </tr> <tr> <td data-bbox="225 1742 943 1776">Handling and housing of live specimens</td> <td data-bbox="943 1742 1038 1776">X</td> <td data-bbox="1038 1742 1198 1776"><input type="checkbox"/></td> <td data-bbox="1198 1742 1420 1776"><input type="checkbox"/></td> </tr> </tbody> </table> <p data-bbox="225 1794 1420 1861">Please provide details if available: réglementation nationale de détention d'animaux régulièrement mise à jour</p>	Subject	Yes	No	No information	Access to or ownership of natural resources	<input type="checkbox"/>	<input type="checkbox"/>	X	Harvesting	<input type="checkbox"/>	<input type="checkbox"/>	X	Transporting of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	X	Handling and housing of live specimens	X	<input type="checkbox"/>	<input type="checkbox"/>	<p>Tick all applicable</p>																														
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9	<p>Please provide details of any additional measures taken:</p>																																																			

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	X	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	X	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	X	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify) : Tous établissements hébergeant de la faune sauvage captive (laboratoires, zoos, cirques, etc .)	X	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures <sup>1</sup> (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	X	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. Voir en annexe tableau récapitulatif : « infractions 2007-2008.doc »			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made? If so, please provide: 1) Number of live specimens: 1806 2) Number of dead specimens: 32297	X	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex.		Number <input type="checkbox"/> <input type="checkbox"/>	
6	Have there been any criminal prosecutions <sup>2</sup> of significant CITES-related violations?	X	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. Importations exportations ou réexportations illégales, absence de certificats article 10.			
8	Have there been any other court actions <sup>3</sup> of CITES-related violations?	X	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. Information non available			

<sup>1</sup> For the purposes of this biennial report, the term ‘administrative measures’ includes [insert more specific description of what administrative measures entail, e.g. what types of legal processes and how this differs from ‘criminal prosecutions’ and ‘court actions’ in questions below] , and is [inclusive/exclusive] of seizures and confiscations.

<sup>2</sup> ‘Criminal prosecutions’ of significant CITES-related violations include the following measures: [insert definition of types of measures to be considered criminal prosecutions in this biennial report, in order to differentiate this term from ‘administrative measures’ and ‘court actions’].

<sup>3</sup> ‘Other court actions’ of CITES-related violations include the following measures: [insert definition of types of measures to be considered ‘other court actions’ in this biennial report, in order to differentiate this term from ‘administrative measures’ and ‘criminal prosecutions’].

10	How were the confiscated specimens generally disposed of?	Tick if applicable	
	– Return to country of export		<input type="checkbox"/>
	– Public zoos or botanical gardens		X
	– Designated rescue centres		<input type="checkbox"/>
	– Approved, private facilities		X
	– Euthanasia		<input type="checkbox"/>
	– Other (specify) training		X
Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	X
Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	X
13	If Yes, please give a brief description:		
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>
		No	X
		No information	<input type="checkbox"/>
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>
		No	X
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
17	Please provide details of any additional measures taken:		

#### D. Administrative measures

##### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes	<input type="checkbox"/>
		No	X
		No information	<input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Is there more than one MA in your country?	Yes	X
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
3b	If there is more than one MA in your country, has a lead MA been designated?	Yes	X
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. Ministère de l'écologie, de l'énergie, du développement durable et de la mer, en charge des technologies vertes et des négociations sur le climat Direction de l'eau et de la biodiversité		

	Sous-direction de la protection et de la valorisation des espèces et de leurs milieux Bureau des échanges internationaux d'espèces menacées		
5	What is the total number of staff members that work in the MA(s)? Difficile à apprécier globalement. De nombreux agents sont à temps partiel seulement sur les dossiers CITES.		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	– Administration		<input checked="" type="checkbox"/>
	– Biology	Sometimes	<input checked="" type="checkbox"/>
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

## D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable	
	– Government institution		<input type="checkbox"/>
	– Academic or research institution		<input checked="" type="checkbox"/>
	– Permanent committee		<input type="checkbox"/>
	– Pool of individuals with certain expertise		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues?	22	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation : entre 5% et 80% du temps plein	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable	
	– Botany		<input checked="" type="checkbox"/>
	– Ecology		<input checked="" type="checkbox"/>
	– Fisheries		<input checked="" type="checkbox"/>
	– Forestry		<input type="checkbox"/>

	– Welfare		X
	– Zoology		X
	– Other (specify) (Environmental law)		X
	– No information		<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and indicate which kind of research was involved.		
	Species name	Populations	Distribution
	<i>Arapaima gigas</i>	X	X
	<i>Bos gaurus</i>	X	<input type="checkbox"/>
	<i>Anguilla anguilla</i>	X	X
	<i>Mirounga leonina</i>	X	X
			Off take
			Legal trade
			Illegal trade
			Other (specify)
			EEP coordination
			Spawning areas;
			Ecology
			No information
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
11	Please provide details of any additional measures taken:		

### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).		
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes No Under consideration No information	X <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: <ul style="list-style-type: none"> <li>• Office central de lutte contre les atteintes à l'environnement et à la santé publique (OCLAESP)</li> <li>• Direction générale des douanes</li> <li>• Office national de la chasse et de la faune sauvage (ONCFS)</li> </ul>		
5	Please provide details of any additional measures taken:		

### D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?	Tick if applicable
	– Monitoring and reporting of data on legal trade	X
	– Monitoring and reporting of data on illegal trade	<input type="checkbox"/>
	– Permit issuance	X
	– Not at all	<input type="checkbox"/>

	– Other (specify) <input type="checkbox"/>																														
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>																														
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Authority</th> <th style="width: 10%;">Yes, continuous and unrestricted access</th> <th style="width: 10%;">Yes, but only through a dial-up connection</th> <th style="width: 10%;">Yes, but only through a different office</th> <th style="width: 10%;">Some offices only</th> <th style="width: 10%;">Not at all</th> <th style="width: 30%;">Please provide details where appropriate</th> </tr> </thead> <tbody> <tr> <td>Management Authority</td> <td style="text-align: center;">X</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Scientific Authority</td> <td style="text-align: center;">X</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Enforcement Authority</td> <td style="text-align: center;">X</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	Management Authority	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Scientific Authority	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Enforcement Authority	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
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Enforcement Authority	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																										
3	Do you have an electronic information system providing information on CITES species?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>																											
4	If Yes, does it provide information on: <span style="float: right;">Tick if applicable</span>																														
	– Legislation (national, regional or international)?			X																											
	– Conservation status (national, regional, international)?			X																											
	– Other (please specify)? Other information from the WCMC database et the Secretariat website (range, quotas, Notifications, etc.)			<input type="checkbox"/>																											
5	Is it available through the Internet:	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Not applicable <input type="checkbox"/>																											
		No information <input type="checkbox"/>																													
	Please provide URL: <a href="http://cites.ecologie.gouv.fr">http://cites.ecologie.gouv.fr</a>																														
6	Do the following authorities have access to the following publications? <span style="float: right;">Tick if applicable</span>																														
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 45%;">Publication</th> <th style="width: 15%;">Management Authority</th> <th style="width: 15%;">Scientific Authority</th> <th style="width: 25%;">Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td><i>2003 Checklist of CITES Species</i> (book)</td> <td style="text-align: center;">X</td> <td style="text-align: center;">X</td> <td style="text-align: center;">X</td> </tr> <tr> <td><i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)</td> <td style="text-align: center;">X</td> <td style="text-align: center;">X</td> <td style="text-align: center;">X</td> </tr> <tr> <td><i>Identification Manual</i></td> <td style="text-align: center;">X</td> <td style="text-align: center;">X</td> <td style="text-align: center;">X</td> </tr> <tr> <td><i>CITES Handbook</i></td> <td style="text-align: center;">X</td> <td style="text-align: center;">X</td> <td style="text-align: center;">X</td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2003 Checklist of CITES Species</i> (book)	X	X	X	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	X	X	X	<i>Identification Manual</i>	X	X	X	<i>CITES Handbook</i>	X	X	X										
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<i>Identification Manual</i>	X	X	X																												
<i>CITES Handbook</i>	X	X	X																												
7	If not, what problems have been encountered to access to the mentioned information?																														
8	Have enforcement authorities reported to the Management Authority on: <span style="float: right;">Tick if applicable</span>																														
	– Mortality in transport?			<input type="checkbox"/>																											
	– Seizures and confiscations?			X																											
	– Discrepancy in number of items in permit and number of items actually traded?			X																											
	Comments: Communication partielle : ces informations ne sont pas toujours renseignées sur les permis et certificats.																														
9	Is there a government website with information on CITES and its requirements?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>																											

	If Yes, please give the URL: <a href="http://cites.ecologie.gouv.fr">http://cites.ecologie.gouv.fr</a>	
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable
	– Press releases/conferences	X
	– Newspaper articles, radio/television appearances	<input type="checkbox"/>
	– Brochures, leaflets	X
	– Presentations	X
	– Displays	<input type="checkbox"/>
	– Information at border crossing points	<input type="checkbox"/>
	– Telephone hotline	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	Please attach copies of any items as Annex.	
11	Please provide details of any additional measures taken:	

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Not applicable X	No information <input type="checkbox"/>	
	If no, please provide details of any:					
	Changes in permit format:					
	Changes in designation or signatures of relevant officials:					
2	To date, has your country developed written procedures for any of the following?	Tick if applicable				
		Yes	No	No information		
	Permit issuance/acceptance	X	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	X	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	X	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	23438	1397	33607	4079	
	How many applications were denied because of severe omissions or mis-information?	98	10	4	17	
	Year 2	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	23324	1369	36232	4578	
	How many applications were denied because of severe omissions or mis-information?	67	11	10	12	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes <input type="checkbox"/>	No X	No information <input type="checkbox"/>		
5	If Yes, please give the reasons for this.					

5b	What percentage of permits/certificates issued are returned to the MA after endorsement by Customs? About 80%	No information	<input type="checkbox"/>
6	Please give the reasons for rejection of CITES documents from other countries.	Tick if applicable	
	Reason	Yes	No
	Technical violations	X	<input type="checkbox"/>
	Suspected fraud	X	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment	X	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	X
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>
7	Are harvest and/or export quotas used as a management tool in the procedure for issuance of permits, such as [indicate whether the question refers to export quotas being used for issuing permits, or for exporting endemic species, and how harvest quotas might be used as a management tool for permit issuance as this is unclear]? Comments : Avant 2009, la France n'a jamais mis en place de quotas d'exportation car elle n'exporte pas de spécimens CITES prélevés dans la nature. Par contre, les quotas d'exportation publiés par les pays tiers rendent beaucoup plus faciles les NDF lors de l'importation en France de ces spécimens.	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
8	How many times has the Scientific Authority been requested to provide opinions?	Environ 2000 fois par an	
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?	Tick if applicable	
	– Issuance of CITES documents:		<input type="checkbox"/>
	– Licensing or registration of operations that produce CITES species:		<input type="checkbox"/>
	– Harvesting of CITES-listed species :		<input type="checkbox"/>
	– Use of CITES-listed species:		<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species:		<input type="checkbox"/>
	– Importing of CITES-listed species:		<input type="checkbox"/>
	– Other (specify):		<input type="checkbox"/>
10	If Yes, please provide the amounts of such fees.	No fee	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable	
	– Entirely:		<input type="checkbox"/>
	– Partly:		<input type="checkbox"/>
	– Not at all:		<input type="checkbox"/>
	– Not relevant:		X
	Comments:		
12	Please provide details of any additional measures taken:		

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable	
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement
	Development of implementation tools	X	Computerisation
	– Other (specify)		<input type="checkbox"/>

2	Have the following target groups in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?																																													
Please tick boxes to indicate which target group and which activity.																																														
Target group	<table border="1"> <thead> <tr> <th data-bbox="708 622 799 1014">Oral or written advice/guidance</th> <th data-bbox="799 622 890 1014">Technical assistance</th> <th data-bbox="890 622 1043 1014">Financial assistance</th> <th data-bbox="1043 622 1118 1014">Other (specify)</th> <th data-bbox="1118 622 1433 1014">What were the external sources?</th> </tr> </thead> <tbody> <tr> <td data-bbox="708 622 799 674"><input type="checkbox"/></td> <td data-bbox="799 622 890 674"><input type="checkbox"/></td> <td data-bbox="890 622 1043 674"><input type="checkbox"/></td> <td data-bbox="1043 622 1118 674"><input type="checkbox"/></td> <td data-bbox="1118 622 1433 674"></td> </tr> <tr> <td data-bbox="708 674 799 725"><input type="checkbox"/></td> <td data-bbox="799 674 890 725"><input type="checkbox"/></td> <td data-bbox="890 674 1043 725"><input type="checkbox"/></td> <td data-bbox="1043 674 1118 725"><input type="checkbox"/></td> <td data-bbox="1118 674 1433 725">ONCFS, OCLAESP</td> </tr> <tr> <td data-bbox="708 725 799 777">X</td> <td data-bbox="799 725 890 777"><input type="checkbox"/></td> <td data-bbox="890 725 1043 777"><input type="checkbox"/></td> <td data-bbox="1043 725 1118 777"><input type="checkbox"/></td> <td data-bbox="1118 725 1433 777"></td> </tr> <tr> <td data-bbox="708 777 799 828"><input type="checkbox"/></td> <td data-bbox="799 777 890 828"><input type="checkbox"/></td> <td data-bbox="890 777 1043 828"><input type="checkbox"/></td> <td data-bbox="1043 777 1118 828"><input type="checkbox"/></td> <td data-bbox="1118 777 1433 828"></td> </tr> <tr> <td data-bbox="708 828 799 880"><input type="checkbox"/></td> <td data-bbox="799 828 890 880"><input type="checkbox"/></td> <td data-bbox="890 828 1043 880"><input type="checkbox"/></td> <td data-bbox="1043 828 1118 880"><input type="checkbox"/></td> <td data-bbox="1118 828 1433 880"></td> </tr> <tr> <td data-bbox="708 880 799 931"><input type="checkbox"/></td> <td data-bbox="799 880 890 931"><input type="checkbox"/></td> <td data-bbox="890 880 1043 931"><input type="checkbox"/></td> <td data-bbox="1043 880 1118 931"><input type="checkbox"/></td> <td data-bbox="1118 880 1433 931"></td> </tr> <tr> <td data-bbox="708 931 799 983">X</td> <td data-bbox="799 931 890 983"><input type="checkbox"/></td> <td data-bbox="890 931 1043 983"><input type="checkbox"/></td> <td data-bbox="1043 931 1118 983"><input type="checkbox"/></td> <td data-bbox="1118 931 1433 983">ONCFS</td> </tr> <tr> <td data-bbox="708 983 799 1014"><input type="checkbox"/></td> <td data-bbox="799 983 890 1014"><input type="checkbox"/></td> <td data-bbox="890 983 1043 1014"><input type="checkbox"/></td> <td data-bbox="1043 983 1118 1014"><input type="checkbox"/></td> <td data-bbox="1118 983 1433 1014"></td> </tr> </tbody> </table>	Oral or written advice/guidance	Technical assistance	Financial assistance	Other (specify)	What were the external sources?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	ONCFS, OCLAESP	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	ONCFS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
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3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?																																													
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3b	Please provide details of training undertaken and training tools developed in this reporting period.					
	Year	Training	Subject	Training received by	Training provided by	Joint partners and collaborators
	2007	3 Ateliers de formation	Procédures	Organes de gestion locaux	Organe de gestion national	ONCFS MNHN
	2007	Cochabamba Workshop		Autorités boliviennes et exportateurs de cuir de crocodiliens	Organe de gestion national	Secrétariat AS Espagne
	2007	1 atelier de formation	Infractions	Magistrats	Autorité nationale	
	2007	16 Journées de formation	infractions	ONCFS/ jardinerie /divers	ONCFS	
	2008	Naivasha Workshop	NDF EU Regulations	Etats de l'aire de répartition de P. africana	Organe de gestion national	Secrétariat TRAFFIC AS Espagne, Allemagne
	2008	29 journées de formation	Infractions	ONCFS Jardinerie divers	ONCFS	
4	Please provide details of any additional measures taken					

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>				
2	If Yes, which agencies are represented and how often does it meet?	Toutes les administrations concernées se rencontrent une fois par an au plan national.						
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):	Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	At the national level have there been any efforts to collaborate with:	Tick if applicable		Details if available				
	Agencies for development and trade	<input type="checkbox"/>						
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>						
	Local authorities or communities	<input type="checkbox"/>						

	Indigenous peoples	<input type="checkbox"/>	
	Trade or other private sector associations	X	
	NGOs	X	
	Other (specify)	<input type="checkbox"/>	
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA		<input type="checkbox"/>
	Customs		<input type="checkbox"/>
	Police		<input type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops		X
	Meetings		X
	Regional enforcement networks		X
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes	X
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
8	If Yes, which one(s) and in what way? Bosnie Herzégovine : début 2008, à l'occasion de l'importation d'un ours Andorre : Désignation de l'organe de gestion et de l'autorité scientifique		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes	X
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided? : Financement de groupes de travail et d'ateliers organisés par le Secrétariat CITES		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>
		No	X
		No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	X
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	X	<input type="checkbox"/>
	Improvement of national networks	X	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		X
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty? <ul style="list-style-type: none"> <li>• Commerce des coraux morts (Résolution Conf. 11.10)</li> <li>• Effets personnels (Résolution Conf. 13.7)</li> </ul>			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input type="checkbox"/>
		No		X
		No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		X
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description. <ul style="list-style-type: none"> <li>• Petits objets en cuir de crocodiliens</li> <li>• Produits dérivés d'<i>Euphorbia antisyphilitica</i></li> </ul>			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report.

For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	X
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	X
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	X
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	X
		Not relevant	<input type="checkbox"/>

C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	X
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input type="checkbox"/>
		Not available	X
		Not relevant	<input type="checkbox"/>

Part 2 - Supplementary Questions

Note: Questions in Part 2 are additional to those in Part 1, and relate to information on the provisions of the EC Regulations (Regulation (EC) No. 338/97 and Regulation (EC) No. 865/2006) that fall outside the scope of CITES.

**PART 2 SUPPLEMENTARY QUESTIONS**

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

**B. Legislative and regulatory measures**

1b	If not already provided under questions B2 and B4, please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.	
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:	
	Title and date:	Status:

Brief description of contents:																																																													
5b	<p>Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species<sup>4</sup>? Oui :</p> <ul style="list-style-type: none"> <li>• Arrêté du 19 février 2007 fixant les conditions de demande et d'instruction des dérogations définies au 4o de l'article L.411-2 du code de l'environnement portant sur des espèces de faune et de flore sauvages protégées</li> <li>• Arrêté du 19 février 2007 modifiant les arrêtés du 17 avril 1981 modifié fixant les listes des mammifères protégés sur l'ensemble du territoire, du 7 octobre 1992 fixant la liste des mollusques protégés sur le territoire métropolitain, du 22 juillet 1993 fixant la liste des insectes protégés sur le territoire national et du 22 juillet 1993 fixant la liste des amphibiens et des reptiles protégés sur l'ensemble du territoire</li> <li>• Arrêté du 23 février 2007 fixant les conditions d'autorisation d'introduction d'esturgeons et la procédure d'autorisation des établissements procédant au conditionnement ou au reconditionnement du caviar à des fins d'exportation, de réexportation ou de commerce intracommunautaire</li> <li>• Arrêté du 23 avril 2007 fixant les listes des insectes protégés sur l'ensemble du territoire et les modalités de leur protection</li> <li>• Arrêté du 23 avril 2007 fixant les listes des mollusques protégés sur l'ensemble du territoire et les modalités de leur protection</li> <li>• Arrêté du 23 avril 2007 fixant la liste des mammifères terrestres protégés sur l'ensemble du territoire et les modalités de leur protection</li> <li>• Arrêté du 2 mai 2007 interdisant la commercialisation, l'utilisation et l'introduction dans le milieu naturel de <i>Ludwigia grandiflora</i> et <i>Ludwigia peploides</i></li> <li>• Arrêté du 19 novembre 2007 fixant la liste des insectes de la Guadeloupe protégés sur l'ensemble du territoire et les modalités de leur protection</li> <li>• Arrêté du 19 novembre 2007 fixant la liste des insectes de la Réunion protégés sur l'ensemble du territoire et les modalités de leur protection</li> <li>• Arrêté du 19 novembre 2007 fixant les listes des amphibiens et des reptiles protégés sur l'ensemble du territoire et les modalités de leur protection</li> <li>• Arrêté du 5 mars 2008 modifiant les arrêtés du 10 août 2004 fixant les règles générales de fonctionnement des installations d'élevage d'agrément d'animaux d'espèces non domestiques et du 10 août 2004 fixant les conditions d'autorisation de détention d'animaux de certaines espèces non domestiques dans les établissements d'élevage, de vente, de location, de transit ou de présentation au public d'animaux d'espèces non domestiques</li> <li>• Arrêté du 29 avril 2008 relatif à la protection et à la commercialisation de certaines espèces de mammifères sur le territoire national</li> </ul> <p>Textes disponibles sur Légifrance (<a href="http://www.legifrance.gouv.fr">http://www.legifrance.gouv.fr</a>)</p> <p>Tick all applicable categories below that these categories apply to.</p> <table border="1"> <thead> <tr> <th rowspan="2">Issue</th> <th colspan="3">The conditions for:</th> <th colspan="3">The complete prohibition of:</th> </tr> <tr> <th>Yes</th> <th>No</th> <th>No information</th> <th>Yes</th> <th>No</th> <th>No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Additional comments</td> <td colspan="6"></td> </tr> </tbody> </table>						Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	Taking	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	Possession	X	<input type="checkbox"/>	Transport	X	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	Additional comments																			
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Transport	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																							
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																							
Additional comments																																																													
8b	<p>Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i>?</p>																																																												

<sup>4</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

	Yes	No	No information
Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	X	<input type="checkbox"/>	<input type="checkbox"/>
Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	X	<input type="checkbox"/>
Please provide details if available	Stratégie nationale en cours d'élaboration		
9b	Please provide the following details about Regulations-related violations:		
	i) Maximum penalties that may be imposed;		
	ii) Maximum sanctions which have been imposed over this reporting period;		
	iii) The outcomes of any prosecutions;		
	iv) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).		
	Les infractions peuvent être sanctionnées au titre du code des douanes et au titre du code de l'environnement.		
	<u>Code des douanes</u> : emprisonnement de trois ans maximum, confiscation de l'objet de fraude, confiscation des moyens de transport, confiscation des objets servant à masquer la fraude et amende comprise entre une et deux fois la valeur de l'objet de fraude		
	<u>Code de l'environnement</u> : maximum de 6 mois d'emprisonnement et de 9 000 € d'amende + saisie de l'objet de l'infraction ainsi que des instruments et véhicules ayant servi à commettre l'infraction. Les frais de transport, d'entretien et de garde des objets saisis sont supportés par le prévenu.		

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	X
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes	<input type="checkbox"/>
		No	X
		No information	<input type="checkbox"/>
	Comments:		
17 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes	X
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments:		
18 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes	X
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments: Un suivi continu des établissements hébergeant de la faune sauvage captive est assuré par les Directions Départementales des Services vétérinaires		
19 new	Have any monitoring activities been undertaken to ensure that live animals are transported in accordance with Article 9 paragraph 5 of <i>Council Regulation (EC) No. 338/97</i> ?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	X
	Comments: Ces contrôles relèvent du ministère de l'agriculture et de la pêche		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes	<input type="checkbox"/>
		No	X
		No information	<input type="checkbox"/>

	Comments: en préparation		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph, IIb.)  If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> X
	Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph, IIc.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments: La décision du juge prend souvent en compte cette valeur, les peines prévues étant des maximum.		
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph, II d.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments: au moins une fois par an		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments: contrôles réguliers et ciblés		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph, IIh.)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> X
	Comments:		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph, Iii.)	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
	Comments:		
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph, IIIe.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments: Non communication du détail par les douanes.		
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph, IIIj.)	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
	Comments:		

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph, IIIk.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: Par exemple, atelier sur <i>Prunus Africana</i> au Cameroun			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
10 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
		Not relevant	

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?					Yes	<input checked="" type="checkbox"/>
						No	<input type="checkbox"/>
						No information	<input type="checkbox"/>
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
						No information	<input type="checkbox"/>
Le Muséum national d'histoire naturelle mène des activités de recherche sur un nombre très important de taxons non CITES.							

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	11
	Indicate any difficulties that rendered attendance to the SRG difficult:		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species		<input type="checkbox"/>
	– Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees.	No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Number : environ 80%	
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
	If yes, please attach. : Journal officiel de l'Union européenne	No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
	If yes, please provide details.	No information	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>• Procédures simplifiées «spécimens morts : 2 nouveaux agréments en 2007-2008. 100 agréments en cours au total sur la période.</li> <li>• Procédures simplifiées échantillons biologiques : Aucun nouvel agrément en 2007-2008. 2 agréments en cours sur la période.</li> </ul>		
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
	If yes, please provide details.	No information	<input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
	If yes, please provide details.	No information	<input type="checkbox"/>

18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <ul style="list-style-type: none"> <li>• Conditionnement : 4 autorisations</li> <li>• Reconditionnement : 12 autorisations</li> </ul>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. Objets personnels	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8(4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

# GERMANY

**Convention on International Trade in  
Endangered Species of Wild Fauna and Flora**

**Biennial Report  
of the  
Federal Republic of Germany**

**PART 1 – CITES Questions**

**Note:** Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004

## A. General information

Party	<b>Federal Republic of Germany</b>
Period covered in this report: 1 January 2007 to 31 December 2008	
Details of agency preparing this report	<b>Federal Agency for Nature Conservation</b> Konstantinstraße 110 D – 53179 Bonn Germany
Contributing agencies, organizations or individuals	1. <b>Federal Ministry for Environment, Nature Conservation and Nuclear Safety</b> Robert-Schuman-Platz 3 D – 53175 Bonn Germany  2. <b>The Federal States ‘Laender’</b> of the Federal Republic of Germany

## B. Legislative and regulatory measures

1	<p>Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.</p>	<p>Yes (fully) <input checked="" type="checkbox"/>          Yes (partly) <input type="checkbox"/>          No <input type="checkbox"/>          No information/unknown <input type="checkbox"/></p>
2	<p>If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:</p> <p><b>European Union (EU) law: EC Wildlife Trade Regulations (WTR)</b>          Since 1984, the European Union has been implementing the provisions of CITES, the Convention on International Trade in Endangered Species of Wild Fauna and Flora, through common regulations. The most recent of these are the basic Regulation, currently <i>Council Regulation (EC) No 338/97 on the Protection of the Species of Wild Fauna and Flora by Regulating Trade Therein</i> (entry into force: 1<sup>st</sup> June 1997) and its successive implementing Regulation, currently <i>Commission Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97</i> (entry into force: 9<sup>th</sup> July 2006). These two regulations must be read together to understand the European Community wildlife trade law.          Regulation No 338/97 has four Annexes of which A, B and C largely correspond to the first three appendices of the Convention. However these Annexes do also include some non-CITES listed species protected under EU internal legislation. The Annexes are revised according to the CITES appendices and were lastly amended through Commission Regulation (EC) No 318/2008 implementing the decisions at CITES CoP 14 (entry into force: 11<sup>th</sup> April 2008).          The implementing Regulation (Commission Regulation (EC) 865/2006) was amended by Commission Regulation (EC) 100/2008 (entry into force: 25<sup>th</sup> April 2008) which incorporates Resolutions and Decisions adopted at CoP 13 and CoP 14, e.g. standard nomenclature, pre-Convention status, personal effects exemptions (caviar, seahorses, giant clam shells), restrictions for trade in caviar, sample collections covered by ATA carnets, retrospective issuance of documents or the new CITES Biennial Report format.          In addition, there are EC Trade Suspension Regulations, currently Commission Regulation (EC) No 811/2008 suspending the introduction into the European Community of certain wild fauna and flora. All regulations are available through www under <a href="http://ec.europa.eu/environment/cites/home_en.htm">http://ec.europa.eu/environment/cites/home_en.htm</a>.          Places of introduction and export designated by EU Member States for Trade with third countries were revised and newly published (Official Journal of the EU, C 72, p. 52 ff., see also <a href="http://ec.europa.eu/environment/cites/pdf/list_points_of_entry.pdf">http://ec.europa.eu/environment/cites/pdf/list_points_of_entry.pdf</a>) as well as names and addresses of the EU Management and Scientific Authorities (Official Journal of the EU, C 72, p. 1 ff, see also <a href="http://ec.europa.eu/environment/cites/pdf/list_authorities.pdf">http://ec.europa.eu/environment/cites/pdf/list_authorities.pdf</a>).  <b>National German Law</b>          The Federal Nature Conservation Act &lt;BNatSchG&gt; has been in force since 4<sup>th</sup> April 2002. Further revisions in 2007 and 2008 have not altered regulations which implement CITES or EC Wildlife Trade Regulations. Part Five of the Federal Nature Conservation Act is dealing with the protection of wild fauna and flora. The Federal Nature Conservation Act also grants powers to impose further more detailed rules which are laid down in the Federal Ordinance on the Conservation of Species (&lt;BArtSchV&gt;, Federal Law Gazette I, p. 258). The national instruments of protection ensure effective enforcement of the international and European rules on the conservation of species. Both, directives and international conventions of relevance to species conservation are implemented. As far as transposition of the EC Birds Directive (removal, possession, keeping) is concerned, the Federal Game Conservation Ordinance &lt;BWildSchV&gt; (Federal Law Gazette I, 1985, p. 2040) contains provisions in respect of the species governed by German hunting law (Annex 1 to the Federal Game Conservation Ordinance).          Specifically, the Federal Nature Conservation Act comprises the following provisions:</p> <ul style="list-style-type: none"> <li>• The legal protection of species listed in Annex A and Annex B of the EC Regulation on species conservation as so-called specially protected species under national law;</li> </ul>	

	<ul style="list-style-type: none"> <li>• Information and access rights (Art. 50 of the Federal Nature Conservation Act);</li> <li>• The legal protection of species listed in Annex IV of the Habitat Directive 92/43/EEC and those European bird species protected under the Bird Directive 79/409/EEC;</li> <li>• The legal protection of indigenous species (Annex 1 of the Federal Ordinance on the Conservation of Species);</li> <li>• General protection of all wild species occurring in Germany (Art. 41 of the Federal Nature Conservation Act: prohibition of removal, access and disturbance; introduction);</li> <li>• Prohibition of possession of specially protected species (Art. 42 para. 2 no. 1 of the Federal Nature Conservation Act);</li> <li>• National prohibition on the marketing of species not covered by the EC Regulation (Art. 42 para. 2 no. 2 of the Federal Nature Conservation Act);</li> <li>• Duty to furnish evidence of legal acquisition (Art. 49 of the Federal Nature Conservation Act);</li> <li>• Prohibition on ownership (Art. 7 of the Federal Ordinance on the Conservation of Species &lt;BArtSchV&gt;, Art. 3 of the Federal Game Conservation Ordinance &lt;BWildSchV&gt;), and animal holding permits under <i>Länder</i> legislation;</li> <li>• Book-keeping and notification obligations (Art. 6 and Art. 7 para. 2 of the Federal Ordinance on the Conservation of Species);</li> <li>• Marking obligations (Art. 52 para. 7 no. 2 of the Federal Nature Conservation Act, Art. 12 ff. of the Federal Ordinance on the Conservation of Species);</li> <li>• Provisions to regulate and restrict the breeding, keeping and training in the wild of hybrids of birds of prey (Art. 8 ff. of the Federal Ordinance on the Conservation of Species);</li> <li>• Provisions to regulate and restrict the keeping, transfer and sale of live animals of certain alien invasive species (Art. 3 of the Federal Ordinance on the Conservation of Species).</li> </ul>																																																
3	<p>Is enacted legislation available in one of the working languages of the Convention?</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																																																
4	<p>If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.</p> <p>legislation attached <input type="checkbox"/></p> <p>provided previously <input checked="" type="checkbox"/></p> <p>not available, will send later <input type="checkbox"/></p>																																																
5	<p>Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable</p> <table border="1" data-bbox="225 1288 1426 1579"> <thead> <tr> <th rowspan="2">Issue</th> <th colspan="3">The conditions for:</th> <th colspan="3">The complete prohibition of:</th> </tr> <tr> <th>Yes</th> <th>No</th> <th>No information</th> <th>Yes</th> <th>No</th> <th>No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td><input checked="" type="checkbox"/>1)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td><input checked="" type="checkbox"/>2)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td><input checked="" type="checkbox"/>2)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td><input checked="" type="checkbox"/>1)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td><input checked="" type="checkbox"/>2)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table> <p>Additional comments</p> <p>1) EU regulation (EC) No. 338/97 provides for stricter measures for the trade in endangered species; conditions for intra-community trade and transport are also harmonized by that regulation.</p> <p>2) National law provides for regulations regarding taking, possession and other restrictions as explained under B 2.</p>	Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	<input checked="" type="checkbox"/> 1)	<input type="checkbox"/>	Taking	<input checked="" type="checkbox"/> 2)	<input type="checkbox"/>	Possession	<input checked="" type="checkbox"/> 2)	<input type="checkbox"/>	Transport	<input checked="" type="checkbox"/> 1)	<input type="checkbox"/>	Other (specify)	<input checked="" type="checkbox"/> 2)	<input type="checkbox"/>																				
Issue	The conditions for:			The complete prohibition of:																																													
	Yes	No	No information	Yes	No	No information																																											
Trade	<input checked="" type="checkbox"/> 1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																											
Taking	<input checked="" type="checkbox"/> 2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																											
Possession	<input checked="" type="checkbox"/> 2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																											
Transport	<input checked="" type="checkbox"/> 1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																											
Other (specify)	<input checked="" type="checkbox"/> 2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																											

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable	
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please specify):	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<p>The EU Wildlife Trade Regulations (Council Regulation (EC) 338/97 and its successive implementing Regulations, currently Commission Regulation (EC) 865/2006), have now been in place for over 10 years. The EU Commission decided to launch a study on the effectiveness of the Regulations, which was carried out by TRAFFIC and IUCN and completed in December 2007. The purpose of the study was to assess the effectiveness of the current EC Wildlife Trade Regulations in relation to the objectives of CITES to ensure that trade in species of wild fauna and flora does not threaten their survival. The study provided also options on how to address the issues and shortcomings identified. The Study can be downloaded from the following website:  <a href="http://ec.europa.eu/environment/cites/pdf/studies/effectiveness_study.pdf">http://ec.europa.eu/environment/cites/pdf/studies/effectiveness_study.pdf</a></p> <p>The Study concludes that by and large the basic Regulation (Council Regulation (EC) No. 338/97) is effective in achieving the objective of CITES to ensure that trade in species is sustainable. Hence, at this stage a full revision is not necessary. However, there is considerable scope for rendering the Regulatory system more efficient and effective by revising the implementing Regulation (Commission Regulation (EC) 865/2006) or by developing guidelines for the implementation of both Regulations.</p>						
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
Please provide details if available: The follow-up process of the 'Effectiveness Study' (see B 6) will be continued and probably finalized in 2010 by amending the implementing Regulation (Commission Regulation (EC) 865/2006) and/or adopting guidelines.						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable	
	Subject	Yes	No	No information		
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
Please provide details if available:						
9	Please provide details of any additional measures taken:					

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>see Attachment 1</b>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify) (regular checks of trade through the world wide web)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			<b>see Attachment 2</b>
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex.	Number <b>see Attachment 3</b>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			<b>see Attachment 4</b>
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			<b>see Attachment 5</b>
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input checked="" type="checkbox"/>
	– Approved, private facilities			<input checked="" type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	No	<input checked="" type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments: ECOMESSAGE only used by police to inform Interpol			

12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
13	<p>If Yes, please give a brief description:</p> <p><b>Cooperation with institutions</b></p> <p>During the reporting period significant preparatory input and cooperation was provided to the CITES Enforcement Working Group of the European Union, the Interpol Wildlife Crime Working Group and the WCO Working Group on CITES issues.</p> <p><b>Bilateral cooperation</b></p> <p>During the reporting period numerous exchanges of intelligence with different countries occurred such as with:</p> <ul style="list-style-type: none"> <li>- Belgium, Luxemburg and Poland on several cases on illegal shipments of caviar;</li> <li>- Belgium, Netherlands, France, United Kingdom, the Czech Republic, Slovenia and Croatia on several cases on illegal trade in live reptiles, in particular tortoises;</li> <li>- Brazil and the Czech Republic on illegal transaction of parrots;</li> <li>- South Africa, Cameroon and the USA on illegal offers of parrots;</li> <li>- South Africa on illegal offer of reptiles, in particular tortoises.</li> </ul>	
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
15	If Yes, please describe:	
16	Has there been any review or assessment of CITES-related enforcement?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
Comments: Regular review by the CITES Management Authority and the Customs Investigations Agency		

17 Please provide details of any additional measures taken:

**Enhancement of German customs enforcement in international trade in caviar**

The German Customs Criminal Agency (ZKA) had issued on 9<sup>th</sup> January 2007 a circular to all Customs Frontier Clearance Offices, to all Customs Investigation Services and all Main Customs Offices about fraud possibilities when releasing caviar for free circulation, into a customs warehousing procedure or in a transit procedure. According to internal investigations German customs found out that from in 2003 researched caviar containers up to 33% had been wrongly declared. For example containers had been declared as 'Osietra' (i.e. caviar from *Acipenser gueldenstaedtii*) whereas the tins actually contained 'Beluga' (i.e. Caviar from *Huso huso*) in order to reduce fiscal taxes. However the investigations revealed also declarations the other way round. The regional finance office at Hamburg did establish readily available molecular research methods to determine exactly the species from which the caviar originated. The circular issued by the ZKA encourages all customs authorities to send chilled samples of not more than 10 grams of shipments larger than 15 kilograms for respective investigations to the regional finance office in Hamburg.

**Risk List of CITES protected Appendix II/ EU Council Regulation No 338/97 Annex B species**

Within the European Community discussions on the establishment of list of animal and plant species listed in CITES Annex II and in Annex B of the EU Council Regulation which require special attention by officials from CITES enforcement authorities had already been established a few years ago. During the reporting period the CITES Management Authority (MA) of the Federal Agency for Nature Conservation (FANC) had for national purposes only reviewed and amended this EU-list which the EU Enforcement Working Group had set up based on following criteria:

- The species is highly in demand and in particular by specialists;
- The species is hardly or rather difficult to breed or artificially to propagate in captivity;
- There have been EU import restrictions established or there is a negative opinion by the Scientific Working group for the respective species;
- The species has been regularly monitored in illegal trade; and
- There is no information available on legal trade for the respective species.

The risk list is not a closed list but constantly under review. It is considered to assist all enforcement agencies within Germany to apply specific and more intensified enforcement efforts in particular with regard to the legality of the species included in the list when these are detected within trade. The amended list was submitted by the CITES MA of the FANC to the Federal States CITES authorities in November 2007.

**Enhancement of enforcement in international trade in CITES protected timber**

In late 2007 the 'Standing Committee on Species and Biotope Conservation' of the *Bund/Länder* working group for Nature Conservation (BLANA) agreed at its 52<sup>nd</sup> meeting on the establishment of a CITES timber enforcement subcommittee under the chairmanship of the FANC. During the reporting period the subcommittee met twice. Major objectives of its work are to identify areas where controls of CITES protected timber species needs to be enhanced and intensified (i.e. builders yards, toy industry, music instrumental industries), how to handle stocks of CITES protected timber which had been acquired before CITES conservation legislation came into effect and finally to develop standardized guidelines for internal controls to be conducted by Federal State Authorities

**Implementation of EU Commission Recommendation C (2007) 2551 of 13<sup>th</sup> June 2007**

With regard to the implementation of the EU Enforcement Action Plan the 'Standing Committee on Species and Biotope Conservation' of the *Bund/Länder* working group for Nature Conservation (BLANA) agreed at its 53<sup>rd</sup> meeting in May 2008 on two main focus areas in CITES enforcement in 2008 and 2009, i.e. international trade in caviar and in live turtles and tortoises. With regard to caviar an enforcement strategy paper had been approved with clear targets and deadlines including country wide standardized controls of the caviar trade in close co-operation with federal (customs investigations agencies) and regional authorities which were carried out between 1<sup>st</sup> November and 15<sup>th</sup> December 2008.

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. The leading CITES Management Authority as identified in the CITES Directory is the Federal Ministry for Environment, Nature Conservation and Nuclear Safety Robert-Schumann-Platz 3 D – 53175 Bonn Germany	
5	How many staff works in each MA?	At the Federal Agency for Nature Conservation 26 persons
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input checked="" type="checkbox"/>
	– Biology	<input checked="" type="checkbox"/>
	– Economics/trade	<input type="checkbox"/>
	– Law/policy	<input checked="" type="checkbox"/>
	– Other (specify) Veterinary	<input checked="" type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.  <p><b>Examination of the declaration of origin for timber by means of distribution of isotopes</b>                  Germany's Federal Foundation for the Environment ('Deutsche Bundesstiftung Umwelt') had funded during the reporting period a research project (project No. AZ 238957/312) undertaken by WWF Germany to develop a method by use of stable isotopes in order to determine the specific origin of timber. The principle objectives of the research project were to test the application of stable isotopes among different timber and to develop practical tools for enforcement purposes to allow detecting timber from illegal origin. The use of stable isotopes had proven to be rather successful examine trade in food items to detect their correct origin. The project which was undertaken on timber samples from Northern Europe and from SE Asia had proven that the applied method can be used. However the amount of samples researched will not allow to properly detecting country specific origins. More research is required. The 98 pages report was submitted in June 2008.</p> <p><b>Sustainable caviar production without the necessity of killing any sturgeons</b>                  Already in 2005 Germany's CITES Management Authority had motivated and encouraged the 'Alfred-Wegener-Institut für Polar- und Meeresforschung (AWI)', which is a German Federal Government funded polar and ocean research institute established nearly thirties years ago as a foundation of public law, to continue it's research on developing non-lethal methods to produce high quality caviar from sturgeons raised in aquaculture.</p>	

	<p>Since the protection under CITES of all sturgeon species worldwide the production of caviar from wild caught sturgeons has dramatically decreased. Irrespective of this development the demand in caviar worldwide remains high. This development has provided great incentives to develop both 'in-situ' and 'ex-situ' aquaculture for various sturgeon species including hybrids.</p> <p>Although growing fast in aquaculture, sturgeons need 5 to 8 years to mature until caviar can be harvested for the first time. In view of fish meal prizes which have doubled within the past 20 years and high operation costs of the culture plant, it is not efficient if the animals can be harvested for caviar only once in their lives. Until now, the caviar production from ripe ovulated eggs without killing the fish implicated a number of major problems. Contact to water during the washing process converts the outer layer of the ripe egg into a jelly mass, sticking together. Subsequent adding of salt let the soft eggs explode and the result is a slimy mass. Only unripe eggs harvested by opening the fish's body cavity were stable enough to sustain the procedure of making caviar. Caesarean sections to harvest immature caviar generally result in reduced fertility or often in death.</p> <p>By analyzing natural processes during egg fertilization, a new technique for caviar production from ovulated stripped sturgeon eggs was developed and patented by the AWI in 2008 at the German Patent and Trade Mark Office (DPMA) where the publication can be accessed in a searchable database (<a href="http://depatisnet.dpma.de/DepatisNet/depatisnet?window=1&amp;space=main&amp;content=recherche&amp;action=einsteiger&amp;session=c23b66f230dd48c72faf313941828207a1892d5c2163&amp;stamp=11534">http://depatisnet.dpma.de/DepatisNet/depatisnet?window=1&amp;space=main&amp;content=recherche&amp;action=einsteiger&amp;session=c23b66f230dd48c72faf313941828207a1892d5c2163&amp;stamp=11534</a>) under publication number DE 102005050723. The advantages of the new technology are obvious: No denaturising and quality loss of a high value luxury delicacy as eggs remain in their native state. According to the positive response of star cooks and caviar traders, the result is a highly tasty caviar meeting the expectations of a spoiled gourmet. Harvesting of eggs is harmless for the fish and can be repeated over many production cycles. Further information on the research findings can also be accessed under following website:  <a href="http://www.awi.de/de/institut/allgemeine_dienste/technologietransfer/marine_biotechnologies/sustainable_production_of_caviar/">http://www.awi.de/de/institut/allgemeine_dienste/technologietransfer/marine_biotechnologies/sustainable_production_of_caviar/</a></p>
10	Please provide details of any additional measures taken:

## D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>				
2	If Yes, please use the opportunity to provide those changes here.						
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>				
4	What is the structure of the SA(s) in your country? – Government institution – Academic or research institution – Permanent committee – Pool of individuals with certain expertise – Other (specify)	Tick if applicable <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>					
5	How many staff work in each SA on CITES issues?  There are totally 8 persons in both Scientific Authorities involved and working on CITES issues.						
6	Can you estimate the percentage of time they spend on CITES related matters? If yes, please give estimation	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>				
The percentage of time the 8 persons mentioned under paragraph 5 invest in CITES issues would correspond to roughly 3,5 full time posts							
7	What are the skills/expertise of staff within the SA(s)? – Botany – Ecology – Fisheries – Forestry – Welfare – Zoology – Other (specify) Geography – No information	Tick if applicable <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>				
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
	If Yes, please give the species name and provide details of the kind of research involved.					No information	<input type="checkbox"/>
<p><b>Update and translation of the computer based identification tool <a href="#">CITESwoodID</a> into all official CITES languages</b></p> <p>A new version of the CITES timber identification programme CITESwoodID has been developed between September 2007 and August 2008. The programme is now available in an English, French and Spanish version. The new versions also include now the newly at CITES CoP 14 listed species <i>Bulnesia sarmientoi</i>, <i>Dalbergia retusa</i>, <i>Dalbergia stevensonii</i> and <i>Caesalpinia echinata</i>.</p> <p>In total, the programme comprises 12 trade relevant CITES timber species and 45 non-CITES look-alike-taxa with similar appearance. The CD should serve as a practical and quick approach for all persons and institutions involved in controlling import as well as export of wood and wood products of</p>							

CITES listed timber species. The primary objective of using the CD-ROM is to exclude timbers that are definitely not regulated under CITES and to provide a scientific basis for a simple risk analysis for any enforcement officials.

**Study on utilization, trade and conservation of *Hoodia gordonii* in Southern Africa**

In 2007 a study was carried out to investigate the population status, harvesting and trade of the CITES listed succulent species *Hoodia gordonii* in Southern Africa. Due to its use as an appetite suppressant, *Hoodia* raw material and products are significantly represented in international trade which is a matter of conservation concern. The study indicated that almost all traded material originated from the wild but that cultivated material will be provided now in large amounts.

**Development of a proposal for CoP 14 to amend annotations for medicinal plant species**

At the request of the CITES Plants Committee Germany's Scientific Authority for plants chaired a working group to review the existing annotations for CITES-listed medicinal plants species and developed in 2007 a proposal to amend annotations for several medicinal plant taxa. This initiative was necessary as the existing annotations did not adequately reflect the commodities in trade and included some ambiguous terms which led to confusion in trade reporting.

**Development of principles, criteria and indicators for making non-detriment findings for wild specimens of medicinal plants.**

Since the 17<sup>th</sup> meeting of the CITES Plants Committee in 2008 Germany has been chairing a working group to develop principles, criteria and indicators for the making of non-detriment findings for wild specimens of medicinal plants. The group has been working intersessionally. It has provided significant input to the 'International Expert Workshop on CITES Non-detriment Findings' held in November 2008 in Cancun, Mexico.

**Trade in and conservation of two shark species, Porbeagle (*Lamna nasus*) and Spiny Dogfish (*Squalus acanthias*)**

Since the 14<sup>th</sup> CITES Conference of the Parties (CoP) Germany's Scientific Authority did continuously collect new information on trade and conservation of the two shark species mentioned above in order to comprehensively revise and update the listing proposals presented at CITES CoP14 as Germany is considering to resubmit these proposals at the 15<sup>th</sup> CoP of CITES again.

10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
11	Please provide details of any additional measures taken:		

### D3 Enforcement Authorities

1	To date has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement:  Federal Agency for Nature Conservation (FANC) Division Z 3.3 "Legal Affairs and Enforcement" Konstantinstrasse 110 D – 53179 Bonn Germany	
5	Please provide details of any additional measures taken:  The CITES Management Authority (MA) of the Federal Agency for Nature Conservation had taken note of the EU Enforcement Action Plan, published on 20 <sup>th</sup> June 2007 in the official journal of the EU No. L 159/07 (Document 2007/425/EC) and began after the 14 <sup>th</sup> CoP to CITES to nationally implement the recommendations contained in the action plan on which the Community had agreed upon unanimously.  Since September 2007 the CITES MA started several initiatives on a national level to develop a national enforcement action plan in accordance with the provisions of CITES Resolution Conf 11.3 (Rev. CoP 14).  Some of the actions recommended in the national action plan had already been implemented in Germany such as the rapid exchange of important information by electronic means through an electronic CITES newsletter, public awareness campaigns, training of enforcement officers or strong working relations among German officials in the different authorities and enforcement agencies. Such working relations are backed up both by formalized but also not formalized agreements and strong government support.  In addition to these activities Germany had nationally started a process to implement further actions recommended in the EU Enforcement Action Plan. All relevant enforcement and management authorities involved in the implementation of CITES have been invited to collaborate on the creation and implementation of a national action plan. To meet these objectives an Interagency National Action Plan Working Group (IANAP-WG) was established at the Federal Agency for Nature Conservation. The IANAP-WG met already twice, i.e. in September 2007 and in February 2008. The IANAP-WG includes representatives from German customs services, the police, national and local CITES Management Authorities and other enforcement bodies. The main objectives and targets of this group are: <ul style="list-style-type: none"> <li>- to improve overall inter-agency cooperation;</li> <li>- to collect and distribute relevant information;</li> <li>- to identify enforcement priorities;</li> <li>- to coordinate public relation activities; and</li> <li>- to control and coordinate adequate training activities.</li> </ul>	

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>							
	– Monitoring and reporting of data on legal trade <span style="float: right;"><input checked="" type="checkbox"/></span>							
	– Monitoring and reporting of data on illegal trade <span style="float: right;"><input checked="" type="checkbox"/></span>							
	– Permit issuance <span style="float: right;"><input checked="" type="checkbox"/></span>							
	– Not at all <span style="float: right;"><input type="checkbox"/></span>							
– Other (specify) <span style="float: right;"><input type="checkbox"/></span>								
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>							
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
3	Do you have an electronic information system providing information on CITES species?					Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
4	If Yes, does it provide information on: <span style="float: right;">Tick if applicable</span>							
	– Legislation (national, regional or international)? <span style="float: right;"><input checked="" type="checkbox"/></span>							
	– Conservation status (national, regional, international)? <span style="float: right;"><input checked="" type="checkbox"/></span>							
	– Other (please specify)? <span style="float: right;"><input checked="" type="checkbox"/></span>							
	Import restrictions, trade statistics, permit application procedures etc.							
	<b>Trade statistics:</b>							
	<a href="http://www.bfn.de/0305_statistik+M5054de7a952.html">http://www.bfn.de/0305_statistik+M5054de7a952.html</a>							
	<b>Permit application procedures:</b>							
	<a href="http://www.bfn.de/0305_antragstellung+M5054de7a952.html">http://www.bfn.de/0305_antragstellung+M5054de7a952.html</a>							
	<b>Import of hunting trophies:</b>							
<a href="http://www.bfn.de/0305_jagd+M5054de7a952.html">http://www.bfn.de/0305_jagd+M5054de7a952.html</a>								
<b>Personal effects:</b>								
<a href="http://www.bfn.de/0305_pers_gebrauch+M5054de7a952.html">http://www.bfn.de/0305_pers_gebrauch+M5054de7a952.html</a>								
<b>Costs ordinance:</b>								
<a href="http://www.bfn.de/0305_kosten_vo+M5054de7a952.html">http://www.bfn.de/0305_kosten_vo+M5054de7a952.html</a>								

5	<p>Is it available through the Internet: <span style="float: right;">Yes <input checked="" type="checkbox"/></span>  <span style="float: right;">No <input type="checkbox"/></span>  <span style="float: right;">Not applicable <input type="checkbox"/></span>  <span style="float: right;">No information <input type="checkbox"/></span></p> <p>Please provide URL:</p> <p><a href="http://www.cites.bfn.de">www.cites.bfn.de</a></p> <p>General information both in German and in English on CITES and relevant regulations including information on permit applications including associated fees and specific provisions for tourist souvenirs, personal effects and hunting trophies. The internet site had been significantly updated and amended during the reporting period and provides now among others original text of all relevant CITES legislation on the international, EU- and national level.</p> <p><a href="http://www.bmu.de">www.bmu.de</a></p> <p>General and up-to-date political information on CITES such as Conferences of the Parties their major agenda items and outcomes as well as information on other relevant multilateral environmental agreements.</p> <p><a href="http://www.wisia.de">www.wisia.de</a></p> <p>The internet data bank WISIA-online provides information on CITES protected animals and plants not only for professional conservationists but also for the general public. Whoever intends to acquire an exotic souvenir, which often has been manufactured by means of protected species can find through WISIA-online besides information on the protection status many further useful hints, including the relevant date since when a respective species has become a CITES protected specimen.</p> <p><a href="http://www.artenschutz-online.de">www.artenschutz-online.de</a></p> <p>An internet based information forum established as a common project between German customs and the CITES Management Authority of the FANC which specifically is targeted for tourists by providing information on protected species in the relevant tourist regions of the world.</p> <p><a href="http://www.cites-online.de">www.cites-online.de</a></p> <p>Online platform for internet based applications for an electronically submission of CITES permits including qualified electronic signature.</p> <p><a href="http://www.zeet.de">www.zeet.de</a></p> <p>Information platform provided by Germany's Scientific Authorities of the FANC on all decisions taken by the European Union on import restrictions and other stricter domestic measures regarding international trade in CITES species.</p>																								
6	<table border="1"> <thead> <tr> <th data-bbox="209 1536 775 1581">Do the following authorities have access to the following publications?</th> <th colspan="3" data-bbox="775 1536 1414 1581">Tick if applicable</th> </tr> <tr> <th data-bbox="209 1581 775 1648">Publication</th> <th data-bbox="775 1581 991 1648">Management Authority</th> <th data-bbox="991 1581 1161 1648">Scientific Authority</th> <th data-bbox="1161 1581 1414 1648">Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td data-bbox="209 1648 775 1727"><i>2005 Checklist of CITES Species</i> (book and CD-ROM)</td> <td data-bbox="775 1648 991 1727" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="991 1648 1161 1727" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1161 1648 1414 1727" style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td data-bbox="209 1727 775 1827"><i>2008 Checklist of CITES Species and Annotated Appendices and reservations</i> (CD-ROM)</td> <td data-bbox="775 1727 991 1827" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="991 1727 1161 1827" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1161 1727 1414 1827" style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td data-bbox="209 1827 775 1883"><i>Identification Manual</i></td> <td data-bbox="775 1827 991 1883" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="991 1827 1161 1883" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1161 1827 1414 1883" style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td data-bbox="209 1883 775 1921"><i>CITES Handbook</i></td> <td data-bbox="775 1883 991 1921" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="991 1883 1161 1921" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1161 1883 1414 1921" style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Do the following authorities have access to the following publications?	Tick if applicable			Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2005 Checklist of CITES Species</i> (book and CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>2008 Checklist of CITES Species and Annotated Appendices and reservations</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
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7	If not, what problems have been encountered to access to the mentioned information?	
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable
	– Mortality in transport?	<input checked="" type="checkbox"/>
	– Seizures and confiscations?	<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?	<input checked="" type="checkbox"/>
	Comments:	
9	Is there a government website with information on CITES and its requirements?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.cites.bfn.de">www.cites.bfn.de</a>	
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable
	– Press releases/conferences	<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances	<input checked="" type="checkbox"/>
	– Brochures, leaflets	<input checked="" type="checkbox"/>
	– Presentations	<input checked="" type="checkbox"/>
	– Displays	<input checked="" type="checkbox"/>
	– Information at border crossing points	<input checked="" type="checkbox"/>
	– Telephone hotline	<input type="checkbox"/>
	– Other (specify)	<input checked="" type="checkbox"/>
	<p>- On 16<sup>th</sup> February 2007 the Federal Agency for Nature Conservation (FANC) together with TRAFFIC, WWF and IUCN launched during a press conference in Nürnberg, Germany the '<i>International Standards for Sustainable Wild Collections of Medicinal and Aromatic Plants (ISSC-MAP)</i>' which include a set of criteria to be used to ensure that any wild collection of Medicinal and aromatic plants is conducted in a sustainable manner. In many poor countries worldwide the collection in the wild of medicinal and aromatic plants forms the basis for general health care. Furthermore such collection provides for an important additional income in poor families to overall improve their livelihoods. (<a href="http://www.bfn.de/1850.html?&amp;cHash=e113d89a4e&amp;tx_ttnews[backPid]=1849&amp;tx_ttnews[tt_news]=283">http://www.bfn.de/1850.html?&amp;cHash=e113d89a4e&amp;tx_ttnews[backPid]=1849&amp;tx_ttnews[tt_news]=283</a> )</p> <p>- The ongoing illegal trade in caviar from CITES protected sturgeon species in and through Germany triggered on 22. May 2007 a media campaign by the FANC. The campaign addressed in particular the persisting problem of slack penalizing in caviar court cases through general attorneys and judges.</p> <p>- The run-up of the 14<sup>th</sup> Conference of the Parties (CoP) of CITES which was convened quite close to summer holiday season was used by the FANC between the 22. - 24. May for raising awareness through several newspaper articles in the 'Frankfurter Rundschau', the 'Luxemburger Wort' an international in German issued daily journal from Luxemburg and other journals both on the objectives of the Convention in general and on the major political issues to be discussed at the 14<sup>th</sup> CoP such as conservation of timber and marine species as well as improvement of CITES enforcement and compliance.</p> <p>- Germany's Ministry for Environment, Nature Conservation and Nuclear Safety issued a press release following an official visit of the Director for Nature Conservation to China in October 2007 by announcing closer collaboration with China among others on CITES enforcement issues. ( <a href="http://www.bmu.de/pressemitteilungen/aktuelle_pressemitteilungen/pm/40223.php">http://www.bmu.de/pressemitteilungen/aktuelle_pressemitteilungen/pm/40223.php</a> )</p> <p>- The offer of rare and extremely valuable live CITES protected species through the internet such as hyacinth macaws, rare young primates, tortoises or chameleons initiated at the Federal Agency for Nature Conservation (FANC) on 29.11.2007 and on 8.12.2008 press campaigns. In</p>	

all cases one West African country had always been declared as being the country of origin of most of the animals offered. People interested in acquiring the rare CITES-protected species had always in advance to pay as a lump sum several thousand dollars for transport and for the respective shipment. There was no indication that the respective animals did ever exist in reality.

[http://www.bfn.de/1850.html?&cHash=e1028cd4dc&tx\\_ttnews\[backPid\]=1849&tx\\_ttnews\[tt\\_news\]=969](http://www.bfn.de/1850.html?&cHash=e1028cd4dc&tx_ttnews[backPid]=1849&tx_ttnews[tt_news]=969) )

- Both Germany's CITES Management Authority and its Scientific Authorities presented some selected key areas of their work through radio interviews before and during the 9<sup>th</sup> Conference of the Parties to the Convention on Biological Diversity (Bonn, 19<sup>th</sup> – 30<sup>th</sup> May 2008) - <http://www.dradio.de/dlf/sendungen/verbrauchertipp/791595>. Presentations and posters were provided to the public on problematic areas in CITES enforcement from Germany's perspective, exemplary confiscations, medicinal plants, non-detriment finding process and capacity building tools such as the computer based identification tool for tropical timber species called "**CITESwoodID**".

- On 11. July 2008 in the middle of the holiday and travel season and associated with the opening of a CITES exhibition a press conference with the President of the FANC, Prof. Beate Jessel had been organized together with the customs administration at München Airport. The event which mainly targeted at tourists travelling to exotic destinations did receive considerable media presence from major newspapers as well as local and national TV- and Radio stations. During this event also newly trained sniffer dogs used by customs to detect protected wildlife shipments were shown to the public and media representatives. ([http://www.bfn.de/3955.html?&cHash=cdf6b17776&tx\\_ttnews\[backPid\]=3954&tx\\_ttnews\[tt\\_news\]=1173](http://www.bfn.de/3955.html?&cHash=cdf6b17776&tx_ttnews[backPid]=3954&tx_ttnews[tt_news]=1173) )

Please attach copies of any items as Annex.

11

Please provide details of any additional measures taken:

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?				Yes	<input checked="" type="checkbox"/>	
					No	<input type="checkbox"/>	
					Not applicable	<input type="checkbox"/>	
If no, please provide details of any:				No information	<input type="checkbox"/>		
<b>Changes in permit format:</b>							
Since February 2007 and in accordance with the provisions of Article 2 paragraph 3 & 4 of the Commission Regulation 865/2006 the CITES Management Authority of the FANC has provided to the general public forms for a Traveling Exhibition Certificate. Sample forms have been transmitted to the CITES Secretariat for inclusion in the respective forum database.							
<b>Changes in designation or signatures of relevant officials:</b>							
Within the reporting period 4 changes referring to persons competent to sign CITES documents for Germany were reported to the Secretariat (2.1.2007, 2.2.2007, 10.7.2008 and 4.11.2008). Three new executive officers were authorized to sign documents. One officer left the authority. Her authorization was therefore withdrawn and her name was deleted from the list. All changes had been notified immediately and original samples of the respective new signatures were provided to the Secretariat.							
2	To date, has your country developed written permit procedures for any of the following?				Tick if applicable		
					Yes	No	No information
	Permit issuance/acceptance				<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of traders				<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of producers				<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).						
	<b>2007</b>	<b>Year 1</b>	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?		6,080	896	8,988	1,109	<b>see Attachment 6</b>
	How many applications were denied because of severe omissions or miss-information?						no information
	<b>2008</b>	<b>Year 2</b>					
How many documents were issued?		7,573	1,432	10,808	1,138	<b>see Attachment 6</b>	
How many applications were denied because of severe omissions or miss-information?						no information	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or miss-information?				Yes	<input type="checkbox"/>	
					No	<input checked="" type="checkbox"/>	
					No information	<input type="checkbox"/>	
5	If Yes, please give the reasons for this.						

6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason			Yes	No	No information
	Technical violations			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas used as a management tool in the procedure for issuance of permits?			Yes	<input type="checkbox"/>	
				No	<input checked="" type="checkbox"/>	
				No information	<input type="checkbox"/>	
	Comments: Germany has had no exports of wild taken specimen. However as a major importing country Germany has a special responsibility in thoroughly checking export documents of non-EC-Parties if these refer to export quotas.					
8	How many times has the Scientific Authority been requested to provide opinions?					
	Germany is mainly an importing country. Exports took only place regarding specimen which were either bred in captivity or artificially propagated. In such cases the Scientific Authorities are requested to provide advice whether the conditions for artificial propagation or breeding in captivity have been met. Referring to imports of CITES Appendix II species import permits are necessary in accordance with the EU regulations. To optimise and harmonize the application procedure the CITES Scientific Review Group (SRG) of the EU discusses regularly special import cases and concludes on basic scientific statements which either results in a positive opinion to allow imports or comes to a negative opinion which leads to the restriction of respective imports. Since 1997 the number of single decisions taken on this level has increased considerably. By now these decisions account for already about 60 % of all import applications. Other applications are referred to Germany's Scientific Authorities for evaluation of their scientific aspects.					
9	Has the MA charged fees for permit issuance, registration or related CITES activities?			Tick if applicable		
	– Issuance of CITES documents:			<input checked="" type="checkbox"/>		
	– Licensing or registration of operations that produce CITES species:			<input checked="" type="checkbox"/>		
	– Harvesting of CITES-listed species :			<input type="checkbox"/>		
	– Use of CITES-listed species:			<input checked="" type="checkbox"/>		
	– Assignment of quotas for CITES-listed species:			<input type="checkbox"/>		
	– Importing of CITES-listed species:			<input type="checkbox"/>		
	– Other (specify):			<b>See Attachment 7</b>	<input checked="" type="checkbox"/>	
10	If Yes, please provide the amounts of such fees.			<b>See Attachment 7</b>		
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?			Tick if applicable		
	– Entirely:			<input type="checkbox"/>		
	– Partly:			<input type="checkbox"/>		
	– Not at all:			<input type="checkbox"/>		
	– Not relevant:			<input checked="" type="checkbox"/>		
	Comments: centralized budget; annual budget of CITES authorities is fixed more than one year in advance based on applications as occasion demands					
12	Please provide details of any additional measures taken:					

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?					Tick if applicable
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks			<input checked="" type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement			<input type="checkbox"/>
	Development of implementation tools	<input checked="" type="checkbox"/>	Computerisation			<input checked="" type="checkbox"/>
– Other (specify)						<input type="checkbox"/>
During the reporting period in 2007 and 2008 Germany's CITES Management and Scientific Authorities participated regularly as lecturers in four advanced CITES training seminars each year for already in CITES issues specialized German customs officers.						
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?					
	Please tick boxes to indicate which target group and which activity.					What were the external sources?
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?					
	Please tick boxes to indicate which target group and which activity.					Details
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other parties/International meetings	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
Review of wildlife trade legislation						
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Please provide details of any additional measures taken:

1. The Polish government had organized from **18<sup>th</sup> – 20<sup>th</sup> June 2007** a CITES training seminar as part of an EU funded Twinning-project. Veterinarians were the major target group of the 3 days capacity building meeting on EU-wildlife trade regulations. A representative of the CITES Management Authority of the FANC participated as a lecturer at this meeting.
2. Within the scope of an EU-Commission funded Twinning-project with a CITES implementation component one official from Germany's CITES Management Authority (MA) from the FANC had contributed as lecturer to a CITES training seminar for national customs officers from **23<sup>rd</sup> -27<sup>th</sup> July 2007** in Bucharest, Romania.
3. From **10<sup>th</sup> - 11<sup>th</sup> October 2007** two representatives from Germany's Federal Agency for Nature Conservation CITES Management and Scientific Authority attended together with representatives from the CITES Secretariat, the European Commission, Spain and Belgium as lecturers at a CITES seminar in Chisinau, Moldova funded by the EU TAIEX programme. The principal subject dealt with during the meeting was implementation of CITES through national and international cooperation. Target groups trained during the meeting were representatives from the Management and Scientific Authority, customs agencies and other enforcement bodies.
4. The Slovakian CITES Management Authority (MA) had invited representatives from Germany's CITES Management Authority to participate actively as a trainer at CITES training seminars for customs officers held from **16<sup>th</sup> - 18<sup>th</sup> October 2007** and for environment inspection service and police held from **8<sup>th</sup> - 10<sup>th</sup> April** in Slovakia. Both seminars were funded by the EU TAIEX programme.
5. In **November 2007**, Germany's Scientific Authority for Plants together with the Institute for Wood Biology and Wood Protection of the German Federal Research Centre for Forestry and Forest Products (BFH) held a training workshop on the identification of CITES timber species. About 40 participants from 13 countries (Belgium, Canada, Czech Republic, Finland, Germany, Greece, Indonesia, Italy, Latvia, Netherlands, Poland, Slovakia and UK) as well as a representative from the CITES Secretariat attended the workshop. Lectures and practical instructions were given by wood anatomists Dr. G. Koch and Dr. H.G Richter, (BFH, University of Hamburg). During the workshop all participants were trained on how to use the CD-Rom "**CITESwoodID**" as an identification aid for CITES listed timber species and how to exclude timbers that are definitely not regulated under CITES. Participants agreed that such training should be repeated to assist in building more capacity among CITES enforcement officials and to further the application of risk analysis of timber shipments
6. On **9<sup>th</sup> April 2008** the 'International Centre for Ivory Research (INCENTIVS)' held its 5<sup>th</sup> annual meeting at the University of Mainz. The objective of the meeting to which the CITES MA of the FANC contributed actively with a presentation on trade in ivory was to provide to stakeholders up-to-date information on trade in ivory, on advances in developing modern research methods on ivory authenticity and ageing or on ivory use in ancient cultures. The results of this workshop and previous ones held between 2004 and 2008 have been published mostly in English in 2008 in the FANC periodical 'BfN-Skripten' No. 288 – 'Ivory and Species Conservation' which is available in the world wide web as follows: <http://www.bfn.de/fileadmin/MDB/documents/service/skript228.pdf> .
7. From **5<sup>th</sup> - 7<sup>th</sup> May 2008** staff of Germany's CITES Management Authority participated in Bucharest as a trainer in an EU TAIEX Seminar for customs officials of Romania focusing on CITES enforcement matters.
8. On **8<sup>th</sup> May 2008** a Chinese delegation comprising five representatives from China's State Forestry Administration (CITES Management Authority) visited for two days Germany CITES authorities. During the discussions held with various representatives from Germany's CITES Management Authority agreement was reached to establish closer co-operation on general CITES enforcement issues such as on illegal trade in ivory, establishing a bilateral agreement on controlled deliveries and on better conservation of the significantly traded and non-CITES listed tropical timber species Merbau (*Intsia* spp.).
9. The CITES MA of the FANC had on **17<sup>th</sup> June 2008** organized a capacity building seminar for stakeholders in trade in medicinal and aromatic plants which was attended by representatives from 10 major companies involved in processing and trading in medicinal and aromatic plants.

10. Since 2004 Germany's CITES Management Authority of the Federal Agency for Nature Conservation (FANC) issues rather regularly an electronic CITES Newsletter ('Artenschutz-Info des BfN') which (provides information on recent developments in CITES relevant legislation, on infraction cases and development in illegal trade, on court cases or on any other issues of relevance to CITES implementation and enforcement government agencies within Germany. The overall well received and widely acknowledges newsletter is sent by e-mail to all regional CITES management, enforcement and implementation authorities of the 'Länder', to customs and criminal investigation agencies and to the CITES Scientific Authorities. During the reporting period three newsletters were issued in 2007 and four in total in 2008.

11. During the reporting period Germany contributed actively to the work of the European Union's Enforcement working group to develop common training materials for customs authorities or law enforcement officials at the various levels. Germany had been asked to take the lead of a subgroup which had been charged to prepare such common training materials for the Member States of the European Union.

12. Germany's Scientific and Management Authorities are using a scientific information system for international species conservation, 'WISIA' ([www.wisia.de](http://www.wisia.de)), which has been developed by the Scientific Authority of the FANC as an important reference system for dealing with scientific and management related aspects of species conservation. The Oracle based system which is accessible online both in German and in English contains comprehensive information such as on taxonomy, legal protection status and its history as well as on the distribution of the protected plant or animal species. Currently the internet database contains 30.000 scientific names, about 50.000 synonyms and 13.000 common names of protected animal and plant species. Furthermore the system provides searchable data sets on the conservation status and the distribution of its taxa. About 400 users per day, mostly from customs and regional CITES authorities, Ministries, Nature Conservation Organisations, traders or private individuals, contact free of costs WISIA-online. Any user can either choose between a synoptic overview and an individual species specific information set. Since 2006 WISIA-online can also be searched for strictly protected native non-CITES species. The legal relevance of the online information system is assured through continuous up-dates by Germany's Scientific and Management Authorities which were particularly labour-intensive after the 14<sup>th</sup> Conference of the Parties due to the many adopted nomenclatural changes of the species listed in the CITES Appendices.

13. Germany's Scientific Authority has developed the database 'ZEET' ([www.zeet.de](http://www.zeet.de)), with a complete collection of all individual decisions adopted at EU level on the import of protected species of fauna (under Annex A and B of the EU Wildlife Trade Regulation) from certain countries of origin, for internal and external use. Due to the complexity of EU legislation there is a considerable need of information in the public regarding the provisions on the import of protected animal species such as parrots, chameleons or brown bear hunting trophies. Although import permits are issued on a national basis a large number of decisions taken at EU level must also be taken into account. ZEET offers a direct overview of those protected species that may or may not be imported into the EU member states. ZEET contains 1,387 import decisions for 744 animal species (data as of 28<sup>th</sup> January 2009), supplemented by export quotas as officially reported by countries of origin for some 716 species/country of origin combinations. The data base of ZEET provides for a synopsis of all individual decisions and annual export quotas currently in force for respective species which are listed in alphabetical order by their scientific name. The German version is available via internet as a PDF-file ([www.zeet.de](http://www.zeet.de)). The ZEET information system targets at animal traders and importers, nature and species conservation authorities, breeders, journalists or media and private individuals interested in nature conservation. The legal relevance of the online information is assured by continuous up-dates by Germany's Scientific Authority.

14. From **5th - 7th May 2008** the government of Rumania had invited to a CITES training seminar funded through the EU TAIEX programme. A representative of Germany's CITES Management Authority of the FANC participated as a lecturer at this meeting.

15. The CITES Management Authority of Slovakia had organized a CITES training seminar for local CITES management and enforcement authorities from **10<sup>th</sup> - 11<sup>th</sup> September 2008**. The meeting was also attended on special invitation by a representative of Germany's CITES Management Authority of the FANC who provided as a teacher specific input to the outcomes of the meeting which mainly focused on the European wildlife trade regulations.

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>																								
2	If Yes, which agencies are represented and how often does it meet?  <b>Species Conservation Advisory Committee for the implementation of CITES</b>  A new advisory committee on "Conservation of Species in International Trade" was set up at the Federal Agency for Nature Conservation (FANC) in May 1995 (Federal Gazette No. 94, amended on 29 <sup>th</sup> March 1999, Federal Gazette No. 67). Its task is to advise the FANC on the implementation of species conservation provisions, and also to advise the Federal Ministry for the Environment, Nature Conservation and Nuclear Safety <BMU> on the development of species conservation legislation relating to imports and exports. The advisory committee is composed of a total of 14 representatives drawn from the scientific community, nature conservation organisations and representatives from the industry, trade or consumer associations. The committee meets at least once a year. Both its day-to-day business and its working groups are managed by the Federal Agency for Nature Conservation. In 2003 the committee was constituted again for its 3 <sup>rd</sup> term which is for four years. During the reporting period 2007/2008 the Species Conservation Advisory Committee met only once. The major focus of his work during the respective time period was on the preparation of the 14 <sup>th</sup> Conference of the Parties of CITES, held in June 2007 in The Hague, Netherlands.																									
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others): <table border="1" data-bbox="236 869 1430 1025"> <thead> <tr> <th></th> <th>Daily</th> <th>Weekly</th> <th>Monthly</th> <th>Annually</th> <th>None</th> <th>No information</th> <th>Other (specify)</th> </tr> </thead> <tbody> <tr> <td>Meetings</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Consultations</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>			Daily	Weekly	Monthly	Annually	None	No information	Other (specify)	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
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Other (specify)	<input type="checkbox"/>																									
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable <table border="1" data-bbox="236 1585 1430 1951"> <tbody> <tr> <td>SA</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Customs</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Police</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other border authorities (specify)</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other government agencies</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Private sector bodies</td> <td><input type="checkbox"/></td> </tr> <tr> <td>NGOs</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	SA	<input type="checkbox"/>	Customs	<input checked="" type="checkbox"/>	Police	<input type="checkbox"/>	Other border authorities (specify)	<input type="checkbox"/>	Other government agencies	<input type="checkbox"/>	Private sector bodies	<input type="checkbox"/>	NGOs	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>								
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Other (specify)	<input type="checkbox"/>																									
6	Has your country participated in any regional activities	Tick if applicable																								

	related to CITES?		
	Workshops		<input checked="" type="checkbox"/>
	Meetings		<input checked="" type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
	<p>1. From <b>7<sup>th</sup> - 9<sup>th</sup> October 2008</b> representatives from Germany's CITES Management and Scientific Authority participated at a regional CITES plants meeting for European parties held on the island of Lampedusa, Italy. One of the principal objectives of the meeting was to discuss and exchange information on several CITES plant species specific implementation, enforcement and capacity building issues. Furthermore current initiatives for CITES CoP 15 were introduced such as Germany's work on a draft discussion document on introducing timber certification and labelling for CITES listed timber species (<a href="http://www.cites.org/eng/com/PC/18/E-PC18-16-02-01.pdf">http://www.cites.org/eng/com/PC/18/E-PC18-16-02-01.pdf</a>) .</p> <p>2. The head of Germany's Scientific Authority for plants participated actively at the International Expert Workshop on CITES Non-detriment Findings held from <b>17<sup>th</sup> - 22<sup>nd</sup> November 2008</b> in Cancun, Mexico. The presentation he gave on a comparison between non-detriment criteria in the IUCN checklist, EU guidelines and the International Standard for Sustainable Wild Collection of Medicinal and Aromatic Plants (ISSC-MAP) was finally taken up by a taxon specific working group, which developed and refined it. The final version is included in the report which was presented to the 18<sup>th</sup> meeting of the CITES Plants Committee (<a href="http://www.cites.org/eng/com/PC/18/E-PC18-14-04.pdf">http://www.cites.org/eng/com/PC/18/E-PC18-14-04.pdf</a>).</p>		
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
10	If Yes, which country(-ies) and what kind of assistance was provided? <b>See answers given under question D 6 (4)</b>		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

14	<p>If Yes, please give a brief description.</p> <p><b>Sustainable Natural Resources Utilisation and Biodiversity Conservation in the Central Asian Region</b></p> <p>Germany's Agency for Technical Co-operation (Deutsche Gesellschaft für Technische Zusammenarbeit – GTZ) had been given the task to start in 2008 until 2013 a several years regional conservation project (project No. 2007.2184.5-001.00) on 'Sustainable Natural Resources Utilisation and Biodiversity Conservation in the Central Asian Region'. Target countries are Tajikistan and Kazakhstan which are home to several important CITES protected ungulates such as Argali (<i>Ovis ammon</i>), Urial (<i>Ovis vignei</i>), Markhor (<i>Capra falconeri</i>) or Saiga (<i>Saiga tatarica tatarica</i>).</p> <p>With regard to the Saiga antelope the project will among others assist some of the range states to implement respective CITES decisions adopted at the 14<sup>th</sup> Conference of the Parties (14.91, 14.93 and 14.96) but in particular the measures contained in the 'Medium Term International Work Programme for the Saiga Antelope (2007-2011)' which had been developed in support of the Memorandum of Understanding (MoU) under the Convention of Migratory Species (CMS) concerning the conservation, restoration and sustainable use of the Saiga Antelope.</p> <p>Concerning the Argali the project objectives is to establish among others privately controlled sustainable trophy hunting schemes with the involvement of local communities to better protect and control the local use of this still in the region economically important wild sheep.</p> <p><b>CITES/CBD synergy on development of an internationally recognized certificate of Origin/Source/Legal Provenance</b></p> <p>The CITES Management Authority of the FANC contributed actively to a CBD 'European Regional Meeting on an Internationally Recognized Certificate of Origin/Source/Legal Provenance' ( <a href="http://www.bfn.de/fileadmin/MDB/documents/service/vilmreport2.pdf">http://www.bfn.de/fileadmin/MDB/documents/service/vilmreport2.pdf</a> ) held at the FANC's International Academy for Nature Conservation on the Isle of Vilm, 24 – 29 Oktober 2006 . The CITES Management Authority's (MA) contribution focused on the permit and certificate system under CITES in order to provide the attendants from Europe and North America with a 'lesson-learned-overview' to the Access and Benefits discussion under the Convention on Biological Diversity from a CITES MA perspective.</p>
15	Please provide details of any additional measures taken:

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
In particular for both <b>Scientific Authorities</b> hiring of more staff and the increase in financial resources is a burning issue. These two constraints have impeded the performance of both authorities in the past few years.				
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?	Germany has encountered problems referring to the implementation of the requirements laid down in Res. Conf. 12.10 (rev. CoP 13) on 'Guidelines for a procedure to register and monitor operations that breed Appendix-I animal species for commercial purposes'. In general within Germany there are many hundreds of operations which breed animals in a commercial way. However only a few of them have applied for a CITES registration and were either registered or even rejected for registration. Furthermore as an importing country Germany is very often confronted with animals which originate from commercial operations not included in the register of the CITES Secretariat. Therefore the MA of Germany has been supporting since years any initiative on reviewing and streamlining the current registration guidelines. During the reporting period again two operations had been deleted from the register which currently (as of 31.12.2008) leaves Germany with only two operations to be included on the register of captive breeding operations that breed Appendix-I animal species for commercial purposes.		
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
C1	Inspections of traders, producers, markets <b>see ATTACHMENT 1</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed <b>see ATTACHMENT 2</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited <b>see ATTACHMENT 3</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions <b>see ATTACHMENT 4</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions <b>see ATTACHMENT 5</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D5 (3)	CITES documents issued <b>see ATTACHMENT 6</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D5 (10)	Charges for the issuance of CITES documents <b>see ATTACHMENT 7</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4(10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes, <b>see ATTACHMENT 8</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

#### Comments on the reporting format

In principle the biennial report format as adopted at CITES CoP 13 has proven to be adequate and relatively easy to complete. Amendments, if necessary, shall be considered on a case by case basis and only in the scope of new decisions or resolutions adopted at a Conference of the Parties.

However the information value in relation to questions D1-5&6 and D2-5&6 referring to staff numbers and time spent on work in CITES Management Authorities and Scientific Authorities remains highly questionable as there are instances where such staff is not only working on CITES matters but likely also on other conservation or non-conservation issues. Hence it is extremely difficult and time consuming to evaluate precisely the time and work input on CITES issues only.

# Convention on International Trade in Endangered Species of Wild Fauna and Flora

## Biennial Report of the Federal Republic of Germany

### PART 2 – Supplementary Questions

**Note:** Questions in **Part 2** are additional to those in **Part 1**, and relate to information on the provisions of the EC Regulations (Regulation (EC) No. 338/97 and Regulation (EC) No. 865/2006 that fall outside the scope of CITES.

## EU – Regulation (EC) No. 338/97 Biennial Report Format PART 2 ,Supplementary Questions’

The numbering of this section reflects that in Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
	Issue	The conditions for:			The complete prohibition of:		
		Yes	No	No information	Yes	No	No information
	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments	see answers to question B.2 and B.5 of Part 1 as well as <b>Attachment 9</b>					
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed;						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9): <b>see Attachment 9</b>						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions; see <b>Attachment 5</b> .		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
Comments:			
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Comments: see national marking provisions under <b>Attachment 9</b> .			
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
Comments:			
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

	<p>Comments:</p> <p>Germany had taken note of the EU Enforcement Action Plan, published in the official journal of the EU No. L 159/07 (Document 2007/425/EC) and started after the 14<sup>th</sup> CoP to CITES to nationally implement the recommendations contained in the action plan.</p> <p>On a national level since September 2007 the CITES Management Authority of the Federal Agency for Nature Conservation (FANC) started several initiatives to develop a national enforcement action plan in accordance with the provisions of CITES Resolution Conf 11.3 (Rev. CoP 14).</p> <p>Some of the actions recommended in the above mentioned action plan have already been implemented in Germany such as the rapid exchange of important information by electronic means through an electronic CITES newsletter, public awareness campaigns, training of enforcement officers or strong new working relations among German officials in the different authorities and enforcement agencies. The working relations are backed up either by formalized but also not formalized agreements and strong government support.</p> <p>In addition to these activities Germany had nationally started a process to implement further actions recommended in the EU Enforcement Action Plan. All relevant enforcement and management authorities involved in the implementation of CITES in Germany have been invited to collaborate on the creation and implementation of a national action plan. To meet these objectives in 2007 an Interagency National Action Plan Working Group (IANAP-WG) was established at the Federal Agency for Nature Conservation. The IANAP-WG met already twice, i.e. in September 2007 and in February 2008. The IANAP-WG includes representatives from German customs services, the police, national and local CITES Management Authorities and other enforcement bodies. The main objectives and targets of this new working group are:</p> <ul style="list-style-type: none"> <li>- to improve overall inter-agency cooperation;</li> <li>- to collect and distribute relevant information;</li> <li>- to identify enforcement priorities;</li> <li>- to coordinate public relation activities; and</li> <li>- to control and coordinate adequate training activities.</li> </ul> <p>Germany is a federal state consisting of 16 so called 'Laender'. 'Laender' authorities have powers for issuing intra-Community certificates, to carry out investigations and for controlling trade within the Community. An important body to coordinate activities and to ensure the uniform application of regulations within Germany is the standing committee 'Species and Biotope Conservation' of the "Bund/Laender" Association for Nature Conservation" (BLANA). In order to implement the EU Enforcement Action Plan a subcommittee 'Improvement of Enforcement' was established. Prepared by that committee main focus areas in enforcement (i.e. for 2008 caviar and timber, see CITES timber enforcement subcommittee already reported under C 17 of part I) have been agreed on. With regard to caviar an enforcement strategy paper had been approved with clear targets and deadlines including country wide standardized controls of the caviar trade in close co-operation with federal (customs investigations agencies) and regional authorities. These controls were carried out between 1<sup>st</sup> November and 15<sup>th</sup> December 2008. Future focus commitments will be made accordingly.</p>
21 new	<p>Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIb.)</p> <p>If yes, please provide details.</p> <hr/> <p>Comments:</p> <p>A list of experts for species identification is published in the Federal Gazette. In addition, lists of laboratories undertaking DNA analysis or other forensic analyses (i.e. isotope-analysis) are available at the CITES Management Authority of the FANC.</p>

22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
Comments: In Germany there are directives regarding administrative offences which lay down that the market value of the specimens shall be considered. According to Germany's criminal law different criteria have to be considered, inter alia the severity of the offence which may also allow taking into account the conservation value of the respective species involved. In practice, a conservation value will not be known usually. Especially with regard to non-native species in many instances it seems to be impossible to ascertain the real conservation value. Germany has enacted legislative provisions that require the offender (i.e. importer in case of unlawful importation of protected specimens) and/or the carrier to meet the costs of confiscation, custody and storage.		
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
Comments: - During the reporting period 2 seminars for local management and enforcement authorities (12 <sup>th</sup> -13 <sup>th</sup> September 2007 and 21 <sup>st</sup> - 22 <sup>nd</sup> October 2008) were held by the CITES Management Authority of the Federal Agency for Nature Conservation at the Species Conservation Centre of North-Rhine/ Westphalia at Metelen. The objectives of both seminars were mainly to understand the systematic of basic legal provisions of international species conservation legislation, i.e. Reg. (EC) No. 338/97, the EC Birds Directive, the EC Habitats Directive and of Germany's Federal Ordinance on the Conservation of Species. Furthermore the procedure on how to enforce and implement seizures and confiscations was discussed. Finally follow up procedures after confiscations such as hearings, writing of penalty notice, objection and court proceedings were practiced on simulated case studies. - Another seminar which also had been organized at the same place of venue in Metelen on 18 <sup>th</sup> April 2007 focused on capacity building raising awareness for lawyers, public prosecutors and judges. The focus of this seminar was laid on the basic legal provisions of international species conservation legislation, on trends of confiscations and responsibilities of Germany's customs criminal investigation service as well as on presentations of selected factual species conservation infraction cases from the perspective of a regional CITES Management Authority and a public prosecutors perspective. - German customs authorities have conducted several training seminars on legal matters but also on identification issues. Such seminars will be regularly held several times during the year (up to 10 seminars on legal matters and up to 8 identification seminars). Also for police forces regular training seminars have been offered.		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
Comments: The domestic implementing legislation provides the local authorities with the power to carry out regular checks and to visit any facilities used for commercial purposes. These checks are regularly carried out by local authorities, in special cases also supported by police or customs authorities. In addition in 2008 the CITES enforcement agencies put a special focus on the check of caviar producing or repackaging plants and on caviar traders. This successful special investigation was carried out by local authorities in close cooperation with the German customs authority and the CITES Management Authority of the FANC. Furthermore, an overview about details on inspections by Germany's "Laender" Authorities has been provided in Attachment 1 referring to question C 1 of part I.		

25 new	<p>Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIh.)</p> <p>Comments: The German customs has established a special unit which specifically deals with risk assessments only and which provides the relevant customs offices with substantial information. This unit is closely linked to and co-operating with similar units in other EU-Member States.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
26 new	<p>Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph Iii.)</p> <p>Comments: The wildlife conservation administrations in two Federal States in Germany (Bundesländer) have established facilities for the temporary keeping of confiscated live specimens. Being in charge of the public administration these facilities remain state-subsidised. If required both facilities are also able to quarantine specimens.  In addition, some German NGO's have established similar facilities also subsidised by those. As above these facilities are readily prepared to take temporary care for protected wildlife specimens. In addition some of these NGO facilities are even able to quarantine specimens.  Furthermore most 'bona fide' Zoological Gardens in Germany will offer their support if live specimens will be seized by government enforcement bodies.  The long-term repatriation of confiscated CITES-listed animals will be handled on a case-by-case basis. A number of public facilities like Zoos or botanical gardens are able to keep such specimens. The administrative handling is also regulated. Generally a public contract will be signed between the keeping facility and the responsible government authority which on a case-by-case basis can comprise additional special provisions.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
27 new	<p>Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIIe.)</p> <p>Comments: Such cooperation takes place on a case-by-case basis. Additionally Germany participates actively in meetings of the Enforcement Working Group.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
28 new	<p>Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIIj.)</p> <p>Comments: Competent contact agencies were notified accordingly to other Member States.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: Liaison is taking place on a case by case basis			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIII.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: Such support is provided on a case-by-case basis but also by organizing and convening specific training seminars or visitor programs for foreign delegations of CITES Parties visiting Germany (details have already been reported under question D6-4 of part I).			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
		11 new		
		Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?		
		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to none CITES listed species?		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>		
			9b				
			If Yes, please give the species name and provide details of the kind of research involved.				
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	7
	Indicate any difficulties that rendered attendance to the SRG difficult:		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on? – Annex D listed species – Other matters not reported on in question D4 (1) (please specify)	Tick if applicable	
			<input checked="" type="checkbox"/>
			<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : 100 %	
		No information	<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. <b>See answer in Part 1 question B 2.</b>	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. With regard to certain trade in biological samples simplified procedures (Art. 18 ComR 865/2006) have not been used in Germany. However, Art. 19 ComR 865/2006 pre-issued documents have been used as simplified procedures for (re-)exports of snake venom from <i>Daboia russeli</i> (Annex C of CR 337/97, App. III CITES) originated from captive bred specimens mainly from US and Sweden as well as for re-exports of hair brushes made of <i>Mustela sibirica</i> (Annex D of CR 337/97, App. III CITES).	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
16 new	Have scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details: The application of Art. 60 rest with the 'Laender'. Germany does not use that regulation for allowing certain 'scientific institutions' commercial activities like the exchange or transfer of Annex A specimens. However, it may be used for the (commercial) display of Annex A specimens in zoos. Irrespective of that regulation certain 'scientific institutions' have been registered using labels for the movement between registered institutions in line with Art. 7 (4) CR(EC) No. 338/97, Art. 52 Commission Regulation (EC) No. 865/2006, see following: <a href="http://www.cites.org/common/reg/e_si.html">http://www.cites.org/common/reg/e_si.html</a>	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details: See following: <a href="http://www.cites.org/common/resources/reg_caviar.pdf">http://www.cites.org/common/resources/reg_caviar.pdf</a>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. Germany's CITES Management Authority has notified to the CITES Secretariat the responsible authorities which are entitled in Germany to issue for artificially propagated plants listed in CITES Appendix II phytosanitary certificates as export permits. The list of these authorities can be accessed under following website: <a href="http://www.cites.org/common/directy/e_directy.html">http://www.cites.org/common/directy/e_directy.html</a>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details: There were two cases with regard to retrospective issued re-export certificates to Switzerland for leather watchstraps.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)). It was difficult to apply exceptions from the suspension decision regarding registered stocks of <i>Lama guanicoe</i> ( <i>Lama glama guanicoe</i> ) from Argentina because the Secretariat was not prepared for any confirmation but referred to Argentina and issued documents. In 2009 this decision will be repealed because suspension for that species is no longer required. In general, it could be asked whether decisions should depend on confirmation by the Secretariat because the Secretariat is increasingly (owing to workload or to lack of information) not able to do so.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4), arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

# GREECE

## Part 1 - CITES Questions

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 45 held on 14 November 2008.

### A. General information

Party	GREECE
Period covered in this report: 1 January 2007 to 31 December 2008	
Details of agency preparing this report	<b>LEAD MANAGEMENT AUTHORITY OF GREECE</b>
Contributing agencies, organizations or individuals	<ol style="list-style-type: none"> <li>1. <b>33<sup>RD</sup> DIVISION OF CUSTOMS LAW ENFORCEMENT</b></li> <li>2. <b>REGIONAL MANAGEMENT AUTHORITIES</b> <ul style="list-style-type: none"> <li>• <b>REGIONAL DIRECTORATE OF FORESTS OF WEST MACEDONIA, DIRECTORATE OF FORESTS OF PREFECTURE OF KASTORIA</b></li> <li>• <b>REGIONAL DIRECTORATE OF FORESTS OF CENTRAL MACEDONIA</b></li> <li>• <b>REGION OF ATTICA</b></li> <li>• <b>REGION OF STEREA HELLAS</b></li> <li>• <b>REGION OF THESSALIA</b></li> <li>• <b>REGION OF WESTERN GREECE</b></li> <li>• <b>REGION OF EPIRUS</b></li> <li>• <b>REGION OF EASTERN MACEDONIA &amp; THRACE</b></li> <li>• <b>REGION OF PELOPONNESUS</b></li> <li>• <b>REGION OF CRETE</b></li> <li>• <b>REGION OF IONIAN ISLANDS</b></li> <li>• <b>REGION OF NORTHERN AEGEAN</b></li> <li>• <b>REGION OF SOUTH AEGEAN</b></li> </ul> </li> </ol>

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input checked="" type="checkbox"/> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable				
	The conditions for:	The complete prohibition of:				
Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments						

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? <span style="float: right;">Tick all applicable</span>				
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input type="checkbox"/>	
				No <input type="checkbox"/>	
			No information <input checked="" type="checkbox"/>		
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention? <span style="float: right;">Tick all applicable</span>				
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex.	Number 13 23		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input type="checkbox"/>
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input checked="" type="checkbox"/>
	Comments: <b>THE WAY OF DISPOSAL IS DECIDED ON A CASE BY CASE BASIS</b>			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	No	Not applicable
			No information	
	Comments: <b>INFORMATION ON TWO CASES (2008) HAS BEEN SENT TO THE EUROPEAN COMMISSION-EnvE2Environmental AGREEMENT AND TRADE ON 9/3/09.</b>			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	No	No information
13	If Yes, please give a brief description:			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	No	No information
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes	No	Not applicable
			No information	
	Comments:			
17	Please provide details of any additional measures taken: <ul style="list-style-type: none"> <li>• <b>TRAINING OF CUSTOMS OFFICERS ON CITES ENFORCEMENT</b></li> <li>• <b>INFORMING CUSTOMS OFFICERS FOR 'ALERTS' ON ILLEGAL TRADE</b></li> </ul>			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. <b>LEAD MANAGEMENT AUTHORITY</b> <b>Ministry of Rural Development and Food</b> <b>General Directorate for the Development and Protection</b> <b>of Forests and Natural Environment</b> <b>Dir. of Aesthetic Forests-National Parks &amp; Game Management</b> <b>Department of International Conventions</b> <b>31 Halkokondili Street, GR-101 64 Athens.</b>	
5	How many staff work in each MA? <b><u>LEAD MANAGEMENT AUTHORITY: 4 PERMANENT EMPLOYEES.</u></b> <b>EVERY YEAR TEMPORARY STAFF IS HIRED FOR FACILITATING THE OPERATION OF THE DEPARTMENT</b>  <u><b>REGIONAL MANAGEMENT AUTHORITIES</b></u> <ul style="list-style-type: none"> <li>• <b>REGIONAL DIRECTORATE OF FORESTS OF WEST MACEDONIA, DIRECTORATE OF FORESTS OF PREFECTURE OF KASTORIA: 2</b></li> <li>• <b>REGIONAL DIRECTORATE OF FORESTS OF CENTRAL MACEDONIA:2</b></li> <li>• <b>REGION OF ATTICA: 2</b></li> <li>• <b>REGION OF STEREA HELLAS: 2</b></li> <li>• <b>REGION OF THESSALIA: 2</b></li> <li>• <b>REGION OF WESTERN GREECE: 3</b></li> <li>• <b>REGION OF EPIRUS: 3</b></li> <li>• <b>REGION OF EAST ERN MACEDONIA &amp; THRACE: 2</b></li> <li>• <b>REGION OF PELOPONNESUS: 2</b></li> <li>• <b>REGION OF CRETE:1</b></li> <li>• <b>REGION OF IONIAN ISLANDS:2</b></li> <li>• <b>REGION OF NORTHERN AEGEAN :3</b></li> <li>• <b>REGION OF SOUTH AEGEAN : 2</b></li> </ul>	

6	<p>Can you estimate the percentage of time they spend on CITES related matters?</p> <p>If yes, please give estimation: <b>LEAD MANAGEMENT AUTHORITY:100%, REGION OF CRETE:40%, REGION OF THESSALIA: 30%, REGION OF STEREA HELLAS:20%, REGION OF EASTERN MACEDONIA &amp; THRACE:5%, REGION OF ATTICA:90%, DIRECTORATE OF FORESTS OF WEST MACEDONIA:70%, REGION OF NORTHERN AEGEAN:5%, REGIONAL DIRECTORATE OF FORESTS OF CENTRAL MACEDONIA:50%, REGION OF WESTERN GREECE:50%, REGION OF EPIRUS:50%, REGION OF PELOPONNESUS:50%, REGION OF IONIAN ISLANDS:50%</b></p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
7	<p>What are the skills/expertise of staff within the MA(s)?</p> <p>– Administration <input checked="" type="checkbox"/></p> <p>– Biology <input type="checkbox"/></p> <p>– Economics/trade <input type="checkbox"/></p> <p>– Law/policy <input type="checkbox"/></p> <p>– Other (specify) <input checked="" type="checkbox"/></p> <p>– No information <input type="checkbox"/></p> <p><b>Other: Forest Engineers</b></p>	<p>Tick if applicable</p>
8	<p>Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
9	<p>If Yes, please give the species name and provide details of the kind of research involved.</p>	
10	<p>Please provide details of any additional measures taken</p>	

D2 *Scientific Authority (SA)*

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
2	If Yes, please use the opportunity to provide those changes here.							
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>					
4	What is the structure of the SA(s) in your country?	Tick if applicable						
	– Government institution		<input type="checkbox"/>					
	– Academic or research institution		<input type="checkbox"/>					
	– Permanent committee		<input type="checkbox"/>					
	– Pool of individuals with certain expertise		<input checked="" type="checkbox"/>					
	– Other (specify)		<input type="checkbox"/>					
5	How many staff work in each SA on CITES issues? 10 <b>IT IS NOTED THAT THERE IS THE OPTION OF THE USE OF ADDITIONAL SPECIALISTS (CONSULTANTS) WHEN IT IS NEEDED.</b>							
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>					
	If yes, please give estimation: <b>THE TIME ALLOCATED TO MATTERS DIRECTED TO THE SA DEPENDS ON THEIR NATURE AND COMPLEXITY.</b>							
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable						
	– Botany		<input type="checkbox"/>					
	– Ecology		<input checked="" type="checkbox"/>					
	– Fisheries		<input checked="" type="checkbox"/>					
	– Forestry		<input checked="" type="checkbox"/>					
	– Welfare		<input type="checkbox"/>					
	– Zoology		<input checked="" type="checkbox"/>					
	– Other (specify)		<input checked="" type="checkbox"/>					
	<b>BIOLOGY, HERPETOLOGY, ICHTHYOLOGY, VETERINARY, ICHTHYOPATHOLOGY,</b>							
	– No information		<input type="checkbox"/>					
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
9	If Yes, please give the species name and provide details of the kind of research involved.							
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	1							
	2							
	3							
	etc.							
							No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?		Yes No No information		<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>			
11	Please provide details of any additional measures taken:							

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement:	
5	Please provide details of any additional measures taken:	

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>					
	-	Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>			
	-	Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>			
	-	Permit issuance	<input checked="" type="checkbox"/>			
	-	Not at all	<input type="checkbox"/>			
	-	Other (specify)	<input checked="" type="checkbox"/>			
<b>THE TARIC IS COMPUTERIZED AND FOR CUSTOMS TARIFFS, REGARDING CITES SPECIES, THERE IS A WARNING THAT CITES PERMITS ARE NECESSARY TO BE SUBMITTED.</b>						
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>					
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Please provide details where appropriate					
3	Do you have an electronic information system providing information on CITES species? <span style="float: right;">Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/></span>					

4	<p>If Yes, does it provide information on: <span style="float: right;">Tick if applicable</span></p> <p>– Legislation (national, regional or international)? <input checked="" type="checkbox"/></p> <p>– Conservation status (national, regional, international)? <input checked="" type="checkbox"/></p> <p>– Other (please specify)? <input checked="" type="checkbox"/></p> <p><b>SPECIES IDENTIFICATION</b></p>																				
5	<p>Is it available through the Internet: <span style="float: right;">Yes <input checked="" type="checkbox"/></span></p> <p><span style="float: right;">No <input type="checkbox"/></span></p> <p><span style="float: right;">Not applicable <input type="checkbox"/></span></p> <p><span style="float: right;">No information <input type="checkbox"/></span></p> <p>Please provide URL:  <a href="http://www.minagric.gr/greek/2.5.10.CITES.html">http://www.minagric.gr/greek/2.5.10.CITES.html</a></p>																				
6	<p>Do the following authorities have access to the following publications? <span style="float: right;">Tick if applicable</span></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Publication</th> <th style="width: 16.6%;">Management Authority</th> <th style="width: 16.6%;">Scientific Authority</th> <th style="width: 16.6%;">Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td><i>2003 Checklist of CITES Species</i> (book)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>Identification Manual</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>CITES Handbook</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
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<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																		
7	<p>If not, what problems have been encountered to access to the mentioned information?  <b>SOME REGIONAL MANAGEMENT AUTHORITIES HAVE ACCESS ONLY THROUGH DIAL-UP CONNECTION</b></p>																				
8	<p>Have enforcement authorities reported to the Management Authority on: <span style="float: right;">Tick if applicable</span></p> <p>– Mortality in transport? <input checked="" type="checkbox"/></p> <p>– Seizures and confiscations? <input checked="" type="checkbox"/></p> <p>– Discrepancy in number of items in permit and number of items actually traded? <input type="checkbox"/></p> <p>Comments:</p>																				
9	<p>Is there a government website with information on CITES and its requirements? <span style="float: right;">Yes <input checked="" type="checkbox"/></span></p> <p><span style="float: right;">No <input type="checkbox"/></span></p> <p><span style="float: right;">No information <input type="checkbox"/></span></p> <p>If Yes, please give the URL:  <a href="http://www.minagric.gr/greek/2.5.10.CITES.html">http://www.minagric.gr/greek/2.5.10.CITES.html</a></p>																				
10	<p>Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public? <span style="float: right;">Tick if applicable</span></p> <p>– Press releases/conferences <input checked="" type="checkbox"/></p> <p>– Newspaper articles, radio/television appearances <input checked="" type="checkbox"/></p> <p>– Brochures, leaflets <input checked="" type="checkbox"/></p> <p>– Presentations <input checked="" type="checkbox"/></p> <p>– Displays <input type="checkbox"/></p> <p>– Information at border crossing points <input checked="" type="checkbox"/></p> <p>– Telephone hotline <input type="checkbox"/></p> <p>– Other (specify) <input checked="" type="checkbox"/></p> <p><b>THE LEAD MANAGEMENT AUTHORITY HAS PRODUCED A WEBSITE FOR THE ENFORCEMENT OF THE CITES CONVENTION IN GREECE (URL: <a href="http://www.minagric.gr/greek/2.5.10.CITES.html">http://www.minagric.gr/greek/2.5.10.CITES.html</a>), WHICH IS UPDATED ON A REGULAR BASIS.</b></p> <p>Please attach copies of any items as Annex.</p>																				

11	<p>Please provide details of any additional measures taken:</p> <ul style="list-style-type: none"> <li>• <b>THE REGIONAL MANAGEMENT AUTHORITY OF PELOPONNESUS INFORMS ALL LEGAL ENTITIES OF PELOPONNESUS ABOUT CITES, BY CALLING OR SENDING MAIL.</b></li> <li>• <b>THE REGIONAL MANAGEMENT AUTHORITY OF CENTRAL MACEDONIA ORGANISED PRESENTATIONS REGARDING CITES CONVENTION FOR SCHOOLS IN THE FRAME OF THE ENVIRONMENTAL EDUCATION PROGRAMME, FOR MUNICIPALITY POLICE, FOR THE CHAMBER OF COMMERCE &amp; SMALL INDUSTRY AS WELL AS FOR PET SHOPS OWNERS.</b></li> <li>• <b>LEAFLETS AND POSTERS HAVE BEEN PRODUCED AND DISSEMINATED TO THE REGIONAL MANAGEMENT AUTHORITIES, REGIONAL FOREST SERVICES, CUSTOMS AUTHORITIES FOR INFORMING THE PUBLIC ABOUT THE CITES CONVENTION (SPECIMENS ARE ATTACHED). THIS MATERIAL HAS ALSO BEEN DISSEMINATED TO SCHOOLS FOR ENVIRONMENTAL AWARENESS PURPOSES.</b></li> </ul>
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**D5 Permitting and registration procedures**

1	<p>Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?</p> <p>If no, please provide details of any:</p> <p>Changes in permit format:</p> <p>Changes in designation or signatures of relevant officials:</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																																			
2	<p>To date, has your country developed written permit procedures for any of the following?</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th style="text-align: center;">Yes</th> <th style="text-align: center;">No</th> <th style="text-align: center;">No information</th> </tr> </thead> <tbody> <tr> <td>Permit issuance/acceptance</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Registration of traders</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Registration of producers</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>		Yes	No	No information	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<p>Tick if applicable</p>																			
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3	<p>Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Year 1</th> <th style="width: 10%;">Import or introduction from the sea</th> <th style="width: 10%;">Export</th> <th style="width: 10%;">Re-export</th> <th style="width: 10%;">Other</th> <th style="width: 30%;">Comments</th> </tr> </thead> <tbody> <tr> <td>How many documents were issued?</td> <td style="text-align: center;">378</td> <td style="text-align: center;">1</td> <td style="text-align: center;">15</td> <td style="text-align: center;">1</td> <td>Other: Certificates of E.U distribution only</td> </tr> <tr> <td>How many applications were denied because of severe omissions or mis-information?</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td style="text-align: center;">Year 2</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>How many documents were issued?</td> <td style="text-align: center;">745</td> <td style="text-align: center;">3</td> <td style="text-align: center;">17</td> <td style="text-align: center;">16</td> <td>Other: Certificates of E.U distribution only</td> </tr> <tr> <td>How many applications were denied because of severe omissions or mis-information?</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments	How many documents were issued?	378	1	15	1	Other: Certificates of E.U distribution only	How many applications were denied because of severe omissions or mis-information?						Year 2						How many documents were issued?	745	3	17	16	Other: Certificates of E.U distribution only	How many applications were denied because of severe omissions or mis-information?					
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4	<p>Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																																			
5	<p>If Yes, please give the reasons for this.</p>																																				

6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable	
		Reason	Yes	No	No information
		Technical violations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Suspected fraud	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		Insufficient basis for finding of legal acquisition	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>REJECTION DUE TO SUSPENSION OF IMPORTS IN THE COMMUNITY [ACCORDING TO (EC) REGULATIONS]</b>					
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?			Yes	<input checked="" type="checkbox"/>
				No	<input type="checkbox"/>
				No information	<input type="checkbox"/>
Comments: <b>CHECKING THE VALIDITY OF EXPORT QUOTAS BEFORE OF THE ISSUANCE OF AN IMPORT PERMIT</b>					
8	How many times has the Scientific Authority been requested to provide opinions? <b>11</b>				
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?				Tick if applicable
	-	Issuance of CITES documents:			<input checked="" type="checkbox"/>
	-	Licensing or registration of operations that produce CITES species:			<input type="checkbox"/>
	-	Harvesting of CITES-listed species :			<input type="checkbox"/>
	-	Use of CITES-listed species:			<input type="checkbox"/>
	-	Assignment of quotas for CITES-listed species:			<input type="checkbox"/>
	-	Importing of CITES-listed species:			<input type="checkbox"/>
-	Other (specify):			<input type="checkbox"/>	
10	If Yes, please provide the amounts of such fees. <ul style="list-style-type: none"> <li>• 40 EUROS FOR CITES PERMITS AND CERTIFICATES</li> <li>• 15 EUROS FOR CITES PERMITS AND CERTIFICATES ISSUED WITH <b>PURPOSE CODE 'P'</b> (PERSONAL)</li> </ul>				
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?				Tick if applicable
	-	Entirely:			<input type="checkbox"/>
	-	Partly:			<input type="checkbox"/>
	-	Not at all:			<input checked="" type="checkbox"/>
	-	Not relevant:			<input type="checkbox"/>
Comments:					
12	Please provide details of any additional measures taken:				

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?			Tick if applicable
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input checked="" type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	Computerisation	<input checked="" type="checkbox"/>
	-	Other (specify)		<input type="checkbox"/>

2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.					What were the external sources?	
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training		Other (specify)
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?					
	Please tick boxes to indicate which target group and which activity.					
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Please provide details of any additional measures taken					

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?		

3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>MEETINGS ARE ARRANGED WHEN THERE ARE ISSUES TO BE DISCUSSED</b>
Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					<input checked="" type="checkbox"/>		
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>		
	Local authorities or communities					<input checked="" type="checkbox"/>		
	Indigenous peoples					<input type="checkbox"/>		
	Trade or other private sector associations					<input checked="" type="checkbox"/>		
NGOs					<input checked="" type="checkbox"/>			
Other (specify)					<input type="checkbox"/>			
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?							Tick if applicable
	SA							<input checked="" type="checkbox"/>
	Customs							<input checked="" type="checkbox"/>
	Police							<input checked="" type="checkbox"/>
	Other border authorities (specify)							<input type="checkbox"/>
	Other government agencies							<input checked="" type="checkbox"/>
	Private sector bodies							<input type="checkbox"/>
	NGOs							<input type="checkbox"/>
Other (specify)							<input type="checkbox"/>	
6	Has your country participated in any regional activities related to CITES?							Tick if applicable
	Workshops							<input checked="" type="checkbox"/>
	Meetings							<input checked="" type="checkbox"/>
	Other (specify)							<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?					Yes	<input type="checkbox"/>	
						No	<input checked="" type="checkbox"/>	
						No information	<input type="checkbox"/>	
8	If Yes, which one(s) and in what way?							
9	Has your country provided technical or financial assistance to another country in relation to CITES?					Yes	<input type="checkbox"/>	
						No	<input checked="" type="checkbox"/>	
						No information	<input type="checkbox"/>	
10	If Yes, which country(ies) and what kind of assistance was provided?							
11	Has your country provided any data for inclusion in the CITES Identification Manual?					Yes	<input type="checkbox"/>	
						No	<input checked="" type="checkbox"/>	

		No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input checked="" type="checkbox"/>	
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	Comments		

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments	<ul style="list-style-type: none"> <li>Issuance of permits for Greek endangered species of indigenous flora and endemic, migratory and sedentary wild fauna, as specified in the relevant legislative acts of the Administration for non-cites species (species that are not included in the Appendices of the Convention or the EU Annexes)</li> <li>Import, export, possession and keeping of live animal species listed in Annex A and Appendix I are prohibited when the purpose of the aforementioned actions is either the trade or distribution in the Greek market for personal purposes, or the possession and keeping for personal purposes.</li> </ul>					
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed;						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

## **Law 2637/1998 - Provisions for Sanctions, Seizures and Confiscation**

The most significant articles of Law 2637/1998 are the following Article 57 of Law 2637/1998 By decision of the Secretary General of each Prefecture, published in the Government Gazette, bans, limits or conditions may be placed on fishing, farming, pasture, woodcutting and the use of protected plant products as well as the collection and cutting for commercial purposes of aromatics, dyestuffs, spices, honey and plants for flowers and decoration.

### Article 253 of Legislative Decree 86/1969 is amended as follows:

Case .g. of par. 3 of article 258 is repealed once this law enters into force after par. 5 of Article 258, with the addition of par. 3 of Article 7 of Law 177/1975 (Government Gazette 205/A/1975) a new paragraph 6 is added as follows:

6.a) the following are prohibited without a license:

The exportation, importation, re-exportation, re-importation, transportation, sale, purchase, advertising, rental, commercial exploitation, competition and generally the holding, marketing keeping, exhibiting, transporting, dispatching and transfer of:

i) species of wild flora and fauna and samples thereof, whether living or dead and whether processed or not.

ii) species of wild flora and fauna and samples thereof born and are being raised and still raised in captivity or artificially produced, processed or not, and species or samples which constitute part of personal or domestic articles.

iii) species of wild flora and fauna and samples thereof, whether processed or not, which are loaned or exchanged for commercial reasons between recognized scientists and scientific research institutes.

b) Decisions by the Minister of Agriculture, published in the Government Gazette, shall specify those species of wild flora and fauna covered by the above points, the limits and conditions for granting licenses pursuant to the above point and the type of these licenses as well as any other details necessary for the implementation of the previous point.

c) In order for the above license to be granted, a fee must be paid which will be considered as State Budget Revenue. The amount of this fee shall be determined and readjusted by decision of the Ministers of Finance and Agriculture published in the Government Gazette.

d) Importers, exporters and associations thereof as well as companies marketing and transporting the species specified in the above subparagraph b, shall be entered in a special register for the issue of the above-mentioned license. The Minister of Agriculture shall publish a decision in the Government Gazette specifying the conditions for entry in this register and any other details required for implementation of this point.

.....f) The Minister shall make a decision, published in the Government Gazette, specifying the criteria and procedure for the recognition and supervision of legal persons who receive, keep and look after species of wild fauna in Protection centres for species of wild fauna and any other details for the application of this point. The above legal entities are recognized as Protection Centres for species of wild fauna, provided that their operation is not contravening the provisions in effect for public health.

### Article 265 of Legislative Decree No 86/1969 is amended as follows:

At the end of point .a. of paragraph 4 of Article 265 the following phrase is being added: and the works carried out and implemented by the Forest Service, in order to maintain, rehabilitate and manage the species of wild fauna and flora and their biotopes. At the end of point .g. of paragraph 4 of Article 265, points .h. until .id. were added as follows:

The preparation of studies and the execution of specially formed programmes of research and management of species of wild flora and fauna and their biotopes The implementation of public awareness campaigns on matters of protection of species of wild fauna and flora and their biotopes

ia) The implementation of programmes for reception, preservation and care of species of wild fauna

ib) The repatriation, re-dispatching, re-exporting expenses in the country of species of wild fauna

ic) The transportation, reception and hospitalisation expenses of the impounded species of wild fauna and flora and their samples (living or dead, processed or not, as well as the feeding of the live samples of wild fauna).

id) The Minister of Agriculture shall issue a decision, published in the Government Gazette, for the implementation of this paragraph to lay down rules and conditions on signing contracts between the Minister and other persons, who provide guarantee and adequate scientific experience in all relevant matters under the co-ordination of the central forest service of the Ministry of Agriculture

### **III Penalties**

In Article 287 of Legislative Decree 86/1969, amended and completed subsequently, paragraphs 22, 23 and 24 were added as follows concerning the imposed penalties:

22. Whoever keeps, imports, transports and trades in any way, species of Article 258 paragraph 6 point .b. without license or with a falsified license is sent to prison from two (2) months up to one (1) year and if relapsed, for two (2) years.

23. Whoever denies or obstructs or holds the inspection by the Auditing Authorities of species of Article 258 paragraph 6, point .b. or denies information or gives false information, is sent to prison from one (1) month up to six (6) months.

24. The sentences of paragraph 22 and 23 are charged against of all who are responsible, such as businessmen in personal companies, partners in general partnership, administrators in limited liability companies and whoever is in charge of cooperatives and Anonymous Companies or in lack or the above the members of the board of Directors.

After article 288 a new article 288a is added as follows:

This article deals with the administrative sanctions as follows:

Persons infringing paragraph 6 (a), (b), (c), (d) and (f) of Article 258 shall be fined with an amount between 200,000 and 5,000,000 Greek Drachmas. The species of wild flora and fauna and the samples of them, whether alive or dead, processed or not, shall be seized by the forest or customs authorities and they shall be given to the nearest Forest Service for further procedures with responsibilities of which shall be:

Returned or re-exported to their country of origin or disposed of or kept, taken care of and looked after. When the forestry authority seizes them, the provisions of paragraphs 2, 3 and 4 of Article 288 of legislative decree 86/1969 shall apply.

If it is discovered that there has been a forgery in license accompanying the species or has been falsified, the sanctions in the previous paragraph shall apply. For subsequent offences the fine shall be doubled.

When determining the level of the administrative sanctions in this article, account shall be taken to the severity of the infringement and whether it is a second offence.

The fine, which is referred to in this article, shall be imposed by decision of the head of the regional administration responsible in accordance with the supporting information submitted. A decision shall be made according to the provisions of Articles 56 and 57 of the Criminal Procedure Code.

The person affected by the decision to impose a fine shall have the right to appeal to the three-member administrative court within thirty (30) days. This period is commencing on the day following the issuing of the decision. The appeal shall not suspend the application of the decision. However, the chairman of the court could make a decision to suspend the application of the decision, in accordance to the provisions of Article 2, Law No 820/1978 [(Government Gazette 174/A/1978) as replaced by Article 27 of Law 1406/83 (Government Gazette 182/ A/1983)].

The suspension of the decision can be accepted in part or in full if the judge ascertains from specific information that the claimant is unable to pay or considering that errors have been committed.

Against the decisions of the administrative courts, it is possible to raise legal means according to the provisions of the Legal Taxation Procedure Code. The amount of the fine specified in this article may be adjusted by decision of the Minister for Agriculture, which shall be published in the Government Gazette. The fines collected under this article shall be considered as state revenue and collected under the State Revenue Collection Code. The Forest Service Regional Directorates shall undertake this procedure.

Article 58 of Law 2637/1998 Article 36 of Law No 1845/1989 is amended as follows:

Point .b. of paragraph 1 is amended as follows:

The protection of wild fauna and their biotopes, the inspection on maintaining game rules, the guarding and inspection in game areas, the protection of wild life shelters and game breeding stations, as well as the protection of fisheries resources of mountain streams and the carrying out of inspection while fishing into them.

b) In paragraph 1, after point .f., a new point .g. is added as follows:

The carrying out of inspections into the import, movement, placing on the market and holding of all general protected species of wild fauna and flora and samples thereof, whether living or dead, processed or not and the application of any measures required to prevent and suppress offences Paragraphs 1 and 2 of article 39, Law 1845/1989 are replaced as follows:

Under article 36 of this law, the protection of forests is carried out by the office, that work in the Forest Service, with the exception of case .f. where only forest engineers, foresters and forest guards can proceed with the inspections.

In the framework of forest protection, forest officers and officers of categories a, b, c, e, f of paragraph 1 of article 39 of this law, are obligated and responsible to draw up charging documents against any offence to the forestry laws, to impound forest products and generally all the protected species of wild fauna and flora, the samples thereof, whether living or dead, processed or not, collected, caught, traded or placed on the market or kept illegally. In addition, they are obligated to impound all games and fishes caught in offence against the provisions on game and mountain fishery. Finally they are responsible for impounding every device used in offences and to arrest, transfer and surrender any offender to the nearest police station.

The cost of transportation and feeding, along with every necessary cost until the transportation of the offenders is stated in the budget of the Ministry of Agriculture.

The Ministers of Finance and Agriculture shall decide regarding the daily feeding expenses of all the above - mentioned surrenders.

Paragraph 9 of article 38 of law 1845/1989 is replaced as follows:

9. Whoever passes through forests or forest grasslands, biotopes and particularly wetlands neighbouring with forests and partially forested lands, either as a stroller or a camper or professional forest profiteer or shepherd and anyone who hunts or collects or catches or transfers in any direction, is obliged to show the relevant hunting license or CITES license or license for transport of forest goods and so on. Any suspicious vehicle

could possibly be under inspection for possession of illegal forest goods, games or species of wild flora and fauna, samples thereof, whether living or dead, processed or not, as well as hazardous materials that may cause damage or pollution to the wild flora and fauna. Proper display of the identity of the forest officer should take place prior to any inspection. The inspection is carried out in any place where species of wild flora and fauna and samples thereof, whether living or dead, processed or not are being kept, transferred, looked after, exhibited or traded, if necessary in cooperation with officials of other departments.

Infringements shall be notified by the departments carrying out these inspections in writing to the person who committed the violation, who then has 15 days after notification to appeal at the inspection authority, giving their opinion or objection. This document is returned to the person committing the infringement with proof of receipt.

After the expiration of the deadline and irrespective of whether or not the person concerned has submitted comments, the inspection authority shall notify the forest directorate, which is responsible for all the information related to the infringement.

Article 59 of Law 2637/1998 In Article 15 of law 998/1979, a new paragraph 7 is added as follows:

7. By decision of the Secretary General of each Region, all Forest Service Regional Directorates can prohibit the access of cars through forest roads so as to protect wild fauna and flora and their biotopes. By this decision, time and place of the prohibition are specified as well as every exception with relation to farmers, shepards and so on.

In article 68 of law 998/1979, a new paragraph 3 is added as follows:

3. All persons who have committed the infringements of the provisions of decision 7 of article 15 are fined from 50.000 GRD. up to 150.000 GRD. and sent to prison from two (2) up to six (6) months.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions; <b>THE MAXIMUM PENALTY IMPOSED BY CUSTOMS FOR THE YEAR 2007-2008 IS 5000EURO</b>	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.) If yes, please provide details.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II.d.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II.g.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II.h.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.i.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: THERE ARE THREE RESCUE CENTERS WHICH HAVE BEEN CERTIFIED FOR BIRDS, SMALL MAMMALS, BEARS AND WOLVES. FOR OTHER KINDS OF SPECIES, TEMPORARY CARE IS ASSIGNED TO THIRD PARTYS, AFTER RECEIVING THE OPINION OF THE SA, IN ACCORDANCE WITH THE LEGISLATION.	
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.e.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.j.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?						Yes	<input type="checkbox"/>
							No	<input type="checkbox"/>
							No information	<input checked="" type="checkbox"/>
9b	If Yes, please give the species name and provide details of the kind of research involved.							
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	1							
	2							
	3							
	etc.							
	No information							<input checked="" type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended? Indicate any difficulties that rendered attendance to the SRG difficult:	Number: 6
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### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>	No information <input type="checkbox"/>
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### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on? – Annex D listed species – Other matters not reported on in question D4 (1) (please specify)	Tick if applicable
		<input checked="" type="checkbox"/>
		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : 0...% <input type="checkbox"/> No information <input checked="" type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details: <b>MUSEUM OF NATIONAL HISTORY-UNIVERSITY OF CRETE</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
<b>REGION OF THESSALY: LACK OF SCIENTIFIC PERSONNEL</b>			

# HUNGARY

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 45 held on 14 November 2008.

## A. General information

Party	
Period covered in this report:	1 January 2007 to 31 December 2008
Details of agency preparing this report	Ministry of Environment and Water European Community Law and Coordination Department - Biodiversity Unit CITES Management Authority Address: H-1011 BUDAPEST, Fő u. 44-50. Phone: +361 395 6857 Fax: +361 275 4505 Email: <a href="mailto:cites@mail.kvvm.hu">cites@mail.kvvm.hu</a>
Contributing agencies, organizations or individuals	Hungarian Customs and Finance Guard

## B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: <hr/> Title and date: <span style="float: right;">Status: <b>enacted</b></span> <b>Government Decree No. 292/2008 (XII. 10.) on the specific rules of the enforcement of international and European Community legal acts regulating the international trade in endangered species of wild fauna and flora</b> <hr/> Brief description of contents: <b>The regulation designates the Management Authority, Scientific Authority as well as the Enforcement Authorities responsible for CITES related enforcement. It provides detailed rules for seizure, confiscation and for sanctions and designates rescue centres for confiscated specimens. The decree (as the previous one) requires obligatory marking, registration and documentation of all CITES App. I. (EU Annex A) vertebrate specimens and of live specimens of mammals, birds (with exceptions) and tortoises listed in App. II. of the Convention (EU Annex B). It contains detailed rules for registration of any aquaculture operation dealing with Acipenseriformes and licensing of caviar producers, processing and (re-)packaging plants as well as for labelling. The decree prohibits the keeping of apes for private persons and obliges those who keep other primates to fulfil the minimum keeping standards set by the relevant regulation on zoos and keeping zoo animals. It also prohibits keeping of seal products of CITES listed species.</b>	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input checked="" type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable
	The conditions for:	The complete prohibition of:

Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments	<p><b>Taking of native protected specimens from the wild is prohibited by the Act on nature conservation.</b></p> <p><b>The national CITES legislation requires the declaration of all specimens of App. I. (EU Annex A) vertebrate species and all live specimens of App. II. (EU Annex B) listed mammals, birds (with certain exemptions) and tortoises. These specimens must be individually marked and accompanied by a breeding certificate if the specimen was bred in captivity in Hungary or a document that verifies the origin for animals that were introduced from outside of Hungary. Keeping of specimens is prohibited without these documents, See attachment for details.</b></p>					

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? Tick all applicable				
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input type="checkbox"/>	
				No <input checked="" type="checkbox"/>	
			No information <input type="checkbox"/>		
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention? Tick all applicable				
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. <b>Annex attached</b>			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex. <b>Annex attached</b>	Number		
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. <b>Annex attached</b>			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export	<input checked="" type="checkbox"/>		
	– Public zoos or botanical gardens	<input checked="" type="checkbox"/>		
	– Designated rescue centres	<input checked="" type="checkbox"/>		
	– Approved, private facilities	<input type="checkbox"/>		
	– Euthanasia	<input type="checkbox"/>		
	– Other (specify)	<input type="checkbox"/>		
	<p>Comments: <b>Confiscated live specimens are usually placed at rescue centers. The central rescue center is a separate quarantine station at the Budapest Zoo and Botanical Garden. A new center was built near the Serbian border of Hungary at the Szeged Zoo for rehoming confiscated live animals. Other municipal zoos also function as rescue centers if necessary.</b></p> <p><b>Confiscated dead specimens are stored in the collection of the Hungarian Natural History Museum, used for training or for public awareness purposes, such as exhibition at Ferihegy International Airport, in national parks and zoos.</b></p> <p><b>Euthanasia of live specimens is provided in the legislation as a last option, that have been used only in cases, where there were health implications with regard to the specimen.</b></p> <p><b>Sale of confiscated specimens is not allowed according to the law.</b></p>			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments: <b>not in the reporting period</b>			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
13	If Yes, please give a brief description: <b>We exchanged intelligence in several cases with Austria, Czech Republic, Germany, the Netherlands the UK, and with Argentina .</b>			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	

	Comments:
17	Please provide details of any additional measures taken:

### D. Administrative measures

#### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	How many staff work in each MA? <b>4</b>		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation <b>4 persons deal with CITES issues, 2 in full time, 1 in 80 %, 1 in 20 % (altogether 300 %)</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	– Administration		<input type="checkbox"/>
	– Biology		<input checked="" type="checkbox"/>
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		<input checked="" type="checkbox"/>
	– Other (specify) : <b>veterinary, agriculture</b>		<input checked="" type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
2	If Yes, please use the opportunity to provide those changes here.						
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					
4	What is the structure of the SA(s) in your country?	Tick if applicable					
	– Government institution	<input checked="" type="checkbox"/>					
	– Academic or research institution	<input type="checkbox"/>					
	– Permanent committee	<input type="checkbox"/>					
	– Pool of individuals with certain expertise	<input type="checkbox"/>					
	– Other (specify)	<input type="checkbox"/>					
5	How many staff work in each SA on CITES issues? <b>1 staff.</b> <b>Individual experts give scientific support occasionally, particularly in identification (eg.: timber, caviar, DNA tests)</b>						
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					
	If yes, please give estimation <b>30%</b>						
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable					
	– Botany	<input checked="" type="checkbox"/>					
	– Ecology	<input checked="" type="checkbox"/>					
	– Fisheries	<input type="checkbox"/>					
	– Forestry	<input type="checkbox"/>					
	– Welfare	<input type="checkbox"/>					
	– Zoology	<input type="checkbox"/>					
	– Other (specify)	<input type="checkbox"/>					
	– No information	<input type="checkbox"/>					
8	Have any research activities been undertaken by the SA(s) in relation to CITES species? <b>See attachment</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>
			No information <input type="checkbox"/>	
4	If Yes, please state which is the lead agency for enforcement: <b>1 individual at each environmental inspectorate is responsible for CITES enforcement and special units have been designated within the police and public prosecutor's offices that are responsible for environmental issues, such as CITES enforcement. Environmental focal points have been designated at the central police headquarters and at the county headquarters.</b>			
5	Please provide details of any additional measures taken:			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized?	Tick if applicable					
	– Monitoring and reporting of data on legal trade	<input type="checkbox"/>					
	– Monitoring and reporting of data on illegal trade	<input type="checkbox"/>					
	– Permit issuance	<input checked="" type="checkbox"/>					
	– Not at all	<input type="checkbox"/>					
	– Other (specify): <b>registration of CITES specimens - the database is placed on the server of the Ministry, and all inspectorates have access through intranet to it. This means, that Internet connection is needed and that it is secure due to being an interbal system. Management Authority is the supervisor of the system and have the right to determine the level of access to the database. Designated inspectors are entitled to add data on the specimens, keepers etc., to the system, but certain data can only be included or amended by the central MA.</b>	<input checked="" type="checkbox"/>					
2	Do the following authorities have access to the Internet?	Tick if applicable					
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Inspectorates and customs officers have unrestricted access to the Internet. Local police officers have access only to the Intranet service of the Police. Therefore the Management Authority compiled CITES relevant information (regulations, general information, information on species, sanctions, contact details to CITES MA and other enforcement authorities) that was published on the police Intranet site and accessible to all officers.</b>
3	Do you have an electronic information system providing information on CITES species?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, does it provide information on:					Tick if applicable
	– Legislation (national, regional or international)?					<input type="checkbox"/>
	– Conservation status (national, regional, international)?					<input type="checkbox"/>
	– Other (please specify)?					<input type="checkbox"/>
5	Is it available through the Internet:					Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
	Please provide URL:					
6	Do the following authorities have access to the following publications?					Tick if applicable
	Publication	Management Authority	Scientific Authority	Enforcement Authority		
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
7	If not, what problems have been encountered to access to the mentioned information?					
8	Have enforcement authorities reported to the Management Authority on:					Tick if applicable
	– Mortality in transport?					<input type="checkbox"/>
	– Seizures and confiscations?					<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?					<input checked="" type="checkbox"/>
	Comments:					
9	Is there a government website with information on CITES and its requirements?					Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.cites.hu">www.cites.hu</a> <a href="http://www.kvvm.hu/index.php?pid=12&amp;sid=12&amp;hid=1546">http://www.kvvm.hu/index.php?pid=12&amp;sid=12&amp;hid=1546</a>					
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?					Tick if applicable
	– Press releases/conferences					<input checked="" type="checkbox"/>

	– Newspaper articles, radio/television appearances	<input checked="" type="checkbox"/>
	– Brochures, leaflets	<input checked="" type="checkbox"/>
	– Presentations	<input checked="" type="checkbox"/>
	– Displays	<input checked="" type="checkbox"/>
	– Information at border crossing points	<input checked="" type="checkbox"/>
	– Telephone hotline	<input type="checkbox"/>
	– Other (specify): <b>CITES public awareness campaigns</b>	<input checked="" type="checkbox"/>
	Please attach copies of any items as Annex. <b>See Annex about campaigns</b>	
11	Please provide details of any additional measures taken:	

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		Not applicable	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
	If no, please provide details of any:					
	Changes in permit format:					
	Changes in designation or signatures of relevant officials:					
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable				
		Yes	No	No information		
	Permit issuance/acceptance	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	193	22	15		
	How many applications were denied because of severe omissions or mis-information?					
	Year 2					
	How many documents were issued?	221	18	7		
	How many applications were denied because of severe omissions or mis-information?					
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes	<input type="checkbox"/>			
		No	<input checked="" type="checkbox"/>			
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this.					

6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason			Yes	No	No information
	Technical violations			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?			Yes	<input type="checkbox"/>	
				No	<input checked="" type="checkbox"/>	
				No information	<input type="checkbox"/>	
Comments						
8	How many times has the Scientific Authority been requested to provide opinions? <b>CITES species native to Hungary are not allowed to be exported. The MA occasionally requests opinion from the SA in case of imports and determining or approving housing facilities of live specimens before import</b>					
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?			Tick if applicable		
	– Issuance of CITES documents:			<input checked="" type="checkbox"/>		
	– Licensing or registration of operations that produce CITES species:			<input type="checkbox"/>		
	– Harvesting of CITES-listed species :			<input type="checkbox"/>		
	– Use of CITES-listed species:			<input type="checkbox"/>		
	– Assignment of quotas for CITES-listed species:			<input type="checkbox"/>		
	– Importing of CITES-listed species:			<input type="checkbox"/>		
– Other (specify): <b>issuance of internal documents (breeding certificates, cert. of origin and EC certificates)</b>			<input checked="" type="checkbox"/>			
10	If Yes, please provide the amounts of such fees. <b>The administrative fee for export, import permits and re-export certificates is 10600 HUF, and 2000 HUF for EC certificates and internal documents.</b>					
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?			Tick if applicable		
	– Entirely:			<input type="checkbox"/>		
	– Partly:			<input checked="" type="checkbox"/>		
	– Not at all:			<input type="checkbox"/>		
	– Not relevant:			<input type="checkbox"/>		
Comments: <b>The revenues go to the Ministry's and the inspectorates' budget and indirectly used also for the implementation of CITES</b>						
12	Please provide details of any additional measures taken:					

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?			Tick if applicable		
	Increased budget for activities		<input type="checkbox"/>	Improvement of national networks		<input checked="" type="checkbox"/>
	Hiring of more staff		<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement		<input type="checkbox"/>
	Development of implementation tools		<input type="checkbox"/>	Computerisation		<input type="checkbox"/>
	– Other (specify): <b>Training of enforcement officers</b>					<input type="checkbox"/>

2	<p>Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?</p> <p>Please tick boxes to indicate which target group and which activity.</p>	<table border="1"> <thead> <tr> <th data-bbox="719 114 810 434">Oral or written advice/guidance</th> <th data-bbox="810 114 901 434">Technical assistance</th> <th data-bbox="901 114 992 434">Financial assistance</th> <th data-bbox="992 114 1083 434">Training</th> <th data-bbox="1083 114 1118 434">Other (specify)</th> </tr> </thead> <tbody> <tr> <td data-bbox="719 434 810 539"><input checked="" type="checkbox"/></td> <td data-bbox="810 434 901 539"><input type="checkbox"/></td> <td data-bbox="901 434 992 539"><input type="checkbox"/></td> <td data-bbox="992 434 1083 539"><input type="checkbox"/></td> <td data-bbox="1083 434 1118 539"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="719 539 810 591"><input checked="" type="checkbox"/></td> <td data-bbox="810 539 901 591"><input type="checkbox"/></td> <td data-bbox="901 539 992 591"><input type="checkbox"/></td> <td data-bbox="992 539 1083 591"><input type="checkbox"/></td> <td data-bbox="1083 539 1118 591"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="719 591 810 642"><input type="checkbox"/></td> <td data-bbox="810 591 901 642"><input type="checkbox"/></td> <td data-bbox="901 591 992 642"><input type="checkbox"/></td> <td data-bbox="992 591 1083 642"><input type="checkbox"/></td> <td data-bbox="1083 591 1118 642"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="719 642 810 694"><input type="checkbox"/></td> <td data-bbox="810 642 901 694"><input type="checkbox"/></td> <td data-bbox="901 642 992 694"><input type="checkbox"/></td> <td data-bbox="992 642 1083 694"><input type="checkbox"/></td> <td data-bbox="1083 642 1118 694"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="719 694 810 745"><input type="checkbox"/></td> <td data-bbox="810 694 901 745"><input type="checkbox"/></td> <td data-bbox="901 694 992 745"><input type="checkbox"/></td> <td data-bbox="992 694 1083 745"><input type="checkbox"/></td> <td data-bbox="1083 694 1118 745"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="719 745 810 808"><input type="checkbox"/></td> <td data-bbox="810 745 901 808"><input type="checkbox"/></td> <td data-bbox="901 745 992 808"><input type="checkbox"/></td> <td data-bbox="992 745 1083 808"><input type="checkbox"/></td> <td data-bbox="1083 745 1118 808"><input type="checkbox"/></td> </tr> </tbody> </table>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<p>What were the external sources?</p> <p><b>Other MAs and SAs, CITES Secretariat, EU Twix mailing list</b></p>					
Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)																																							
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Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)																																							
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																							
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4	Please provide details of any additional measures taken																																										

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>				
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>annual meeting with inspectors responsible for CITES issues annual meeting with police environmental focal points</b>
	Consultations	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>daily coordination with inspectorates through a CITES mailing list, personal consultations with SA on a daily basis, frequent contact to customs, police and veterinary authority</b>
4	At the national level have there been any efforts to collaborate with:	Tick if applicable			Details if available			
	Agencies for development and trade	<input type="checkbox"/>			<b>Inspectorates, customs and police authorities</b>			
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>						
	Local authorities or communities	<input type="checkbox"/>			<b>TRAFFIC Central and Eastern European Office, WWF Hungary, REX Foundation</b>			
	Indigenous peoples	<input type="checkbox"/>						
	Trade or other private sector associations	<input type="checkbox"/>						
	NGOs	<input checked="" type="checkbox"/>						
	Other (specify)	<input type="checkbox"/>						

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable
	SA	<input type="checkbox"/>
	Customs	<input checked="" type="checkbox"/>
	Police	<input checked="" type="checkbox"/>
	Other border authorities (specify)	<input type="checkbox"/>
	Other government agencies	<input type="checkbox"/>
	Private sector bodies	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?	Tick if applicable
	Workshops	<input checked="" type="checkbox"/>
	Meetings	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
8	If Yes, which one(s) and in what way?	
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided? <b>Romania, CITES training for enforcement officers</b>	
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
12	If Yes, please give a brief description.	
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
14	If Yes, please give a brief description. <b>As the Biodiversity Unit of the Ministry of Environment and Water is responsible for all major multilateral agreements on nature conservation but the Ramsar Convention, the duplication of activities is excluded.</b>	
15	Please provide details of any additional measures taken:	

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
7	If Yes, please give a brief description.: <b>certain derogations, especially those for plants are very complicated and difficult to implement in practice</b>			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	Comments		

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

9b	<p>Please provide the following details about Regulations-related violations:</p> <ul style="list-style-type: none"> <li>i) Maximum penalties that may be imposed;</li> <li>ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).</li> </ul> <p><b>According to the Criminal Code, illegal purchase, possession, sale, import, (re-)export, transport through the territory of Hungary, trade in or killing of specimens of species listed in Annex A and B is a criminal offence and must be punished by up to 3 years imprisonment.</b></p> <p><b>According to the national CITES regulation, the inspectorate shall impose a nature protection fine amounting to minimum 10.000 and maximum 100.000 HUF depending on the severity and repetition of the offence on anyone not meeting or not properly meeting his/her obligations covered by the Convention, the Council Regulation, the Commission Regulation and the national CITES regulation in respect of a specimen of a species not nationally protected.. The fine may be imposed repeatedly in case of non-payment. This fine shall be imposed by specimen in the case of specimens that are subject to the duty of registration, and by each 250 grams started in the case of caviar, caviar containing products, ivory or rhinoceros horn, and by each cubic metre started in the case of timber. If the species is nationally protected, the amount of the fine is based on the “conservation value” of the species - which is determined by a ministerial decree - and must be imposed per specimen.</b></p> <p><b>Anyone not meeting or not properly meeting his/her obligations covered by the Convention, the Council Regulation, the Commission Regulation or the national CITES regulation may be obliged by the management authority to pay the costs of confiscation and seizure, including also the costs of keeping (storing) and transport.</b></p>
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### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	
		No information <input type="checkbox"/>		
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions;			
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: <b>see Annex on stricter measures, marking of Annex A and certain Annex B listed specimens is required, means are in accordance with Commission Regulation, photo identification is used in case of juvenile tortoises</b>			
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: <b>inspectories regularly check housing conditions</b>			
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	If yes, please provide details. <b>Through the MA, SA and the inspectorates</b>			
	Comments:			
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: <b>the criminal code punishes the illegal activities in relation to Annex A and B species and according to the national CITES regulation, the fines are higher in case of high value commodities and specimens that are subject to the duty of registration</b>			

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: <b>see attachment on capacity building and public awareness</b>			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: <b>in case of housing and repatriation of birds of prey</b>			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
No information							<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?  Indicate any difficulties that rendered attendance to the SRG difficult:	Number	7	<input type="checkbox"/>
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### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on? – Annex D listed species – Other matters not reported on in question D4 (1) (please specify)	Tick if applicable	<input type="checkbox"/> <input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees. <b>EC certificates – fee is 2000 HUF</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage :47.% No information	<input type="checkbox"/> <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>6 processing and/or (re-)packaging plants are licensed and registered</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?  <b>As it has been raised several occasions by Hungary and other Member States, controlling the movement and determine the legality of Annex B specimens is problematic</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

# IRELAND

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 45 held on 14 November 2008.

### A. General information

Party	<b>IRELAND</b>
Period covered in this report: 1 January 2003 to 31 December 2004	<b>1 Jan 2007 to 31 Dec 2008</b>
Details of agency preparing this report	<b>CITES Management Authority - Ireland Species Protection Unit Science &amp; Biodiversity Section National Parks &amp; Wildlife Service Department of the Environment, Heritage and Local Government</b>
Contributing agencies, organizations or individuals	<b>Management Authority, Scientific Authority and Enforcement Authorities (Customs)</b>

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable	
	The conditions for:	The complete prohibition of:
	Yes      No      No information	Yes      No      No information
	Trade	Trade
	Taking	Taking
	Possession	Possession
	Transport	Transport
	Other (specify)	Other (specify)
	Additional comments	

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available: <b>An assessment was undertaken, By way of a confidential report, by UNEP-WCMC on behalf of the NPWS, of the extent of wildlife trade in Ireland, focussing on species listed in the annexes of Council Regulation (EC) No 338/97, native Irish birds, and certain plant species listed in Annex V of Council Directive 92/43/EEC. It comprised a review of the legislation and its implementation, analysis of CITES trade data, a market survey and interviews with key government staff, traders, non-governmental organisations and other experts.</b>					
7	If no review or assessment has taken place, is one planned for the next reporting period?				Yes <input type="checkbox"/>
					No <input type="checkbox"/>
				No information <input type="checkbox"/>	
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?			
		<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex.	Number 4 89		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input checked="" type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments: <b>CITES Statistics sent to World Customs Organisation each Quarter.</b>			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		Yes	<input checked="" type="checkbox"/>	
13	If Yes, please give a brief description: <b>The Irish Customs service occasionally receives assistance from the Heathrow CITES team (UK) regarding ID of species and training.</b>			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input checked="" type="checkbox"/>	
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments: <b>See question B.6 above.</b>			
17	Please provide details of any additional measures taken: <b>CITES awareness campaign launched in 2009. This will be reported on in the 2009-2010 Biennial Report.</b>			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. <b>Mr. Kevin Cahillane replaced Mr. Jamie Mulleady in September 2009, his contact details are Tel: 00353-1-883074, e-mail: <a href="mailto:kevin.cahillane@environ.ie">kevin.cahillane@environ.ie</a> .Also a generic e-mail post box address <a href="mailto:cites@environ.ie">cites@environ.ie</a> has been created.</b>		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	How many staff work in each MA? <b>In 2007-2008 2 staff worked in the MA. There is only one MA and since June 2009 3 people now work in the MA.</b>		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation. <b>Approximately 1 person spends 40-60% of their time on CITES related work and 1 person spends 15-20%. (Since June 2009 the third person also spends approximately 40-60% of their time on CITES.)</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	– Administration		<input checked="" type="checkbox"/>
	– Biology		<input type="checkbox"/>
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					
2	If Yes, please use the opportunity to provide those changes here. <b>Dr.Colman O’Criodain left the CITES SA in mid 2008.</b>						
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
4	What is the structure of the SA(s) in your country? Tick if applicable						
	– Government institution	<input checked="" type="checkbox"/>					
	– Academic or research institution	<input type="checkbox"/>					
	– Permanent committee	<input type="checkbox"/>					
	– Pool of individuals with certain expertise	<input type="checkbox"/>					
	– Other (specify)	<input type="checkbox"/>					
5	How many staff work in each SA on CITES issues? <b>There is one SA with one staff member.</b>						
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					
	If yes, please give estimation. <b>Approximately 20-30%.</b>						
7	What are the skills/expertise of staff within the SA(s)? Tick if applicable						
	– Botany	<input checked="" type="checkbox"/>					
	– Ecology	<input checked="" type="checkbox"/>					
	– Fisheries	<input type="checkbox"/>					
	– Forestry	<input type="checkbox"/>					
	– Welfare	<input type="checkbox"/>					
	– Zoology	<input type="checkbox"/>					
	– Other (specify)	<input type="checkbox"/>					
	– No information	<input type="checkbox"/>					
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement:	
5	Please provide details of any additional measures taken:	

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>																													
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>																												
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>																												
	– Permit issuance	<input checked="" type="checkbox"/>																												
	– Not at all	<input type="checkbox"/>																												
	– Other (specify)	<input type="checkbox"/>																												
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>																													
	<table border="1"> <thead> <tr> <th>Authority</th> <th>Yes, continuous and unrestricted access</th> <th>Yes, but only through a dial-up connection</th> <th>Yes, but only through a different office</th> <th>Some offices only</th> <th>Not at all</th> <th>Please provide details where appropriate</th> </tr> </thead> <tbody> <tr> <td>Management Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Scientific Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Enforcement Authority</td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Enforcement Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate																								
Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																									
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Enforcement Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																									
3	Do you have an electronic information system providing information on CITES species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>																												
	<p><b>By answering yes to this question we mean that we have access to the UNEP-WCMC - Website – Species Database or <a href="http://www.unep-wcmc.org/isdb/Taxonomy/">http://www.unep-wcmc.org/isdb/Taxonomy/</a></b></p>																													

4	If Yes, does it provide information on:	Tick if applicable		
	– Legislation (national, regional or international)?			<input checked="" type="checkbox"/>
	– Conservation status (national, regional, international)?			<input type="checkbox"/>
	– Other (please specify)?			<input type="checkbox"/>
5	Is it available through the Internet:	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Please provide URL: <a href="http://www.unep-wcmc.org/isdb/Taxonomy/">http://www.unep-wcmc.org/isdb/Taxonomy/</a>			
6	Do the following authorities have access to the following publications?	Tick if applicable		
	Publication	Management Authority	Scientific Authority	Enforcement Authority
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
7	If not, what problems have been encountered to access to the mentioned information?			
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable		
	– Mortality in transport?			<input type="checkbox"/>
	– Seizures and confiscations?			<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?			<input checked="" type="checkbox"/>
	<b>Comments: Customs have submitted details on seizures and confiscations and do return Page 3 of CITES Import Permits to the MA.</b>			
9	Is there a government website with information on CITES and its requirements?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	If Yes, please give the URL: <a href="http://www.npws.ie/en/WildlifePlanningtheLaw/CITES/">http://www.npws.ie/en/WildlifePlanningtheLaw/CITES/</a> <b>The above web pages were updated in 2009.</b>			
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable		
	– Press releases/conferences			<input type="checkbox"/>
	– Newspaper articles, radio/television appearances			<input type="checkbox"/>
	– Brochures, leaflets			<input type="checkbox"/>
	– Presentations			<input checked="" type="checkbox"/>
	– Displays			<input type="checkbox"/>
	– Information at border crossing points			<input type="checkbox"/>
	– Telephone hotline			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Please attach copies of any items as Annex.			
11	Please provide details of any additional measures taken: <b>A CITES Exhibition was undertaken in 2009 this will be reported on in the next Biennial Report.</b>			

D5 *Permitting and registration procedures*

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?		Yes	<input type="checkbox"/>		
			No	<input checked="" type="checkbox"/>		
			Not applicable	<input type="checkbox"/>		
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format: <b>No Changes</b>						
Changes in designation or signatures of relevant officials: <b>Yes, Kevin Cahillane has been a designated signature sine September 2008 and Gerry Leckey since June 2007.</b>						
2	To date, has your country developed written permit procedures for any of the following?			Tick if applicable		
		Yes	No	No information		
	Permit issuance/acceptance	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	<b>Year 1 (2007)</b>	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	<b>18</b>	<b>3</b>	<b>0</b>	<b>70</b>	<b>Other = CITES Certificates exclusively</b>
	How many applications were denied because of severe omissions or mis-information?				<b>No record has been kept of CITES Applications denied or rejected.</b>	
	<b>Year 2 (2008)</b>					
	How many documents were issued?	<b>55</b>	<b>4</b>	<b>0</b>	<b>190</b>	<b>Other = CITES Certificates exclusively</b>
	How many applications were denied because of severe omissions or mis-information?					<b>No record has been kept of CITES Applications denied or rejected.</b>
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?		Yes	<input type="checkbox"/>		
		No	<input checked="" type="checkbox"/>			
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason		Yes	No	No information	
	Technical violations		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Suspected fraud		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of non-detriment		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of legal acquisition		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
		No information	<input type="checkbox"/>			
Comments ( <b>When processing CITES Import Permit applications, export quotas for the species concerned are checked where applicable</b> )						
8	How many times has the Scientific Authority been requested to provide opinions? <b>Import/Export Permit Applications are submitted to the SA for its opinion. Certificate Applications are usually not submitted to the SA, unless they are considered contentious.</b>					

9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?	Tick if applicable
	– Issuance of CITES documents:	<input type="checkbox"/>
	– Licensing or registration of operations that produce CITES species:	<input type="checkbox"/>
	– Harvesting of CITES-listed species :	<input type="checkbox"/>
	– Use of CITES-listed species:	<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species:	<input type="checkbox"/>
	– Importing of CITES-listed species:	<input type="checkbox"/>
	– Other (specify):	<input type="checkbox"/>
10	If Yes, please provide the amounts of such fees. <b>(No fees are charged by the Irish MA)</b>	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable
	– Entirely:	<input type="checkbox"/>
	– Partly:	<input type="checkbox"/>
	– Not at all:	<input type="checkbox"/>
	– Not relevant:	<input checked="" type="checkbox"/>
	Comments:	
12	Please provide details of any additional measures taken:	

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable
	Increased budget for activities	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?	Tick if applicable
	Please tick boxes to indicate which target group and which activity.	
	Target group	What were the external sources?
	Staff of Management Authority	<input type="checkbox"/>
	Staff of Scientific Authority	<input checked="" type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>
	Traders	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>
	Public	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities? <b>Yes</b>						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Training to Regional Staff provided by (Customs & NPWS) on 2 days. 1 for Northern Division and 1 Eastern Division.
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Trader/Public queries answered on regular basis.
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken: <b>(CITES part of the NPWS website updated in 2009, will be reported on in next Biennial Report.)</b>						

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?		

3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>The MA and SA meet on an ad hoc basis approximately once a month.</b>
Consultations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>The MA consults with the SA on an ad hoc basis on various issues, probably a couple of times a week.</b> <b>The MA consults with the Enforcement Authorities also on an ad hoc basis, less frequently than with the SA but still approximately a few times a month.</b>	
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					<input type="checkbox"/>		
	Provincial, state or territorial authorities					<input type="checkbox"/>		
	Local authorities or communities					<input type="checkbox"/>		
	Indigenous peoples					<input type="checkbox"/>		
	Trade or other private sector associations					<input type="checkbox"/>		
	NGOs					<input type="checkbox"/>		
	Other (specify)					<input type="checkbox"/>		
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies? <span style="float: right;">Tick if applicable</span>							
	SA <input type="checkbox"/>							
	Customs <input type="checkbox"/>							
	Police <input type="checkbox"/>							
	Other border authorities (specify) <input type="checkbox"/>							
	Other government agencies <input type="checkbox"/>							
	Private sector bodies <input type="checkbox"/>							
	NGOs <input type="checkbox"/>							
	Other (specify) <input type="checkbox"/>							
6	Has your country participated in any regional activities related to CITES? <span style="float: right;">Tick if applicable</span>							
	Workshops <input type="checkbox"/>							
	Meetings <input type="checkbox"/>							
	Other (specify) <input type="checkbox"/>							
7	Has your country encouraged any non-Party to accede to					Yes	<input type="checkbox"/>	

	the Convention?	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES? ( <b>However Ireland did make a contribution towards the Seventh dialogue meeting of the African elephant range States in 2007.</b> )	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input checked="" type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required. <b>A shortage of staff in the MA &amp; SA available to devote time on CITES issues.</b>			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
	<b>Comments: (A CITES awareness campaign was launched in 2009, this will be reported on in the next Biennial Report)</b>		

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed; <b>(The maximum penalty would be following a conviction on indictment, which has a maximum fine of €63,487 or imprisonment for a term not exceeding 2 years or to both.)</b>						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions;	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
	Comments:	
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments: <b>(A draft National Enforcement Plan has been completed but not yet fully adopted.)</b>	
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.) If yes, please provide details.	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
	Comments:	
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
		Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?		
		Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>		
			9b If Yes, please give the species name and provide details of the kind of research involved.				
			Species name	Populations	Distribution	Off take	Legal trade
	1						
	2						
	3						
	etc.						
					No information <input type="checkbox"/>		

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?  Indicate any difficulties that rendered attendance to the SRG difficult: <b>Staff shortages and commitments to other Non-CITES Work area meant that all SRG meeting could not be attended.</b>	Number (5)	<input type="checkbox"/>
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### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>	No information <input type="checkbox"/>
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### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on? – Annex D listed species – Other matters not reported on in question D4 (1) (please specify)	Tick if applicable	<input type="checkbox"/>	<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species? (See Part I D4 Question 3.)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : ....% No information	<input type="checkbox"/> <input checked="" type="checkbox"/>	
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	

20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

# ITALY

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 34 held on 14 June 2005.

### A. General information

Party	<b>ITALY</b>
Period covered in this report: 1 January 2007 to 31 December 2008	
Details of agency preparing this report	<b>Ministry of Environment, Land and Sea Protection - Main Cites Management Authority Via Capitan Bavastro 174 I-00154 ROMA Tel: +39 (06) 57 22 83 64/82/75; Fax: +39 (06) 57 22 83 25 Email: <a href="mailto:dpn-cites@minambiente.it">dpn-cites@minambiente.it</a> Web: <a href="http://www.minambiente.it/">http://www.minambiente.it/</a></b>
Contributing agencies, organizations or individuals	<b>State Forestry Corps – Enforcement Authority CITES Scientific Authority Ministry of Economic Development</b>

## B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: <hr/> Title and date: <b>“Marking requirements of primary and secondary containers of caviar and registration of producing and re-packaging plants”</b> Status: <b>6<sup>th</sup> of May 2008</b> <hr/> Brief description of contents: <b>Outline of steps to implement and requirements to fulfil for the plants producing and re-packaging caviar, as well as the specification of the contents and layout of labels for containers, according to the disposals of Res. Conf. 12.7 and EC Reg. 865/06;</b>	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable	
	The conditions for:	The complete prohibition of:
	Yes      No      No information	Yes      No      No information
	Trade <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Taking <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Possession <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Transport <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Other (specify) <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Additional comments	

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable	
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Control over CITES trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Coherence within legislation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Please provide details if available: <b>Control over CITES trade: e.g. control over timber shipments if responding precisely to CITES requirements would bring in high numbers to seizures and penalties.</b> <b>Implementing regulations: delays in the issuance of amended regulations lead to uncertainty in the application of the law.</b> <b>Coherence within legislation: at national level may arise a conflict of competence for some species (e.g. marine turtles) protected also by other conventions and this may lead, in some cases, to misunderstanding on the procedures to be followed in case the specimens are included in CITES and need to be handled. Guidelines on this issue are being drafted.</b>						
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
Please provide details if available:						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?			Tick all applicable		
	Subject	Yes	No	No information		
	Access to or ownership of natural resources	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Transporting of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Handling and housing of live specimens	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
Please provide details if available:						
9	Please provide details of any additional measures taken: <b>Regulation of trade in captive bred sturgeons for the production of caviar; regulation of marking requirements for captive bred specimens (currently under revision); production of guidelines for the handling and movements towards rescue centres of marine turtles (included preparation of a National Action Plan for the rescue centres too).</b>					

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



	– Euthanasia		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	Comments:		
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments: <b>A further kind of ecomessage is sent in every case to INTERPOL and EU-TWIX</b>		
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

13	If Yes, please give a brief description: <b>In many cases, the Central Investigation Unit of State Forestry Corp operates in collaboration with other countries (EU and non EU) polices and/or customs, creating a strong synergy on the operational exchange of know-how, arrangements and achievement of final results; Italian Forestry Corp also organized a special workshop in this regard January 2009).</b>		
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>	
	Comments:		
17	Please provide details of any additional measures taken:		

#### D. Administrative measures

##### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. <b>MINISTRY OF ENVIRONMENT, LAND AND SEA PROTECTION</b> <b>Direction for Nature Protection</b> <b>CITES MANAGEMENT AUTHORITY</b> <b>Via Capitan Bavastro 174</b> <b>I-00154 ROMA</b> <b>Tel: +39 (06) 57 22 83 64/82/75;</b> <b>Fax: +39 (06) 57 22 83 25</b> <b>Email: <a href="mailto:dpn-cites@minambiente.it">dpn-cites@minambiente.it</a></b> <b>Web: <a href="http://www.minambiente.it/">http://www.minambiente.it/</a></b>		
5	How many staff work in each MA? <b>Main MA, Ministry of Environment: 1 Head of Unit; 2 full time units, 3 part time units.</b> <b>State forestry corps – Enforcement Authority and responsible for issuing of re-export certificates: 250 full time units</b> <b>Ministry of Economic Development – responsible for issuing of import and export permits: 1 Head of Unit, 1 officer and 3 full time units plus 1 part time unit;</b>		

6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
7	What are the skills/expertise of staff within the MA(s)? – Administration – Biology – Economics/trade – Law/policy – Other (specify): <b>Nature and Wildlife Management</b> – No information	Tick if applicable	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
9	If Yes, please give the species name and provide details of the kind of research involved. <b>Publication of the Italian translation of Canadian identification guide for tropical woods.</b> <b>Draft guidelines for measurement of timber.</b> <b>Recent drafting of a marking requirements decree.</b>		
10	Please provide details of any additional measures taken		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable	
	– Government institution		<input checked="" type="checkbox"/>
	– Academic or research institution		<input type="checkbox"/>
	– Permanent committee		<input type="checkbox"/>
	– Pool of individuals with certain expertise		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? <b>4 Staff unit supporting 19 SA members</b>		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation: <b>4 Staff unit supporting 100%, 19 SA members not assessable</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable	
	– Botany		<input checked="" type="checkbox"/>
	– Ecology		<input checked="" type="checkbox"/>
	– Fisheries		<input checked="" type="checkbox"/>
	– Forestry		<input checked="" type="checkbox"/>
	– Welfare		<input checked="" type="checkbox"/>
	– Zoology		<input checked="" type="checkbox"/>
	– Other (specify): <b>Law</b>		<input checked="" type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and indicate which kind of research was involved.		
	Species name	Populations	Distribution
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
			Off take
			<input type="checkbox"/>
			Legal trade
			<input type="checkbox"/>
			Illegal trade
			<input type="checkbox"/>
			No information
			<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
11	Please provide details of any additional measures taken:		

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>
			No information <input type="checkbox"/>	
4	If Yes, please state which is the lead agency for enforcement: <b>Corpo forestale dello Stato (Italian State Forestry Corps).</b>			
5	Please provide details of any additional measures taken:			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? Tick if applicable						
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>					
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>					
	– Permit issuance	<input checked="" type="checkbox"/>					
	– Not at all	<input type="checkbox"/>					
	– Other (specify)	<input type="checkbox"/>					
2	Do the following authorities have access to the Internet? Tick if applicable						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species?						
		Yes <input type="checkbox"/>					
		No <input checked="" type="checkbox"/>					
		No information <input type="checkbox"/>					

4	<p>If Yes, does it provide information on: <span style="float: right;">Tick if applicable</span></p> <ul style="list-style-type: none"> <li>– Legislation (national, regional or international)? <span style="float: right;"><input type="checkbox"/></span></li> <li>– Conservation status (national, regional, international)? <span style="float: right;"><input type="checkbox"/></span></li> <li>– Other (please specify)? <span style="float: right;"><input type="checkbox"/></span></li> </ul>																				
5	<p>Is it available through the Internet: <span style="float: right;">Yes <input type="checkbox"/></span></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">Not applicable <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>If yes, please provide URL:</p>																				
6	<p>Do the following authorities have access to the following publications? <span style="float: right;">Tick if applicable</span></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Publication</th> <th style="width: 16.6%;">Management Authority</th> <th style="width: 16.6%;">Scientific Authority</th> <th style="width: 16.6%;">Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td><i>Checklist of CITES Species</i> (book)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td><i>Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>Identification Manual</i></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td><i>CITES Handbook</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<i>Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Identification Manual</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
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<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																		
7	If not, what problems have been encountered to access to the mentioned information?																				
8	<p>Have enforcement authorities or other relevant competent authorities reported to the Management Authority on: <span style="float: right;">Tick if applicable</span></p> <ul style="list-style-type: none"> <li>– Mortality in transport? <span style="float: right;"><input type="checkbox"/></span></li> <li>– Seizures? <span style="float: right;"><input type="checkbox"/></span></li> <li>– Confiscations? <span style="float: right;"><input type="checkbox"/></span></li> <li>– Discrepancy in number of items in permit and number of items actually traded? <span style="float: right;"><input checked="" type="checkbox"/></span></li> </ul> <p>Comments:</p>																				
9	<p>Is there a government website with information on CITES and its requirements? <span style="float: right;">Yes <input checked="" type="checkbox"/></span></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>If Yes, please give the URL: <a href="http://www.minambiente.it/">http://www.minambiente.it/</a>;  <a href="http://www.mincomes.it/">http://www.mincomes.it/</a>; <a href="http://www.corpoforestale.it/cites/">http://www.corpoforestale.it/cites/</a></p>																				
10	<p>Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public? <span style="float: right;">Tick if applicable</span></p> <ul style="list-style-type: none"> <li>– Press releases/conferences <span style="float: right;"><input type="checkbox"/></span></li> <li>– Newspaper articles, radio/television appearances <span style="float: right;"><input type="checkbox"/></span></li> <li>– Brochures, leaflets <span style="float: right;"><input type="checkbox"/></span></li> <li>– Presentations <span style="float: right;"><input type="checkbox"/></span></li> <li>– Displays <span style="float: right;"><input type="checkbox"/></span></li> <li>– Information at border crossing points <span style="float: right;"><input type="checkbox"/></span></li> <li>– Telephone hotline <span style="float: right;"><input type="checkbox"/></span></li> <li>– Other (specify) <span style="float: right;"><input type="checkbox"/></span></li> </ul> <p>Please attach copies of any items as Annex.</p>																				
11	Please provide details of any additional measures taken:																				

D5 *Permitting and registration procedures*

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
			Not applicable	<input type="checkbox"/>		
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format: <b>Work in progress for set it in line with provisions of EU Regulations.</b>						
Changes in designation or signatures of relevant officials: <b>Changes have been communicated to the Secretariat for Export and Import Permits, no changes occurred for re-export certificates</b>						
2	To date, has your country developed written procedures for any of the following?			Tick if applicable		
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	7598	183	47237	73	
	How many applications were denied because of severe omissions or mis-information?					No data available
	Year 2					
	How many documents were issued?	7291	141	48881	91	
	How many applications were denied because of severe omissions or mis-information?					No data available
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?		Yes	<input type="checkbox"/>		
			No	<input type="checkbox"/>		
			No information	<input checked="" type="checkbox"/>		
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason	Yes	No	No information		
	Technical violations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas used as a management tool in the procedure for issuance of permits, such as [indicate whether the question refers to export quotas being used for issuing permits, or for exporting endemic species, and how harvest quotas might be used as a management tool for permit issuance as this is unclear]?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
			No information	<input type="checkbox"/>		
Comments						
8	How many times has the Scientific Authority been requested to provide opinions? <b>Every time for export permits and for first time imports.</b>					

9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?	Tick if applicable
	– Issuance of CITES documents:	<input checked="" type="checkbox"/>
	– Licensing or registration of operations that produce CITES species:	<input type="checkbox"/>
	– Harvesting of CITES-listed species :	<input type="checkbox"/>
	– Use of CITES-listed species:	<input checked="" type="checkbox"/>
	– Assignment of quotas for CITES-listed species:	<input type="checkbox"/>
	– Importing of CITES-listed species:	<input checked="" type="checkbox"/>
	– Other (specify):	<input type="checkbox"/>
10	If Yes, please provide the amounts of such fees.	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable
	– Entirely:	<input type="checkbox"/>
	– Partly:	<input checked="" type="checkbox"/>
	– Not at all:	<input type="checkbox"/>
	– Not relevant:	<input type="checkbox"/>
	Comments:	
12	Please provide details of any additional measures taken:	

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable																																													
	Increased budget for activities	<input type="checkbox"/> Improvement of national networks <input type="checkbox"/>																																													
	Hiring of more staff	<input type="checkbox"/> Purchase of technical equipment for monitoring/enforcement <input checked="" type="checkbox"/>																																													
	Development of implementation tools	<input checked="" type="checkbox"/> Computerisation <input checked="" type="checkbox"/>																																													
	– Other (specify)	<input type="checkbox"/>																																													
2	Have the following target groups in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?																																														
	Please tick boxes to indicate which target group and which activity.																																														
	Target group	<table border="1"> <thead> <tr> <th>Oral or written advice/guidance</th> <th>Technical assistance</th> <th>Financial assistance</th> <th>Other (specify)</th> <th>What were the external sources?</th> </tr> </thead> <tbody> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Almavivaitalia</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Almavivaitalia</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Almavivaitalia</td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Oral or written advice/guidance	Technical assistance	Financial assistance	Other (specify)	What were the external sources?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Almavivaitalia	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Almavivaitalia	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Almavivaitalia	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Oral or written advice/guidance	Technical assistance	Financial assistance	Other (specify)	What were the external sources?																																											
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	Staff of Scientific Authority	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> Almavivaitalia																																													
	Staff of enforcement authorities	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> Almavivaitalia																																													
	Traders	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>																																													
	NGOs	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>																																													
	Public	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>																																													
	Enforcement agencies, prosecution services and the judiciary	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>																																													
	Other (specify)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>																																													

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?																																												
	Please tick boxes to indicate which authority provided training and for which activity.																																												
	<table border="1"> <thead> <tr> <th>Authorities providing training</th> <th>Oral or written advice/guidance</th> <th>Technical assistance</th> <th>Financial assistance</th> <th>Other (specify)</th> </tr> </thead> <tbody> <tr> <td>Management Authority</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Scientific Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Enforcement authorities</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Enforcement agencies, prosecution services and the judiciary</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>					Authorities providing training	Oral or written advice/guidance	Technical assistance	Financial assistance	Other (specify)	Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Enforcement agencies, prosecution services and the judiciary	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Authorities providing training	Oral or written advice/guidance	Technical assistance	Financial assistance	Other (specify)																																								
	Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																								
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																								
	Enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																								
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																								
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																									
Enforcement agencies, prosecution services and the judiciary	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																									
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																									
				European Regional CITES Plants Meeting Lampedusa, 7-8 October 2008																																									
4 Please provide details of any additional measures taken																																													

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?						Yes	<input type="checkbox"/>
							No	<input checked="" type="checkbox"/>
							No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicate the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Consultations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

4	At the national level have there been any efforts to collaborate with:	Tick if applicable	Details if available
	Agencies for development and trade	<input type="checkbox"/>	
	Provincial, state or territorial authorities	<input type="checkbox"/>	
	Local authorities or communities	<input type="checkbox"/>	
	Indigenous peoples	<input type="checkbox"/>	
	Trade or other private sector associations	<input checked="" type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	
Other (specify)	<input type="checkbox"/>		
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable	
	SA	<input checked="" type="checkbox"/>	
	Customs	<input checked="" type="checkbox"/>	
	Police	<input type="checkbox"/>	
	Other border authorities (specify)	<input type="checkbox"/>	
	Other government agencies	<input type="checkbox"/>	
	Private sector bodies	<input type="checkbox"/>	
NGOs	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>		
6	Has your country participated in any regional activities related to CITES?	Tick if applicable	
	Workshops	<input checked="" type="checkbox"/>	
	Meetings	<input checked="" type="checkbox"/>	
	Regional enforcement networks	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	
7	Has your country encouraged any non-Party to accede to the Convention?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		

13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
14	If Yes, please give a brief description.			
15	Please provide details of any additional measures taken:			

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty? <b>Res. Conf. 13.7 on PHE, unclear interpretation of the Resolution, definitions of PHE and tourist souvenirs, ongoing discussion at the European level.</b>			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required. <b>Measurement of timber shipments, an international WG is dealing with this issue, the kind of assistance required is the shared willingness to develop a standardised procedure to measure timber.</b>			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed; <b>10300 € and consequent seized specimens and trial persecution</b>						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
	<b>Obligation on the marking of all living specimens of mammals, birds and reptiles in Annex A</b>	No information	<input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; <b>Fine of about 10300 € and consequent seized specimens for illegal trade of live animals.</b> ii) The outcomes of any prosecutions; <b>Trial and subsequent sentencing court.</b>		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments: <b>Obligation on the marking of all living specimens of mammals, birds and reptiles in Annex A</b>		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments:		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If yes, please provide details.		
	Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments:		

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?  Indicate any difficulties that rendered attendance to the SRG difficult:	Number	7
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### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?          <b>There are two focal points: one for Secretariat/Interpol; one for Secretariat/EU-TWIX</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
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### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on? – Annex D listed species – Other matters not reported on in question D4 (1) (please specify)	Tick if applicable  <input type="checkbox"/> <input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : ....% No information <input checked="" type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.  <b>Here attached the list of authorized places of introduction and export of CITES specimens. The first table refers to all CITES specimens while the second refers specifically to timber. In the five columns are included: Name of the province of reference; Province code; Kind of custom; CITES Operational team of reference; Custom location</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>Phytosanitary certificates may be issued, <i>in lieu</i> of export permits, by the phytosanitary offices of the Ministry of Public Health in accordance with article 17</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

# LATVIA

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 45 held on 14 November 2008.

### A. General information

Party	Latvia
Period covered in this report: 1 January 2007 to 31 December 2008	1 January 2007 to 31 December 2008
Details of agency preparing this report	Ministry of Environment Nature Protection Agency CITES Management Authority Baznicas iela 7, Sigulda, LV- 2150 Fax:+37167509544; Ph.: +37167509541 <a href="http://www.dap.gov.lv">www.dap.gov.lv</a>
Contributing agencies, organizations or individuals	

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>																																																
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____																																																	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>																																																
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>																																																
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable																																																
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width: 30%;">Issue</th> <th colspan="3" style="text-align: center;">The conditions for:</th> <th colspan="3" style="text-align: center;">The complete prohibition of:</th> </tr> <tr> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 10%;">No information</th> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 10%;">No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>	Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>						
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Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																												
Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																												
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																												
	Additional comments	Latvia as one of the Member States of the European Community implements CITES through Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 both of which are directly applicable within the European Community.																																																

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? <span style="float: right;">Tick all applicable</span>					
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of offences	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please specify): <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>						
Please provide details if available:						
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
Please provide details if available:						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention? <span style="float: right;">Tick all applicable</span>					
	Subject	Yes	No	No information		
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Transporting of live specimens	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Handling and housing of live specimens	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Please provide details if available:						
9	Please provide details of any additional measures taken:					

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations? <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made? <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			

5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex. <b>Please find Annex I (4 pages).</b>	Number <b>10</b> <b>75</b>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input checked="" type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input checked="" type="checkbox"/>
	<b>Comments: Dead specimens and products are displayed in permanent CITES exhibitions for educative and public awareness, tubes with cosmetic products have been destroyed under customs supervision.</b>			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
13	<b>If Yes, please give a brief description: CITES MA of Latvia provided information and investigative assistance in <i>Ursus arctus</i> cosmetic products with ingredients of Brown bear (balsams and creams with fat and gall) exported from Russian Federation.</b>			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments: <b>Mainly reviews carried out by TRAFFIC and EU.</b>			
17	Please provide details of any additional measures taken:			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.	
5	How many staff work in each MA? <b>2 civil servants</b>	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input type="checkbox"/>
	– Biology	<input checked="" type="checkbox"/>
	– Economics/trade	<input type="checkbox"/>
	– Law/policy	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
10	Please provide details of any additional measures taken	

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable
	– Government institution	<input checked="" type="checkbox"/>
	– Academic or research institution	<input checked="" type="checkbox"/>
	– Permanent committee	<input type="checkbox"/>
	– Pool of individuals with certain expertise	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? <b>Natural History Museum of Latvia – 2 part time officials</b> <b>University of Latvia Institute of Biology – 1 part time official</b> <b>University of Latvia Faculty of Biology – 1 part time official</b>	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable
	– Botany	<input checked="" type="checkbox"/>
	– Ecology	<input checked="" type="checkbox"/>
	– Fisheries	<input type="checkbox"/>
	– Forestry	<input type="checkbox"/>
	– Welfare	<input type="checkbox"/>
	– Zoology	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
	Species name	Populations
	Distribution	Off take
	Legal trade	Illegal trade
	Other (specify)	
	1	
	2	
	3	
	etc.	
	No information <input type="checkbox"/>	
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
11	Please provide details of any additional measures taken:	

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Under consideration <input type="checkbox"/>
		No information <input type="checkbox"/>		
4	If Yes, please state which is the lead agency for enforcement:			
5	Please provide details of any additional measures taken:			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? Tick if applicable						
	-	Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>				
	-	Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>				
	-	Permit issuance	<input checked="" type="checkbox"/>				
	-	Not at all	<input type="checkbox"/>				
	-	Other (specify)	<input type="checkbox"/>				
2	Do the following authorities have access to the Internet? Tick if applicable						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species? Yes <input checked="" type="checkbox"/>						
	No <input type="checkbox"/>						
	No information <input type="checkbox"/>						

4	If Yes, does it provide information on:	Tick if applicable		
	– Legislation (national, regional or international)?			<input checked="" type="checkbox"/>
	– Conservation status (national, regional, international)?			<input checked="" type="checkbox"/>
	– Other (please specify)?			<input type="checkbox"/>
5	Is it available through the Internet:	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Please provide <a href="http://www.dap.gov.lv">URL: www.dap.gov.lv</a> ; <a href="http://www.dabasmuzejs.gov.lv">www.dabasmuzejs.gov.lv</a> ; <a href="http://www.vid.gov.lv">www.vid.gov.lv</a>			
6	Do the following authorities have access to the following publications?	Tick if applicable		
	Publication	Management Authority	Scientific Authority	Enforcement Authority
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
7	If not, what problems have been encountered to access to the mentioned information?			
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable		
	– Mortality in transport?			<input type="checkbox"/>
	– Seizures and confiscations?			<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?			<input checked="" type="checkbox"/>
	Comments:			
9	Is there a government website with information on CITES and its requirements?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.dap.gov.lv">: www.dap.gov.lv</a> ; <a href="http://www.dabasmuzejs.gov.lv">www.dabasmuzejs.gov.lv</a> ; <a href="http://www.vid.gov.lv">www.vid.gov.lv</a>			
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable		
	– Press releases/conferences			<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances			<input checked="" type="checkbox"/>
	– Brochures, leaflets			<input checked="" type="checkbox"/>
	– Presentations			<input checked="" type="checkbox"/>
	– Displays			<input checked="" type="checkbox"/>
	– Information at border crossing points			<input checked="" type="checkbox"/>
	– Telephone hotline			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Please attach copies of any items as Annex. <b>Please find Annex II.</b>			
11	Please provide details of any additional measures taken:			

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input type="checkbox"/>			
		No	<input type="checkbox"/>			
		Not applicable	<input checked="" type="checkbox"/>			
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable				
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	261	4	10		
	How many applications were denied because of severe omissions or mis-information?	2	0	0		
	Year 2					
	How many documents were issued?	258	0	7		
	How many applications were denied because of severe omissions or mis-information?	0	0	0		
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes	<input type="checkbox"/>			
		No	<input checked="" type="checkbox"/>			
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.	Tick if applicable				
	Reason	Yes	No	No information		
	Technical violations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
	Comments					
8	How many times has the Scientific Authority been requested to provide opinions? <b>Approximately twice per month.</b>					
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?	Tick if applicable				
	– Issuance of CITES documents:	<input checked="" type="checkbox"/>				

	<ul style="list-style-type: none"> <li>– Licensing or registration of operations that produce CITES species: <input type="checkbox"/></li> <li>– Harvesting of CITES-listed species : <input type="checkbox"/></li> <li>– Use of CITES-listed species: <input type="checkbox"/></li> <li>– Assignment of quotas for CITES-listed species: <input type="checkbox"/></li> <li>– Importing of CITES-listed species: <input type="checkbox"/></li> <li>– Other (specify): <input type="checkbox"/></li> </ul>	
10	<p>If Yes, please provide the amounts of such fees. <b>For issuance of CITES documents are established state tax and the income form state tax are transferred to State Treasury of Latvia.</b></p> <p><b>Fees for purpose codes T – 8 LVL, Q – 6 LVL, B,E,G,M,N,S – 3 LVL.</b></p> <p><b>Fees for purpose codes H, L,P, Z – no fees.</b></p>	
11	<p>Have revenues from fees been used for the implementation of CITES or wildlife conservation?</p> <ul style="list-style-type: none"> <li>– Entirely: <input type="checkbox"/></li> <li>– Partly: <input type="checkbox"/></li> <li>– Not at all: <input type="checkbox"/></li> <li>– Not relevant: <input checked="" type="checkbox"/></li> </ul> <p>Comments:</p>	Tick if applicable
12	Please provide details of any additional measures taken:	

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?					Tick if applicable	
	Increased budget for activities	<input checked="" type="checkbox"/>	Improvement of national networks	<input checked="" type="checkbox"/>			
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>			
	Development of implementation tools	<input checked="" type="checkbox"/>	Computerisation	<input type="checkbox"/>			
	– Other (specify)			<input type="checkbox"/>			
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Latvian Environmental Protection Fund
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	EU-TWIX (European Commission)
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						Details
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Other parties/International meetings						
	Other (specify)						
	<p>Please provide details of any additional measures taken; <b>Nature Protection Board of Latvia (CITES MA) during reporting period (January 2007 – December 2008) gave full attention to capacity building activities. The Nature Protection Board of Latvia (CITES MA) organized numerous training seminars and workshops on CITES. The objective of the training was to give all authorities more deep knowledge about CITES (local plant species, TCM etc.), including how to control shipments and permits, main groups of species on CITES and EU Regulations. In total, 187 customs officers, 40 environmental inspectors have been trained and supplied with education material.</b></p>						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?						Yes	<input type="checkbox"/>
							No	<input checked="" type="checkbox"/>
							No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultations used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Consultations	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					<input checked="" type="checkbox"/>		
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>		
	Local authorities or communities					<input checked="" type="checkbox"/>		
	Indigenous peoples					<input checked="" type="checkbox"/>		
	Trade or other private sector associations					<input checked="" type="checkbox"/>		
	NGOs					<input checked="" type="checkbox"/>		
Other (specify)					<input type="checkbox"/>			

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable
	SA	<input type="checkbox"/>
	Customs	<input type="checkbox"/>
	Police	<input type="checkbox"/>
	Other border authorities (specify)	<input type="checkbox"/>
	Other government agencies	<input type="checkbox"/>
	Private sector bodies	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>
	Other (specify) <b>Agreement between CITES MA and Riga National Zoo</b>	<input checked="" type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?	Tick if applicable
	Workshops	<input checked="" type="checkbox"/>
	Meetings	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
8	If Yes, which one(s) and in what way?	
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?	
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
12	If Yes, please give a brief description.	
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
14	If Yes, please give a brief description.	
15	Please provide details of any additional measures taken:	

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed;						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions;	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> .)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
	Comments:	
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
No information							<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	2
	Indicate any difficulties that rendered attendance to the SRG difficult:		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species	<input checked="" type="checkbox"/>	
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>	
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees.	No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : 97 %	<input type="checkbox"/>
		No information	<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
	If yes, please attach.	No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
	If yes, please provide details.	No information	<input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
	If yes, please provide details.	No information	<input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
	If yes, please provide details.	No information	<input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
	If yes, please provide details.	No information	<input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
	If yes, please provide details.	No information	<input type="checkbox"/>

20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

# LITHUANIA

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 45 held on 14 November 2008.



Additional comments

**According to the Rules on Trade in Wild Animals and Rules on Trade in Protected Wild Flora Species it is prohibited to use any Appendix A species for commercial purposes also possession of number of species (since 01.09.2009).**

**It is prohibited to trade in wild animal and plant species, their parts or derivatives listed in Lithuanian Red Data Book, CITES Annexes and in Appendices of the Commission Regulation No 338/1997 without permit. These permits are issued by the Regional Environmental Protection Departments.**

**Permits are needed for all imported and exported wild animals (for non CITES species - simplified permit forms)**

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes	<input type="checkbox"/>
				No	<input type="checkbox"/>
				No information	<input checked="" type="checkbox"/>
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. <b>Max. 500 LTL</b>			

4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <b>2</b> <input checked="" type="checkbox"/> Significant seizures/confiscations <b>62</b> <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex.	Number  <input type="checkbox"/> <input type="checkbox"/>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. -			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. - <b>Advertisement to purchase fur coat made from <i>Lynx spp.</i> was placed on website. During inspection any documents (certificates etc.) were presented. Decision to confiscate of specimen was contested in court. Cort rejected complaint.</b>			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify) <b>Universities, Custom Training Center, Scientific authorities.</b>			<input checked="" type="checkbox"/>
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
13	If Yes, please give a brief description: -			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments: -			
17	Please provide details of any additional measures taken: -			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. -	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. <b>Ministry of Environment is the lead MA and State Environmental Protection Inspectorate was designated as permits issuing MA.</b>	
5	How many staff work in each MA? <b>One in each.</b>	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation <b>About 80 % of work time.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	- Administration	<input type="checkbox"/>
	- Biology	<input checked="" type="checkbox"/>
	- Economics/trade	<input type="checkbox"/>
	- Law/policy	<input type="checkbox"/>
	- Other (specify)	<input type="checkbox"/>
	- No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved. -	
10	Please provide details of any additional measures taken -	

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
2	If Yes, please use the opportunity to provide those changes here. -							
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>					
4	What is the structure of the SA(s) in your country?	Tick if applicable						
	- Government institution		<input type="checkbox"/>					
	- Academic or research institution		<input checked="" type="checkbox"/>					
	- Permanent committee		<input type="checkbox"/>					
	- Pool of individuals with certain expertise		<input type="checkbox"/>					
	- Other (specify)		<input type="checkbox"/>					
5	How many staff work in each SA on CITES issues? <b>One in each.</b>							
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>					
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable						
	- Botany		<input checked="" type="checkbox"/>					
	- Ecology		<input checked="" type="checkbox"/>					
	- Fisheries		<input checked="" type="checkbox"/>					
	- Forestry		<input type="checkbox"/>					
	- Welfare		<input type="checkbox"/>					
	- Zoology		<input checked="" type="checkbox"/>					
	- Other (specify)		<input type="checkbox"/>					
	- No information		<input type="checkbox"/>					
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
9	If Yes, please give the species name and provide details of the kind of research involved. -							
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	1							
	2							
	3							
	etc.							
							No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
11	Please provide details of any additional measures taken: -							

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email). <b>State Environmental Protection Inspectorate, A. Juozapavičiaus g., LT-09311 Vilnius, LITHUANIA. Phone +37052723422; fax +37052722766; <a href="mailto:vaai@vaai.am.lt">vaai@vaai.am.lt</a>; <a href="mailto:d.mikelaitis@vaai.am.lt">d.mikelaitis@vaai.am.lt</a></b>			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Under consideration <input type="checkbox"/>
				No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: -			
5	Please provide details of any additional measures taken: -			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>						
	–	Monitoring and reporting of data on legal trade	<input type="checkbox"/>				
	–	Monitoring and reporting of data on illegal trade	<input type="checkbox"/>				
	–	Permit issuance	<input checked="" type="checkbox"/>				
	–	Not at all	<input type="checkbox"/>				
	–	Other (specify)	<input type="checkbox"/>				
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species? Yes <input checked="" type="checkbox"/>						
	No <input type="checkbox"/>						
	No information <input type="checkbox"/>						

4	If Yes, does it provide information on:	Tick if applicable	
	– Legislation (national, regional or international)?		<input type="checkbox"/>
	– Conservation status (national, regional, international)?		<input type="checkbox"/>
	– Other (please specify)? <b>CITES reports, legal acts, etc.</b>		<input type="checkbox"/>
5	Is it available through the Internet:	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Please provide URL: <a href="http://www.am.lt/VI/rubric.php3?rubric_id=675">http://www.am.lt/VI/rubric.php3?rubric_id=675</a>		
6	Do the following authorities have access to the following publications?	Tick if applicable	
	Publication	Management Authority	Scientific Authority
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If not, what problems have been encountered to access to the mentioned information? <b>CITES Secretariat was twice asked for IM but it was no answer</b>		
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable	
	– Mortality in transport?		<input type="checkbox"/>
	– Seizures and confiscations?		<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?		<input checked="" type="checkbox"/>
	Comments:		
9	Is there a government website with information on CITES and its requirements?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.am.lt">www.am.lt</a> ; <a href="http://www.cust.lt">www.cust.lt</a> ; <a href="http://vaai.am.lt">http://vaai.am.lt</a>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	– Press releases/conferences		<input type="checkbox"/>
	– Newspaper articles, radio/television appearances		<input checked="" type="checkbox"/>
	– Brochures, leaflets		<input checked="" type="checkbox"/>
	– Presentations		<input checked="" type="checkbox"/>
	– Displays		<input type="checkbox"/>
	– Information at border crossing points		<input checked="" type="checkbox"/>
	– Telephone hotline		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	Please attach copies of any items as Annex. <b>Leaflet issued by MoE (is attached). Leaflet is being distributed in customs posts, schools,</b>		

	<b>nature museums, universities</b>
11	Please provide details of any additional measures taken:-

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		Not applicable	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format: -						
Changes in designation or signatures of relevant officials: -						
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable				
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 2007	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	<b>158</b>	<b>6</b>	<b>0</b>		
	How many applications were denied because of severe omissions or mis-information?	<b>9</b>	<b>0</b>	<b>0</b>		
	Year 2008					
	How many documents were issued?	<b>176</b>	<b>9</b>	<b>0</b>	<b>15</b>	
How many applications were denied because of severe omissions or mis-information?	<b>6</b>	<b>0</b>	<b>0</b>	<b>0</b>		
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this. <b>To the end of validity were not used.</b>					
6	Please give the reasons for rejection of CITES documents from other countries. <b>Were any rejections.</b>	Tick if applicable				
	Reason	Yes	No	No information		
	Technical violations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?	Yes	<input type="checkbox"/>			
		No	<input checked="" type="checkbox"/>			
		No information	<input type="checkbox"/>			

	Comments	
8	How many times has the Scientific Authority been requested to provide opinions? <b>4</b>	
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities? – Issuance of CITES documents: – Licensing or registration of operations that produce CITES species: – Harvesting of CITES-listed species : – Use of CITES-listed species: – Assignment of quotas for CITES-listed species: – Importing of CITES-listed species: – Other (specify):	Tick if applicable  <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
10	If Yes, please provide the amounts of such fees. <b>50 LTL ~ 15 EUR.</b>	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? – Entirely: – Partly: – Not at all: – Not relevant: Comments: -	Tick if applicable  <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	Please provide details of any additional measures taken: -	

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level? <b>No.</b>	Tick if applicable										
	Increased budget for activities <input type="checkbox"/>	Improvement of national networks <input type="checkbox"/>										
	Hiring of more staff <input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement <input type="checkbox"/>										
	Development of implementation tools <input type="checkbox"/>	Computerisation <input type="checkbox"/>										
	– Other (specify)	<input type="checkbox"/>										
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?											
	Please tick boxes to indicate which target group and which activity.											
	Target group	What were the external sources?										
	Staff of Management Authority	<table border="1"> <tr> <td>Oral or written advice/guidance</td> <td>Technical assistance</td> <td>Financial assistance</td> <td>Training</td> <td>Other (specify)</td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)								
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>								
	Staff of Scientific Authority	<table border="1"> <tr> <td>Oral or written advice/guidance</td> <td>Technical assistance</td> <td>Financial assistance</td> <td>Training</td> <td>Other (specify)</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)								
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>								
	Staff of enforcement authorities	<table border="1"> <tr> <td>Oral or written advice/guidance</td> <td>Technical assistance</td> <td>Financial assistance</td> <td>Training</td> <td>Other (specify)</td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)								
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>								
		<b>3 days training was organized by Latvian State Environmental Protection Inspectorate</b>										

	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						Details	
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)		
	Target group							
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken <b>Was organized trainings on CITES issues.</b>							

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet? <b>MoE; State Environmental Protection Inspectorate; Regional Environmental Protection Departments; Kaunas Zoological museum. Two times per Year</b>		
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):		
		Daily	Weekly
		Monthly	Annually
		None	No information
		Other (specify)	
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>
4	At the national level have there been any efforts to collaborate with:	Tick if applicable	Details if available
	Agencies for development and trade	<input type="checkbox"/>	
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>	
	Local authorities or communities	<input type="checkbox"/>	

	Indigenous peoples	<input type="checkbox"/>	
	Trade or other private sector associations	<input checked="" type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA	<input type="checkbox"/>	
	Customs	<input checked="" type="checkbox"/>	
	Police	<input type="checkbox"/>	
	Other border authorities (specify)	<input type="checkbox"/>	
	Other government agencies	<input checked="" type="checkbox"/>	
	Private sector bodies	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops	<input checked="" type="checkbox"/>	
	Meetings	<input checked="" type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	If Yes, which one(s) and in what way? -		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description. -		
15	Please provide details of any additional measures taken: -		

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
7	If Yes, please give a brief description. -			
8	Please provide details of any additional measures taken: -			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
	Comments <b>Leaflet issued by MoE is attached (edition: 100000 copies)</b>	Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date: <b>Amendment of Criminal Code</b> Status: <b>Submitted to Parliament</b>						
	Brief description of contents: <b>In Lithuanian Criminal Code is established liability for illegal use only of live or dead wild animals or plants but no liability for illegal use of their derivatives. Draft amendment of Criminal Code is already prepared and submitted to Parliament, hopefully it will be adopted in the nearest future.</b>						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed; <b>1000 LTL + confiscation</b>						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; <b>500 LTL</b> ii) The outcomes of any prosecutions;		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: <b>Lithuanian SA (Institut of Ecology) has four times inspected accommodation conditions for a live specimens at the places of destination.</b>		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.) If yes, please provide details.	Yes No No information	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments: <b>According to the Criminal code and the Administrative code there is only maximum limit of fines, in every case a decision on size of a fine is taken with respect to circumstances.</b>		

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II.d.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: <b>Was organized training for enforcement agencies.</b>			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II.g.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II.h.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments: <b>Legal regulation exists only partially, every case is treated separately, animals are usually carried to Kaunas Zoo.</b>			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.e.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.j.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments: <b>Latvian MA submitted a request for placing of 20 turtles in Lithuanian institutions but the response was negative because there were no suitable places for them.</b>			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input checked="" type="checkbox"/>				
		No	<input type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
No information							<input checked="" type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	0	<input type="checkbox"/>
	Indicate any difficulties that rendered attendance to the SRG difficult: <b>Lack of financial resources.</b>			

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable
	– Annex D listed species	<input type="checkbox"/>
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes <input type="checkbox"/>
		No <input checked="" type="checkbox"/>
		No information <input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? <b>5 LTL charge for all permits for internal trade off all species of wild animals.</b> If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes <input checked="" type="checkbox"/>
		No <input type="checkbox"/>
		No information <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage: <b>70-80%</b>
		No information <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes <input checked="" type="checkbox"/>
		No <input type="checkbox"/>
		No information <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/>
		No <input checked="" type="checkbox"/>
		No information <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/>
		No <input checked="" type="checkbox"/>
		No information <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/>
		No <input checked="" type="checkbox"/>
		No information <input type="checkbox"/>

18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>Retrospectively Was issued import permit for watchstraps from Switzerland.</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

# LUXEMBOURG

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 45 held on 14 November 2008.

## A. General information

Party	
Period covered in this report: 1 January 2007 to 31 December 2008	
Details of agency preparing this report	Ministère du Développement durable et des Infrastructures – Département de l'environnement
Contributing agencies, organizations or individuals	Direction de l'Administration des Douanes et Accises Administration des services techniques de l'agriculture - Service de la protection des végétaux Administration des services vétérinaires Musée national d'histoire naturelle Luxembourg

## B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>																																																
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____																																																	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>																																																
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>																																																
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? (in accordance with Article XIV of the Convention)?	Tick all applicable																																																
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width: 25%;">Issue</th> <th colspan="3" style="width: 33%;">The conditions for:</th> <th colspan="3" style="width: 33%;">The complete prohibition of:</th> </tr> <tr> <th style="width: 11%;">Yes</th> <th style="width: 11%;">No</th> <th style="width: 11%;">No information</th> <th style="width: 11%;">Yes</th> <th style="width: 11%;">No</th> <th style="width: 11%;">No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>	Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	<input type="checkbox"/>	Taking	<input type="checkbox"/>	Possession	<input type="checkbox"/>	Transport	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>																										
Issue	The conditions for:			The complete prohibition of:																																														
	Yes	No	No information	Yes	No	No information																																												
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Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																												
	Additional comments <p style="text-align: center;">Please find the regulation for protected animals in Luxembourg in annex:  <b>Règlement grand-ducal du 9 janvier 2009 concernant la protection intégrale et partielle de certaines espèces animales de la faune sauvage.</b></p>																																																	

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable	
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Other (please specify): <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>						
Please provide details if available:						
7	If no review or assessment has taken place, is one planned for the next reporting period?				Yes	<input checked="" type="checkbox"/>
					No	<input type="checkbox"/>
				No information	<input type="checkbox"/>	
Please provide details if available:						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable	
	Subject	Yes	No	No information		
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Please provide details if available:						
9	Please provide details of any additional measures taken:					

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

5	<p>If information available:</p> <p><input type="checkbox"/> Significant seizures/confiscations</p> <p><input checked="" type="checkbox"/> Total seizures :</p> <p>5 in 2007 8 in 2008</p> <p>If possible, please specify per group of species or attach details on annex.</p>	Number		
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	– Public zoos or botanical gardens	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	– Designated rescue centres	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	– Approved, private facilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	– Euthanasia	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>	<input type="checkbox"/>
		No	<input type="checkbox"/>	<input type="checkbox"/>
		Not applicable	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		No information	<input type="checkbox"/>	<input type="checkbox"/>
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries	Yes	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		No	<input type="checkbox"/>	<input type="checkbox"/>
		No information	<input type="checkbox"/>	<input type="checkbox"/>
13	If Yes, please give a brief description: Organisation mondiale des Douanes: Journée de la CITES Journée de ciblage annuel			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		No information	<input type="checkbox"/>	<input type="checkbox"/>
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>	<input type="checkbox"/>
		No information	<input type="checkbox"/>	<input type="checkbox"/>
	Comments:			
17	Please provide details of any additional measures taken:			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. Since June 2009 denomination has changed: Ministère du Développement Durable et des Infrastructures Département de l'Environnement Addresses, mail, phone and faxnumbers remain unchanged.	
3	If there is more than one MA in your country, has a lead MA been designated? <b>Only one MA</b>	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.	
5	How many staff work in each MA? 28, of which 1 person on CITES	
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	If yes, please give estimation: 20% of this 1 person	
7	What are the skills/expertise of staff within the MA?	Tick if applicable
	– Administration	<input checked="" type="checkbox"/>
	– Biology	<input checked="" type="checkbox"/>
	– Economics/trade	<input type="checkbox"/>
	– Law/policy	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
10	Please provide details of any additional measures taken	

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
2	If Yes, please use the opportunity to provide those changes here.						
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
4	What is the structure of the SA(s) in your country?	Tick if applicable					
	– Government institution	<input checked="" type="checkbox"/>					
	– Academic or research institution	<input type="checkbox"/>					
	– Permanent committee	<input type="checkbox"/>					
	– Pool of individuals with certain expertise	<input checked="" type="checkbox"/>					
	– Other (specify)	<input type="checkbox"/>					
5	How many staff work in each SA on CITES issues?						
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>					
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable					
	– Botany	<input checked="" type="checkbox"/>					
	– Ecology	<input checked="" type="checkbox"/>					
	– Fisheries	<input checked="" type="checkbox"/>					
	– Forestry	<input checked="" type="checkbox"/>					
	– Welfare	<input checked="" type="checkbox"/>					
	– Zoology	<input checked="" type="checkbox"/>					
	– Other (specify)	<input type="checkbox"/>					
	– No information	<input type="checkbox"/>					
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>
		No information <input type="checkbox"/>		
4	If Yes, please state which is the lead agency for enforcement: Direction de l'Administration des Douanes et Accises			
5	Please provide details of any additional measures taken:			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? Tick if applicable						
	-	Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>				
	-	Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>				
	-	Permit issuance	<input type="checkbox"/>				
	-	Not at all	<input type="checkbox"/>				
	-	Other (specify)	<input type="checkbox"/>				
2	Do the following authorities have access to the Internet? Tick if applicable						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species? <b>There is no specific national information system</b>						
		Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>	No information	<input type="checkbox"/>

4	If Yes, does it provide information on:	Tick if applicable		
	– Legislation (national, regional or international)?			<input type="checkbox"/>
	– Conservation status (national, regional, international)?			<input type="checkbox"/>
	– Other (please specify)?			<input type="checkbox"/>
5	Is it available through the Internet:	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Please provide URL:			
6	Do the following authorities have access to the following publications?	Tick if applicable		
	Publication	Management Authority	Scientific Authority	Enforcement Authority
	<i>2003 Checklist of CITES Species</i> (book)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>Identification Manual</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>CITES Handbook</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If not, what problems have been encountered to access to the mentioned information? <b>Internet access is guaranteed</b>			
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable		
	– Mortality in transport?			<input type="checkbox"/>
	– Seizures <del>and confiscations</del> ?			<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?			<input type="checkbox"/>
	Comments:			
9	Is there a government website with information on CITES and its requirements?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.do.etat.lu/protection/cites.htm">http://www.do.etat.lu/protection/cites.htm</a>			
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable		
	– Press releases/conferences			<input type="checkbox"/>
	– Newspaper articles, radio/television appearances			<input checked="" type="checkbox"/>
	– Brochures, leaflets			<input type="checkbox"/>
	– Presentations			<input type="checkbox"/>
	– Displays ( <b>permanent exhibition MNHN</b> )			<input checked="" type="checkbox"/>
	– Information at border crossing points			<input type="checkbox"/>
	– Telephone hotline			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Please attach copies of any items as Annex.			
11	Please provide details of any additional measures taken:			

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		Not applicable	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials: Malou Grasges has been added for animal permits						
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable				
		Yes	No	No information		
	Permit issuance/acceptance	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	2007	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	60	2	5	25	
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0	
	2008					
	How many documents were issued?	86	0	8	27	
How many applications were denied because of severe omissions or mis-information?	0	0	0	0		
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes	<input type="checkbox"/>			
		No	<input checked="" type="checkbox"/>			
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.	Tick if applicable				
	Reason	Yes	No	No information		
	Technical violations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
	Comments					
8	How many times has the Scientific Authority been requested to provide opinions? 0					
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities? <b>No</b>	Tick if applicable				

	<ul style="list-style-type: none"> <li>- Issuance of CITES documents: <input type="checkbox"/></li> <li>- Licensing or registration of operations that produce CITES species: <input type="checkbox"/></li> <li>- Harvesting of CITES-listed species : <input type="checkbox"/></li> <li>- Use of CITES-listed species: <input type="checkbox"/></li> <li>- Assignment of quotas for CITES-listed species: <input type="checkbox"/></li> <li>- Importing of CITES-listed species: <input type="checkbox"/></li> <li>- Other (specify): <input type="checkbox"/></li> </ul>	
10	If Yes, please provide the amounts of such fees.	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? <span style="float: right;">Tick if applicable</span> <ul style="list-style-type: none"> <li>- Entirely: <input type="checkbox"/></li> <li>- Partly: <input type="checkbox"/></li> <li>- Not at all: <input type="checkbox"/></li> <li>- Not relevant: <input checked="" type="checkbox"/></li> </ul> Comments:	
12	Please provide details of any additional measures taken:	

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level? <span style="float: right;">Tick if applicable</span>						
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input type="checkbox"/>			
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>			
	Development of implementation tools	<input type="checkbox"/>	Computerisation	<input type="checkbox"/>			
	- Other (specify) Training of staff				<input type="checkbox"/>		
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	German customs
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						Details
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>					
		No	<input checked="" type="checkbox"/>					
		No information	<input type="checkbox"/>					
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Or more often
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	At the national level have there been any efforts to collaborate with:	Tick if applicable		Details if available				
	Agencies for development and trade	<input type="checkbox"/>						
	Provincial, state or territorial authorities	<input type="checkbox"/>						
	Local authorities or communities	<input type="checkbox"/>						
	Indigenous peoples	<input type="checkbox"/>						
	Trade or other private sector associations	<input type="checkbox"/>						
	NGOs	<input type="checkbox"/>						
	Other (specify)	<input type="checkbox"/>						

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable
	SA	<input type="checkbox"/>
	Customs	<input type="checkbox"/>
	Police	<input type="checkbox"/>
	Other border authorities (specify)	<input type="checkbox"/>
	Other government agencies	<input type="checkbox"/>
	Private sector bodies	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?	Tick if applicable
	Workshops	<input checked="" type="checkbox"/>
	Meetings	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
8	If Yes, which one(s) and in what way?	
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?	
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
12	If Yes, please give a brief description.	
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
14	If Yes, please give a brief description.	
15	Please provide details of any additional measures taken:	

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty? Technically no problem, but it takes a long time, as it is not seen as a priority			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments: the same as for the 2004-2006 report	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed;						
	<p>Sous réserve d'autres dispositions plus sévères, les infractions à la présente loi, aux règlements communautaires et nationaux d'application ainsi qu'aux mesures prises en vertu desdites dispositions légales et réglementaires sont punies d'un emprisonnement de huit jours à six mois et d'une amende de deux mille cinq cent un à un million de francs, ou d'une de ces peines seulement.</p> <p>Les dispositions du livre 1<sup>er</sup> du code pénal ainsi que celles de la loi modifiée du 18 juin 1879 portant attribution aux cours et tribunaux de l'appréciation des circonstances atténuantes sont applicables aux infractions prévues par la présente loi.</p> <p>En cas de condamnation, le tribunal prononce la confiscation des spécimens qui n'ont pas été renvoyés ou détruits et met à charge du condamné les frais des renvois qui auraient été effectués sans être supportés par l'Etat d'exportation, les frais d'expertises, de transport aux centres de sauvegarde, d'abattage, de destruction et ceux de garde jusqu'à la date du jugement.</p>						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations? <b>No substantial violations have been observed.</b>	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; <b>No information available</b> ii) The outcomes of any prosecutions; <b>No information available</b>	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
Comments:		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
Comments:		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
Comments:		
23 new	Are training and/or awareness raising activities being carried out for a) <b>enforcement agencies</b> , b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
Comments:		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
Comments:		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIh.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

	Comments:		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIi.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>

## D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>			
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>
11 new	How many Scientific Review Group (SRG) meetings have the SA attended? <b>4</b>	Number		<input checked="" type="checkbox"/>			
	Indicate any difficulties that rendered attendance to the SRG difficult:						

## D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>	No information <input type="checkbox"/>
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## D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable		
	– Annex D listed species	<input type="checkbox"/>		
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>		
3b	Do you have an electronic information system providing information on Regulation-listed species? Access to German system	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>

## D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees.			
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage :		<input type="checkbox"/>
	<b>90% of the import permits are returned but no one of the export permits or the reexport certificates</b>			<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	If yes, please attach. <b>See annual reports</b>			
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	If yes, please provide details.			

16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. Musée national d'histoire naturelle Luxembourg	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? <b>If yes, please provide details. One plant is being registered in the year 2009</b>	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

# MALTA

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 45 held on 14 November 2008.

### A. General information

Party	Malta
Period covered in this report: 1 January 2003 to 31 December 2004	1 January 2007 to 31 December 2008
Details of agency preparing this report	CITES Management Authority Ecosystems Management Unit Environment Protection Directorate Malta Environment and Planning Authority
Contributing agencies, organizations or individuals	CITES Management Authority Customs Department Police Corps

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable					
	The conditions for:	The complete prohibition of:				
Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<b>Additional comments</b>	<p>The Trade in Species of Fauna and Flora Regulations, 2004 provide that the Scientific Authority and the Management Authority shall advise the Minister for the Environment to prohibit the trade (import, export and re-export) and the possession of any species of flora and fauna if in their opinion, or in the opinion of any of them, such trade or possession would endanger the biological identity or any ecosystem or any species of flora and fauna.</p> <p>It also provides that it is the responsibility of the person who is seeking to import or is in possession of any live specimen to obtain the necessary information from the Management Authority.</p> <p>Any person who would like to import live specimens of fauna (of CITES and non-CITES species) from outside the European Community requires the prior grant of an import licence that is issued by the Trade Services Directorate. This import licence is granted if the Management Authority, following the consultation with the Scientific Authority, does not have any objection for the importation.</p>
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6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify): <input type="checkbox"/>					
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?				Yes <input type="checkbox"/>
					No <input type="checkbox"/>
				No information <input type="checkbox"/>	
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?			Tick all applicable	
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex.	Number <input type="checkbox"/> 11		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input type="checkbox"/>
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input checked="" type="checkbox"/>
	Comments: During this reporting period no <b>confiscations</b> were made. However when specimens are confiscated, it is most likely that they are transferred to the National Museum of Natural History. <b>Seized specimens are held at Customs until the offender is prosecuted and found guilty.</b>			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
13	If Yes, please give a brief description: Malta has given advise to other Member States on the European Union Trade in Wildlife Information Exchange (EU-TWIX)			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments: To improve CITES-related enforcement, Malta will be setting up a National Enforcement Committee, which shall be composed of Customs and Police officials together with officials from the CITES Management Authority.			
17	Please provide details of any additional measures taken:			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.  <p><b>Mr Matthew Grima Connell</b>                  Environment Protection Officer                  Malta Environment and Planning Authority                  Environment Protection Directorate                  Ecosystems Management Unit                  P.O. Box 200                  Marsa MRS 1000</p> <p>Tel: +356 22 90 71 11                  Fax: +356 22 90 22 95                  Email: <a href="mailto:cites@mepa.org.mt">cites@mepa.org.mt</a></p> <p><b>Mr Joseph Abela Medici</b>                  Environment Protection Officer                  Malta Environment and Planning Authority                  Environment Protection Directorate                  Ecosystems Management Unit                  P.O. Box 200                  Marsa MRS 1000</p> <p>Tel: +356 22 90 71 07                  Fax: +356 22 90 22 95                  Email: <a href="mailto:cites@mepa.org.mt">cites@mepa.org.mt</a></p>	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. Malta has only one CITES Management Authority.	
5	How many staff work in each MA? <b>3 people</b>	

6	<p>Can you estimate the percentage of time they spend on CITES related matters?</p> <p>If yes, please give estimation</p> <p>2 persons 70%</p> <p>1 person 50%</p> <p>Furthermore, 6 persons contribute to the work of the Management Authority but spend less than 10% on CITES issues.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
7	<p>What are the skills/expertise of staff within the MA(s)?</p> <p>– Administration</p> <p>– Biology</p> <p>– Economics/trade</p> <p>– Law/policy</p> <p>– Other (specify)</p> <p>– No information</p>	<p>Tick if applicable</p> <p><input checked="" type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>
8	<p>Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
9	<p>If Yes, please give the species name and provide details of the kind of research involved.</p>	
10	<p>Please provide details of any additional measures taken</p>	

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	<p>If Yes, please use the opportunity to provide those changes here.</p> <p><b>Further to Prof. P.J. Schembri the following should be added:</b></p> <p><b>Mr Edwin Lanfranco</b>  <b>Department of Biology</b>  <b>University of Malta</b>  <b>MSIDA MSD 06</b></p> <p><b>Tel: +356 23 40 23 79</b>  <b>Email: <a href="mailto:edwin.lanfranco@um.edu.mt">edwin.lanfranco@um.edu.mt</a></b></p>	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable
	– Government institution	<input type="checkbox"/>
	– Academic or research institution	<input type="checkbox"/>
	– Permanent committee	<input type="checkbox"/>
	– Pool of individuals with certain expertise	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? Two persons in one SA.	
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	<p>If yes, please give estimation</p> <p>Each member of the SA works approximately 30 hours/year on CITES related matters. When estimated over the annual total hours of work this amounts to 1.35% of their total work load.</p>	
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable
	– Botany	<input checked="" type="checkbox"/>
	– Ecology	<input checked="" type="checkbox"/>
	– Fisheries	<input type="checkbox"/>
	– Forestry	<input type="checkbox"/>
	– Welfare	<input type="checkbox"/>
	– Zoology	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
	No information						<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes	<input type="checkbox"/>
						No	<input checked="" type="checkbox"/>
						No information	<input type="checkbox"/>
11	Please provide details of any additional measures taken:						

### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email). <b>Charmaine Barbara and Frederick Bowman should be removed and the following added:</b>  <b>Mr Alexander P. Miruzzi</b> <b>Administrative Law Enforcement Section</b> <b>General Police Head Quarters</b> <b>FLORIANA CMR 02</b>  <b>Tel: +356 22 94 21 60</b> <b>Email: alexander.p.miruzzi@gov.mt</b>	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: CITES Management Authority within the Malta Environment & Planning Authority (MEPA) Enforcement Unit within the Customs Department Administrative Law Enforcement Section within the Police <b>Corps</b>	
5	Please provide details of any additional measures taken:	

### D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?	Tick if applicable
	- Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	- Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	- Permit issuance	<input checked="" type="checkbox"/>
	- Not at all	<input type="checkbox"/>
	- Other (specify)	<input type="checkbox"/>

2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>									
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate			
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
3	Do you have an electronic information system providing information on CITES species?						Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>	
4	If Yes, does it provide information on: <span style="float: right;">Tick if applicable</span>									
	– Legislation (national, regional or international)? International and National <input checked="" type="checkbox"/>									
	– Conservation status (national, regional, international)? <input type="checkbox"/>									
	– Other (please specify)? CITES Administrative Process <input checked="" type="checkbox"/>									
5	Is it available through the Internet:						Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Not applicable <input type="checkbox"/>	No information <input type="checkbox"/>
	Please provide URL: <a href="http://www.mepa.org.mt/environment/index.htm?CITES/mainpage.htm&amp;1">http://www.mepa.org.mt/environment/index.htm?CITES/mainpage.htm&amp;1</a>									
6	Do the following authorities have access to the following publications? <span style="float: right;">Tick if applicable</span>									
	Publication	Management Authority	Scientific Authority	Enforcement Authority						
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>						
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>						
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>						
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>						
7	If not, what problems have been encountered to access to the mentioned information?									
8	Have enforcement authorities reported to the Management Authority on: <span style="float: right;">Tick if applicable</span>									
	– Mortality in transport? <input type="checkbox"/>									
	– Seizures and confiscations? <input checked="" type="checkbox"/>									
	– Discrepancy in number of items in permit and number of items actually traded? <input checked="" type="checkbox"/>									
	Comments:									
9	Is there a government website with information on CITES and its requirements? <span style="float: right;">Yes <input checked="" type="checkbox"/></span> <span style="float: right;">No <input type="checkbox"/></span> <span style="float: right;">No information <input type="checkbox"/></span>									
	If Yes, please give the URL: <a href="http://www.mepa.org.mt">www.mepa.org.mt</a>									

10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable
	– Press releases/conferences	<input type="checkbox"/>
	– Newspaper articles, radio/television appearances	<input type="checkbox"/>
	– Brochures, leaflets	<input type="checkbox"/>
	– <b>Presentations</b>	<input checked="" type="checkbox"/>
	– Displays	<input type="checkbox"/>
	– Information at border crossing points	<input type="checkbox"/>
	– <b>Telephone hotline</b>	<input checked="" type="checkbox"/>
	– Other (specify)	<input checked="" type="checkbox"/>
	The CITES Management Authority office is open to provide information to the public on CITES.	
	Please attach copies of any items as Annex.	
11	Please provide details of any additional measures taken:	

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes <input type="checkbox"/>
		No <input checked="" type="checkbox"/>
		Not applicable <input type="checkbox"/>
		No information <input type="checkbox"/>
	If no, please provide details of any:	
	Changes in permit format:	
	Changes in designation or signatures of relevant officials:	
	<b>Ms Charmaine Barbara and Mr Frederick Bowman should be replaced by the following:</b>	
	<p><b>Mr Matthew Grima Connell</b>  Environment Protection Officer  Malta Environment and Planning Authority  Environment Protection Directorate  Ecosystems Management Unit  P.O. Box 200  Marsa MRS 1000</p> <p>Tel: +356 22 90 71 11  Fax: +356 22 90 22 95  Email: <a href="mailto:cites@mepa.org.mt">cites@mepa.org.mt</a></p> <p><b>Mr Joseph Abela Medici</b>  Environment Protection Officer  Malta Environment and Planning Authority  Environment Protection Directorate  Ecosystems Management Unit  P.O. Box 200  Marsa MRS 1000</p> <p>Tel: +356 22 90 71 07  Fax: +356 22 90 22 95  Email: <a href="mailto:cites@mepa.org.mt">cites@mepa.org.mt</a></p>	
2	To date, has your country developed written permit procedures for any of the	Tick if applicable

	following?					
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	71	20	1	28	
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0	
	Year 2					
	How many documents were issued?	51	16	0	40	
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?				Yes	<input type="checkbox"/>
					No	<input checked="" type="checkbox"/>
					No information	<input type="checkbox"/>
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries. There were no instances in which the CITES Management Authority rejected CITES documents from other countries.				Tick if applicable	
	Reason		Yes	No	No information	
	Technical violations		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Suspected fraud		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of non-detriment		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of legal acquisition		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes	<input type="checkbox"/>
					No	<input checked="" type="checkbox"/>
					No information	<input type="checkbox"/>
	Comments					
8	How many times has the Scientific Authority been requested to provide opinions? 18 times					
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?				Tick if applicable	
	– Issuance of CITES documents:				<input checked="" type="checkbox"/>	
	– Licensing or registration of operations that produce CITES species:				<input type="checkbox"/>	
	– Harvesting of CITES-listed species :				<input type="checkbox"/>	
	– Use of CITES-listed species:				<input type="checkbox"/>	
	– Assignment of quotas for CITES-listed species:				<input type="checkbox"/>	
	– Importing of CITES-listed species:				<input type="checkbox"/>	
	– Other (specify):				<input type="checkbox"/>	
10	If Yes, please provide the amounts of such fees. €11.65 per import / export / re-export permit					



3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						Details
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input checked="" type="checkbox"/>					
		No	<input type="checkbox"/>					
		No information	<input type="checkbox"/>					
2	<p>If Yes, which agencies are represented and how often does it meet?</p> <p>Most dealings were done by email and telephone, but recently a National Enforcement Committee is being set up, composed of:</p> <ol style="list-style-type: none"> <li>1. Customs officials;</li> <li>2. Police officials;</li> <li>3. CITES Management Authority officials.</li> </ol> <p>Frequency of meetings shall initially be on annual basis, but extraordinary meetings can be called in order to tackle urgent cases.</p> <p>Regular consultations are held between the Scientific Authority and the Management Authority.</p>							
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	At the national level have there been any efforts to collaborate with:	Tick if applicable		Details if available				
	Agencies for development and trade	<input checked="" type="checkbox"/>						
	Provincial, state or territorial authorities	<input type="checkbox"/>		The MA has collaborated with the Trade Department on issues related to				

	<b>Local authorities or communities</b>	<input checked="" type="checkbox"/>	<b>animal trade.</b>
	Indigenous peoples	<input type="checkbox"/>	
	Trade or other private sector associations	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA	<input type="checkbox"/>	
	Customs	<input type="checkbox"/>	
	Police	<input type="checkbox"/>	
	Other border authorities (specify)	<input type="checkbox"/>	
	Other government agencies	<input type="checkbox"/>	
	Private sector bodies	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops	<input type="checkbox"/>	
	Meetings	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	
7	Has your country encouraged any non-Party to accede to the Convention?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
14	If Yes, please give a brief description. The CITES Management Authority is part of the Ecosystems Management Unit. Coordination of work is achieved among different focal points in the Unit specialised on marine and terrestrial ecosystems management.		
15	Please provide details of any additional measures taken:		

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty? Resolution Conf. 13.7 on control of trade in personal and household effects. <b>The exemptions from the restrictions in the Convention and the ease of acquiring such exemptions go against the principles of the Convention.</b>			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

## E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited Please refer to: "Annexes 1a - CITES Annual Report on Seized Specimens, 2007" "Annexes 1b - CITES Annual Report on Seized Specimens, 2008"	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>

## ANNEX - PROPOSED BIENNIAL REPORT FORMAT

### PART 2 - SUPPLEMENTARY QUESTIONS<sup>1</sup>

Note: Questions in Part 2 are additional to those in Part 1, and relate to information on the provisions of the EC Regulations (Regulation (EC) No. 338/97 and Regulation (EC) No. 865/2006) that fall outside the scope of CITES.

Please be aware that questions in Part 2 have been updated since the last reporting period, and this new version should be used when submitting biennial reports.

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<sup>1</sup> Part 2 agreed at COM 45

## **PART 2 - SUPPLEMENTARY QUESTIONS**

Note: Questions in Part 2 are additional to those in Part 1, and relate to information on the provisions of the EC Regulations (Regulation (EC) No. 338/97 and Regulation (EC) No. 865/2006) that fall outside the scope of CITES.

Please be aware that questions in Part 2 have been updated since the last reporting period, and this new version should be used when submitting biennial reports.

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed;						
	Any person who commits an offence against the Regulations shall, on conviction, be liable to a fine of not less than Lm 200 (€465.87) but not exceeding Lm2000 (€4658.75) or to imprisonment for a period of not less than one month but not exceeding two years, or to both such fine and imprisonment.						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						
	Not applicable.						

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions;		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments:		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments: Even though this has not yet been adopted, the newly set National Enforcement Committee is planning to adopt a national action plan for the co-ordination of enforcement during 2009 – 2010.		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
	If yes, please provide details.		
	Comments: Customs have X ray machines which aid in detecting concealed specimens.		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments: Penalties from Customs are worked out on the estimated market value of the specimen. However within the parameters of Council Regulation (EC) No. 338/97 as implemented locally through Legal Notice 236 of 2008, penalties are at the discretion of the presiding magistrate.		

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments: Members of the CITES Management Authority have changed as from 2008. No training and awareness raising activities were carried out by the Management Authority.			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments: Occasional checks were done but not on regular basis.			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
	No information						

11 new	How many Scientific Review Group (SRG) meetings have the SA attended? Indicate any difficulties that rendered attendance to the SRG difficult: Members of the SA are employed on a full-time basis with the <u>University of Malta and thus, time constraints make it difficult for them to attend.</u>	Number: None <input type="checkbox"/>
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### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes <input checked="" type="checkbox"/>
		No <input type="checkbox"/>
		Under consideration <input type="checkbox"/>
		No information <input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on? – Annex D listed species – Other matters not reported on in question D4 (1) (please specify)	Tick if applicable <input checked="" type="checkbox"/> <input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : 100% No information <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. Please refer to “Annex 2”	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4), arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

# **THE NETHERLANDS**

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 45 held on 14 November 2008.

### A. General information

Party	The Netherlands
Period covered in this report: 1 January 2007 to 31 December 2008	
Details of agency preparing this report	<b>CITES Management Authority of the National Service for the Implementation of Regulations</b> <b>P.O. Box 19530</b> <b>2500 CM The Hague</b> <b>The Netherlands</b>
Contributing agencies, organizations or individuals	<ul style="list-style-type: none"> <li>• Department of Nature of the Ministry of Agriculture, Nature and Food Quality</li> <li>• CITES Scientific Authority</li> <li>• Plant Health Service</li> <li>• General Inspection Service</li> <li>• Customs Service of the Ministry of Finance</li> <li>• Platform on Environmental Crime (DNR) of the National Police Agency</li> <li>• Office of the Public Prosecutor of the Ministry of Justice</li> <li>• Administrative Law Enforcement, the National Service for the Implementation of Regulations</li> <li>• Team IBG (Seizure of CITES-goods) of the National Service for the Implementation of Regulations</li> </ul>

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input checked="" type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation (in the period 2007-2008), please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted. <b>Annex 1 - 3</b>	legislation attached <input checked="" type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable	
	The conditions for:	The complete prohibition of:
	Yes      No      No information	Yes      No      No information
Issue	Yes      No      No information	Yes      No      No information
Trade	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
Taking	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
Possession	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
Transport	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
Other (specify)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Additional comments	<p><b>In general there are stricter measures for the taking and possession of Annex A specimens (source W or F), primates, large felidae, hawks, rhino horns, tiger bones. Through European Regulations and Directives these restrictions apply to more species, such as The European Bird and Habitat Directive.</b></p> <p><b>It is only possible to keep hawks with source C if accompanied by DNA fingerprints and an exemption for prohibition of possession.</b></p> <p><b>By national law for Annex A specimens of all sources it is obligated to keep a register, this also applies to birds of Annex B without a seamless closed foot ring.</b></p> <p><b>Birds of Annex A need to be marked conform the national law on foot rings.</b></p> <p><b>Other vertebrates of Annex A need to be marked conform EU Regulations.</b></p>
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6	<p>What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?</p>	Tick all applicable																																																		
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%;">Item</th> <th style="width: 15%;">Adequate</th> <th style="width: 15%;">Partially Inadequate</th> <th style="width: 15%;">Inadequate</th> <th style="width: 15%;">No information</th> </tr> </thead> <tbody> <tr> <td>Powers of CITES authorities</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Clarity of legal obligations</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Control over CITES trade</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Consistency with existing policy on wildlife management and use</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Coverage of law for all types of offences</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Coverage of law for all types of penalties</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Implementing regulations</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Coherence within legislation</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (please specify):</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>	Item	Adequate	Partially Inadequate	Inadequate	No information	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
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	<p>Please provide details if available:</p> <p><b>In 2007 the Ministry of Agriculture, Nature and Food quality of the Netherlands has evaluated the effectiveness of legislation regarding protected areas and protected species (incl. CITES). One concluded that overall CITES is adequately implemented. Outreach towards stakeholders and national networks could be further improved. Among others the following actions have been undertaken in 2008: The permit section has started a monthly CITES Newsletter, a compliance assessment for several types of trade has been undertaken and an intervention strategy is launched in order to stimulate information exchange between enforcement agencies and uniform risk/priority assessment.</b></p>																																																			
7	<p>If no review or assessment has taken place, is one planned for the next reporting period?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																																																		
	<p>Please provide details if available: <b>Customs have planned a review.</b></p>																																																			
8	<p>Has there been any review of legislation on the following subjects in relation to implementation of the Convention?</p>	Tick all applicable																																																		
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9	<p>Please provide details of any additional measures taken:</p>																																																			

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify) Criminal investigations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	<p>If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.</p> <p><b>See Annexes 4 and 5 for more information.</b></p> <p><b>Mostly violations of intracommunautaire trade and import regulation (import without permit, trade without certificate).</b></p> <ul style="list-style-type: none"> <li>• <b>Total amount of cases involving CITES sent to the National Public Prosecutor's Office for Serious Fraud and Environmental Crime (NPPOSFEC) in 2007/2008, by police, customs etcetera:</b>  <b>2007: 556</b>  <b>2008: 508</b></li> <li>• <b>Total amount of decisions by NPPOSFEC on cases involving CITES in 2007/2008 (also involving cases sent to NPPOSFEC before 2007/2008)</b>  <b>2007: 522 (fines: 217, community service: 26)</b>  <b>2008: 550 (fines: 230, community service: 32)</b></li> <li>• <b>Total amount of court decisions involving CITES in 2007/2008:</b>  <b>2007: 145 (punishment: 135, discharge: 3)</b>  <b>2008: 204 (punishment: 176, discharge: 4)</b></li> <li>• <b>Total amount of seizures (specimens) involving CITES 2007/2008</b>  <b>2007: 847</b>  <b>2008: 761</b></li> <li>• <b>Decisions (by court or by the NPPOSFEC) on seizures:</b>  <b>2007: 694</b>  <b>2008: 459</b></li> </ul>			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	<p>If information available:</p> <p><input checked="" type="checkbox"/> Significant seizures/confiscations</p> <p><input checked="" type="checkbox"/> Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex. <b>See Annexes 6 and 7.</b></p>		Number	
			<input checked="" type="checkbox"/>	
			<input checked="" type="checkbox"/>	
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. <b>See Annex 4</b>			

8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. <b>See Annex 6</b>			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input checked="" type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input checked="" type="checkbox"/>
	– Approved, private facilities			<input checked="" type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input checked="" type="checkbox"/>
	Comments: <b>Other = destruction of <i>Tillandsia xerographica</i> and <i>Tillandsia harrisii</i>, because of the bad conditions the plants were in.</b> <b>402.000 Seized flower bulbs (W) of the species <i>Cyclamen hederifolium</i>, were returned to the country of origin (Turkey) and replanted in a nature reserve by the Turkish authorities.</b> <b>See Annex 6 for more information.</b>			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments: <b>General Inspection Service has supplied information on caviar.</b>			
12	Has your country been involved in cooperative enforcement activities with other countries	Yes	<input checked="" type="checkbox"/>	
	(e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
13	If Yes, please give a brief description: <b>General Inspection Service : Exchange of information, traineeships etc.</b> <b>Customs: information exchange via Interpol and customs and cooperation with several European countries (U.K., Belgium, Germany and Czech Republic).</b> <b>The Administrative Law Enforcement section: investigative assistance and exchanged information with local enforcement authorities.</b>			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input checked="" type="checkbox"/>	
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments: <b>Customs have partially reviewed CITES controls.</b>			
17	Please provide details of any additional measures taken:			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. <b>One additional enforcement authority:</b> 5. <b>Administrative Law Enforcement CITES</b> <b>Ministry of Agriculture, Nature and Food Quality</b> <b>Laan van Nieuw Oost-Indië 131-133</b> <b>P.O. Box 19530</b> <b>2500 CM The Hague</b> <b>The Netherlands</b> <b>Tel: 0800 223 33 22; +31 (59) 233 29 58 (from abroad/desde el extranjero/de l'étranger)</b> <b>Fax: +31 (70) 378 61 3939</b> <b>Email: <a href="mailto:ffwet-handhaving@minlnv.nl">ffwet-handhaving@minlnv.nl</a></b>	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.  <b>Policy section :</b> <b>1a)</b> <b>Ministry of Agriculture, Nature and Food Quality</b> <b>Department of Nature</b> <b>Attn: Mrs Astrid Hilgers</b> <b>National Coordinator CITES Policy Unit (for all Domestic Affairs)</b> <b>P.O. Box 20401</b> <b>NL-2500 EK DEN HAAG</b>  <b>Tel: +31 (70) 378 57 70; 378 41 16 (Mrs Hilgers)</b> <b>Fax: +31 (70) 378 61 46</b> <b>Email: <a href="mailto:a.k.hilgers@minlnv.nl">a.k.hilgers@minlnv.nl</a></b> <b>Web: <a href="http://www.minlnv.nl/cites">http://www.minlnv.nl/cites</a></b>  <b>1b)</b> <b>Ministry of Agriculture, Nature and Food Quality</b> <b>Department of Nature</b> <b>Attn: Mrs Gelare Nader</b> <b>International Policy Officer (for International and European Affairs)</b> <b>P.O. Box 20401</b> <b>NL-2500 EK DEN HAAG</b>  <b>Tel: +31 (70) 378 57 70; 378 54 57 (Mrs Nader)</b> <b>Fax: +31 (70) 378 61 46</b> <b>Email: <a href="mailto:g.nader@minlnv.nl">g.nader@minlnv.nl</a></b> <b>Web: <a href="http://www.minlnv.nl/cites">http://www.minlnv.nl/cites</a></b>  <b>Permit section</b> <b>2</b> <b>CITES-bureau</b>	

	<p><b>Dienst Regelingen</b>  <b>P.O. Box 19530</b>  <b>NL-2500 CM THE HAGUE</b></p> <p><b>Tel: 0800 223 33 22; +31 (59) 233 29 58 (from abroad/desde el extranjero/de l'étranger)</b>  <b>Fax: +31 (70) 378 61 39</b>  <b>Email: cites @ minlnv.nl</b></p> <p><b>Other Management Authorities competent to grant permits</b>  <b>3</b></p> <p><b>Plantenziektenkundige Dienst</b>  <b>Ministry of Agriculture, Nature and Food Quality</b>  <b>P.O. Box 9102</b>  <b>NL-6700 HC WAGENINGEN</b></p> <p><b>Tel: +31 (3174) 9 69 11</b>  <b>Telex: 45163</b>  <b>Fax: +31 (3174) 2 17 01</b></p>		
5	<p>How many staff work in each MA?  <b>2 at the policy section, 14 at the permit section, 350 via the Services under supervision of the Plant Health Service.</b></p>		
6	<p>Can you estimate the percentage of time they spend on CITES related matters?</p> <p>If yes, please give estimation</p> <p><b>50 % at the policy section, 80% at the permit section, 0,3 % at the Services under supervision of the Plant Health Service.</b></p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
7	<p>What are the skills/expertise of staff within the MA(s)?</p> <p>– Administration <input checked="" type="checkbox"/></p> <p>– Biology <input checked="" type="checkbox"/></p> <p>– Economics/trade <input checked="" type="checkbox"/></p> <p>– Law/policy <input checked="" type="checkbox"/></p> <p>– Communication <input checked="" type="checkbox"/></p> <p>– No information <input type="checkbox"/></p>		<p>Tick if applicable</p>
8	<p>Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
9	<p>If Yes, please give the species name and provide details of the kind of research involved.</p>		
10	<p>Please provide details of any additional measures taken</p>		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country? <span style="float: right;">Tick if applicable</span>	
	– Government institution	<input type="checkbox"/>
	– Academic or research institution	<input type="checkbox"/>
	– Permanent committee	<input checked="" type="checkbox"/>
	– Pool of individuals with certain expertise	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? <b>One staff member in 2007 and 1.2 staff members in 2008.</b>	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation <b>The 1.2 co-ordinator spend 100% of their time on CITES matters; 8 members of the Committee and 2 additional experts have spent between 5% and 20% of their time on CITES matters.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)? <span style="float: right;">Tick if applicable</span>	
	– Botany	<input checked="" type="checkbox"/>
	– Ecology	<input checked="" type="checkbox"/>
	– Fisheries (additional expert)	<input checked="" type="checkbox"/>
	– Forestry	<input type="checkbox"/>
	– Welfare	<input checked="" type="checkbox"/>
	– Zoology	<input checked="" type="checkbox"/>
	– Other (specify): breeding programmes, animal health	<input checked="" type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
	Species name	Populations
		Distribution
		Off take
		Legal trade
		Illegal trade
		Other (specify)
	1	
	2	
	3	
	etc.	
		No information
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

		No information	<input type="checkbox"/>
11	Please provide details of any additional measures taken:		

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email). <b>Additional enforcement agency for the receipt of confidential information:</b>  <b>Administrative Law Enforcement CITES</b> <b>Ministry of Agriculture, Nature and Food Quality</b> <b>Laan van Nieuw Oost-Indië 131-133</b> <b>P.O. Box 19530</b> <b>2500 CM The Hague</b> <b>The Netherlands</b> <b>Tel: 0800 223 33 22 or +31 (59) 233 29 58 (from abroad/desde el extranjero/de l'étranger)</b> <b>Fax: +31 (70) 378 61 3939</b> <b>Email : <a href="mailto:ffwet-handhaving@minlnv.nl">ffwet-handhaving@minlnv.nl</a></b>	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: <b>Leading Enforcement authority</b> <b>The General Inspection Service of the Ministry of Agriculture, Nature and Food quality</b>  <b>Other enforcement authorities</b> <ul style="list-style-type: none"> <li>• Customs Service of the Ministry of Finance</li> <li>• Platform on Environmental Crime (DNR) of the National Police Agency</li> <li>• Office of the Public Prosecutor of the Ministry of Justice (within the public prosecutor's office the lead agency for enforcement is the National Public Prosecutor's Office for Serious Fraud and Environmental Crime (NPPOSFEC) in The Hague, with 4 regional units in Rotterdam, Amsterdam, Zwolle and Den Bosch. The NPPOSFEC is specialised in prosecuting environmental crime (including CITES crime))</li> <li>• Administrative Law Enforcement, part of Service for the Implementation of Regulations, part of the Ministry of Agriculture, Nature and Food quality.</li> <li>• Team IBG (Seizure of CITES-goods) of the National Service for the Implementation of Regulations</li> </ul>	
5	Please provide details of any additional measures taken:  <b>Since 2007 the Customs and General Inspection Service organize a special course in Flora and Fauna and CITES.</b>  <b>In 2007 the section of Administrative Law Enforcement, part of the National Service for the Implementation of Regulations, has started investigations on behalf of CITES. The Administrative Law Enforcement section is, on behalf of the Minister of Agriculture, Nature and Food quality, authorised to impose administrative measures on offenders. By this procedure the seizure or return of specimens to the country of origin can be organized on account of the offender. Administrative Law Enforcement also re-examines denied applications of CITES certificates and permits to see if any legalisation is possible or that criminal investigations would be opportune. In case of small infractions the offender can merely be warned. The administrative law enforcement procedures often run parallel to the procedures of the Public Prosecutor of the Ministry of Justice.</b>  <b>Since 2008 Administrative Law Enforcement and General Inspection Service (AID) investigate the compliance of CITES legislation by applicants of CITES documents.</b>	

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>	
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	– Permit issuance	<input checked="" type="checkbox"/>
	– Not at all	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>	
	Authority	Please provide details where appropriate
	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection
	Yes, but only through a different office	Some offices only
	Not at all	
	Management Authority	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Scientific Authority	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Enforcement Authority	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
3	Do you have an electronic information system providing information on CITES species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	If Yes, does it provide information on: <span style="float: right;">Tick if applicable</span>	
	– Legislation (national, regional or international)?	<input checked="" type="checkbox"/>
	– Conservation status (national, regional, international)?	<input checked="" type="checkbox"/>
	– Other (please specify)? <b>Identification of species (General Inspection Service)</b>	<input checked="" type="checkbox"/>
5	Is it available through the Internet:	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
	Please provide URL: <b>Some electronic systems (like species identification) via Intranet, others via internet:</b> <a href="http://www.cites.nl">www.cites.nl</a> or <a href="http://www.minlnv.nl/loket">www.minlnv.nl/loket</a> ('Vergunningen en Ontheffingen' > 'CITES') <a href="http://www.minlnv.nederlandsesoorten.nl/lnv.db/lnv.db/i000252.html">http://www.minlnv.nederlandsesoorten.nl/lnv.db/lnv.db/i000252.html</a> (Dutch species only)	
6	Do the following authorities have access to the following publications? <span style="float: right;">Tick if applicable</span>	
	Publication	Management Authority      Scientific Authority      Enforcement Authority
	2005 & Checklist of CITES Species (book)	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>
	2005 and 2008 Checklist of CITES Species and Annotated Appendices (CD-ROM)	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>

	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>CITES Handbook 2007(CD-ROM)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
7	If not, what problems have been encountered to access to the mentioned information?			
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable		
	– Mortality in transport?	<input checked="" type="checkbox"/>		
	– Seizures and confiscations?	<input checked="" type="checkbox"/>		
	– Discrepancy in number of items in permit and number of items actually traded?	<input checked="" type="checkbox"/>		
	Comments:			
9	Is there a government website with information on CITES and its requirements?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	If Yes, please give the URL: - <a href="http://www.cites.nl">www.cites.nl</a> or <a href="http://www.minlnv.nl/loket">www.minlnv.nl/loket</a> ('Vergunningen en Ontheffingen' > 'CITES') - <a href="http://www.minlnv.nederlandsesoorten.nl/lnv.db/lnv.db/i000252.html">http://www.minlnv.nederlandsesoorten.nl/lnv.db/lnv.db/i000252.html</a> (Dutch species only)			
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable		
	– Press releases/conferences	<input checked="" type="checkbox"/>		
	– Newspaper articles, radio/television appearances	<input checked="" type="checkbox"/>		
	– Brochures, leaflets	<input checked="" type="checkbox"/>		
	– Presentations	<input checked="" type="checkbox"/>		
	– Displays	<input checked="" type="checkbox"/>		
	– Information at border crossing points	<input checked="" type="checkbox"/>		
	– Telephone hotline	<input checked="" type="checkbox"/>		
	– Other (specify)	<input type="checkbox"/>		
	<b>See Annexes 8 - 23.</b>			
11	Please provide details of any additional measures taken: <b>Since 2008 the permit section of the MA publishes a monthly CITES Newsletter on <a href="http://www.minlnv.nl/loket">www.minlnv.nl/loket</a></b> <b>Each newsletter elaborates on frequently asked questions and changes in legislation or policy.</b> <b>In 2007 several meetings have been organized to discuss the effectiveness of CITES with NGO's and traders.</b> <b>Each year the MA (permit section) visits some trade bodies to discuss questions and problems regarding CITES.</b>			

#### D5 Permitting and registration procedures

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If no, please provide details of any:		
	Changes in permit format:		
	Changes in designation or signatures of relevant officials:		
2	To date, has your country developed written permit procedures for any of the	Tick if applicable	

	following?					
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	2074	515	542	2	Excl. phytosanitary exports
	How many applications were denied because of severe omissions or mis-information?	109	27	10	1	
	Year 2					
	How many documents were issued?	2065	476	437	3	Excl. phytosanitary exports
	How many applications were denied because of severe omissions or mis-information?	148	26	19	1	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?				Yes	<input checked="" type="checkbox"/>
					No	<input type="checkbox"/>
					No information	<input type="checkbox"/>
5	If Yes, please give the reasons for this. <b>A few permits/certificates have been replaced due to omissions or administrative mistakes.</b>					
6	Please give the reasons for rejection of CITES documents from other countries.				Tick if applicable	
	Reason		Yes	No	No information	
	Technical violations		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Suspected fraud		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of non-detriment		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of legal acquisition		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify) <b>Administrative mistakes</b>		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes	<input checked="" type="checkbox"/>
					No	<input type="checkbox"/>
					No information	<input type="checkbox"/>
	Comments					
8	How many times has the Scientific Authority been requested to provide opinions? <b>2007: 343</b> <b>2008: 426</b> <b>This includes advices for Member States (about 20 per year).</b> <b>The increase in 2008 is partly due to a higher amount of requests for corals.</b>					
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?				Tick if applicable	
	– Issuance of CITES documents:				<input checked="" type="checkbox"/>	
	– Licensing or registration of operations that produce CITES species:				<input type="checkbox"/>	
	– Harvesting of CITES-listed species :				<input type="checkbox"/>	

	<ul style="list-style-type: none"> <li>– Use of CITES-listed species: <input type="checkbox"/></li> <li>– Assignment of quotas for CITES-listed species: <input type="checkbox"/></li> <li>– Importing of CITES-listed species: <input type="checkbox"/></li> <li>– Other (specify): EC-certificates, phytosanitary certificates <input checked="" type="checkbox"/></li> </ul>
10	<p>If Yes, please provide the amounts of such fees.</p> <p><b>60 euros for a CITES-permit, 60 euros for an annex from CITES-permits, 15 euros for an EC-certificate, approximately 30 euros for a CITES-phytosanitary certificate for export to Switzerland, 100 euros for the exemptions of the national prohibition on possession.</b></p>
11	<p>Have revenues from fees been used for the implementation of CITES or wildlife conservation? <span style="float: right;">Tick if applicable</span></p> <ul style="list-style-type: none"> <li>– Entirely: <input checked="" type="checkbox"/></li> <li>– Partly: <input type="checkbox"/></li> <li>– Not at all: <input type="checkbox"/></li> <li>– Not relevant: <input type="checkbox"/></li> </ul> <p>Comments:</p>
12	Please provide details of any additional measures taken:

**D6 Capacity building**

1	<p>Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level? <span style="float: right;">Tick if applicable</span></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%;">Increased budget for activities</td> <td style="width: 10%; text-align: center;"><input checked="" type="checkbox"/></td> <td style="width: 40%;">Improvement of national networks</td> <td style="width: 10%; text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Hiring of more staff</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Purchase of technical equipment for monitoring/enforcement</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Development of implementation tools</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Computerisation</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>– Other (specify)</td> <td></td> <td></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> <p><b>The Netherlands has also invested in organising COP14 and side events in The Hague.</b></p>	Increased budget for activities	<input checked="" type="checkbox"/>	Improvement of national networks	<input checked="" type="checkbox"/>	Hiring of more staff	<input checked="" type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>	Development of implementation tools	<input checked="" type="checkbox"/>	Computerisation	<input checked="" type="checkbox"/>	– Other (specify)			<input checked="" type="checkbox"/>																																															
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– Other (specify)			<input checked="" type="checkbox"/>																																																													
2	<p>Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%;">Please tick boxes to indicate which target group and which activity.</th> <th style="width: 10%;">Oral or written advice/guidance</th> <th style="width: 10%;">Technical assistance</th> <th style="width: 10%;">Financial assistance</th> <th style="width: 10%;">Training</th> <th style="width: 10%;">Other (specify)</th> <th style="width: 10%;">What were the external sources?</th> </tr> </thead> <tbody> <tr> <td>Target group</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Staff of Management Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td><b>Intern CITES course.</b></td> </tr> <tr> <td>Staff of Scientific Authority</td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Staff of enforcement authorities</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td><b>CITES course from MA.</b></td> </tr> <tr> <td>Traders</td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>NGOs</td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Public</td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?	Target group							Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>Intern CITES course.</b>	Staff of Scientific Authority	<input type="checkbox"/>		Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>CITES course from MA.</b>	Traders	<input type="checkbox"/>		NGOs	<input type="checkbox"/>		Public	<input type="checkbox"/>		Other (specify)	<input type="checkbox"/>																					
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Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																											

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	*
	Staff of Scientific Authority	<input type="checkbox"/>					
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	*
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	*
	NGOs	<input type="checkbox"/>					
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>For MA Croatia</b>	
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>SA provides CITES intro course at University Leiden and provides part of Master Course in Spain</b>	
4	<p>Please provide details of any additional measures taken</p> <p><b>The MA Policy section has given financial assistance to the following projects:</b></p> <ul style="list-style-type: none"> <li>- <b>2007 Workshop conservation of tree species in Kuala Lumpur, Malaysia.</b></li> <li>- <b>2007 Proposal for amendment in CITES of <i>Bulnesia sarmientoi</i> from Argentina.</b></li> <li>- <b>2008 Survey of <i>Galanthus woronowii</i> in Georgia.</b></li> </ul> <p><b>The MA permit section provided customs training and CITES course for District attorney Office and Court of Justice. In 2008 the Dutch MA has visited the UK MA and visa versa to exchange experiences. Oral advice and presentations have been given to trader organizations.</b></p>						

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	<p>If Yes, which agencies are represented and how often does it meet?</p> <p><b>Represented in this working group are the Ministry of Agriculture, Nature Conservation and Food Quality, General Inspection Service, CITES MA, Police, Customs and the NPPOSFEC. Frequency 6 x per year.</b></p>		

3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>					
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>					
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					<input checked="" type="checkbox"/>		
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>		
	Local authorities or communities					<input checked="" type="checkbox"/>		
	Indigenous peoples					<input checked="" type="checkbox"/>		
	Trade or other private sector associations					<input checked="" type="checkbox"/>		
	NGOs					<input checked="" type="checkbox"/>		
Other (specify)					<input type="checkbox"/>			
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies? <span style="float: right;">Tick if applicable</span>							
	SA <input checked="" type="checkbox"/>							
	Customs <input checked="" type="checkbox"/>							
	Police <input checked="" type="checkbox"/>							
	Other border authorities (specify) <input type="checkbox"/>							
	Other government agencies <input checked="" type="checkbox"/>							
	Private sector bodies <input type="checkbox"/>							
	NGOs <input type="checkbox"/>							
Other (specify) <input type="checkbox"/>								
6	Has your country participated in any regional activities related to CITES? <span style="float: right;">Tick if applicable</span>							
	Workshops <input checked="" type="checkbox"/>							
	Meetings <input checked="" type="checkbox"/>							
	Other (specify) <input type="checkbox"/>							
7	Has your country encouraged any non-Party to accede to the Convention?					Yes <input type="checkbox"/>		
						No <input type="checkbox"/>		
						No information <input checked="" type="checkbox"/>		
8	If Yes, which one(s) and in what way?							
9	Has your country provided technical or financial assistance to another country in relation to CITES?						Yes <input checked="" type="checkbox"/>	
							No <input type="checkbox"/>	
							No information <input type="checkbox"/>	

10	If Yes, which country(ies) and what kind of assistance was provided? <b>Argentina, financial support for the preparation of a species proposal (<i>Bulnesia sarmientoi</i>) for CoP15.</b> <b>Malaysia, financial support for the workshop conservation of tree species in Kuala Lumpur, 2007.</b> <b>Georgia, financial support for the survey of <i>Galanthus woronowii</i>, 2008.</b> <b>Croatia, Enforcement training and assistance for the MA in terms of legislation, policy and ICT.</b>		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>	
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
14	If Yes, please give a brief description. <b>The cooperation between CITES permit issuance and permit issuance with regard to national legislation (the Dutch Flora and Fauna Act and Legislation for Zoo's) has been improved. Through exchange of information, the MA has to request less information from im- and exporters.</b>		
15	Please provide details of any additional measures taken:		

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Computerisation Other (specify)	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>		
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>		
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>		
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

**PART 2 SUPPLEMENTARY QUESTIONS**

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

**B. Legislative and regulatory measures**

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Additional comments							
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
Please provide details if available:							
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed; <b>Six years imprisonment for violation of the Dutch Flora and Fauna act (implemented CITES Regulation) or a maximum fine of EUR 74,000 (for private individuals) or EUR 740,000 (for corporate bodies).</b> ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9). - <b>Closing down corporate bodies</b> - <b>Disfranchising</b> - <b>Confiscation</b>						

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions; <b>See Annex 4 – 7.</b>	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: <b>The SA has been consulted for all accommodations for live Annex A with source W, F or I. Zoo's have been inspected on behalf of the national legislation for Zoo's.</b>	
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: <b>There is a cooperative strategy for CITES enforcement.</b>	
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II h.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: <b>Long-term re-homing can be difficult, because specimens often do not meet the criteria of the collection policy of zoo's.</b>			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIII.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
<b>Technical support and advisory on behalf of legislation, policy, application databases and permit issuance for the MA in Croatia.</b>			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input type="checkbox"/>				
		No information	<input checked="" type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input checked="" type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	7
	Indicate any difficulties that rendered attendance to the SRG difficult:		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable
	– Annex D listed species	<input checked="" type="checkbox"/>
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes <input checked="" type="checkbox"/>
		No <input type="checkbox"/>
		No information <input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes <input type="checkbox"/>
		No <input checked="" type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees.	No information <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : <b>65 %</b> <input checked="" type="checkbox"/>
		No information <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ?	Yes <input checked="" type="checkbox"/>
	If yes, please attach.	No <input type="checkbox"/>
		No information <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006?	Yes <input type="checkbox"/>
	If yes, please provide details.	No <input checked="" type="checkbox"/>
		No information <input type="checkbox"/>
16 new	Have scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006?	Yes <input checked="" type="checkbox"/>
	If yes, please provide details.	No <input type="checkbox"/>
	<b>In total the MA has now registered 13 institutions (see <a href="http://www.cites.org">www.cites.org</a>)</b>	No information <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006?	Yes <input type="checkbox"/>
	If yes, please provide details.	No <input checked="" type="checkbox"/>
		No information <input type="checkbox"/>

18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>In cooperation with the UK MA, the MA has implemented the caviar repackaging regulations and has appropriate caviar labels. The first plants will be registered mid 2009.</b>	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>Approximately 15.000 phytosanitary certificates are issued each year.</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>Very few.</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

# POLAND

**COVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES  
OF WILD FAUNA AND FLORA**



**POLAND**

**BIENNIAL REPORT**

**2007 – 2008**

**Warsaw, May 2009**

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 45 held on 14 November 2008.

## A. General information

Party	Poland
Period covered in this report:	<i>1 January 2007 to 31 December 2008</i>
Details of agency preparing this report	<i>Ministry of the Environment (CITES MA of PL) Department of Nature Conservation</i>
Contributing agencies, organizations or individuals	<i>Ministry of Finance, General Police Headquarters, State Council for Nature Conservation (CITES SA of PL), The Polish Society for Nature Conservation „Salamandra”, The Regional Environmental Center for Central and Eastern Europe (REC), WWF Poland.</i>

## B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>																																																
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____																																																	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>																																																
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>																																																
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable																																																
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width: 30%;">Issue</th> <th colspan="3" style="text-align: center;">The conditions for:</th> <th colspan="3" style="text-align: center;">The complete prohibition of:</th> </tr> <tr> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 10%;">No information</th> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 10%;">No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td style="text-align: center;"><input checked="" type="checkbox"/>*</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td style="text-align: center;"><input checked="" type="checkbox"/>*</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>	Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Taking	<input checked="" type="checkbox"/> *	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Transport	<input checked="" type="checkbox"/> *	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>						
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Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																												
	Additional comments	<p><i>* According to the national law (Nature Conservation Act of 16th April 2004, with further amendments) holders of live animals including amphibians, reptiles, birds and mammals of species covered by EU regulations on wildlife trade (CITES species) are obliged to submit written declaration of possession concerning CITES listed species to appropriate district authority, in order to register specimens they keep.</i></p> <p><i>Obligation of registration does not apply to Zoological Gardens and persons involved in animal trade, carrying on an economic activity (e.g. owners of pet shops, sellers) as well as persons keeping CITES animals temporarily for the medical treatment and rehabilitation purposes. The abovementioned sellers are obliged however to possess documents stating legal origin of an animal they sell and to pass with that animal, aforementioned documents to the buyer (e.g. copy of CITES import permit, permit for acquisition from nature, and in case of birth in captivity – a document issued by district veterinary service, confirming animal birth in captivity, or other document stating legality of origin).</i></p> <p><i>* - Prohibitions referring to harvesting, possessing, transport, sale and purchase apply to all native protected species (including native CITES species). Exemptions from mentioned prohibitions can be granted only by the Minister of the Environment, who issues certain permission.</i></p>																																																

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable	
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Clarity of legal obligations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please specify):		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Please provide details if available:						
7	If no review or assessment has taken place, is one planned for the next reporting period?				Yes	<input checked="" type="checkbox"/>
	<i>Review of the Nature Conservation Act in respect of CITES provisions is planned.</i>				No	<input type="checkbox"/>
				No information	<input type="checkbox"/>	
Please provide details if available:						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable	
	Subject	Yes	No	No information		
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Handling and housing of live specimens	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Please provide details if available:						
9	Please provide details of any additional measures taken:					
	<i>CITES MA commissioned realization of two assessments: "Analysis of the internet trade in Poland in specimens of fauna and flora listed in the CITES appendices" and "Proposals on practical implementation of the EU wildlife trade legislation concerning marking of animals of the endangered species", which raised issues of capacity of the current national legislation in respect of implementation and control of the CITES-related provisions.</i>					

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify): Control of CITES sale offers in the Internet	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex.	Number 2007 – 7 seizures; 2008 – 7 seizures 2007 – 230 seizures; 2008 – 183 seizures <i>Detailed information in Annex I - C5</i>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. <i>Detailed information in Annex II - C6, C7, C8, C9.</i>			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. <i>Detailed information in Annex II - C6, C7, C8, C9.</i>			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input checked="" type="checkbox"/>
	Comments: <i>Live CITES specimens are mainly directed to public zoological or botanical gardens, while dead ones are distributed for training purposes to Customs, Police and for educational purposes to universities, schools or museums.</i>			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	No	<input type="checkbox"/>
		Not applicable	No information	<input checked="" type="checkbox"/>
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	No	<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>

13	If Yes, please give a brief description: <i>Polish Customs representatives, together with British colleagues took part in the CITES workshop organised in London (HM Revenue &amp; Customs, WWF Poland).</i>		
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15	If Yes, please describe: <i>Thanks to numerous information campaigns and public awareness building projects on the issue of illegal trade in endangered species of wild fauna and flora (including those carried out by means of media), our society can become familiar with the current CITES provisions and learn more how to counteract illegal trade in wild fauna and flora.</i>		
16	Has there been any review or assessment of CITES-related enforcement?	Yes No Not applicable No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: <i>Assessment and compilation of CITES seizures is prepared by the Customs Service and Police. Aforementioned compilations are forwarded to the CITES MA on a regular basis.</i>		
17	Please provide details of any additional measures taken:		

#### D. Administrative measures

##### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. <i>Current contact details of the CITES MA of Poland are the following: Ministry of the Environment Department of Nature Conservation Wawelska st. 52/54 00-922 Warsaw</i>		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	How many staff work in each MA? <i>Poland has designated one CITES Management Authority based on the CITES team which consists of three fulltime employees. CITES MA is represented by the Minister of the Environment and the Director appropriate for the nature conservation issues.</i>		

6	Can you estimate the percentage of time they spend on CITES related matters? If yes, please give estimation: 100%	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	- Administration		<input checked="" type="checkbox"/>
	- Biology		<input checked="" type="checkbox"/>
	- Economics/trade		<input type="checkbox"/>
	- Law/policy		<input checked="" type="checkbox"/>
	- Other (specify) – environmental protection		<input checked="" type="checkbox"/>
	- No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
9	<p>If Yes, please give the species name and provide details of the kind of research involved.</p> <p><i>CITES MA commissioned realization of two assessments: "Analysis of the internet trade in Poland in specimens of fauna and flora listed in the CITES appendices" and "Proposals on practical implementation of the EU wildlife trade legislation concerning marking of animals of the endangered species".</i></p> <p><i>The first report evaluated the scale of the commercial activities involving CITES specimen, which take place in the internet in Poland and presented possible solutions of limiting the problem and enhancing control measures..</i></p> <p><i>The second report indicated possible ways of marking certain groups of CITES animals for the purposes of trade control enhancement and unification of marking methods.</i></p>		
10	<p>Please provide details of any additional measures taken:</p> <ul style="list-style-type: none"> <li>- <i>WWF Poland started the project on conservation of big predators in Poland (Lynx lynx, Canis lupus and Ursus arctos);</i></li> <li>- <i>Existence of national conservation programmes for the following native CITES species: Cypripedium calceolus, Phocoena phocoena and Lutra lutra (TF 2004/016-829.03.03 Elaboration of plans for re-naturalisation of natural habitats and habitats of fauna and flora species in Natura 2000 sites and elaboration of management plans for certain species listed in Birds Directive and Habitats Directive);</i></li> <li>- <i>Actions aiming at the restitution of Falco peregrinus, Parnassius apollo and Acipenser oxyrinchus, are being carried out;</i></li> <li>- <i>Project on conservation of Phocoena phocoena (among others) is being undertaken;</i></li> </ul>		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country? – Government institution <input type="checkbox"/> – Academic or research institution <input type="checkbox"/> – Permanent committee <input checked="" type="checkbox"/> – Pool of individuals with certain expertise <input type="checkbox"/> – Other (specify) <input type="checkbox"/> <i>The CITES SA of Poland is represented by the CITES Commission within The State Council for Nature Conservation (body providing opinion and counseling for the Minister of the Environment).</i>	Tick if applicable
5	How many staff work in each SA on CITES issues? <i>The CITES Commission consists of 4 persons working on a voluntary basis.</i>	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)? – Botany <input checked="" type="checkbox"/> – Ecology <input checked="" type="checkbox"/> – Fisheries <input type="checkbox"/> – Forestry <input type="checkbox"/> – Welfare <input checked="" type="checkbox"/> – Zoology <input checked="" type="checkbox"/> – Other (specify) <input type="checkbox"/> – No information <input type="checkbox"/>	Tick if applicable
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
	Species name	Populations
	Distribution	Off take
	Legal trade	Illegal trade
	Other (specify)	
	1	
	2	
	3	
	etc.	
	No information <input type="checkbox"/>	
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
11	Please provide details of any additional measures taken:	

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?  <i>*Within the Police forces (General and Provincial Headquarters), Ministry of Finance, Provincial Customs Chambers and General Veterinary Inspectorate, CITES coordinators have been designated.</i>  <i>They main role is to coordinate CITES related efforts and monitor activities of local and regional units concerning crimes against wildlife.</i>	Yes* <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: <i>Among Enforcement Authorities there is no leading and superior institution.</i>			
5	Please provide details of any additional measures taken: <i>Enforcement Authorities monitor and control wildlife trade on internal markets (e.g. by carrying out inspections of pet-shops, animal fairs, monitoring of sales of CITES specimens in the Internet).</i>  <i>In the reporting period (2007-2008) Police observed considerable increase in number of CITES cases referring to the Internet "trade". According to the General Headquarters of Police, among 564 cases occurred in 2007, 285 were Internet related. In 2008, 281 cases of the total 502 were associated with the Internet.</i>			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? Tick if applicable					
	–	Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>			
	–	Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>			
	–	Permit issuance	<input checked="" type="checkbox"/>			
	–	Not at all	<input type="checkbox"/>			
	–	Other (specify)	<input type="checkbox"/>			
2	Do the following authorities have access to the Internet? Tick if applicable					
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Enforcement Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						<i>Not all enforcement officers dealing with CITES issues have constant access to the Internet</i>
3	Do you have an electronic information system providing information on CITES species? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					

4	<p>If Yes, does it provide information on:</p> <ul style="list-style-type: none"> <li>- Legislation (national, regional or international)? <input checked="" type="checkbox"/></li> <li>- Conservation status (national, regional, international)? <input checked="" type="checkbox"/></li> <li>- Other (please specify)? <input checked="" type="checkbox"/></li> </ul> <p><i>CITES Management Authority of Poland runs the Internet Website devoted to CITES issues, which includes list of CITES species, information on existing CITES and related EU provisions, additional information materials and explanations.</i></p> <p><i>Moreover, on the Internet websites of Customs chambers and Police units one can find basic information on CITES and relevant seizures and confiscations.</i></p> <p><i>Polish NGOs, such as WWF Poland, Polish Society for Nature Conservation "Salamandra" and Regional Environmental Center (REC) also provide important information on CITES matters and current projects concerning the Washington Convention, as well as booklets, guides and manuals for identification.</i></p>	Tick if applicable																				
5	<p>Is it available through the Internet:</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Please provide URL:</p> <p><i>CITES MA website:</i>  <a href="http://www.mos.gov.pl/cites-ma">www.mos.gov.pl/cites-ma</a></p> <p><i>Customs website:</i>  <a href="http://www.mf.gov.pl/dokument.php?const=2&amp;dzial=525&amp;id=47104">http://www.mf.gov.pl/dokument.php?const=2&amp;dzial=525&amp;id=47104</a></p> <p><i>Websites of NGOs dealing with CITES issues:</i>  <a href="http://www.wwf.pl/projekty/cites.php">http://www.wwf.pl/projekty/cites.php</a>  <a href="http://www.salamandra.org.pl/ginace-gatunki">http://www.salamandra.org.pl/ginace-gatunki</a>  <a href="http://www.rec.org.pl/cites/index.htm">http://www.rec.org.pl/cites/index.htm</a></p>																					
6	<p>Do the following authorities have access to the following publications?</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Publication</th> <th style="width: 16.6%;">Management Authority</th> <th style="width: 16.6%;">Scientific Authority</th> <th style="width: 16.6%;">Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td><i>2003 Checklist of CITES Species (book)</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>Identification Manual</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>CITES Handbook</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2003 Checklist of CITES Species (book)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Tick if applicable
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<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																			
7	<p>If not, what problems have been encountered to access to the mentioned information?</p>																					
8	<p>Have enforcement authorities reported to the Management Authority on:</p> <ul style="list-style-type: none"> <li>- Mortality in transport? <input checked="" type="checkbox"/></li> <li>- Seizures and confiscations? <input checked="" type="checkbox"/></li> <li>- Discrepancy in number of items in permit and number of items actually traded? <input checked="" type="checkbox"/></li> </ul> <p>Comments:</p> <p><i>In the period of 2007-2008 there were no reports on cases of animal deaths during import or (re)export.</i></p>	Tick if applicable																				
9	<p>Is there a government website with information on CITES and its</p>	Yes <input checked="" type="checkbox"/>																				

	requirements? <i>The government website was described above under point 4.</i> If Yes, please give the URL: <a href="http://www.mos.gov.pl/cites-ma">www.mos.gov.pl/cites-ma</a>	No No information	<input type="checkbox"/> <input type="checkbox"/>
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public? – Press releases/conferences – Newspaper articles, radio/television appearances – Brochures, leaflets – Presentations – Displays – Information at border crossing points – Telephone hotline – Other (specify)	Tick if applicable	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Please attach copies of any items as Annex.		
11	Please provide details of any additional measures taken: <i>Essential contribution to the capacity building and propagation of the CITES in Poland (information campaigns, workshops, brochures, posters, exhibitions) was made by NGOs such as: WWF Poland and Polish Society for Nature Conservation "Salamandra".</i>		

#### D5 Permitting and registration procedures

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat? If no, please provide details of any: Changes in permit format: Changes in designation or signatures of relevant officials:	Yes No Not applicable No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable		
		Yes	No	No information
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 2007	Import or introduction from the sea	Export	Re-export	Other*	Comments
	How many documents were issued?	339	14	13	99	* EU Certificates issued acc. to the Council Reg. (EC) No. 338/97
	How many applications were denied because of severe omissions or mis-information?	-	-	-	-	
	Year 2008					
	How many documents were issued?	418	28	7	68	
	How many applications were denied because of severe omissions or mis-information?	-	-	-	-	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?				Yes	<input type="checkbox"/>
					No	<input checked="" type="checkbox"/>
					No information	<input type="checkbox"/>
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.				Tick if applicable	
	Reason			Yes	No	No information
	Technical violations			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes	<input type="checkbox"/>
					No	<input checked="" type="checkbox"/>
					No information	<input type="checkbox"/>
Comments: <i>Wild specimens of native CITES species are not a subject of exploitation for the purposes of commercial international trade.</i>						
8	How many times has the Scientific Authority been requested to provide opinions? <i>2007 – at least 100 times</i> <i>2008 – at least 100 times</i>					
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?				Tick if applicable	
	– Issuance of CITES documents:				<input checked="" type="checkbox"/>	
	– Licensing or registration of operations that produce CITES species:				<input type="checkbox"/>	
	– Harvesting of CITES-listed species :				<input type="checkbox"/>	
	– Use of CITES-listed species:				<input type="checkbox"/>	
	– Assignment of quotas for CITES-listed species:				<input type="checkbox"/>	
	– Importing of CITES-listed species:				<input type="checkbox"/>	
– Other (specify):				<input checked="" type="checkbox"/>		
<i>EU Certificates issued acc. to the Council Regulation (EC) No. 338/97.</i>						
10	If Yes, please provide the amounts of such fees. <i>Fee for the issuance of the CITES import, (re-)export permit was: 107 PLN (25 EUR).</i>					

	<i>Fee for the issuance of the Certificate acc. to the Council Regulation (EC) No. 338/97 was: 17 PLN (4 EUR).</i> <i>Budgetary and government units, including zoological, botanical gardens and scientific institutions are exempted from mentioned fees.</i>	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? – Entirely: – Partly: – Not at all: – Not relevant: Comments: <i>Money obtained from the above mentioned fees is not directed for the CITES implementation purposes.</i>	Tick if applicable <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	Please provide details of any additional measures taken:	

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?		Tick if applicable		
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks <input checked="" type="checkbox"/>		
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement <input type="checkbox"/>		
	Development of implementation tools	<input checked="" type="checkbox"/>	Computerisation <input type="checkbox"/>		
	– Other (specify)		<input checked="" type="checkbox"/>		
	<i>In the period covered with this report, many trainings and workshops, especially for enforcement authorities were carried out. See Annex III - D6.</i>				
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?				
	Please tick boxes to indicate which target group and which activity.		What were the external sources?		
	Target group	Oral or written advice/guidance	Technical assistance		
		Financial assistance	Training		
		Other (specify)			
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> *
					<i>* Public was the recipient of awareness campaigns and lectures.</i>

	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> *	* <i>Employees of local authorities responsible for registration of CITES animals took part in special trainings on the registration procedures organised by one of the Polish NGO ("Salamandra").</i>																																																							
3	<p>Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?</p> <p>Please tick boxes to indicate which target group and which activity.</p> <table border="1"> <thead> <tr> <th>Target group</th> <th>Oral or written advice/guidance</th> <th>Technical assistance</th> <th>Financial assistance</th> <th>Training</th> <th>Other (specify)</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Staff of Management Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td rowspan="8"><i>See Annex III - D6</i></td> </tr> <tr> <td>Staff of Scientific Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Staff of enforcement authorities</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Traders</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>NGOs</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Public</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other parties/International meetings</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<i>See Annex III - D6</i>	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Traders	<input type="checkbox"/>	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Public	<input type="checkbox"/>	Other parties/International meetings	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>																					
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4	Please provide details of any additional measures taken																																																													

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	<p>If Yes, which agencies are represented and how often does it meet?</p> <p><i>The CITES Working Group consists of representatives of Management Authority, Scientific Authority, Ministry of Finance, Customs, Police, Veterinary Inspection and NGOs: WWF Poland, "Salamandra", REC, Polish Society for the Protection of Animals (TOZ) and representatives of Zoological Gardens. Closer co-operation with the representatives from judiciary and prosecutors is planned.</i></p> <p><i>Group meetings are organized several times a year.</i></p>		

3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>					
	Consultations	<input type="checkbox"/>						
4	At the national level have there been any efforts to collaborate with:					Tick if applicable	Details if available	
	Agencies for development and trade					<input type="checkbox"/>	<i>Cooperation with Regional Authorities in the field of registration of CITES animals.</i>	
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>	<i>Cooperation with Police and Prosecutors in relation to investigations which are carried out.</i>	
	Local authorities or communities					<input checked="" type="checkbox"/>		
	Indigenous peoples					<input type="checkbox"/>		
	Trade or other private sector associations					<input type="checkbox"/>		
	NGOs					<input checked="" type="checkbox"/>		
Other (specify)					<input type="checkbox"/>			
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?						Tick if applicable	
	SA						<input type="checkbox"/>	
	Customs						<input type="checkbox"/>	
	Police						<input type="checkbox"/>	
	Other border authorities (specify)						<input type="checkbox"/>	
	Other government agencies						<input type="checkbox"/>	
	Private sector bodies						<input type="checkbox"/>	
	NGOs						<input type="checkbox"/>	
Other (specify)						<input type="checkbox"/>		
6	Has your country participated in any regional activities related to CITES?					Tick if applicable		
	Workshops					<input checked="" type="checkbox"/>		
	Meetings					<input checked="" type="checkbox"/>		
	Other (specify):					<input checked="" type="checkbox"/>		
<i>Participation in regular meetings of the Committee on Trade In Wild Fauna and Flora, Scientific Review Group and Enforcement Group within the EU.</i>								
7	Has your country encouraged any non-Party to accede to the Convention?					Yes	<input type="checkbox"/>	
						No	<input checked="" type="checkbox"/>	
						No information	<input type="checkbox"/>	
8	If Yes, which one(s) and in what way?							

9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?			
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
12	If Yes, please give a brief description.			
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
14	If Yes, please give a brief description. <i>Issues regarding CITES and other multilateral environmental agreements are dealt by the same institution (Ministry of the Environment). It ensures coordination of actions and excludes the possibility of their unnecessary duplication.</i>			
15	Please provide details of any additional measures taken:			

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required. <i>- Lack of rescue centres, fully devoted for CITES animals.</i> <i>- Small number of staff working within the CITES Management Authority.</i>			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
7	If Yes, please give a brief description. <i>Taking into consideration the effective implementation of CITES provisions and verification of documents it would be advisable to create confidential database gathering examples and patterns of permits and certificates being used by the Parties.</i>			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	Comments		

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed;						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						
	<i>The highest penalty which may be imposed for infringements, regarded as crimes, against EU provisions concerning CITES, is imprisonment from 3 months up to 5 years (acc. to the art. 128 of the Nature Conservation Act of 16 April 2004).</i>						

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period;  <i>The highest possible penalty (described in section B 9b) was not imposed during the reporting period. In the majority of cases courts apply financial sanctions and forfeiture.</i>  ii) The outcomes of any prosecutions;  <i>We have no detailed information on this issue. Unfortunately, there are cases where prosecutors discontinue cases due to insufficient evidence or low social noxiousness.</i>	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:  <i>Polish law does not impose obligation of marking of all CITES animals born and bred in captivity. Specimens have been marked accordingly with mentioned provisions for the purposes laid down in provisions of EU wildlife trade regulations.</i>	
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:  <i>According to the art. 61 p. 3 of Nature Conservation Act of 16 April 2004, the issuance of import permit is dependant on a positive veterinary opinion stating that animals which are going to be imported will be kept in appropriate conditions, as far as their physiological and behavioral needs are concerned. Mentioned opinion should be attached to the application for issuance of an import permit.</i>	
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
	Comments:	
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	If yes, please provide details.	

	<p>Comments:</p> <p><i>In the reporting period WWF Poland supplied the most important Customs border points' with specialized equipment for handling, keeping and transporting seized animals.</i></p> <p><i>Needs still far exceed the resources.</i></p>		
22 new	<p>Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIc.)</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
	<p>Comments:</p>		
23 new	<p>Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph II d.)</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
	<p>Comments: <i>See Annex III - D6</i></p>		
24 new	<p>Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph II g.)</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
	<p>Comments: <i>Enforcement Authorities monitor and control wildlife trade on internal markets (e.g. by carrying out inspections of pet-shops, animal fairs, monitoring of sales of CITES specimens in the Internet).</i></p>		
25 new	<p>Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph III h.)</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
	<p>Comments:</p>		
26 new	<p>Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph III i.)</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
	<p>Comments: <i>So far, Seized CITES animals have been located in zoological gardens (only if there was such a possibility). Creation of a CITES rescue centres is a high priority.</i></p>		
27 new	<p>Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph III e.)</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input checked="" type="checkbox"/></p>	
	<p>Comments:</p>		
28 new	<p>Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph III j.)</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
	<p>Comments: <i>Poland has no sufficient capacity and spatial abilities in this respect.</i></p>		

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: <i>There was no such demand.</i>			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
No information							<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended? Indicate any difficulties that rendered attendance to the SRG difficult:	Number	5
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### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on? – Annex D listed species – Other matters not reported on in question D4 (1) (please specify) <i>Database gathering information on the permits and certificates issued accordingly to the Council Regulation (EC) No. 338/97</i>	Tick if applicable	<input type="checkbox"/> <input checked="" type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species? <i>CITES Management of Poland provides access to the CITES related information in the electronic format by running special internet website on CITES issues (CITES and EU provisions, guidelines and other materials).</i> <a href="http://www.mos.gov.pl/cites-ma">www.mos.gov.pl/cites-ma</a>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : 95 % No information	<input type="checkbox"/> <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.  <i>The list of designated inspection border points is available in the following Commission Decision of 30 April 2008:</i> <a href="http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2008:136:0018:0042:EN:PDF">http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2008:136:0018:0042:EN:PDF</a>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <i>See Annex IV.</i>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance? <i>Problem of proving legal origin of captive bred specimens originating from breeding operations across the EU, in the context of documents which should be regarded as sufficient proof of legality. It is advisable to take into consideration creation and introduction of standardized example of document which would be a sufficient and respectable proof of legal origin of captive bred specimens within all EU member states.</i>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

# PORTUGAL



Convention on International Trade in  
Endangered Species of Wild Fauna and Flora

# BIENNIAL REPORT

2007/2008

# PORTUGAL



CITES MANAGEMENT AUTHORITY  
DIVISÃO DE APLICAÇÃO DE CONVENÇÕES

ICN  B

Instituto da Conservação da Natureza e da Biodiversidade, I.P.





6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input checked="" type="checkbox"/> *	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input checked="" type="checkbox"/> *	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input checked="" type="checkbox"/> *	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> *	<input type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Please provide details if available: * New legislation is being studied					
7	If no review or assessment has taken place, is one planned for the next reporting period?				Yes <input checked="" type="checkbox"/>
					No <input type="checkbox"/>
					No information <input type="checkbox"/>
Please provide details if available: Review of legislation, establishment of enforcement Group					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available: Review of legislation, establishment of enforcement Group					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. – Penal Law – Lei 50/2007 de 31 de Agosto.			

4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex. Annex 1	Number		
			<input type="checkbox"/>	<input type="checkbox"/>
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. Two criminal prosecutions. The accused were convicted and the specimens were confiscated to the Portuguese state. Both cases were related to illegal trade on birds.			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. Illegal trade on eggs and birds, from Brazil. The case will be solved in court in the first semester of 2009			
10	How were the confiscated specimens generally disposed of? Tick if applicable			
	- Return to country of export			<input type="checkbox"/>
	- Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	- Designated rescue centres			<input checked="" type="checkbox"/>
	- Approved, private facilities			<input type="checkbox"/>
	- Euthanasia			<input type="checkbox"/>
	- Other (specify)			<input checked="" type="checkbox"/>
	Comments: Dead specimens and parts and products remain in ICNB (CITES M.A.)			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
13	If Yes, please give a brief description: Cooperation with IBAMA from Brazil and M.A. of ES, NL, UK			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments:			

17	Please provide details of any additional measures taken:
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#### D. Administrative measures

##### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. As we have already informed Mr. João Paulo Fonseca is no more Scientific Authority for Flora.	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. – ICNB (Principal MA), and Regional M.A. (Azores and Madeira)	
5	How many staff works in each MA? – Principal MA 7; Azores 2; Madeira 2	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation. Annex 2	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	- Administration	<input checked="" type="checkbox"/>
	- Biology	<input checked="" type="checkbox"/>
	- Economics/trade	<input type="checkbox"/>
	- Law/policy	<input type="checkbox"/>
	- Other (specify) – Forestry Engineer	<input checked="" type="checkbox"/>
	- No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
10	Please provide details of any additional measures taken	

D2 *Scientific Authority (SA)*

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
2	If Yes, please use the opportunity to provide those changes here.						
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					
4	What is the structure of the SA(s) in your country? <span style="float: right;">Tick if applicable</span>						
	- Government institution	<input checked="" type="checkbox"/>					
	- Academic or research institution	<input type="checkbox"/>					
	- Permanent committee	<input type="checkbox"/>					
	- Pool of individuals with certain expertise	<input type="checkbox"/>					
	- Other (specify)	<input type="checkbox"/>					
5	How many staff work in each SA on CITES issues? - 2						
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					
	If yes, please give estimation. - 15%						
7	What are the skills/expertise of staff within the SA(s)? <span style="float: right;">Tick if applicable</span>						
	- Botany	<input type="checkbox"/>					
	- Ecology	<input type="checkbox"/>					
	- Fisheries	<input type="checkbox"/>					
	- Forestry	<input checked="" type="checkbox"/>					
	- Welfare	<input type="checkbox"/>					
	- Zoology	<input checked="" type="checkbox"/>					
	- Other (specify)	<input type="checkbox"/>					
	- No information	<input type="checkbox"/>					
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email). ICNB – João Loureiro	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input type="checkbox"/> No <input type="checkbox"/> Under consideration <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement:	
5	Please provide details of any additional measures taken:	

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? Tick if applicable																													
	- Monitoring and reporting of data on legal trade	<input type="checkbox"/>																												
	- Monitoring and reporting of data on illegal trade	<input type="checkbox"/>																												
	- Permit issuance	<input checked="" type="checkbox"/>																												
	- Not at all	<input type="checkbox"/>																												
	- Other (specify) - Ordinary Reports	<input checked="" type="checkbox"/>																												
2	Do the following authorities have access to the Internet? Tick if applicable																													
	<table border="1"> <thead> <tr> <th>Authority</th> <th>Yes, continuous and unrestricted access</th> <th>Yes, but only through a dial-up connection</th> <th>Yes, but only through a different office</th> <th>Some offices only</th> <th>Not at all</th> <th>Please provide details where appropriate</th> </tr> </thead> <tbody> <tr> <td>Management Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Scientific Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Enforcement Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate																								
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Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																									
3	Do you have an electronic information system providing information on CITES species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>																												

4	<p>If Yes, does it provide information on:</p> <ul style="list-style-type: none"> <li>- Legislation (national, regional or international)? <input checked="" type="checkbox"/></li> <li>- Conservation status (national, regional, international)? <input checked="" type="checkbox"/></li> <li>- Other (please specify)? <input type="checkbox"/></li> </ul>	Tick if applicable																				
5	<p>Is it available through the Internet:</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Please provide URL: - <a href="http://www.icnb.pt">www.icnb.pt</a></p>																					
6	<p>Do the following authorities have access to the following publications? Tick if applicable</p> <table border="1"> <thead> <tr> <th>Publication</th> <th>Management Authority</th> <th>Scientific Authority</th> <th>Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td><i>2003 Checklist of CITES Species (book)</i></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)</i></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>Identification Manual</i></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>CITES Handbook</i></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2003 Checklist of CITES Species (book)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
Publication	Management Authority	Scientific Authority	Enforcement Authority																			
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<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																			
7	If not, what problems have been encountered to access to the mentioned information?																					
8	<p>Have enforcement authorities reported to the Management Authority on:</p> <ul style="list-style-type: none"> <li>- Mortality in transport? <input type="checkbox"/></li> <li>- Seizures and confiscations? <input checked="" type="checkbox"/></li> <li>- Discrepancy in number of items in permit and number of items actually traded? <input type="checkbox"/></li> </ul> <p>Comments: - Enforcement Authorities are the National M.A.( + Customs &amp; Policies)</p>	Tick if applicable																				
9	<p>Is there a government website with information on CITES and its requirements?</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>If Yes, please give the URL: - <a href="http://www.icnb.pt">www.icnb.pt</a></p>																					
10	<p>Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public? Tick if applicable</p> <ul style="list-style-type: none"> <li>- <u>Press releases</u>/conferences <input checked="" type="checkbox"/></li> <li>- Newspaper articles, radio/television appearances <input type="checkbox"/></li> <li>- Brochures, leaflets (under consideration) <input checked="" type="checkbox"/></li> <li>- Presentations <input checked="" type="checkbox"/></li> <li>- Displays <input type="checkbox"/></li> <li>- Information at border crossing points <input type="checkbox"/></li> <li>- Telephone hotline <input type="checkbox"/></li> <li>- Other (specify) <input type="checkbox"/></li> </ul> <p>Please attach copies of any items as Annex.</p>																					
11	Please provide details of any additional measures taken:																					

D5 *Permitting and registration procedures*

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
			Not applicable	<input type="checkbox"/>		
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?			Tick if applicable		
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1 (2007)	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	1243	73	235	3739*	* UE Certificates; Import Notifications; Documents saying that species are Non CITES Listed
	How many applications were denied because of severe omissions or mis-information?					
	Year 2 (2008)	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	1134	46	284	3838*	* UE Certificates; Import Notifications; Documents saying that species are Non CITES Listed
		How many applications were denied because of severe omissions or miss-information?				
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or miss-information?		Yes	<input type="checkbox"/>		
			No	<input checked="" type="checkbox"/>		
			No information	<input type="checkbox"/>		
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason		Yes	No	No information	
	Technical violations		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Suspected fraud		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of non-detriment		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of legal acquisition		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?		Yes	<input checked="" type="checkbox"/>		

		No <input type="checkbox"/>
		No information <input type="checkbox"/>
	Comments	
8	How many times has the Scientific Authority been requested to provide opinions? – Every time there is a new request for a new specie/country combination.	
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?	Tick if applicable
	- Issuance of CITES documents:	<input checked="" type="checkbox"/>
	- Licensing or registration of operations that produce CITES species:	<input type="checkbox"/>
	- Harvesting of CITES-listed species :	<input type="checkbox"/>
	- Use of CITES-listed species:	<input type="checkbox"/>
	- Assignment of quotas for CITES-listed species:	<input type="checkbox"/>
	- Importing of CITES-listed species:	<input type="checkbox"/>
	- Other (specify): Technical inspections	
10	If Yes, please provide the amounts of such fees. – Import Permit, Export Permit & Re-export Permit: 25€ each EU Certificates: 20€ each	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable
	- Entirely:	<input type="checkbox"/>
	- Partly:	<input type="checkbox"/>
	- Not at all:	<input type="checkbox"/>
	- Not relevant:	<input checked="" type="checkbox"/>
	Comments:	
12	Please provide details of any additional measures taken:	

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable					
	Increased budget for activities <input type="checkbox"/>	Improvement of national networks <input checked="" type="checkbox"/>					
	Hiring of more staff <input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement <input type="checkbox"/>					
	Development of implementation tools <input type="checkbox"/>	Computerisation <input type="checkbox"/>					
	- Other (specify) <input type="checkbox"/>						
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources? <b>No</b>						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?					
	Please tick boxes to indicate which target group and which activity.					
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify) – DGV(Veterinary)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4	Please provide details of any additional measures taken:					

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectorial committee on CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?		
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):		
		Daily	Weekly
		Monthly	Annually
		None	No information
		Other (specify)	
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
4	At the national level have there been any efforts to collaborate with:	Tick if applicable	Details if available
	Agencies for development and trade	<input type="checkbox"/>	
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>	
	Local authorities or communities	<input checked="" type="checkbox"/>	
	Indigenous peoples	<input type="checkbox"/>	
	Trade or other private sector associations	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA		<input type="checkbox"/>
	Customs		<input type="checkbox"/>
	Police		<input checked="" type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify) Informal MoU with all the previous		<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops		<input type="checkbox"/>
	Meetings		<input checked="" type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
8	If Yes, which one(s) and in what way? – ANGOLA		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description. – Close relationship with CBD, CMS, IWC, Birds and Habitat Directives		
15	Please provide details of any additional measures taken:		

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty? Financial difficulties; Human resources			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## PART 2 - SUPPLEMENTARY QUESTIONS

### B. Legislative and regulatory measures

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:						
	Title and date: Portaria 359/92 (Decree-Law) Status:						
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Additional comments	Wild indigenous species are not allowed, only captive breed one and after registration.						
8b	Has there been any review of legislation on the following subjects in relation to implementation of the Regulation?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/> *	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
Please provide details if available, only in 1999 (Decree-Law 565/99, 21 December)*							
9b	Please provide details of maximum penalties that may be imposed for Regulation-related violations, or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
17	Have specimens been marked to establish whether they were born and bred in captivity or artificially propagated? (In accordance with Commission Regulation (EC) No 1808/2001 Article 36),	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: Annex A specimens only (Microchip or close ring for birds)			
18	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c)).	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: Always when occurs a request to detain Annex A species			
19	Have any monitoring activities been undertaken to ensure that live animals are transported in accordance with Article 9 paragraph 5?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
Comments: Not for M.A. but possible to Veterinary bodies			
20	Have any captive breeding facilities for Regulation-listed species been registered?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
If yes, please provide details: Our internal legislation didn't allow the detention of CITES listed species, however we are registering collections.			

### D. Administrative measures

#### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
10	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2),	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11	If yes, what were the outcomes?		

**D2 Scientific Authority (SA)**

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?		Yes	<input type="checkbox"/>
			No	<input checked="" type="checkbox"/>
			No information	<input type="checkbox"/>
9b	If Yes, please give the species name and provide details of the kind of research involved.			
	Species name	Populations	Distribution	Off take
	Legal trade	Illegal trade	Other (specify)	
	1			
	2			
	3			
	etc.			
				No information
				<input type="checkbox"/>
11	How many Scientific Review Group meetings have the SA attended?		Number: 5	<input type="checkbox"/>

**D3 Enforcement Authorities**

6	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**D4 Communication, information management and exchange**

1b	Is Regulation-related information in your country computerized on?	Tick if applicable
	- Annex D listed species	<input type="checkbox"/>
	- Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes
		No
		No information
		<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

**D5 Permitting and registration procedures**

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8(4) , arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
7	Can you indicate the percentage of permits/certificates issued that are return to the MA after endorsement by customs?	Number : ±70%		

# ROMANIA

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 45 held on 14 November 2008.

### A. General information

Party	Romania
Period covered in this report: 1 January 2007 to 31 December 2008	2007-2008
Details of agency preparing this report	Ministry of Environment (CITES MA of Romania)
Contributing agencies, organizations or individuals	National Customs Authority, National Environmental Guard, Romanian Academy, Forest Research and Management Planning Institute, Danube Delta National Institute for Research and Development

### B. Legislative and regulatory measures

1	<p>Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.</p>	<p>Yes (fully) <input checked="" type="checkbox"/></p> <p>Yes (partly) <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information/unknown <input type="checkbox"/></p>																
2	<p>If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; border-bottom: 1px dashed black;">Title and date:</th> <th style="text-align: left; border-bottom: 1px dashed black;">Status:</th> </tr> </thead> <tbody> <tr> <td>1. Governmental Ordinance no. 164/2008 for amending the Governmental Ordinance no. 195/2005 of environmental protection</td> <td>Enacted</td> </tr> <tr> <td>2. Order of the Ministry of Environment and Sustainable Development no. 255/2007 adopting the measures for enforcement of EU Regulations on wildlife trade</td> <td>Enacted</td> </tr> <tr> <td>3. Governmental Ordinance no. 57/2007 regarding protected areas, conservation of natural habitats and wild flora and fauna</td> <td>Enacted</td> </tr> <tr> <td>4. Governmental Ordinance no. 23/2008 regarding fishery and aquaculture</td> <td>Enacted</td> </tr> <tr> <td>5. Order of the Ministry of Environment and Sustainable Development no. 410/2008 for approving the authorization procedure of the harvesting, capture and/or acquisition activities and commercialization on internal market and export of mineral samples, of plants, vertebrates and invertebrates fossils, and of wild specimens of flora and fauna, and also their import</td> <td>Enacted</td> </tr> <tr> <td>6. Joint Order of the Ministry of Environment and Ministry of Agriculture no. 1369/2007 regarding the procedure for establishing the derogations from the measures of protection of wild flora and fauna</td> <td>Enacted</td> </tr> <tr> <td>7. Order of the Ministry of Environment no. 1798/2007 for approving the</td> <td>Enacted</td> </tr> </tbody> </table>	Title and date:	Status:	1. Governmental Ordinance no. 164/2008 for amending the Governmental Ordinance no. 195/2005 of environmental protection	Enacted	2. Order of the Ministry of Environment and Sustainable Development no. 255/2007 adopting the measures for enforcement of EU Regulations on wildlife trade	Enacted	3. Governmental Ordinance no. 57/2007 regarding protected areas, conservation of natural habitats and wild flora and fauna	Enacted	4. Governmental Ordinance no. 23/2008 regarding fishery and aquaculture	Enacted	5. Order of the Ministry of Environment and Sustainable Development no. 410/2008 for approving the authorization procedure of the harvesting, capture and/or acquisition activities and commercialization on internal market and export of mineral samples, of plants, vertebrates and invertebrates fossils, and of wild specimens of flora and fauna, and also their import	Enacted	6. Joint Order of the Ministry of Environment and Ministry of Agriculture no. 1369/2007 regarding the procedure for establishing the derogations from the measures of protection of wild flora and fauna	Enacted	7. Order of the Ministry of Environment no. 1798/2007 for approving the	Enacted	
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7. Order of the Ministry of Environment no. 1798/2007 for approving the	Enacted																	

<p>Procedure for issuing the environment authorization</p> <p>8. Governmental Decision regarding the register system of sturgeons stocks from aquaculture facilities and of the caviar produced from aquaculture operations, and marking by labelling of the caviar</p> <p style="text-align: right;">Drafted</p>																					
<p>Brief description of contents:</p> <p>1. Amendments made by Governmental Ordinance no. 164/2008 to the Governmental Ordinance no. 195/2005 of environmental protection establish sanction for non compliance with marking requirements in accordance with the method approved or recommended by the Conference of the Parties to the Convention for the specimens concerned and, in particular, the containers of caviar;</p> <p>2. Order of the Ministry of Environment and Sustainable Development no. 255/2007 approves some measures for implementation of the EU Regulations on wildlife trade and the new forms for CITES permit/certificates accordingly with EU Regulations on wildlife trade. The act repeals the articles from Order no. 647/2001 related with CITES permit forms and issuance conditions oh those. Impose some restrictive condition related with the movement of specimens of hunting trophies of species listed in Annex A of Reg.(CE) no. 338/97 (Appendices II CITES) within the Community and for trade of specimens of sturgeon from Romania to another EU Member State;</p> <p>3. Governmental Ordinance no. 57/2007 establish the competent authorities on the implementation of the EU Regulations on wildlife trade; establish sanction for infringements of the Regulation (EC) no. 338/97 on the protection of species of wild fauna and flora by regulating trade therein;</p> <p>4. Governmental Ordinance no. 23/2008 repeals the Law No. 192/2001 on Fish stocks, Fishery and Aquaculture, republished. This act establish the management authority of aquatic resources in Romania, the policy regarding fishery and aquaculture in Romania, competence and sanctions (including the sanctions regarding the illegal fishing and trade of sturgeons species);</p> <p>5. Order of the Ministry of Environment and Sustainable Development no. 410/2008 repeals the Order no. 647/2001; establish the procedure for authorization of harvesting or capture activities and trade on national territory of native wild flora and fauna species. The physical or legal persons that intend to harvest/capture wild flora and flora species (CITES or non-CITES species) for trade purpose must be authorised by Local Environmental Protection Agencies, and the quotas (excepting the species strictly protected) are approved by Romanian Academy (Scientific Authority) based on an evaluation study of the status of the biological resources;</p> <p>6. Joint Order of the Ministry of Environment and Ministry of Agriculture no. 1369/2007 approves the procedure for establishing the derogations for strictly protected species. The Orders for approving the number of specimens of strictly protected species that can be harvested/captured are issued accordingly with the provision of this procedure;</p> <p>7. Annex 5 of the Order of the Ministry of Environment no. 1798/2007 establish specific request for authorization of zoos, public aquarium and rehabilitation centres; prohibit the possession of certain dangerous species by private persons (apes, large wild cats, bears, poisonous snakes);</p> <p>8. The drafted Governmental Decision establish the register, forms of the register of the sturgeons specimens from the aquaculture (parental stocks, young specimens and caviar produced), obligations for licensing of the processing and (re)-packaging plants, the authority that control the register, the enforcement authorities of the provision of this Order, requirements for labelling of the caviar (including for internal market).</p>																					
3	<p>Is enacted legislation available in one of the working languages of the Convention?</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																				
4	<p>If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.</p> <p>legislation attached <input type="checkbox"/></p> <p>provided previously <input type="checkbox"/></p> <p>not available, will send later <input type="checkbox"/></p>																				
5	<p>Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?</p> <p style="text-align: right;">Tick all applicable</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2">Issue</th> <th colspan="3">The conditions for:</th> <th colspan="3">The complete prohibition of:</th> </tr> <tr> <th>Yes</th> <th>No</th> <th>No information</th> <th>Yes</th> <th>No</th> <th>No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>	Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Issue	The conditions for:			The complete prohibition of:																	
	Yes	No	No information	Yes	No	No information															
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>															

Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments	<p><i>Order No. 262/330/2006 on conservation of wild sturgeon populations and development of sturgeon aquaculture in Romania</i> ban the capture and killing of wild specimens of sturgeons' species for commercial purpose for a period of 10 years starting with 2006.</p> <p><i>Order of the Ministry of Environment no. 1798/2007 for approving the Procedure for issuing the environment authorization</i> is prohibiting possession by physical persons of strictly protected species and other species listed in CITES Appendices.</p> <p><i>Order of the Ministry of Environment and Sustainable Development no. 410/2008 for approving the authorization procedure of the harvesting, capture and/or acquisition activities and commercialization on internal market and export of mineral samples, of plants, vertebrates and invertebrates fossils, and of wild specimens of flora and fauna, and also their import</i> establish domestic measures restricting or prohibiting trade, taking, possession or transport of species not included in Appendix I, II or III</p>					

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? <span style="float: right;">Tick all applicable</span>				
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify): <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>					
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input type="checkbox"/>	
				No <input type="checkbox"/>	
			No information <input checked="" type="checkbox"/>		
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention? <span style="float: right;">Tick all applicable</span>				
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

5	<p>If information available:</p> <p><input checked="" type="checkbox"/> Significant seizures/confiscations</p> <p><input checked="" type="checkbox"/> Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex.</p>	<p>Number</p> <p>2</p> <p>5</p>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input checked="" type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input checked="" type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
13	<p>If Yes, please give a brief description:</p> <p>Information exchange with neighbouring countries or EU Member States regarding the national legislation regarding wildlife trade in order to establish the legal acquisition of specimens, and also checking of validity/issuance of CITES documents.</p>			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments:			
	The European Commission regularly review and make the assessment of enforcement of EU wildlife trade regulations in all EU Member States			
17	Please provide details of any additional measures taken:			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.	
5	How many staff work in each MA? 3 persons	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation 1 persons- full time for CITES and the other two person - part time for CITES	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input type="checkbox"/>
	– Biology	<input checked="" type="checkbox"/>
	– Economics/trade	<input type="checkbox"/>
	– Law/policy	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved. Study on developing of a registering system of sturgeons from aquaculture operations has been financed by CITES MA in 2007. The system requires posting of information on the internet page <a href="http://www.indd.tim.ro/ro/sturgeonsn/index.php?option=com_content&amp;task=view&amp;id=118&amp;Itemid=144">www.indd.tim.ro/ro/sturgeonsn/index.php?option=com_content&amp;task=view&amp;id=118&amp;Itemid=144</a> . This study has ensured also the basis for drafting the Governmental Decision mentioned in B (2) point 8.	
10	Please provide details of any additional measures taken	

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable
	– Government institution	<input type="checkbox"/>
	– Academic or research institution	<input checked="" type="checkbox"/>
	– Permanent committee	<input type="checkbox"/>
	– Pool of individuals with certain expertise	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? Within the Romanian Academy (CITES SA is a commission which include 9 permanent members. Within the Forest Research and Management Planning Institute (CITES SA) is a Department of Wildlife in that are working 20 persons. Within the Danube Delta National Institute for Research and Development (CITES SA for Acipenseriformes) (Institute coordinated by MA) are working 3 persons on sturgeon stocks estimation and quotas setting issue.	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable
	– Botany	<input checked="" type="checkbox"/>
	– Ecology	<input checked="" type="checkbox"/>
	– Fisheries	<input checked="" type="checkbox"/>
	– Forestry	<input checked="" type="checkbox"/>
	– Welfare	<input type="checkbox"/>
	– Zoology	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	<i>Acipenser stellatus</i>	X	X				
	<i>Acipenser gueldenstaedti</i>	X	X				
	<i>Acipenser ruthenus</i>	X	X				
	<i>Huso huso</i>	X	X				
	<i>Ursus arctos</i>	X	X	X	X		Eco-ethology
	<i>Canis lupus</i>	X	X	X	X		Eco-ethology
	<i>Lynx lynx</i>	X	X	X	X		Management Plan, Eco-ethology
	<i>Felis silvestris</i>	X	X	X	X		Eco-ethology
					No information	<input type="checkbox"/>	
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes	<input type="checkbox"/>
						No	<input checked="" type="checkbox"/>
						No information	<input type="checkbox"/>
11	Please provide details of any additional measures taken:						

### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).		
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: Within Customs National Authority is an Office responsible with CITES enforcement Within National Environmental Guard is an Office responsible with CITES enforcement		
5	Please provide details of any additional measures taken:		

### D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?	Tick if applicable
– Monitoring and reporting of data on legal trade		<input checked="" type="checkbox"/>
– Monitoring and reporting of data on illegal trade		<input type="checkbox"/>
– Permit issuance		<input type="checkbox"/>
– Not at all		<input type="checkbox"/>

	– Other (specify)		<input type="checkbox"/>
2	Do the following authorities have access to the Internet?	Tick if applicable	
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection
		Yes, but only through a different office	Some offices only
		Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Enforcement Authority	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3	Do you have an electronic information system providing information on CITES species?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, does it provide information on:	Tick if applicable	
	– Legislation (national, regional or international)?	<input checked="" type="checkbox"/>	
	– Conservation status (national, regional, international)?	<input checked="" type="checkbox"/>	
	– Other (please specify)? Supportive Stocking Programme for endangered species of sturgeons	<input checked="" type="checkbox"/>	
5	Is it available through the Internet:	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Please provide URL: <a href="http://www.indd.tim.ro/rostrurgeons">www.indd.tim.ro/rostrurgeons</a> ; <a href="http://www.mmediu.ro">www.mmediu.ro</a>		
6	Do the following authorities have access to the following publications?	Tick if applicable	
	Publication	Management Authority	Scientific Authority
		Enforcement Authority	
	<i>2005 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>2005 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If not, what problems have been encountered to access to the mentioned information?		
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable	
	– Mortality in transport?	<input type="checkbox"/>	
	– Seizures and confiscations?	<input checked="" type="checkbox"/>	
	– Discrepancy in number of items in permit and number of items actually traded?	<input type="checkbox"/>	
	Comments:		
9	Is there a government website with information on CITES and its requirements?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
	If Yes, please give the URL:		

10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable
	– Press releases/conferences	<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances	<input type="checkbox"/>
	– Brochures, leaflets	<input checked="" type="checkbox"/>
	– Presentations	<input checked="" type="checkbox"/>
	– Displays	<input type="checkbox"/>
	– Information at border crossing points	<input checked="" type="checkbox"/>
	– Telephone hotline	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	Please attach copies of any items as Annex.	
11	Please provide details of any additional measures taken:	

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	If no, please provide details of any:			
	Changes in permit format: Starting with 2007, after Romania accession to EU, have been changed the permit format accordingly with the provision of the Reg. (EC) no. 865/2006. The new format has been communicated to the CITES Secretariat.			
	Changes in designation or signatures of relevant officials: Within the reporting period were made 4 changes referring to persons competent to sign CITES documents for Romania.			
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable		
		Yes	No	No information
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	167	8	15	150 Certificate not for use outside the European Community	
	How many applications were denied because of severe omissions or mis-information?					No information
	Year 2					
	How many documents were issued?	257	42	3	241	
	How many applications were denied because of severe omissions or mis-information?					No information
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.					Tick if applicable
	Reason		Yes	No	No information	
	Technical violations		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Suspected fraud		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of non-detriment		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of legal acquisition		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?					Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments					
8	How many times has the Scientific Authority been requested to provide opinions? every time is needed					
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?					Tick if applicable
	– Issuance of CITES documents:					<input checked="" type="checkbox"/>
	– Licensing or registration of operations that produce CITES species:					<input type="checkbox"/>
	– Harvesting of CITES-listed species :					<input checked="" type="checkbox"/>
	– Use of CITES-listed species:					<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species:					<input type="checkbox"/>
	– Importing of CITES-listed species:					<input type="checkbox"/>
– Other (specify):					<input type="checkbox"/>	
10	If Yes, please provide the amounts of such fees.					
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?					Tick if applicable
	– Entirely:					<input type="checkbox"/>

	– Partly:	<input type="checkbox"/>
	– Not at all:	<input type="checkbox"/>
	– Not relevant:	<input checked="" type="checkbox"/>
	Comments:	
12	Please provide details of any additional measures taken:	

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?		Tick if applicable				
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input type="checkbox"/>			
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input checked="" type="checkbox"/>			
	Development of implementation tools	<input checked="" type="checkbox"/>	Computerisation	<input checked="" type="checkbox"/>			
	– Other (specify)			<input type="checkbox"/>			
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						Details
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?						Yes	<input type="checkbox"/>
							No	<input checked="" type="checkbox"/>
							No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	every time is needed
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	every time is needed
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					<input checked="" type="checkbox"/>		
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>		
	Local authorities or communities					<input type="checkbox"/>		
	Indigenous peoples					<input type="checkbox"/>		
	Trade or other private sector associations					<input checked="" type="checkbox"/>		
	NGOs					<input checked="" type="checkbox"/>		
	Other (specify)					<input type="checkbox"/>		

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable
	SA	<input checked="" type="checkbox"/>
	Customs	<input checked="" type="checkbox"/>
	Police	<input checked="" type="checkbox"/>
	Other border authorities (specify)	<input type="checkbox"/>
	Other government agencies	<input checked="" type="checkbox"/>
	Private sector bodies	<input type="checkbox"/>
	NGOs	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?	Tick if applicable
	Workshops	<input checked="" type="checkbox"/>
	Meetings	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
8	If Yes, which one(s) and in what way?	
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?	
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
12	If Yes, please give a brief description.	
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
14	If Yes, please give a brief description.	
15	Please provide details of any additional measures taken:	

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify) Establishing of Permanent National CITES S.A. Committee	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>	<input type="checkbox"/>
		No information	<input type="checkbox"/>	<input type="checkbox"/>
3	<p>If Yes, which one(s) and what is the main difficulty?</p> <p>Romania has encountered difficulties in implementing the requirements laid down in Res. 12.7 (Rev. CoP14) regarding labelling of caviar trade on internal market due the overlapping of the competence between the authorities (Ministry of Agriculture and National Sanitary-veterinary Agency have the competence for authorization of the processing plants, packaging and labelling of caviar in the reporting period).</p>			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		No information	<input type="checkbox"/>	<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes	<input type="checkbox"/>	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		No information	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	1. Order of the Ministry of Environment and Sustainable Development no. 255/2007 adopting the measures for enforcement of EU Regulations on wildlife trade		Enacted				
	2. Orders of the Ministry of Environment and Sustainable Development no. 1386/2007 and 1092/2008 for approving the derogations for the brown bear, wolf, lynx and wild cat species		Enacted				
	Brief description of contents:						
	1. Establish stricter measures than the EU wildlife trade regulations, been necessary to apply for Certificates for movement of hunting trophies of specimens of species listed in Annex A of Reg. (EC) 338/97 for that Romania has communicated voluntary export quotas to the CITES Secretariat ( <i>Ursus arctos</i> , <i>Canis lupus</i> , <i>Lynx lynx</i> and <i>Felis silvestris</i> ) from Romania to another Member State, and for trade of specimens of Acipenseriformes spp. from Romania to another Member State (Certificate not for use outside the European Community).						
	2. These two orders have been issued accordingly with the procedure approved by the Order no. 1369/2007. The Orders approve derogation from protection measures (establish the number of specimens that can be captured from the wild), taking in consideration the Evaluation studies developed by Forest Research and Management Planning Institute (CITES SA) and approved by Romanian Academy (CITES SA).						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Please provide details if available:				
9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum penalties that may be imposed; The maximum fines for EU wildlife trade regulation offences for are EUR25000 legal persons and EUR3700 for private persons.</p> <p>ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).</p>			

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions;			
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments: Each enforcement authority has the own Action Plan			
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments: The national legislation establishes the maximum and minimum level of fines for infringements of Reg. (CE 338/97) and the National Environmental Guard assess and applies the fines.			

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: 8 training seminars for enforcement agencies have been carried out in the reporting period.			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: There are few facilities and can ensure care for certain species.			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
No information							<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	5
	Indicate any difficulties that rendered attendance to the SRG difficult: Financial support in order to attend one representative from each SA (Romania has designated 3 SAs).		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species		<input type="checkbox"/>
	– Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage: 80%	<input type="checkbox"/>
		No information	<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4), arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

# **SLOVAKIA**

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 45 held on 14 November 2008.



6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? <span style="float: right;">Tick all applicable</span>					
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please specify): <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>						
Please provide details if available:						
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
Please provide details if available:						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention? <span style="float: right;">Tick all applicable</span>					
	Subject	Yes	No	No information		
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Please provide details if available:						
9	Please provide details of any additional measures taken:					

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

5	<p>If information available:</p> <p><input checked="" type="checkbox"/> Significant seizures/confiscations</p> <p><input checked="" type="checkbox"/> Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex.</p>	<p>Number</p> <p>0/1 <input type="checkbox"/></p> <p>6/11 <input type="checkbox"/></p> <p>ANNEX 1</p>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. ANNEX 1			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input type="checkbox"/>
	– Designated rescue centres			<input checked="" type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify): Dead specimens are used for training of customs			<input checked="" type="checkbox"/>
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments: According to the national legislation personal data (which ECOMESSAGE contain, are protected.			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
13	If Yes, please give a brief description: Cooperation SEA with the Inspection of the Czech Republic (exchange of intelligence and knowledge, participation in courses/trainings, participation in investigating illegal trade); cooperation of the Customs Criminal Office with Czech Customs and Hungarian Police.			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments:			
17	Please provide details of any additional measures taken:			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated? Only 1 MA	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.	
5	How many staff work in each MA?	3 persons in 1 MA
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation 90 %	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input type="checkbox"/>
	– Biology	<input checked="" type="checkbox"/>
	– Economics/trade	<input type="checkbox"/>
	– Law/policy	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
10	Please provide details of any additional measures taken	

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
2	If Yes, please use the opportunity to provide those changes here.						
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					
4	What is the structure of the SA(s) in your country?	Tick if applicable					
	– Government institution	<input checked="" type="checkbox"/>					
	– Academic or research institution	<input type="checkbox"/>					
	– Permanent committee	<input type="checkbox"/>					
	– Pool of individuals with certain expertise	<input type="checkbox"/>					
	– Other (specify)	<input type="checkbox"/>					
5	How many staff work in each SA on CITES issues?	3 persons in 1 SA					
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation 100 %	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable					
	– Botany	<input checked="" type="checkbox"/>					
	– Ecology	<input type="checkbox"/>					
	– Fisheries	<input type="checkbox"/>					
	– Forestry	<input type="checkbox"/>					
	– Welfare	<input type="checkbox"/>					
	– Zoology	<input checked="" type="checkbox"/>					
	– Other (specify)	<input type="checkbox"/>					
	– No information	<input type="checkbox"/>					
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>
			No information <input type="checkbox"/>	
4	If Yes, please state which is the lead agency for enforcement: The lead agency is not established, competency of each authority is given by national legislation			
5	Please provide details of any additional measures taken:			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? Tick if applicable						
	-	Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>				
	-	Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>				
	-	Permit issuance	<input checked="" type="checkbox"/>				
	-	Not at all	<input type="checkbox"/>				
	-	Other (specify)	<input type="checkbox"/>				
2	Do the following authorities have access to the Internet? Tick if applicable						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species? Yes <input checked="" type="checkbox"/>						
		No <input type="checkbox"/>			No information <input type="checkbox"/>		

4	If Yes, does it provide information on:	Tick if applicable		
	– Legislation (national, regional or international)?			<input checked="" type="checkbox"/>
	– Conservation status (national, regional, international)?			<input checked="" type="checkbox"/>
	– Other (please specify)?			<input type="checkbox"/>
5	Is it available through the Internet:	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Please provide URL: <a href="http://www.cites.sk">www.cites.sk</a>			
6	Do the following authorities have access to the following publications?	Tick if applicable		
	Publication	Management Authority	Scientific Authority	Enforcement Authority
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If not, what problems have been encountered to access to the mentioned information?			
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable		
	– Mortality in transport?			<input checked="" type="checkbox"/>
	– Seizures and confiscations? (reported by customs offices, inspection and district offices)			<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?			<input checked="" type="checkbox"/>
	Comments:			
9	Is there a government website with information on CITES and its requirements?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.enviro.gov.sk">www.enviro.gov.sk</a>			
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable		
	– Press releases/conferences			<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances			<input checked="" type="checkbox"/>
	– Brochures, leaflets			<input type="checkbox"/>
	– Presentations			<input checked="" type="checkbox"/>
	– Displays			<input checked="" type="checkbox"/>
	– Information at border crossing points			<input checked="" type="checkbox"/>
	– Telephone hotline			<input type="checkbox"/>
	– Other (specify) web sites: <a href="http://www.cites.sk">www.cites.sk</a> (Slovak and English); <a href="http://www.enviro.gov.sk">www.enviro.gov.sk</a> ; <a href="http://www.sizp.sk">www.sizp.sk</a> (Slovak)			<input checked="" type="checkbox"/>
	Please attach copies of any items as Annex.			
11	Please provide details of any additional measures taken:			

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and <u>signatures of officials empowered to sign CITES permits/certificates</u> been reported previously to the Secretariat?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
			Not applicable	<input type="checkbox"/>		
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?			Tick if applicable		
		Yes	No	No information		
	Permit issuance/acceptance	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	-	13	2	79	
	How many applications were denied because of severe omissions or mis-information?					
Year 2	How many documents were issued?	-	14	-	105	
	How many applications were denied because of severe omissions or mis-information?					
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?		Yes	<input type="checkbox"/>		
			No	<input checked="" type="checkbox"/>		
			No information	<input type="checkbox"/>		
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason	Yes	No	No information		
	Technical violations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
			No information	<input type="checkbox"/>		
Comments: Export quotas of exporting countries are a management tool in procedure of issuance of import permits.						
8	How many times has the Scientific Authority been requested to provide opinions? Always in accordance with the Convention.					
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?			Tick if applicable		

	<ul style="list-style-type: none"> <li>- Issuance of CITES documents: (import/export permits, re-export cert.) <input checked="" type="checkbox"/></li> <li>- Licensing or registration of operations that produce CITES species: <input type="checkbox"/></li> <li>- Harvesting of CITES-listed species : <input checked="" type="checkbox"/></li> <li>- Use of CITES-listed species: <input type="checkbox"/></li> <li>- Assignment of quotas for CITES-listed species: <input type="checkbox"/></li> <li>- Importing of CITES-listed species: <input type="checkbox"/></li> <li>- Other (specify): <input type="checkbox"/></li> </ul>	
10	<p>If Yes, please provide the amounts of such fees.</p> <p>The fee for <b>application</b> for CITES permits and certificates in Slovakia is 66 € for entrepreneur and legal entity and 6,50 € for natural persons. The fee had to be paid also in case, when the permit or certificate is not issued. Museums of natural sciences need not pay any fees as well as zoological gardens.</p>	
11	<p>Have revenues from fees been used for the implementation of CITES or wildlife conservation?</p> <ul style="list-style-type: none"> <li>- Entirely: <input type="checkbox"/></li> <li>- Partly: <input checked="" type="checkbox"/></li> <li>- Not at all: <input type="checkbox"/></li> <li>- Not relevant: <input type="checkbox"/></li> </ul> <p>Comments: Fees are income of the State budget.</p>	<p>Tick if applicable</p>
12	Please provide details of any additional measures taken:	

**D6 Capacity building**

1	<p>Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?</p>					Tick if applicable	
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input type="checkbox"/>			
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input checked="" type="checkbox"/>			
	Development of implementation tools	<input checked="" type="checkbox"/>	Computerisation	<input checked="" type="checkbox"/>			
	- Other (specify): There are the THSCANs at the border crossing points with Ukraine.				<input checked="" type="checkbox"/>		
2	<p>Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?</p>						
	<p>Please tick boxes to indicate which target group and which activity.</p>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Target group						
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Advice - Dutch expert for TCM; training all enforcement authorities – TAIEX; training Police - European Social Fund
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	*Seminar for Western Balkans Region * “Implementation of the Internat. Multilateral Agreements”
	Other (specify) district offices	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input checked="" type="checkbox"/>					
		No	<input type="checkbox"/>					
		No information	<input type="checkbox"/>					
2	If Yes, which agencies are represented and how often does it meet? Presidium of the Police Force, CITES Management Authority (Ministry of Environment), Slovak Environmental Inspection, Customs Directorate of the Slovak Republic, General Public Prosecutor’s s Office, Veterinary Authority, Slovak Information Service, Tax Directorate, average 2x per year.							
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others): <u>All authorities except of SA are members of inter-sectoral committee on CITES (point 1)</u>							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	SA – in case of need
	Consultations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	SA
4	At the national level have there been any efforts to collaborate with:	Tick if applicable		Details if available				
	Agencies for development and trade	<input checked="" type="checkbox"/>						
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>						
	Local authorities or communities	<input checked="" type="checkbox"/>						
	Indigenous peoples	<input checked="" type="checkbox"/>						
	Trade or other private sector associations	<input checked="" type="checkbox"/>						

	NGOs	<input checked="" type="checkbox"/>	
	Other (specify)	<input checked="" type="checkbox"/>	University
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA		<input type="checkbox"/>
	Customs		<input type="checkbox"/>
	Police		<input type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops		<input checked="" type="checkbox"/>
	Meetings		<input checked="" type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.																																																							
2b	<p>If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:</p> <p>Title and date: _____ Status: _____</p> <p>Brief description of contents: _____</p>																																																							
5b	<p>Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species<sup>2</sup>?</p> <p>Tick all applicable categories below that these categories apply to.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width: 25%;">Issue</th> <th colspan="3" style="text-align: center;">The conditions for:</th> <th colspan="3" style="text-align: center;">The complete prohibition of:</th> </tr> <tr> <th style="text-align: center;">Yes</th> <th style="text-align: center;">No</th> <th style="text-align: center;">No information</th> <th style="text-align: center;">Yes</th> <th style="text-align: center;">No</th> <th style="text-align: center;">No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Additional comments</td> <td colspan="6" style="text-align: center;">Complete prohibition of possession of <i>Trachemys scripta elegans</i></td> </tr> </tbody> </table>	Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	<input type="checkbox"/>	Taking	<input type="checkbox"/>	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Transport	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	Additional comments	Complete prohibition of possession of <i>Trachemys scripta elegans</i>																									
Issue	The conditions for:			The complete prohibition of:																																																				
	Yes	No	No information	Yes	No	No information																																																		
Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																		
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Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																		
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Additional comments	Complete prohibition of possession of <i>Trachemys scripta elegans</i>																																																							
8b	<p>Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i>?</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 80%;"></th> <th style="text-align: center;">Yes</th> <th style="text-align: center;">No</th> <th style="text-align: center;">No information</th> </tr> </thead> <tbody> <tr> <td>Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table> <p>Please provide details if available: _____</p>		Yes	No	No information	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																											
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Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																																					
9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum penalties that may be imposed;          Penalties: Up to 33.192 € - for legal persons (companies, businessmen)                            Up to 9.958 € - for natural persons (individuals)          Fine can be doubled in case of repeating violation (the same kind of violation).          The specimen can be seized and confiscated.          Imprisonment – up to 8 years.</p> <p>ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).</p>																																																							

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations? In country seizures and penalties.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; In country control - in 2007 was fined a legal person 1 659,69 € for an offences under Article 8 of Regulation No. (EC) 338/97 - birds of prey (SEA - in country control). Border control - 1.825,60 € ii) The outcomes of any prosecutions;		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Comments:			
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Comments: Marking does not establish that the specimen was born and bred in captivity e.g. native birds can be taken from the nests as very young and marked, or specimen was really born in captivity but it does not met the criteria in Art 54 of Reg. 865/2006.			
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Comments:			
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
Comments:			
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.) If yes, please provide details.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Comments: Mainly YES, customs officers dispose with equivalent equipment (scanners, RTG devices, microchip readers, etc.). Some problems with access to relevant expertise and experts for products of CITES specimens (SEA)			
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Comments:			

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Comments: YES for a) NO for b) and c)		No information <input type="checkbox"/>	<input type="checkbox"/>
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
Comments:		No information <input type="checkbox"/>	<input type="checkbox"/>
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes at border <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No in country <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Comments:		No information <input type="checkbox"/>	<input type="checkbox"/>
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
Comments:		No information <input type="checkbox"/>	<input type="checkbox"/>
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
Comments: YES - SEA		No information <input type="checkbox"/>	<input type="checkbox"/>
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input type="checkbox"/>	<input type="checkbox"/>
		No <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Comments:		No information <input type="checkbox"/>	<input type="checkbox"/>

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: with WCO			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
No information							<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	6	<input type="checkbox"/>
	Indicate any difficulties that rendered attendance to the SRG difficult:			

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species	<input checked="" type="checkbox"/>	
	– Other matters not reported on in question D4 (1) (please specify)	<input checked="" type="checkbox"/>	
	Computerised registration of breeders, species and specimens held in captivity – SA central database		
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes	<input checked="" type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees.	No	<input type="checkbox"/>
	Exemption of the prohibition of commercial activities (Art.8) and authorization of the movement of live specimens (Art. 9) Annex A.	No information	<input type="checkbox"/>
	The fee for <b>application</b> for certificates in Slovakia is 66 € for entrepreneur and legal entity and 6,50 € for natural persons. The fee had to be paid also in case, when the certificate is not issued. Museums of natural sciences need not pay any fees as well as zoological gardens.		
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : 80%	<input type="checkbox"/>
		No information	<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ?	Yes	<input checked="" type="checkbox"/>
	If yes, please attach. ANNEX 2	No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
	If yes, please provide details.	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
	If yes, please provide details.	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance? Control of legal origin of Annex B species specimens is problematic. They are not marked (with some exceptions) and without any documents set by regulations which prove their legal origin and source.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

# **SLOVENIA**

## PART 1 - CITES QUESTIONS

### A. General information

Party	SLOVENIA
Period covered in this report:	1 January 2007 to 31 December 2008
Details of agency preparing this report	Ministry of the Environment and Spatial Planning Dunajska 48, SI-1000 Ljubljana
Contributing agencies, organizations or individuals	Environmental Agency of the Republic of Slovenia Vojkova 1b, SI-1000 Ljubljana  Institute of the Republic of Slovenia for Nature Conservation Dunajska 22, SI-1000 Ljubljana  Customs Administration of the Republic of Slovenia General Customs Directorate Šmartinska 55, SI-1523 Ljubljana  Inspectorate of the Republic of Slovenia for the Environment and Spatial Planning Dunajska 47, SI-1000 Ljubljana  General Police Directorate Criminal Police Directorate Štefanova 2, SI-1501 LJUBLJANA

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:  Title and date: <i>Decree on the course of conduct and protection measures in the trade in animal and plant species</i> Status: <i>Adopted on 3th April 2008, (Official Gazette of the Republic of Slovenia, No. 39/2008)</i>  Brief description of contents: The new <i>Decree on the course of conduct and protection measures in the trade in animal and plant species</i> has replaced the existing regulation from year 2004 with certain updates in accordance with the requirements of the Commission Regulation (EC) No. 865/2006 (e.g. registration of caviar producers, travelling exhibitions, pets etc.) and other improvements. The Decree lays down the course of conduct and protection measures in the trade in specimens of animal and plant species protected under the regulations governing the protection of wild animal and plant species, registration of caviar producers, marking of caviar containers and other activities related to trade in caviar, requirements for travelling exhibitions, conditions for commercial breeding specimens of wild animal species, disposal of seized and confiscated specimens, registration of breeders, scientists and scientific institutions, competencies of authorities and sanctions.	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/>	provided previously <input type="checkbox"/>	not available, will send later <input type="checkbox"/>			
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable					
		The conditions for:		The complete prohibition of:			
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments	ANNEX I					

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?	Tick all applicable			
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Please provide details if available: Studies on the effectiveness of implementation and enforcement of CITES and the EU Wildlife Trade Regulation carried out during the reporting period: - Ó Críodáin, C. (2007). <i>Study on the Effectiveness of the EC Wildlife Trade Regulations</i> . A TRAFFIC Europe report for the European Commission, Brussels, Belgium. <a href="http://ec.europa.eu/environment/cites/pdf/effectiveness.pdf">http://ec.europa.eu/environment/cites/pdf/effectiveness.pdf</a>				
7	If no review or assessment has taken place, is one planned for the next reporting period?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>	
	Please provide details if available:				
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable			
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Harvesting	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Please provide details if available:				

9	<p>Please provide details of any additional measures taken:</p> <p>Several reports and studies related to the implementation of CITES and certain CITES listed species have been carried out during the reporting period:</p> <p>Engler, M &amp; Knapp, A. (2008). <i>Briefing on the evolution of the caviar trade and range State implementation of Resolution Conf. 12.7 (Rev. CoP14)</i>. A TRAFFIC Europe Report for the European Commission, Brussels, Belgium.  <a href="http://ec.europa.eu/environment/cites/pdf/reports/caviar.pdf">http://ec.europa.eu/environment/cites/pdf/reports/caviar.pdf</a></p> <p>Knapp, A. (2008). <i>An Assessment of caviar labelling and licensing provisions in the European Union. A TRAFFIC Europe Report for the European Commission, Brussels, Belgium</i>.  <a href="http://ec.europa.eu/environment/cites/pdf/studies/caviar_labelling.pdf">http://ec.europa.eu/environment/cites/pdf/studies/caviar_labelling.pdf</a></p>
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### C. Compliance and enforcement measures

		Yes	No	No information
1	<p>Have any of the following compliance monitoring operations been undertaken?</p> <p>Review of reports and other information provided by traders and producers:</p> <p>Inspections of traders, producers, markets</p> <p>Border controls</p> <p>Other (specify)</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	<p>If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.</p> <p>ANNEX II</p>			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	<p>If information available:</p> <p><input checked="" type="checkbox"/> Significant seizures/confiscations</p> <p><input checked="" type="checkbox"/> Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex.</p> <p>ANNEX III (significant cases)</p>	<p>Number</p> <p><input type="checkbox"/></p> <p>2007: 19 total (4 significant cases)</p> <p>2008: 22 total (5 significant cases)</p>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	<p>If Yes, how many and for what types of violations? If available, please attach details as Annex.</p> <p>ANNEX IV (Criminal complaints)</p>			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			

10	How were the confiscated specimens generally disposed of?	Tick if applicable	
	– Return to country of export		<input type="checkbox"/>
	– Public zoos or botanical gardens		<input checked="" type="checkbox"/>
	– Designated rescue centres		<input type="checkbox"/>
	– Approved, private facilities		<input checked="" type="checkbox"/>
	– Euthanasia		<input type="checkbox"/>
	– Other (specify)		<input checked="" type="checkbox"/>
	a) destruction due to human health hazards (mussels)		
	b) parts and derivatives:		
	- by keeping them by MA for educational or research purposes,		
	- by giving them to other enforcement authorities for educational or public awareness purposes specify)		
	Comments:		
	Live animals are placed in rescue centre for temporary care and treatment for a period between seizure and final confiscation. Where live tortoises have been seized, they have been successfully transferred to a captive facility (public zoo and private person) for non-commercial purposes.		
	In most cases dead specimens (parts or derivatives) were seized. Such specimens were temporarily stored by Customs. After the case is concluded, the goods can be used by the Management Authority for public information (exhibitions etc.) or educational purposes (training of enforcement officials).		
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments:		
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
13	If Yes, please give a brief description: Enforcement authorities followed alerts posted at the EU-TWIX network and have intensified their surveillance when needed.		
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Ó Críodáin, C. (2007). <i>Study on the Effectiveness of the EC Wildlife Trade Regulations</i> . A TRAFFIC Europe report for the European Commission, Brussels, Belgium. <a href="http://ec.europa.eu/environment/cites/pdf/effectiveness.pdf">http://ec.europa.eu/environment/cites/pdf/effectiveness.pdf</a>		
	Comments:		
17	Please provide details of any additional measures taken:		

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. Enforcement Authorities  1. Customs Administration of Slovenia General Customs Directorate Šmartinska c. 55 SI-1523 LJUBLJANA  Tel: +386 (1) 478 3800 Fax: +386 (1) 478 39 00 Email: gcu.carina@gov.si  2. Ministry of Interior – Police General Police Directorate Criminal Police Directorate Štefanova 2 SI-1501 LJUBLJANA  Tel: +386 (1) 428 4450 Fax: +386 (1) 428 5021 Email: gp.mnz@gov.si		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. Ministry of the Environment and Spatial Planning Dunajska cesta 48 P.O. Box 653 SI-1000 LJUBLJANA Tel: +386 (1) 478 74 00 Fax: +386 (1) 478 74 22 Email: gp.mop@gov.si Lead MA – does not issue CITES documents.		
5	How many staff work in each MA? 1 person at the Ministry of the Environment and Spatial Planning 3 persons at the Environmental Agency of the Republic of Slovenia		

6	<p>Can you estimate the percentage of time they spend on CITES related matters?</p> <p>If yes, please give estimation</p> <p>1 person at the Ministry of the Environment and Physical Planning: app. 60%</p> <p>3 persons at the Environmental Agency of the Republic of Slovenia: app. 75%</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
7	<p>What are the skills/expertise of staff within the MA(s)?</p> <ul style="list-style-type: none"> <li>- Administration <input checked="" type="checkbox"/></li> <li>- Biology <input checked="" type="checkbox"/></li> <li>- Economics/trade <input type="checkbox"/></li> <li>- Law/policy <input type="checkbox"/></li> <li>- Other (specify) <input checked="" type="checkbox"/></li> </ul> <p>1. PhD in Nature Heritage Protection</p> <p>2. Biology - Ecology and Systematics (Master Degree)</p> <ul style="list-style-type: none"> <li>- No information <input type="checkbox"/></li> </ul>	<p style="text-align: right;">Tick if applicable</p>	
8	<p>Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	

9	<p>If Yes, please give the species name and provide details of the kind of research involved.</p> <p><u>Species:</u> <i>Ursus arctos</i>  <u>Project title:</u> Analiza medvedov, odvzetih iz narave in genetsko – molekularne raziskave populacije medveda v Sloveniji (Investigation of bears removed from nature and molecular genetics research of the Slovenian)  <u>Project duration:</u> 29. 5. 2007 – 31. 10. 2008  <u>Objectives:</u> Estimation of the size of the brown bear population and analysis of the contents of the brown bear digestive apparatus</p> <p><u>Species:</u> <i>Tursiops truncatus</i>  <u>Project:</u> Monitoring of population of <i>Tursiops truncatus</i> in Slovenian and adjacent waters  <u>Project duration:</u> ongoing  <u>Objectives:</u> Estimation of the size of the population, fotoidentification and gathering of basic information on their ecology.</p> <p><u>Species:</u> CITES listed animals  <u>Project title:</u> Rescue centre for wild animals  <u>Project duration:</u> 5-year contract, currently 2006 - 2011  <u>Objectives:</u> Rescue centre for temporary care of seized and confiscated animals due to illegal keeping in captivity, illegal trade, export or import or other reasons specified by law is provided by a contract with the Management Authority.</p> <p><u>Species:</u> <i>Aquila pomarina, Otus scops, Strix uralensis, Aquila pomarina</i>  <u>Project title:</u> Monitoring of selected bird species populations in special protected areas (SPA)  <u>Project duration:</u> Ongoing, different species are selected each year to be included in the monitoring. Selected species were monitored in 2007 or/and 2008.  <u>Objectives:</u> Establishment of uniform methodology and parameters for monitoring of selected species, and selection of permanent survey transects, points and areas  <a href="http://www.natura2000.gov.si/uploads/tx_library/Monitoring_ptic_2007-II.pdf">http://www.natura2000.gov.si/uploads/tx_library/Monitoring_ptic_2007-II.pdf</a></p> <p><u>Species:</u> <i>Gyps fulvus</i> and other birds of prey  <u>Project title:</u> Monitoring of selected bird species populations  <u>Project duration:</u> 14.8. – 11.9. 2008  <u>Objectives:</u> Monitoring of selected bird species populations  <a href="http://www.natura2000.gov.si/uploads/tx_library/Drugo_vmesno_porocilo_2009.pdf">http://www.natura2000.gov.si/uploads/tx_library/Drugo_vmesno_porocilo_2009.pdf</a></p>
10	Please provide details of any additional measures taken

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	<p>If Yes, please use the opportunity to provide those changes here.</p> <p>New contacts for the SA are:</p> <p>Institute of the Republic of Slovenia for Nature Conservation Dunajska cesta 22, P.O. Box 2572 SI-1000 Ljubljana Tel: +386 1 230 95 00 Fax: +386 1 230 95 40 e-mail: <a href="mailto:zrsvn.oe@zrsvn.si">zrsvn.oe@zrsvn.si</a> www.zrsvn.si</p> <p>Contact persons: Nika Debeljak Šabec (<a href="mailto:nika.debeljak@zrsvn.si">nika.debeljak@zrsvn.si</a>) Damjan Vrčec (<a href="mailto:damjan.vreck@zrsvn.si">damjan.vreck@zrsvn.si</a>)</p>	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable
	– Government institution	<input checked="" type="checkbox"/>
	– Academic or research institution	<input type="checkbox"/>
	– Permanent committee	<input type="checkbox"/>
	– Pool of individuals with certain expertise	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
5	How many staff work in each SA on CITES issues?	
	2	
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	<p>If yes, please give estimation</p> <p>In the years 2007-2008 we used 2400 working hours for tasks related to CITES (non-detrimental findings, controlling breeding operations, species determination etc.)</p>	
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable
	– Botany	<input checked="" type="checkbox"/>
	– Ecology	<input checked="" type="checkbox"/>
	– Fisheries	<input type="checkbox"/>
	– Forestry	<input type="checkbox"/>
	– Welfare	<input type="checkbox"/>
	– Zoology	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	<i>Caretta caretta</i>	X	X	by catch			public awareness
	2						
	3						
	etc.						
						No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes	<input type="checkbox"/>
						No	<input checked="" type="checkbox"/>
						No information	<input type="checkbox"/>
11	Please provide details of any additional measures taken:						

### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).		
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: Regarding CITES, the Management Authority is lead co-ordinating agency. It leads the activities of the "Inter-sectoral Committee for the Prevention of Illegal Wildlife Trade" (see D7/2).		
5	Please provide details of any additional measures taken:		

### D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?	Tick if applicable
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	– Permit issuance	<input checked="" type="checkbox"/>
	– Not at all	<input type="checkbox"/>
	– Other (specify)	<input checked="" type="checkbox"/>
	Registration of marking of wild animals kept in captivity is fully computerised. The MA's computer application on marking also allows control of supply of marks and suppliers of marks and issuance of certificates on marking.	
	Slovenia is in process of developing an information system including different databases: Register of marked animals, CITES database, Register of endangered and protected species.	
	The most recent, Register of endangered and protected species (REPS) contain the information on species names, higher taxa, legal status, administrative and legal measures. Database will include all species, protected by national legislation, ratified international agreements and common EU legislation. REPS Database is	

accessible to public on the web-site <http://sirena.arso.gov.si/>, it has been also introduced to enforcement bodies.

2	Do the following authorities have access to the Internet?						Tick if applicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	No information	<input type="checkbox"/>
4	If Yes, does it provide information on:						Tick if applicable
	– Legislation (national, regional or international)?						<input checked="" type="checkbox"/>
	– Conservation status (national, regional, international)?						<input checked="" type="checkbox"/>
	– Other (please specify)?						<input checked="" type="checkbox"/>
	Application forms for CITES documents, fees, registration of CITES specimens etc.						
5	Is it available through the Internet:	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>				
	Please provide URL:						
	<a href="http://zakonodaja.gov.si/">http://zakonodaja.gov.si/</a>						
	<a href="http://sirena.arso.gov.si/">http://sirena.arso.gov.si/</a>						
	<a href="http://www.arso.gov.si/">http://www.arso.gov.si/</a>						
	<a href="http://www.mop.gov.si/en/">http://www.mop.gov.si/en/</a>						
6	Do the following authorities have access to the following publications?				Tick if applicable		
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			

7	If not, what problems have been encountered to access to the mentioned information?		
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable	
	– Mortality in transport?		<input checked="" type="checkbox"/>
	– Seizures and confiscations?		<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?		<input checked="" type="checkbox"/>
	Comments:		
9	Is there a government website with information on CITES and its requirements?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.arso.gov.si/narava/konvencija%20CITES/">http://www.arso.gov.si/narava/konvencija%20CITES/</a> <a href="http://www.arso.gov.si/narava/poro%c4%8dila%20in%20publikacije/">http://www.arso.gov.si/narava/poro%c4%8dila%20in%20publikacije/</a> <a href="http://www.arso.gov.si/narava/vpra%c5%a1anja%20in%20odgovori/">http://www.arso.gov.si/narava/vpra%c5%a1anja%20in%20odgovori/</a> <a href="http://www.arso.gov.si/en/nature/reports%20and%20publications/">http://www.arso.gov.si/en/nature/reports%20and%20publications/</a> <a href="http://www.mop.gov.si/si/delovna_podrocja/direktorat_za_okolje/sektor_za_politiko_ohranjanja_narave/#c16787">http://www.mop.gov.si/si/delovna_podrocja/direktorat_za_okolje/sektor_za_politiko_ohranjanja_narave/#c16787</a>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	– Press releases/conferences		<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances		<input checked="" type="checkbox"/>
	– Brochures, leaflets		<input checked="" type="checkbox"/>
	– Presentations		<input checked="" type="checkbox"/>
	– Displays		<input checked="" type="checkbox"/>
	– Information at border crossing points		<input checked="" type="checkbox"/>
	– Telephone hotline		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	Please attach copies of any items as Annex.		
11	Please provide details of any additional measures taken: In 2007 and 2008 the Management Authority carried out a number of presentations for different target groups (school children, students, associations etc.). A representative of the Management Authority regularly gives lessons to Students of Masters degree programme »Nature Heritage Protection« at the University of Ljubljana. Representatives of the Slovenian Management authorities gave two lectures at the Museum of Natural History on the International trade in endangered animal and plant species, and have had a presentation at the Slovenian Chamber of Commerce for the pet shops and wildlife traders. During the reporting period, Slovenian Customs carried out a considerable public awareness events including exhibitions of seized and confiscated specimens, educational events for scholars and interested public. Information of seizures were published in all main national newspapers, articles and TV and radio broadcasts were performed. In November 2008 Management Authority provided educational material including four thematic leaflets on the most popular exotic wildlife companion animals: tortoises, iguanas and parrots. The publicity material was distributed in 2008 to Slovenian schools and museums. A CD-ROM that includes information on all public awareness material issued in Slovenia, relevant EU and national legislation was upgraded.		

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Not applicable <input type="checkbox"/>	No information <input type="checkbox"/>	
	If no, please provide details of any:					
	Changes in permit format: Slovenia uses European Union CITES permit/certificate forms as specified by Commission Regulation (EC) No. 865/2006. Format and content of Annexes to CITES permits and certificates are prescribed in Article 21, Annex 4, of the Decree on the course of conduct and protection measures in the trade in animal and plant species (Official Gazette of RS, No. 39/08).					
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable				
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 2007	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	122	10	32	10	Other refer to Personal ownership certificates
	How many applications were denied because of severe omissions or mis-information?	-	-	-	-	
	Year 2008					
	How many documents were issued?	149	17	34	10	Other refer to Personal ownership certificates
	How many applications were denied because of severe omissions or mis-information?	-	-	-	-	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>		
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.	Tick if applicable				
	Reason	Yes	No	No information		
	Technical violations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>		

	Comments Hunting quotas for certain species (e.g. <i>Ursus arctos</i> ) are considered in the procedure for issuance of an export permits.	
8	<p>How many times has the Scientific Authority been requested to provide opinions?</p> <p>1. The Management Authority asks for scientific opinion for all applications of import of Annexes A and B species or export of Annexes A, B and C species, up to 50 opinions per year.</p> <p>In case of the imports of skins (SKI) and small leather products (LPS) of some App II/Annex B listed reptiles (<i>Alligator mississippiensis</i>, <i>Caiman crocodilus fuscus</i>, <i>Varanus niloticus</i>, <i>V. salvator</i>) from Switzerland, a general opinion has been provided in accordance with Article 4 par. 2 (a) of the Council Regulation (EC) No 338/97.</p> <p>Every year, the Scientific Authority also provides the opinion for the export of live tortoises (<i>Testudo hermanni</i>, <i>Testudo graeca</i>, <i>Malacochersus tornieri</i>, <i>Geochelone elegans</i>) born and bred in captivity in Slovenia by the registered breeding operations which are regularly checked by the Scientific Authority and competent inspectors. The opinion is based on the breeding success and it defines the maximum number of animals allowed to be used for commercial purposes for the current year. On the basis of any additional information, the opinion can be changed anytime and it is fully respected by the Management Authority when issuing the CITES documents.</p> <p>2. In case of seizures Scientific Authority could give opinion on species determination, up to 25 opinions per year.</p>	
9	<p>Has the Management Authority charged fees for permit issuance, registration or related CITES activities?</p> <p>– Issuance of CITES documents: <input checked="" type="checkbox"/></p> <p>– Licensing or registration of operations that produce CITES species: <input checked="" type="checkbox"/></p> <p>– Harvesting of CITES-listed species : <input type="checkbox"/></p> <p>– Use of CITES-listed species: <input checked="" type="checkbox"/></p> <p>– Assignment of quotas for CITES-listed species: <input type="checkbox"/></p> <p>– Importing of CITES-listed species: <input checked="" type="checkbox"/></p> <p>– Other (specify): EU internal trade certificate <input checked="" type="checkbox"/></p>	Tick if applicable
10	<p>If Yes, please provide the amounts of such fees.</p> <p>Fees for permits, registration or related CITES activities amount to 17, 73 EURO per application.</p>	
11	<p>Have revenues from fees been used for the implementation of CITES or wildlife conservation?</p> <p>– Entirely: <input type="checkbox"/></p> <p>– Partly: <input checked="" type="checkbox"/></p> <p>– Not at all: <input type="checkbox"/></p> <p>– Not relevant: <input type="checkbox"/></p> <p>Comments: The revenues from fees are transmitted to core State budget. Wildlife conservation is mainly financed through core State budget.</p>	Tick if applicable
12	Please provide details of any additional measures taken:	

#### D6 Capacity building

1	<p>Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?</p> <p>Increased budget for activities <input type="checkbox"/></p> <p>Hiring of more staff <input type="checkbox"/></p> <p>Development of implementation tools <input checked="" type="checkbox"/></p> <p>– Other (specify) <input type="checkbox"/></p>	<p>Improvement of national networks <input checked="" type="checkbox"/></p> <p>Purchase of technical equipment for monitoring/enforcement <input type="checkbox"/></p> <p>Computerisation <input checked="" type="checkbox"/></p>	Tick if applicable
---	---	---	--------------------

2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						What were the external sources?
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						Details
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	<p>If Yes, which agencies are represented and how often does it meet?</p> <p>Since 2002, a special inter-sectoral Committee for the Prevention of Illegal Wildlife Trade has been operating in Slovenia. It was established by a decision of the ministers for the environment and spatial planning, for internal affairs and for finance, pursuant to Article 49 of the <i>Public Administration Act</i> (Official Gazette of RS Nrs. 67/94, 20/95, 29/95, 80/99, 52/02, 56/02). The Committee consists of permanent members from the Criminal Police Directorate (Interpol Central Bureau for Slovenia), the General Customs Directorate (Investigation Division) and the Ministry of the Environment and Physical planning/Environmental Agency. Its objective is concerted action in</p>		

	<p>the prevention and control of illegal trade in endangered animal and plant species. The duties of the Committee are to collect data and exchange information on illegal activities related to trade in endangered species, to prepare administrative and other measures, to organise joint actions aimed at the detection of illegal activities and to offer technical assistance in investigations. The chair of the Committee is responsible for coordinating its work. For the realization of certain tasks other officials from relevant ministries may be appointed. The Committee may ask relevant divisions of ministries for assistance in particular task. The Committee is obliged to report to the relevant ministers on its activities every six months.</p> <p>Regular meetings between the Management and the Scientific Authorities take place at least once per month.</p>																								
3	<p>If No, please indicated the frequency of meetings or consultations used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):</p> <table border="1"> <thead> <tr> <th></th> <th>Daily</th> <th>Weekly</th> <th>Monthly</th> <th>Annually</th> <th>None</th> <th>No information</th> <th>Other (specify)</th> </tr> </thead> <tbody> <tr> <td>Meetings</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Consultations</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Daily	Weekly	Monthly	Annually	None	No information	Other (specify)																		
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Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																			
4	<p>At the national level have there been any efforts to collaborate with:</p> <table border="1"> <thead> <tr> <th></th> <th>Tick if applicable</th> <th>Details if available</th> </tr> </thead> <tbody> <tr> <td>Agencies for development and trade</td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Provincial, state or territorial authorities</td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Local authorities or communities</td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Indigenous peoples</td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Trade or other private sector associations</td> <td><input checked="" type="checkbox"/></td> <td></td> </tr> <tr> <td>NGOs</td> <td><input checked="" type="checkbox"/></td> <td></td> </tr> <tr> <td>Other (specify)</td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>		Tick if applicable	Details if available	Agencies for development and trade	<input type="checkbox"/>		Provincial, state or territorial authorities	<input type="checkbox"/>		Local authorities or communities	<input type="checkbox"/>		Indigenous peoples	<input type="checkbox"/>		Trade or other private sector associations	<input checked="" type="checkbox"/>		NGOs	<input checked="" type="checkbox"/>		Other (specify)	<input type="checkbox"/>	
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Other (specify)	<input type="checkbox"/>																								
5	<p>To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies? Tick if applicable</p> <table border="1"> <tbody> <tr> <td>SA</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Customs</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Police</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Other border authorities (specify)</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other government agencies</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Private sector bodies</td> <td><input type="checkbox"/></td> </tr> <tr> <td>NGOs</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	SA	<input checked="" type="checkbox"/>	Customs	<input checked="" type="checkbox"/>	Police	<input checked="" type="checkbox"/>	Other border authorities (specify)	<input type="checkbox"/>	Other government agencies	<input type="checkbox"/>	Private sector bodies	<input type="checkbox"/>	NGOs	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>								
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NGOs	<input type="checkbox"/>																								
Other (specify)	<input type="checkbox"/>																								
6	<p>Has your country participated in any regional activities related to CITES? Tick if applicable</p> <table border="1"> <tbody> <tr> <td>Workshops</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Meetings</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	Workshops	<input checked="" type="checkbox"/>	Meetings	<input checked="" type="checkbox"/>	Other (specify)	<input type="checkbox"/>																		
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Other (specify)	<input type="checkbox"/>																								
7	<p>Has your country encouraged any non-Party to accede to the Convention?</p> <table border="1"> <tbody> <tr> <td>Yes</td> <td><input type="checkbox"/></td> </tr> <tr> <td>No</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>No information</td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>	No information	<input type="checkbox"/>																		
Yes	<input type="checkbox"/>																								
No	<input checked="" type="checkbox"/>																								
No information	<input type="checkbox"/>																								
8	<p>If Yes, which one(s) and in what way?</p>																								

9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided? Training assistance for the south-east European countries: Croatia.	
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
12	If Yes, please give a brief description.	
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
14	If Yes, please give a brief description. Regular meetings are held between MA, SA and enforcement authorities regarding CITES activities. Focal points responsible for multilateral environmental agreements working at the Ministry of the environment and spatial planning are cooperating and information on ongoing activities within each agreement is shared at the working meetings.	
15	Please provide details of any additional measures taken:	

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>		
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>		
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>		
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed ANNEXES II,III, IV	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited ANNEXES II,III, IV	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions ANNEXES II,III, IV	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions ANNEXES II,III, IV	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments <a href="http://www.arso.gov.si/en/nature/reports%20and%20publications/">http://www.arso.gov.si/en/nature/reports%20and%20publications/</a>	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify) Commercial activities, breeding	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>			
	Please provide details if available:						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

9b Please provide the following details about Regulations-related violations:

i) Maximum penalties that may be imposed;

**Penal provisions**

PENAL PROVISIONS

In the reporting period new legislation has been accepted regarding the criminal code. **Penal Code of the Republic of Slovenia** (Ur. l. RS, No. 95/2004) has been replaced by the **Criminal code** (Ur. l. RS, No. 55/2008). The new act came in force only in November 2008 therefore most penalties appointed in this period are based on the later replaced Penal Code of the Republic of Slovenia.

PENAL CODE OF THE REPUBLIC OF SLOVENIA (UR. L. RS, NO. 95/2004)

The *Penal Code* stipulates an imprisonment of up to three years for an import or export of endangered plant or animal species contrary to international law. The same applies to illegal hunting of wild animals. In exceptional cases the perpetrator may be sentenced to imprisonment of up to five years. A fine and an imprisonment of up to five years are stipulated for a person or criminal organisation avoiding customs control while moving goods across the customs line. Art. 255 lays down that the goods involved in such offence are confiscated.

Table 1: Penalties for violations related to wildlife trade and related activities according to Penal Code of the Republic of Slovenia.

Criminal offence	Sanction	Article
Whoever, without the permission of the agency responsible, exports animal or plant of endangered species to a foreign country or imports it contrary to the provisions of international law	A fine or imprisonment of 3 years (5 in exceptional cases) and the confiscation of goods	222
Whoever is engaged in the transportation of goods across the customs line, thereby avoiding customs control measures ....	A fine or imprisonment of up to 1 year and the confiscation of goods	255
Cruelty to animals	A fine or imprisonment of up to 3 months	342
Whoever without permission or other authorisation hunts or kills a wild animal or traps it alive	A fine or imprisonment of 6 months up to 1 year	343

THE CRIMINAL CODE (UR. L. RS, NO. 55/2008)

The *criminal code* stipulates an imprisonment of up to five years for illegal possession, taking, harming, killing, export, import or trade of endangered plant or animal species, specimens or their parts or derivatives.

A fine and an imprisonment from six months up to ten years is stipulated if the item is of great or exceptional importance for nature protection or if this act is performed within a criminal organisation.

Table 1: Penalties for violations related to wildlife trade and related activities according to The criminal code.

Criminal offence	Sanction	Article
Illegal possession, taking, harming, killing, export, import or trade of endangered plant or animal species, specimens or their parts or derivatives	An imprisonment up to 5 years (6 month to 10 years in exceptional cases)	344
Whoever is engaged in the transportation of goods <sup>19</sup> that are valuable natural features across the customs line without a permit from relevant authority	An imprisonment of up to 3 years	218

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: Maximum sanctions which have been imposed over this reporting period; 2 years imprisonment and 5 years probation	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
Comments:		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
Comments: Since 2002 a special inter-sectoral Committee for the prevention of illegal wildlife trade has been operating in Slovenia. Its objective is concerted action in the prevention and control of illegal trade in endangered animal and plant species.		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
Comments: Some specific tools (e.g. microchip readers, deep freezers, species ID manuals) have been provided to the border inspection points by the Management Authority (MA). Frontline officers can also send an e-mail with digital photograph of specimens to the MA for confirmation.		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
Comments:		

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II.d.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: Training seminars on CITES for customs personnel and environmental inspectors are provided on regular basis.			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II.g.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.h.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.i.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: For confiscated live animals, a Rescue centre for wild animals has been established. For confiscated plants case by case agreements are arranged with different primarily non-commercial institutions (e.g. Botanical garden in Ljubljana, Arboretum Volčji potok). Decree on the course of conduct and protection measures in the trade in animal and plant species defines solutions for long term rehoming of confiscated specimens following recommendations of CITES Resolution 10.7.			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.e.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.j.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
	No information						

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	5
	Indicate any difficulties that rendered attendance to the SRG difficult:		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species	<input checked="" type="checkbox"/>	
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>	
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees.	No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : ....%	
	In 2007 78% of issued documents returned endorsed by the customs and in 2008 67%. For some of the issued documents there might not be actual trade performed.	No information	<input type="checkbox"/>
			<input type="checkbox"/>

14 new	<p>Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i>?</p> <p>If yes, please attach.</p> <p>(1) – Places of import (2) – Places of export LA - Live animals LP – Live plants PD – Parts and derivatives ** - Not for import of parts and derivatives of animals and plants that need veterinary and phytosanitary border control according to annex of the Commission Decision 2007/275/EC (without veterinary and phytosanitary checks)</p> <p>Border crossing point:</p> <p>Brnik (airport) (1) (2) LA, LP, PD Gruškovje (1) (2) LA, LP, PD Jelšane (1) (2) LA, LP, PD Obrežje (1) (2) LA, LP, PD Dobova (rail port) (1) (2) LA, LP, PD Koper (ship port) (1) (2) LA, LP, PD Bistrica ob Sotli (1) (2) - - PD ** Rogatec – Dobovec (1) (2) - - PD ** Dragonja (1) (2) - - PD ** Sečovelje (1) (2) - - PD ** Sočerga (1) (2) - - PD ** Metlika (1) (2) - - PD ** Pošta Ljubljana (1) (2) - - PD ** Petrina (1) (2) - - PD ** Letališče Maribor (1) (2) - - PD ** Zavrč (1) (2) - - PD ** Petišovci (1) (2) - - PD ** Središče ob Dravi (1) (2) - - PD ** Starod (1) (2) - - PD ** Ilirska Bistrica - Babno polje (1) (2) - - PD **</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
15 new	<p>Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006?</p> <p>If yes, please provide details.</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
16 new	<p>Have scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006?</p> <p>If yes, please provide details.</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
17 new	<p>Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006?</p> <p>If yes, please provide details.</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
18 new	<p>Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006?</p> <p>If yes, please provide details.</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>

19 new	<p>Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006?</p> <p>Article 17, para 1a – No Article 17, para 1b - Yes</p> <p>If yes, please provide details.</p> <p>In the case of artificially propagated plants of the species listed in Annexes B and C to Regulation (EC) No 338/97 and of artificially propagated hybrids produced from the unannotated species listed in Annex A, Slovenia accept phytosanitary certificates issued by third countries instead of an export permit, but do not issue phytosanitary certificate instead of an export permit.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
20 new	<p>Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006?</p> <p>If yes, please provide details.</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>

**D8 Areas for future work**

2b	<p>Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
4b	<p>Have any constraints to implementation of the Regulation, not reported under question D8 (4), arisen in your country requiring attention or assistance?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>

# SPAIN

# **INFORME BIENAL CITES ESPAÑA 2007 – 2008**

## **PARTE 1.**

**Cuestionario cumplimentado según el modelo distribuido mediante Notificación a las Partes  
de la Secretaría CITES nº 2005/035 de 6 de julio de 2005**

### A. Información general

Parte	ESPAÑA
Periodo comprendido en este informe:	<b>1 de enero de 2007 al 31 de diciembre de 2008</b>
Información del organismo encargado de preparar este informe	<p><i>Ministerio de Industria, Turismo y Comercio.</i>  <b>AUTORIDAD ADMINISTRATIVA CITES – ESPAÑA</b>  <i>Organo de Gestión Principal con arreglo a la letra a) del apartado 1 del artículo 13 del Reglamento (CE) nº 338/97</i>  <i>Secretaría General de Comercio Exterior</i>  <i>Subdirección General de Inspección, Certificación y Asistencia Técnica de Comercio Exterior</i>  <i>Paseo de la Castellana, nº 162, 6ª planta</i>  <i>28071 Madrid</i>  <i>España</i>  <i>Teléfono: + 34 91 349 37 71</i>  <i>Telefax: + 34 91 349 37 77</i>  <i>Correo electrónico: <a href="mailto:cites.sccc@mcx.es">cites.sccc@mcx.es</a></i></p>
Organismos, organizaciones o individuos que aportan contribuciones	<p><i>1. Ministerio de Economía y Hacienda</i>  <i>Departamento de Aduanas e Impuestos Especiales</i>  <b>AUTORIDAD ADMINISTRATIVA CITES – ESPAÑA</b>  <i>Organo de Gestión adicional son arreglo a la letra b) del apartado 1 del artículo 13 del Reglamento (CE) nº 338/97</i>  <i>Subdirección General de Gestión Aduanera</i>  <i>Avda de Llano Castellano, nº 17</i>  <i>28071 Madrid</i>  <i>Teléfono: + 34 91 728 94 50</i>  <i>Telefax: + 34 91 358 47 21</i>  <i>Correo electrónico: <a href="mailto:gesadu@aeat.es">gesadu@aeat.es</a> y <a href="mailto:aduanas-ie@aeat.es">aduanas-ie@aeat.es</a></i></p> <p><i>2. Ministerio de Medio Ambiente y Medio Rural y Marino.</i>  <i>Dirección General de Medio Natural y Política Forestal.</i>  <b>AUTORIDAD CIENTIFICA CITES – ESPAÑA</b>  <i>Subdirección General de Biodiversidad</i>  <i>C/ Rios Rosas, 24</i></p>

	<p>28071 Madrid España Teléfono: + 34 91 749 36 14 Telefax: + 34 91 749 38 73</p> <p>3. Jefatura del Servicio de Protección de la Naturaleza (SEPRONA) AUTORIDAD DE OBSERVANCIA Dirección General de la Guardia Civil C/ Batalla del Salado nº 32 28071 Madrid España Teléfono: + 34 91 514 24 20 / 23 Telefax: + 34 91 514 24 26 Correo electrónico: <a href="mailto:dg-seprona-jefatura@guardiacivil.org">dg-seprona-jefatura@guardiacivil.org</a></p>

### B. Medidas legislativas y de fiscalización

1	<p>¿Se ha proporcionado ya información sobre la legislación CITES en el marco del Proyecto de legislación nacional CITES? En caso afirmativo, ignore las preguntas 2, 3 y 4.</p>	<p>Sí (completamente) <input checked="" type="checkbox"/> Sí (parcialmente) <input type="checkbox"/> No <input type="checkbox"/> Sin información/se desconoce</p>
2	<p>Si se ha previsto, redactado o sancionado alguna legislación relevante para la CITES, proporcione la siguiente información:</p> <p>Título: <i>Ley 32/2007 de 7 de noviembre, para el cuidado de los animales, en su explotación, transporte, experimentación y sacrificio (publicado en el BOE el 07/11/2007).</i></p> <p>Estado: <i>Aprobada y publicada</i></p> <p>Descripción somera del contenido: <i>La disposición adicional segunda introduce por primera vez el cobro de una tasa por la emisión de documentos CITES.</i></p> <p><i>Resolución de 18 de julio de 2008, de la Subsecretaría, por la que se incorpora un nuevo procedimiento al Registro Telemático del Departamento (publicado en el BOE nº 187 de 04/08/2008)</i></p> <p>Estado: <i>Aprobada y publicada</i></p> <p>Descripción somera del contenido: <i>Establece la posibilidad de solicitar un documento CITES vía telemática.</i></p> <p><i>Reglamento (CE) nº 100/2008 de la Comisión, de 4 de febrero de 2008, por el que se modifica, en lo</i></p> <p>Estado: <i>Aprobado y publicado</i></p>	

	relativo a las colecciones de muestras y determinadas formalidades relacionadas con el comercio internacional de especies amenazadas de fauna y flora silvestres, el Reglamento (CE) nº 865/2006 por el que se establecen disposiciones de aplicación del Reglamento (CE) nº 338/97. (publicado en el <i>Diario Oficial de la Unión Europea</i> , serie L, nº 31 de 05/02/2008)	
	Descripción somera del contenido: <i>modifica normativa anterior para incorporar ciertas enmiendas aprobadas en las últimas Conferencias de las Partes CITES.</i>	
	<ul style="list-style-type: none"> <li>• <b>Reglamento (CE) nº 811/2008 de la Comisión</b>, de 13 de agosto de 2008, por el que se suspende la introducción en la Comunidad de especímenes de determinadas especies de fauna y flora silvestres (publicado en el <i>Diario Oficial de la Unión Europea</i>, serie L nº 219 de 14/08/2008)</li> </ul>	Estado: <i>Derogada y reemplazada en el año 2009 por el Reglamento (CE) nº 359/2009 (Diario Oficial de la Unión Europea serie L nº 110 de 01/05/2009)</i>
	Descripción somera del contenido: <i>hace públicas una serie de restricciones por las cuales se limita la concesión de permisos de importación para ciertas especies.</i>	
	<ul style="list-style-type: none"> <li>• <b>Comunicación 2008/C 72/02</b> Lugares de introducción y de exportación designados por los Estados Miembros para el comercio intracomunitario con terceros países con arreglo al artículo VIII, apartado 3, de la Convención sobre el Comercio Internacional de especies amenazadas de fauna y flora silvestres y mencionados en el artículo 12 del Reglamento (CE) nº 338/97 del Consejo, de 9 de diciembre de 1996, relativo a la protección de especies de la fauna y flora silvestres mediante el control de su comercio (publicado en el <i>Diario Oficial de la Unión Europea</i>, serie C, nº 72, de 18/03/2008)</li> </ul>	Estado: <i>aprobado y publicado</i>
	Descripción somera del contenido: <i>hace públicas la lista de puntos de introducción y exportación autorizados para especímenes CITES</i>	
	<ul style="list-style-type: none"> <li>• <b>Ley 42/2007</b>, de 13 de diciembre, del Patrimonio Natural y de la Biodiversidad. (publicada en el BOE el 14/12/2007)</li> </ul>	Estado: <i>aprobada y publicada</i>
	Descripción somera del contenido: <i>establece disposiciones nacionales relativas a la fauna y flora autóctona (en particular el artículo 69).</i>	
3	¿Está la legislación promulgada disponible en uno de los idiomas de trabajo de la Convención?	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
4	En caso afirmativo, adjunte una copia del texto completo de la legislación o de las principales disposiciones legislativas que hayan sido publicadas en el boletín oficial.	Legislación adjunta <input checked="" type="checkbox"/> Presentada previamente <input type="checkbox"/> No disponible, se remitirá ulteriormente <input type="checkbox"/>

5	¿Cuáles de las siguientes cuestiones se abordan mediante medidas internas más estrictas adoptadas para las especies incluidas en la CITES (en virtud del Artículo XIV de la Convención)?	Marque todas las que correspondan						
		Las condiciones para:			La completa prohibición de:			
		Cuestión	Sí	No	Sin información	Sí	No	Sin información
		Comercio	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		Captura	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		Posesión	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		Otro (especifique)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Comentarios adicionales <i>El comercio (importación y exportación) y transporte se regula de manera más estricta en el ámbito de la Unión Europea mediante lo dispuesto en el Reglamento (CE) nº 338/97. Para algunas especies autóctonas, las Comunidades Autónomas poseen regulaciones específicas más estrictas en cuanto a su captura y posesión.</i>								
6	¿Cuáles han sido los resultados de cualquier examen o evaluación de la eficacia de la legislación CITES, en relación con lo siguiente?	Marque todas las que correspondan						
		Punto	Adecuado	Parcialmente inadecuado	Inadecuado	Sin información		
		Poderes de las autoridades CITES	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
		Claridad de las obligaciones legales	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
		Control del comercio CITES	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
		Compatibilidad con la política existente sobre la gestión y el uso de la vida silvestre	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
		Todos los tipos de delitos están previstos en la ley	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
		Todos los tipos de sanciones están previstas en la ley	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
		Reglamentaciones de ejecución	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
		Otro (especifique):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Proporcione información, según proceda:								
7	Si no se ha efectuado un examen o evaluación, ¿se ha previsto para el próximo periodo de presentación de informes?	Sí	<input type="checkbox"/>					
		No	<input type="checkbox"/>					
Sin información		<input checked="" type="checkbox"/>						
Proporcione información, según proceda:								
8	¿Se ha realizado un examen de algunos aspectos de la legislación en relación con la aplicación de la Convención?	Marque todas las que correspondan						
		Aspecto	Sí	No	Sin información			
Acceso a los recursos naturales o posesión de ellos		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>				

	Recolección	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Transporte de especímenes vivos	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Acondicionamiento y alojamiento de especímenes vivos	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Proporcione información, según proceda:			
9	Proporcione información sobre cualquier medida adicional que se haya adoptado			

### C. Medidas de cumplimiento y observancia

		Sí	No	Sin información
1	¿Se ha efectuado alguna de las siguientes operaciones de control del cumplimiento?			
	Examen de los informes u otra información proporcionada por los comerciantes y productores	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspecciones de los comerciantes, productores, mercados	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Controles fronterizos	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Otro (especifique): <i>No se ha investigado ningún sector concreto.</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2	¿Se ha impuesto alguna medida administrativa (p.ej., multas, prohibiciones, suspensiones) para las violaciones relacionadas con la CITES?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	<p>En caso afirmativo, ¿Cuántas y para qué tipo de violaciones? Si es posible, adjunte pormenores.</p> <p><i>Se han producido varias sanciones, la mayoría infracciones administrativas de contrabando, algunas tramitadas como delito de contrabando, cuyos detalles se facilitan en anexo adjunto.</i></p> <p><i>El número de expedientes abiertos por infracción administrativa de contrabando en el año 2007 fue de 286, y en el año 2008 fue de 420. Algunos casos (cerca de 140 en el 2007 y cerca de 260 en el 2008) fueron abiertos por pretender introducir especímenes CITES en el país sin la documentación CITES exigible. El resto fueron expedientes abiertos por infracciones observadas en el comercio interior, tal como la puesta en venta de especímenes sin acreditar debidamente su origen legal.</i></p> <p><i>El número de actuaciones judiciales en el 2007 fue de 16, y en el 2008 de 22, unas por supuesta infracción al Código Penal y otras como supuesto delito de contrabando.</i></p>			
4	¿Se ha efectuado alguna incautación, confiscación o decomiso importante de especímenes CITES?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<p><i>En una Aduana fronteriza se intervinieron 125 camaleones (<i>Chamaeleo chamaeleon</i>) muertos y desecados que llevaba consigo un viajero en el interior de una maleta para uso medicinal según declaración. Debido a que el valor de la mercancía superaba los 18.000 euros, el caso se trasladó a la vía judicial para su sanción.</i></p>			

5	Si se dispone de información: <input type="checkbox"/> Decomisos/confiscaciones importantes <input checked="" type="checkbox"/> Total de decomisos/confiscaciones En la medida de lo posible, especifique por grupo de especies o adjunte pormenores.	Número  724		
6	¿Se ha entablado alguna acción penal por violaciones importantes relacionadas con la CITES?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7	En caso afirmativo, ¿Cuántas y por qué tipo de violaciones? Si es posible, adjunte pormenores en Anexo.			
8	¿Se ha tomado alguna otra medida judicial por violaciones relacionadas con la CITES?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	En caso afirmativo, ¿Qué tipo de violaciones y cuáles fueron los resultados? Si es posible, adjunte pormenores en Anexo.  <i>Se han realizado un total de 38 acciones judiciales, unos por supuesta infracción al Código Penal y otros por supuesto delito de contrabando, cuyos resultados al día de hoy no se conocen.</i>			
10	¿De qué modo se dispuso normalmente de los especímenes confiscados?	Marque si se aplica		
	– Devolución al país de exportación			<input type="checkbox"/>
	– Zoos públicos o jardines botánicos			<input checked="" type="checkbox"/>
	– Centros de rescate elegidos			<input type="checkbox"/>
	– Instalaciones privadas aprobadas			<input checked="" type="checkbox"/>
	– Eutanasia			<input type="checkbox"/>
	– Otro (especifique)			<input type="checkbox"/>
	Comentarios:			
11	¿Se ha proporcionado información detallada a la Secretaría sobre casos significativos de comercio ilegal (p.ej., mediante un ECOMENSAJE u otros medios), o información sobre comerciantes ilegales condenados o delincuentes reincidentes?	Sí	No	No se aplica
				Sin información
	Comentarios: <i>Se han enviado formularios ETIS cumplimentados.</i>			
12	¿Se han realizado actividades conjuntas de observancia con otros países (p.ej., intercambio de información confidencial, apoyo técnico, asistencia en la investigación, operación mixta, etc.)?	Sí	No	Sin información
13	En caso afirmativo, describa someramente:  <i>Información entre Autoridades Administrativas CITES sobre incautaciones a ciudadanos o empresas de otros países. En julio de 2008 se celebró en la Antigua (Guatemala) una reunión de mandos de unidades medioambientales de países iberoamericanos organizada por la Agencia Española de Cooperación Internacional y la Secretaría de Estado de Seguridad. Uno de los temas de debate fundamental y que</i>			

	<i>supuso la recomendación de que se desarrollase como aspecto principal en la siguiente reunión fue el correspondiente al estudio de la aplicación de la normativa CITES.</i>		
14	¿Se ha ofrecido algún incentivo a las comunidades locales para que participen en la observancia de la legislación CITES y que haya resultado en la detención y condena de los culpables?	Sí <input type="checkbox"/> No <input checked="" type="checkbox"/> Sin información <input type="checkbox"/>	
15	En caso afirmativo, describa:		
16	¿Se ha realizado algún examen o evaluación de la observancia relacionada con la CITES?	Sí <input type="checkbox"/> No <input type="checkbox"/> No se aplica <input type="checkbox"/> Sin información <input checked="" type="checkbox"/>	
	Comentarios:		
17	Proporcione información sobre cualquier medida adicional que se haya adoptado		

#### D. Medidas administrativas

##### D1 Autoridad Administrativa (AA)

1	¿Ha habido algún cambio en la designación o información relativa a la AA que aún no se ha reflejado en la Guía CITES?	Sí <input type="checkbox"/> No <input checked="" type="checkbox"/> Sin información <input type="checkbox"/>	
2	En caso afirmativo, comunique esos cambios en este apartado.		
3	¿Hay más de una AA en su país y se ha designado una AA principal?	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>	

4	<p>En caso afirmativo, indique el nombre de esa AA y si se deja constancia de ello en la Guía CITES.</p> <p><u>La Autoridad Administrativa CITES principal es:</u></p> <p><i>Ministerio de Industria, Turismo y Comercio.</i>  <i>AUTORIDAD ADMINISTRATIVA CITES – ESPAÑA</i>  <i>Organo de Gestión Principal con arreglo a la letra a) del apartado 1 del artículo 13 del Reglamento (CE) nº 338/97</i>  <i>Secretaría General de Comercio Exterior</i>  <i>Subdirección General de Inspección, Certificación y Asistencia Técnica de Comercio Exterior</i>  <i>Paseo de la Castellana, nº 162, 6ª planta</i>  <i>28071 Madrid</i>  <i>España</i>  <i>Teléfono: + 34 91 349 37 71</i>  <i>Telefax: + 34 91 349 37 77</i>  <i>Correo electrónico: <a href="mailto:cites.sccc@mcx.es">cites.sccc@mcx.es</a></i></p> <p><u>La Autoridad Administrativa CITES adicional es:</u></p> <p><i>Ministerio de Economía y Hacienda</i>  <i>Departamento de Aduanas e Impuestos Especiales</i>  <i>AUTORIDAD ADMINISTRATIVA CITES – ESPAÑA</i>  <i>Organo de Gestión adicional son arreglo a la letra b) del apartado 1 del artículo 13 del Reglamento (CE) nº 338/97</i>  <i>Subdirección General de Gestión Aduanera</i>  <i>Avda de Llano Castellano, nº 17</i>  <i>28071 Madrid</i>  <i>Teléfono: + 34 91 728 94 50</i>  <i>Telefax: + 34 91 358 47 21</i>  <i>Correo electrónico: <a href="mailto:gesadu@aeat.es">gesadu@aeat.es</a> y <a href="mailto:aduanas-ie@aeat.es">aduanas-ie@aeat.es</a></i></p> <p><i>En la Guía no se especifica cuál es la Autoridad Administrativa CITES principal y cuál la adicional.</i></p>
5	<p>¿Cuántas personas trabajan en la AA?</p> <p><i>30 personas, de ellas 10 trabajan en los servicios centrales de la Autoridad Administrativa CITES principal (Subdirección General de Inspección, Certificación y Asistencia Técnica del Comercio Exterior) y 20 trabajan en las oficinas periféricas (otras autoridades competentes para emitir permisos).</i></p>

6	¿Puede estimar el porcentaje de tiempo que han dedicado a cuestiones relacionadas con la CITES?  En caso afirmativo, haga una estimación. <i>En los servicios centrales de la Autoridad Administrativa CITES principal, se dedica el 100% del tiempo. En los servicios periféricos el porcentaje es variable.</i>	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	¿Cuáles son los conocimientos técnicos del personal de la AA? – Administración – Biología – Economía/comercio – Legislación/política – Otro (especifique) <i>Ingeniería Agrónoma, Ingeniería Técnica Agrícola y Veterinaria.</i> – Sin información	Marque si se aplica	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	¿Las AA(s) han emprendido o apoyado actividades de investigación relacionadas con especies CITES o cuestiones técnicas (p.ej. etiquetas, precintos, identificación de especies) distintas a las enunciadas en D2(8) y D2(9)?	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
9	En caso afirmativo, indique el nombre de las especies y proporcione pormenores sobre el tipo de investigación. <i>Se ha ampliado la colaboración con museos y universidades para identificación de especies maderables y se mantiene la colaboración en la identificación de otras especies.</i>		
10	Proporcione información sobre cualquier medida adicional que se haya adoptado		

## D2 Autoridad Científica (AC)

1	¿Ha habido algún cambio en la información relativa a la AC que aún no se ha reflejado en la Guía CITES?	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	En caso afirmativo, comunique esos cambios en este apartado.		
3	¿Se ha designado una AC independiente de la AA?	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	¿Cuál es la estructura de la AC? – Institución gubernamental – Institución académica o de investigación – Comité permanente – Grupo de individuos con cierta experiencia – Otro (especifique)	Marque si se aplica	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5	¿Cuántas personas en la AC trabajan en cuestiones relacionadas con la CITES? <i>Cuatro (4) personas en la Autoridad Científica trabajan en cuestiones relacionadas con la CITES</i>		

6	¿Puede estimar el porcentaje de tiempo que ha dedicado a cuestiones relacionadas con la CITES?  En caso afirmativo, haga una estimación. <i>Un 75% del tiempo.</i>	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>				
7	¿Cuáles son los conocimientos técnicos del personal de la AC? – Botánica – Ecología – Pesca – Bosques – Protección – Zoología – Otro (especifique) – Sin información	Marque si se aplica	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>				
8	¿Ha emprendido su AC alguna actividad de investigación en relación con las especies CITES?	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>				
9	En caso afirmativo, indique el nombre de la especie y el tipo de investigación.						
	Nombre de la especie	Poblaciones	Distribución	Extracción	Comercio legal	Comercio ilegal	Otro (especifique)
	1						
	2						
	3						
	etc.						
						Sin información	<input type="checkbox"/>
10	¿Se ha presentado algún proyecto de propuesta de investigación científica a la Secretaría con arreglo a la Resolución Conf. 12.2?	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>				
11	Proporcione información sobre cualquier medida adicional que se haya adoptado						

### D3 Autoridades de observancia

1	¿Se ha informado a la Secretaría acerca de autoridades de observancia que hayan sido designadas para recibir información confidencial sobre observancia relacionada con la CITES?	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
2	En caso negativo, desígnelas en este apartado (con la dirección, teléfono, fax y correo electrónico).	
3	¿Hay una unidad especializada responsable de la observancia relacionada con la CITES (p.ej. en el departamento de vida silvestre, aduanas, policía, oficina del fiscal)?	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> En estudio <input type="checkbox"/> Sin información <input type="checkbox"/>
4	En caso afirmativo, indique el nombre del organismo principal de observancia: <i>Servicio de Protección de la Naturaleza (SEPRONA) de la Guardia Civil.</i>	
5	Proporcione información sobre cualquier medida adicional que se haya adoptado	

### D4 Comunicación, información, gestión e intercambio

1	¿En qué medida está informatizada la información CITES?	Marque si se aplica					
	- Control y presentación de datos sobre el comercio legal	<input checked="" type="checkbox"/>					
	- Control y presentación de datos sobre el comercio ilegal	<input checked="" type="checkbox"/>					
	- Expedición de permisos	<input checked="" type="checkbox"/>					
	- En modo alguno	<input type="checkbox"/>					
	- Otro (especifique): <i>Bases de datos sobre especies, sus grados de protección, sus áreas de distribución, etc.</i>	<input checked="" type="checkbox"/>					
2	¿Tienen las autoridades siguientes acceso a Internet?	Marque si se aplica					
	Autoridad	Sí, acceso continuo y sin restricciones	Sí, pero solo mediante una conexión conmutada	Sí, pero solo a través de una oficina diferente	Solo algunas oficinas	En modo alguno	Proporcione información, según proceda
	Autoridad Administrativa	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Autoridad Científica	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Autoridad de observancia	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	¿Hay un sistema de información electrónico que le proporciona información sobre las especies CITES?	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>		
4	En caso afirmativo, proporciona información sobre: – Legislación (nacional, regional o internacional) <input checked="" type="checkbox"/> – Situación de la conservación (nacional, regional, internacional) <input type="checkbox"/> – Otro (especifique): <i>áreas de distribución, nivel de protección, restricciones de importación adoptadas por la Unión Europea (apartado 6 del artículo 4 del Reglamento (CE) nº 338/97), informes negativos o positivos del Grupo de Revisión Científica y de la Autoridad Científica CITES española (artículos 4.1.a)i) y 4.2.a) del Reglamento (CE) nº 338/97, etc.</i> <input checked="" type="checkbox"/>	Marque si se aplica		
5	¿Está disponible a través de Internet?  Proporcione el URL:	Sí <input type="checkbox"/> No <input checked="" type="checkbox"/> No se aplica <input type="checkbox"/> Sin información <input type="checkbox"/>		
6	¿Tienen las autoridades mencionadas a continuación acceso a las siguientes publicaciones?	Marque si se aplica		
	Publicación	Autoridad Administrativa <input type="checkbox"/>	Autoridad Científica <input type="checkbox"/>	Autoridad de observancia <input type="checkbox"/>
	<i>Lista de Especies CITES 2003 (libro) y edición de 2008</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>Lista de Especies CITES y Apéndices Anotados 2003 (CD-ROM) y edición de 2008</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>Manual de Identificación</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>Manual CITES</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
7	En caso negativo, ¿qué problemas se han encontrado para acceder a esta información?			
8	¿Las autoridades de observancia han comunicado a la Autoridad Administrativa información sobre? – Mortalidad en el transporte <input type="checkbox"/> – Decomisos y confiscaciones <input checked="" type="checkbox"/> – Discrepancias entre el número de artículos consignados en los permisos y los realmente comercializados <input type="checkbox"/>  <i>Comentarios: la información sobre la mortalidad en el transporte en los intercambios con países terceros es proporcionada por la Autoridad Administrativa CITES en sus informes Anuales, así como las cantidades realmente comercializadas. Este dato es facilitado por las Autoridades CITES que controlan los envíos y/o son consignados por las Autoridades Aduaneras fronterizas en los permisos CITES.</i>	Marque si se aplica		
9	¿Dispone el Gobierno de un Sitio web con información sobre la CITES y sus requisitos?	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/>		

		Sin información	<input type="checkbox"/>
	En caso afirmativo, indique el URL: <a href="http://www.cites.es">http://www.cites.es</a>		
10	¿Han participado las autoridades CITES en alguna de las siguientes actividades para lograr que el público en general tenga un acceso más fácil y una mayor comprensión de los requisitos de la Convención?	Marque si se aplica	
	– Comunicados de prensa/conferencias		<input checked="" type="checkbox"/>
	– Artículos en periódicos, entrevistas de radio/televisión		<input checked="" type="checkbox"/>
	<i>Varios en distintos medios escritos y radiofónicos</i>		
	– Folletos, prospectos		<input type="checkbox"/>
	– Presentaciones <i>El 13/11/2007 se imparte en las "III Jornadas Medioambientales", organizadas por la Guardia Civil y el Gobierno de Cantabria, la ponencia "Comercio ilegal de especies protegidas. España como punto de entrada", en Santander.</i>		<input checked="" type="checkbox"/>
	– <i>El 19/12/2007 se imparte en la "IV FASE INTERACADEMIAS" organizada por la Guardia Civil la ponencia "CITES: El comercio de especies protegidas".</i>		
	– Exhibiciones		<input type="checkbox"/>
	– Información en los puestos fronterizos		<input checked="" type="checkbox"/>
	– Teléfono rojo		<input type="checkbox"/>
	– Otro (especifique)		<input type="checkbox"/>
	Adjunte copias sobre cualquier punto.		
11	Proporcione información sobre cualquier medida adicional que se haya adoptado		

#### D5 Procedimientos en materia de permisos y registro

1	¿Ha comunicado previamente a la Secretaría algún cambio en el formato de los permisos o la designación o la firma de los oficiales autorizados para firmar los permisos/certificados CITES?	Sí	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No se aplica	<input type="checkbox"/>
		Sin información	<input type="checkbox"/>
	En caso negativo, proporcione información:		
	Cambios en el formato del permiso:		
	Cambios en la designación o firmas de los oficiales relevantes:		
2	¿Ha desarrollado su país procedimientos por escrito para lo siguiente?	Marque si se aplica	
		Sí	No
		Sin información	
	Expedición/aceptación de permisos	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Registro de comerciantes	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Registro de procedimientos	<input checked="" type="checkbox"/>	<input type="checkbox"/>

*Nota aclaratoria: en el Reglamento (CE) nº 338/97 del Consejo, de 9 de diciembre de 1996, relativo a la protección de especies de la fauna y flora silvestres mediante el control de su comercio, y en el Reglamento (CE) nº 865/2006 de la Comisión, de 4 de mayo de 2006, por el que se establecen disposiciones de aplicación del Reglamento anterior, están recogidos los procedimientos para la expedición y aceptación de permisos y registro de determinados establecimientos. Además, hemos desarrollado varios procedimientos escritos internos como el control de la cría en cautividad y la reproducción artificial.*

3	Indique cuantos documentos CITES se expidieron y denegaron en el periodo de dos años (Observe que algunas Partes comunican su comercio efectivo en el informe anual. Esta cuestión se refiere a los documentos expedidos).					
	Año 1	Importación o introducción procedente del mar	Exportación	Reexportación	Otro	Comentarios
	¿Cuántos documentos se expidieron?	3.715	642	2.472	314	
	¿Cuántas solicitudes se denegaron debido a omisiones graves o información errónea?	0	0	0	0	<i>Nº de denegaciones debidas a otras causas: Importación: 141 Exportación: 2 Reexportación: 1 Otros: 3</i>
	Año 2					
	¿Cuántos documentos se expidieron?	3.206	569	2.004	248	
	¿Cuántas solicitudes se denegaron debido a omisiones graves o información errónea?	0	0	0	0	<i>Nº de denegaciones debidas a otras causas: Importación: 55 Exportación: 2 Reexportación: 1 Otros: 1</i>
4	¿Se canceló o reemplazó algún documento CITES que había sido previamente expedido debido a omisiones graves o información errónea?				Sí	<input type="checkbox"/>
					No	<input checked="" type="checkbox"/>
					Sin información	<input type="checkbox"/>
5	En caso afirmativo, indique las razones.					

6	Indique las razones por las que rechazó documentos CITES de otros países.	Marque si se aplica		
	Razón	Sí	No	Sin información
	Violaciones técnicas	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Sospecha de fraude	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Base insuficiente para justificar que las extracciones no eran perjudiciales	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Base insuficiente para dictaminar la adquisición legal	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Otro (especifique)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<p><u><a href="#">POR RAZÓN DE LA LEGISLACION MÁS ESTRICTA DE LA UE</a></u></p> <ul style="list-style-type: none"> <li>- <i>Restricciones de importación adoptadas por la Unión Europea, como dictámenes desfavorables emitidos en relación con el artículo 4.2.a) del Reglamento (CE) nº 338/97 o restricciones de importación adoptados en aplicación de lo dispuesto en el apartado 6 del artículo 4 del Reglamento citado.</i></li> <li>- <i>No haber solicitado antes de la llegada de la mercancía el permiso de importación que exige la normativa comunitaria para importar especímenes de especies incluidas en los anexos A o B del Reglamento (CE) nº 338/97</i></li> <li>- <i>No ser posible autorizar el permiso de importación para un espécimen silvestre o nacido en cautividad (fuentes W o F) de una especie incluida en el anexo A cuando la finalidad es comercial, o cuando no satisface los fines previstos en el artículo 4.1 del Reg 338/97.</i></li> <li>- <u><a href="#">POR NO VALIDEZ DEL DOCUMENTO EXTRANJERO</a></u></li> <li>- <i>Las Autoridades Administrativas CITES de los países de origen han confirmado que el permiso es falso o ha sido falsificado</i></li> <li>- <i>Faltan datos esenciales en el permiso, tal como el país de destino, la firma, el sello, la estampilla de seguridad, etc</i></li> <li>- <i>Se trata de especímenes silvestres de especies que no son propias del área de distribución del país que autoriza su exportación</i></li> <li>- <u><a href="#">POR OTRAS DEFICIENCIAS DEL PERMISO</a></u></li> <li>- <i>El nombre científico de las especies no figura en las obras de referencia aprobadas por la Conferencia de las Partes</i></li> <li>- <i>Se desconoce el país de origen de un espécimen silvestre</i></li> <li>- <u><a href="#">POR INFRACCIONES A LA IMPORTACIÓN</a></u></li> <li>- <i>No aceptación de un permiso de exportación que</i></li> </ul>			

	<p><i>ha sido emitido después de que se exportara la mercancía, o que se aporta después de haberse introducido la mercancía irregularmente en el país</i></p> <ul style="list-style-type: none"> <li>- <u>POR MERCADO</u></li> <li>- <i>Los especímenes carecen de marcas cuando la marca es exigible por una Resolución de la Conferencia de las Partes</i></li> <li>- <i>La marca del espécimen no coincide con las normas de marcado que exige la Resolución de la Conferencia de las Partes correspondiente</i></li> <li>- <i>El tamaño de los especímenes es superior al tamaño autorizado a ser importado según el permiso de importación concedido</i></li> <li>- <u>EN RAZON AL PAIS INVOLUCRADO EN EL COMERCIO</u></li> <li>- <i>El país de destino que figura en el permiso de exportación no es España</i></li> <li>- <i>El país de origen del espécimen es uno de los países no Parte que no ha comunicado a la Secretaría CITES los datos de las Autoridades comparables a las Autoridades CITES</i></li> <li>- <i>Es aplicable una sanción comercial con ese país</i></li> <li>- <u>POR MOTIVO DE LOS CUPOS DE EXPORTACIÓN</u></li> <li>- <i>Empleo de cupos de exportación del año anterior, sin que el país de origen haya comunicado a Secretaría CITES que en ese año natural van a emplear remanentes del cupo del año anterior</i></li> <li>- <i>No aceptación del permiso de exportación por no reflejarse en él los datos relativos al uso de la cuota de exportación</i></li> <li>- <i>El consumo del cupo anual consignado en el permiso coincide con el consumo hecho constar en otro permiso</i></li> <li>- <u>OTROS</u></li> <li>- <i>No reconocimiento del carácter preconvenio de un espécimen debido a las diferentes fechas de adhesión del país exportador e importador</i></li> <li>- <i>Falta de correspondencia entre el peso del espécimen y el peso que figura en el permiso</i></li> <li>- <i>Caducidad del permiso extranjero acaecida antes de la llegada de la mercancía</i></li> </ul>	
7	<p>¿Se considera la captura y/o los cupos de exportación como un instrumento de gestión en el procedimiento de expedición de permisos?</p> <p>Comentarios.</p> <p><i>España no es país exportador de fauna y flora autóctona con fines comerciales.</i></p>	<p>Sí <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Sin información <input type="checkbox"/></p>

8	¿Cuántas veces se ha solicitado asesoramiento a la Autoridad Científica? <i>750 veces.</i>	
9	¿Ha cobrado derechos la AA por la expedición de permisos, registro o actividades relacionadas con la CITES?	Marque si se aplica
	– La expedición de documentos CITES	<input checked="" type="checkbox"/>
	– La concesión de licencias o el registro de establecimientos que producen especies CITES	<input type="checkbox"/>
	– La captura de especies incluidas en la CITES	<input type="checkbox"/>
	– La utilización de las especies incluidas en la CITES	<input type="checkbox"/>
	– La asignación de cupos para las especies incluidas en la CITES	<input type="checkbox"/>
	– La importación de las especies incluidas en la CITES	<input type="checkbox"/>
	<i>Se cobra tasa al solicitar los permisos de importación que exige la Unión Europea para poder importar especímenes de especies incluidas en los anexos A y B del Reglamento (CE) nº 338/97, así como al solicitar permisos de exportación o certificados de reexportación para exportar especímenes de especies incluidas en los anexos A, B y C del Reglamento (CE) nº 338/97.</i>	
	<i>También se cobra tasa al ser solicitado un Certificado de uso comunitario, de uso exclusivo dentro de la Unión Europea, a efectos de lo dispuesto en el apartado 3 del artículo 8 del Reglamento (CE) nº 338/97 y en el apartado 2 del artículo 9 del mismo Reglamento.</i>	
	– Otro (especifique)	<input type="checkbox"/>
10	En caso afirmativo, indique el monto de esos derechos. <i>La tasa es de:</i>	
	<i>- 20 € por permiso de importación, exportación o certificado de reexportación cuando estos contienen hasta 4 especies, que se incrementa en 5 euros más por especie adicional.</i>	
	<i>- 20 € por Certificado de uso comunitario.</i>	
	<i>- 30 € por Certificado de propiedad privada hasta 4 especies, que se incrementará en 5 € más por especie adicional.</i>	
	<i>- 10 € por Certificado de exhibición itinerante.</i>	
	<i>Quedan exentos del pago de la tasa los organismos instituciones oficiales pertenecientes a cualquiera de las Administraciones Públicas.</i>	
	<i>- El cobro de la tasa entró en vigor el 10 de diciembre de 2007, es decir, a mediados del periodo considerado en este informe.</i>	
11	¿Se han utilizado los ingresos para aplicar la CITES o en pro de la conservación de la vida silvestre?	Marque si se aplica
	– Completamente:	<input type="checkbox"/>
	– Parcialmente:	<input type="checkbox"/>
	– En modo alguno:	<input checked="" type="checkbox"/>
	– Sin importancia:	<input type="checkbox"/>

	Comentarios: <i>La legislación actual no permite la reutilización de los ingresos en programas específicos.</i>
12	Proporcione información sobre cualquier medida adicional que se haya adoptado

**D6 Fomento de capacidad**

1	¿Se ha realizado alguna de estas actividades para fomentar la eficacia de la aplicación de la CITES a escala nacional?	Marque si se aplica
	Aumentar el presupuesto para realizar actividades	<input checked="" type="checkbox"/> Mejorar las redes nacionales <input type="checkbox"/>
	Contratar más personal	<input checked="" type="checkbox"/> Adquirir equipo técnico para el control/observancia <input checked="" type="checkbox"/>
	Diseñar instrumentos de aplicación	<input type="checkbox"/> Informatizar la información <input checked="" type="checkbox"/>
	– Otro (especifique)	<input type="checkbox"/>

2

¿Han recibido las autoridades CITES alguna de las siguientes actividades de fomento de capacidad impartidas por fuentes externas o se han beneficiado de ellas?

Marque las casillas para indicar el grupo beneficiado y la actividad.	Asesoramiento u orientación oral o escrita	Asistencia técnica	Asistencia financiera	Formación	Otro (especifique)	¿Cuáles fueron las fuentes externas?
Grupo elegido						
Personal de la AA	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<p><i>Autoridades de diversos países colaborando con el VI y VII Master en Gestión, Acceso y Conservación de Especies en Comercio: el marco internacional, celebrado entre el 8 de enero y el 23 de marzo de 2007 (la VI edición) y entre el 7 de abril y el 20 de junio de 2008 (la VII edición), organizados por la Universidad Internacional Antonio Machado en Baeza, Jaen, España.</i></p>
Personal de la AC	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Personal de las autoridades de observancia

Diversos cursos organizados por la Guardia Civil destinados a formar oficiales especializados en delitos medioambientales y otras infracciones:

- del 15/01/2007 al 23/02/2007
- del 16 al 20/04/2007
- del 24/09 al 22/10/2007
- del 28/01 al 07/03/2007
- del 25/02 al 14/03/2009
- El 7 y 08/05/2008 impartido por personal de la Agencia Tributaria Británica, según gestión de la Royal Society for the Prevention of Cruelty Animals.
- del 15/09 al 3/10/2008
- del 6/10 al 21/11/08
- del 17/11 al 12/12/08
- del 9/12 al 19/12/08
- 3 días destinado a Jueces y Fiscales, organizado por la Oficina Nacional de la Fiscalía
- Una o dos sesiones de 1 día dirigido a autoridades de observancia regionales y locales

	Comerciantes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<i>Diversas charlas informativas sobre el funcionamiento de la CITES y la obtención de documentos</i>
	ONG	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Público	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Otro (especifique)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	-
3	¿Han ofrecido las autoridades CITES alguna de las actividades de fomento de capacidad siguientes?						
	Marque las casillas para indicar el grupo beneficiado y la actividad.	Asesoramiento u orientación oral o escrita	Asistencia técnica	Asistencia financiera	Formación	Otro (especifique)	Detalles
	Grupo elegido						
	Personal de la AA	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<i>VI y VII Master en Gestión, Acceso y Conservación de Especies en Comercio: Marco Internacional</i>
	Personal de la AC	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Personal de las autoridades de observancia	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<i>Cursos CITES para el SEPRONA</i>
	Comerciantes	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<i>Cursos CITES abiertos a comerciantes y ONG con participación de los mismos</i>
	ONG	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Público	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Otras partes o reuniones internacionales	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<i>VI y VII Master en Gestión, Acceso y Conservación de Especies en Comercio: Marco Internacional</i>
	Otro (especifique)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<i>Ponencias en distintos cursos para la Fiscalía o para autoridades de Comunidades Autónomas</i>
4	Proporcione información sobre cualquier medida adicional que se haya adoptado						

**D7 Iniciativas de colaboración**

1	¿Hay un comité interorganismos o intersectorial sobre la CITES?	Sí <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Sin información <input type="checkbox"/>				
2	En caso afirmativo, ¿qué organismos están representados y cuántas veces se reúnen?							
3	En caso negativo, indique la frecuencia de las reuniones o consultas celebradas por la Autoridad Administrativa para garantizar la coordinación entre las autoridades CITES (p.ej. otras AA, AC, aduanas, policía, otras):							
		Diarias	Semanales	Men-suales	Anuales	Nin-guna	Sin información	Otro (especifíquelo)
	Reuniones	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<i>5 ó 6 reuniones anuales</i>
	Consultas	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Se han desplegado esfuerzos a escala nacional para colaborar con:	Marque si se aplica		Información, si se dispone de ella				
	Organismos de desarrollo y comercio	<input type="checkbox"/>						
	Autoridades provinciales, estatales o territoriales	<input checked="" type="checkbox"/>						
	Autoridades o comunidades locales	<input checked="" type="checkbox"/>						
	Poblaciones indígenas	<input type="checkbox"/>						
	Asociaciones comerciales o de otro sector privado	<input checked="" type="checkbox"/>		<i>Reuniones informativas y de colaboración</i>				
	ONG	<input checked="" type="checkbox"/>						
	Otro (especifique)	<input type="checkbox"/>						
5	¿Se ha concertado algún Memorando de Entendimiento u otros acuerdos oficiales en favor de la cooperación institucional relacionada con la CITES entre la Autoridad Administrativa y los siguientes organismos?	Marque si se aplica						
	Autoridad Científica	<input type="checkbox"/>						
	Aduanas	<input type="checkbox"/>						
	Policía	<input type="checkbox"/>						
	Otras autoridades fronterizas (especifique)	<input type="checkbox"/>						
	Otros organismos gubernamentales	<input type="checkbox"/>						
	Organismos del sector privado	<input type="checkbox"/>						
	ONG	<input type="checkbox"/>						
	Otro (especifique)	<input type="checkbox"/>						
6	¿Ha participado el personal de su gobierno en alguna actividad regional relacionada con la CITES?	Marque si se aplica						
	Cursillos	<input checked="" type="checkbox"/>						
	Reuniones	<input checked="" type="checkbox"/>						
	Otro (especifique)	<input checked="" type="checkbox"/>						

	<i>Representación Regional de la Autoridad Científica en Fauna y Flora</i>		
7	¿Se han desplegado esfuerzos para alentar a algún Estado no Parte a adherirse a la Convención?	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	En caso afirmativo, ¿cuál y en qué medida?		
9	¿Se ha prestado asistencia técnica o financiera a otro país en relación con la CITES?	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
10	En caso afirmativo, ¿cuál y qué tipo de asistencia? <i>Suministro del programa de gestión y emisión de documentos CITES empleado en España a la Autoridad Administrativa CITES de Portugal</i>		
11	¿Se han proporcionado datos para incluirlos en el Manual de Identificación de la CITES?	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	En caso afirmativo, describa someramente.		
13	¿Se han tomado medidas para lograr la coordinación y reducir la duplicación de actividades entre las autoridades nacionales CITES y otros acuerdos ambientales multilaterales (p.ej., las convenciones relacionadas con la diversidad biológica)?	Sí No Sin información	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
14	En caso afirmativo, describa someramente		
15	Proporcione información sobre cualquier medida adicional que se haya adoptado		

#### **D8 Esferas de actividad futura**

1	¿Se necesita alguna de las actividades siguientes para reforzar la eficacia de la aplicación de la CITES a escala nacional y cuál es el respectivo nivel de prioridad?			
	Actividad	Alta	Media	Baja
	Aumentar el presupuesto para realizar actividades	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Contratar más personal	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Diseñar instrumentos de aplicación	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Mejorar las redes nacionales	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Adquirir equipo técnico para el control/observancia	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Informatizar la información	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Otro (especifique)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	¿Se ha encontrado alguna dificultad para aplicar determinadas resoluciones o decisiones aprobadas por la Conferencia de las Partes?	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	
3	En caso afirmativo, ¿cuáles y cuál ha sido la principal dificultad?			

4	¿Se plantea alguna dificultad en su país para aplicar la Convención que requiera atención o asistencia?	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
5	En caso afirmativo, describa la dificultad y el tipo de atención o asistencia necesaria. <i>Se mantiene la necesidad de formación en especies maderables.</i>	
6	¿Se han identificado medidas, procedimientos o mecanismos en la Convención que deberían revisarse o simplificarse?	Sí <input type="checkbox"/> No <input type="checkbox"/> Sin información <input checked="" type="checkbox"/>
7	En caso afirmativo, describa someramente.	
8	Proporcione información sobre cualquier medida adicional que se haya adoptado	

### E. Intercambio de información general

Formule comentarios adicionales, inclusive sobre este formato.

Muchas gracias por completar este formulario. No olvide incluir los anexos relevantes a que se hace referencia en el informe. Para facilitar su labor, se repiten a continuación:

Cuestión	Punto		
B4	Copia del texto completo de la legislación CITES relevante	Se adjunta <input checked="" type="checkbox"/> No disponible <input type="checkbox"/> No es relevante <input type="checkbox"/>	
C3	Detalles sobre las violaciones y las medidas administrativas impuestas	Se adjunta <input type="checkbox"/> No disponible <input checked="" type="checkbox"/> No es relevante <input type="checkbox"/>	
C5	Detalles sobre los especímenes incautados, decomisados o confiscados	Se adjunta <input checked="" type="checkbox"/> No disponible <input type="checkbox"/> No es relevante <input type="checkbox"/>	
C7	Detalles sobre las violaciones y los resultados de las acciones judiciales	Se adjunta <input type="checkbox"/> No disponible <input checked="" type="checkbox"/> No es relevante <input type="checkbox"/>	
C9	Información sobre las violaciones y los resultados de las acciones judiciales	Se adjunta <input type="checkbox"/> No disponible <input checked="" type="checkbox"/> Sin relevancia <input type="checkbox"/>	
D4(10)	Información sobre folletos o prospectos acerca de la CITES producidos nacionalmente con fines educativos o de sensibilización del público	Se adjunta <input type="checkbox"/> No disponible <input type="checkbox"/> Sin relevancia <input type="checkbox"/>	
	Comentarios		

# **INFORME BIENAL ESPAÑA 2007 – 2008**

## **PARTE 2.**

**Cuestionario cumplimentado según el modelo aprobado en el 45º Comité CITES de la Unión Europea celebrado el 10 de noviembre de 2008, relativo a las disposiciones del Reglamento (CE) nº 338/97 aplicables a especies distintas de las incluidas en los apéndices del Convenio CITES.**

## B. Medidas legislativas y de fiscalización

1b	Si no se ha proporcionado aún en las preguntas B(2) y B(4), facilite detalles de cualquier legislación nacional que haya sido actualizada durante el periodo considerado en este informe y adjunte copia del texto completo de la legislación.																																																					
2b	Si se ha previsto, redactado o sancionado alguna legislación relevante para la CITES, distinta de la mencionada en la pregunta B(2) o en la de más arriba, proporcione la siguiente información:																																																					
	Título: _____			Estado: _____																																																		
	Descripción somera del contenido: _____																																																					
5b	<p>¿Ha adoptado su país alguna medida nacional más estricta, aparte de aquellas acerca de las cuales se ha informado en la pregunta B(5), específicamente para especies no incluidas en los apéndices de CITES<sup>1</sup>?</p> <p style="text-align: right;">Marque la opción que corresponda de cada una de las categorías que se indican más abajo</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width: 30%;">Cuestión</th> <th colspan="3" style="border-bottom: 1px solid black;">Las condiciones para:</th> <th colspan="3" style="border-bottom: 1px solid black;">La completa prohibición de:</th> </tr> <tr> <th style="width: 10%;">Sí</th> <th style="width: 10%;">No</th> <th style="width: 10%;">Sin información</th> <th style="width: 10%;">Sí</th> <th style="width: 10%;">No</th> <th style="width: 10%;">Sin información</th> </tr> </thead> <tbody> <tr> <td>Comercio</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Captura</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Posesión</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Transporte</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Otro (especifique)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table> <p>Comentarios adicionales  <i>Existe normativa de carácter regional limitando la tenencia de especies exóticas, entre ellas las especies CITES.</i></p>						Cuestión	Las condiciones para:			La completa prohibición de:			Sí	No	Sin información	Sí	No	Sin información	Comercio	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Captura	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Posesión	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Transporte	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Otro (especifique)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Cuestión	Las condiciones para:			La completa prohibición de:																																																		
	Sí	No	Sin información	Sí	No	Sin información																																																
Comercio	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																																
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Otro (especifique)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																																
8b	¿Se ha realizado un examen de algunos aspectos de la legislación en relación con la aplicación del Reglamento?																																																					
				Sí	No	Sin información																																																
	Introducción en la Comunidad de especímenes vivos de especies incluidas en el Reglamento que podrían amenazar la fauna y flora autóctona (de acuerdo con la letra (d) del apartado 2 del artículo 3)			<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>																																																
	Marcado de especímenes para facilitar la identificación (de acuerdo con la letra iii) del apartado 1 del artículo 19)			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																																
	Proporcione información, según proceda: _____																																																					

<sup>1</sup> En este cuestionario, “especies no incluidas en los apéndices de CITES” se refiere a especies que están incluidas en los anexos del Reglamento, pero no en los apéndices de CITES. Incluye algunas especies en los anexos A y B y todas aquellas incluidas en el anexo D.

9b	<p>Proporcione detalles relativos a infracciones a los Reglamentos:</p> <p>i) penas máximas que pueden ser impuestas;</p> <p>ii) o de cualquiera otra medida adicional adoptada en relación con la implementación del Reglamento acerca de la cual no se haya informado en la pregunta B (9).</p> <p><i>Penas máximas que pueden ser impuestas: En infracciones administrativas, hasta tres veces el valor de la mercancía. En delitos penales, hasta cuatro veces el valor de la mercancía, estando prevista pena de prisión por sentencia judicial.</i></p>
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### C. Medidas de cumplimiento y observancia

2b	¿Se ha entablado alguna acción en relación con las infracciones al Reglamento, además de aquellas acerca de las cuales se ha informado en las preguntas C (2-9) de más arriba?	Sí <input type="checkbox"/> No <input checked="" type="checkbox"/> Sin información <input type="checkbox"/>
9b	<p>Proporcione los siguientes detalles acerca de las infracciones a los Reglamentos:</p> <p>i) penas máximas que han sido impuestas durante el periodo que abarca este informe; <i>En la vía administrativa, se impuso una sanción de 14.137,5 euros por la comercialización ilegal de 65 ejemplares de tortuga mora (Testudo graeca).</i></p> <p>ii) los resultados de las acciones judiciales. <i>No se tiene constancia de aprobación de sentencias judiciales en el periodo considerado.</i></p>	
16b	¿Se ha realizado algún examen o evaluación de la observancia relacionada con el Reglamento, además de aquellos acerca de los cuales se ha informado en la pregunta C (16) de más arriba?	Sí <input type="checkbox"/> No <input type="checkbox"/> Sin información <input checked="" type="checkbox"/>
	Comentarios:	
18	¿Se han marcado los especímenes para establecer si han nacido y han sido criados en cautividad? (De acuerdo con el artículo 66 del Reglamento (CE) de la Comisión nº 865/2006)	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
	Comentarios:	
19	¿Se ha realizado algún control para asegurar que el lugar de alojamiento previsto en el lugar de destino de un espécimen vivo está equipado de manera adecuada para conservarlo y cuidarlo? (De acuerdo con la letra c) del apartado 1 del artículo 4 del Reglamento (CE) del Consejo nº 338/97).	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
	Comentarios	

20	¿Se han adoptado planes de acción nacionales para coordinar la observancia, que claramente definan objetivos y plazos de ejecución, que estén armonizados y sean revisados periódicamente? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo II a.)	Sí <input type="checkbox"/> No <input checked="" type="checkbox"/> Sin información <input type="checkbox"/>
	Comentarios <i>Existe una comunicación fluida entre la Autoridad Administrativa y las Autoridades de Observancia, por lo que consideramos que no es necesario un Plan de Acción.</i>	
21	¿Tienen las autoridades de observancia acceso a equipo especializado y a competencias pertinentes, y a otros recursos financieros y de personal? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> párrafo II b.)  Si la respuesta es afirmativa, proporcione detalles.  <i>Los medios se enmarcan dentro de la actividad habitual del departamento.</i>	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
	Comentarios <i>Se precisan más recursos personales y financieros.</i>	
22	¿Las sanciones tienen en cuenta el valor de los especímenes en el mercado, el valor de las especies intervenidas desde el punto de vista de la conservación y los costes contraídos? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo II c.)	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
	Comentarios <i>Las sanciones incluyen el valor de mercado de los especímenes así como el valor de conservación. Se está estudiando la posibilidad de tener en cuenta los costes del decomiso.</i>	
23	¿Se han llevado a cabo actividades de formación o concienzación dirigidas a a) autoridades de observancia, b) ministerios públicos y c) judicatura? (De acuerdo con <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo II d.)	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
	Comentarios	
24	¿Se han llevado a cabo inspecciones periódicas de comercios y negocios, tales como tiendas de mascotas, criadores y viveros para garantizar el cumplimiento en el interior del país? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo II g.)	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
	Comentarios <i>Los controles en criadores y viveros son regulares. Los controles en tiendas de mascotas y otros sectores comerciales son aleatorios o consecuencia de una investigación focalizada.</i>	

25	¿Se utilizan sistemáticamente evaluaciones de riesgo y de información para garantizar unos controles rigurosos en los países fronterizos y en el interior de los países? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo II h.)	Sí <input type="checkbox"/> No <input checked="" type="checkbox"/> Sin información <input type="checkbox"/>
	Comentarios <i>En las fronteras se utiliza un programa de análisis de riesgos de manera sistemática. En el interior del país no existe un programa de análisis de riesgos propiamente dicho, sólo recomendaciones basadas en la experiencia y alertas. Se realiza una evaluación continua de posibles situaciones de incumplimiento.</i>	
26	¿Se dispone de instalaciones para el cuidado temporal de los especímenes vivos incautados o confiscados, y de mecanismos para su reinstalación a largo plazo, en caso necesario? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo II i).	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
	Comentarios <i>Los costes son considerables. Las instalaciones privadas no son suficientes, especialmente para ciertas especies.</i>	
27	¿Se está cooperando con las autoridades competentes de otros Estados Miembros en lo que respecta a las investigaciones sobre los delitos previstos en el Reglamento (CE) nº 338/97? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo III e.)	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
	Comentarios	
28	¿Se está asistiendo a otros Estados Miembros en el cuidado temporal y la reinstalación a largo plazo de los especímenes vivos incautados o confiscados (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo III j.)	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
	Comentarios	
29	¿Se está colaborando estrechamente con las autoridades de gestión CITES y las autoridades competentes de los países de origen, tránsito y consumo de fuera de la Comunidad, así como con la Secretaría CITES, la OICP, Interpol y la Organización Aduanera Mundial, para contribuir a la detección, disuasión y prevención del comercio ilegal de vida silvestre mediante el intercambio de información? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo III k.)	Sí <input type="checkbox"/> No <input type="checkbox"/> Sin información <input checked="" type="checkbox"/>
	Comentarios <i>En los contados casos detectados, se intercambia información.</i>	
30	¿Se está proporcionando asesoramiento y apoyo a los órganos de gestión CITES y a las autoridades competentes de los países de origen, tránsito y consumo de fuera de la Comunidad para facilitar el comercio legal y sostenible mediante la correcta aplicación de los procedimientos?. (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo III l.)	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
	Comentarios	

## D. Medidas administrativas

### D1 Autoridad Administrativa (AA)

8b	¿Las AA(s) han emprendido o apoyado actividades de investigación relacionadas con especies no incluidas en CITES o cuestiones técnicas (p.ej. identificación de especies) distintas a las enunciadas en D2(8) y D2(9)?	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
11	¿Ha sido informada la Comisión y la Secretaría CITES (si procediera) de los resultados de cualquier investigación que la Comisión haya considerado necesario realizar? (De acuerdo con el apartado 2 del artículo 14 del <i>Reglamento (CE) del Consejo n° 338/97</i> ).  <i>En el periodo considerado no se han registrado casos que la Comisión haya considerado necesario investigar.</i>	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

### D2 Autoridad Científica (AC)

8	¿Ha emprendido su AC alguna actividad de investigación en relación con las especies no incluidas en CITES?	Sí No Sin información	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>				
9	En caso afirmativo, indique el nombre de la especie y el tipo de investigación.						
	Nombre de la especie	Poblaciones	Distribución	Extracción	Comercio legal	Comercio ilegal	Otro (especifique)
	1						
	2						
	3						
	etc.						
	Sin información						<input type="checkbox"/>
11	¿A cuantas reuniones del Grupo de Revisión Científica (SRG) ha asistido la AC? Número: <b>7 (todas)</b>						
	Indique cualquier dificultad que haya imposibilitado la asistencia a las reuniones del SRG.						

### D3 Autoridades de observancia

6	¿En su país hay un punto de contacto para CITES designado en cada una de las autoridades competentes encargadas de la observancia?	Sí No En estudio Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
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1b	¿Está informatizada la información relativa al Reglamento en su país?	Marque si se aplica
	– Especies incluidas en el Anexo D	<input checked="" type="checkbox"/>
	– Otras materias acerca de las cuales no se ha informado en la pregunta D4 (1) (por favor, especifique)	<input type="checkbox"/>
3b	¿Hay un sistema de información electrónico que le proporciona información sobre las especies no incluidas en CITES?	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>

#### D5 Procedimientos en materia de permisos y registro

9b	<p>¿Ha cobrado derechos la Autoridad Administrativa por cualquier asunto relacionado con el Reglamento no contemplado en la pregunta D5 (9)?</p> <p>En caso afirmativo, proporcione detalles de estos asuntos relacionados con el Reglamento así como el importe de cualquier tasa aplicada.</p> <p><i>Se han cobrado derechos por la solicitud de permisos de importación y permisos de exportación o certificados de reexportación y Certificados de uso comunitario para especies no incluidas en los apéndices de la CITES pero inscritas en los anexos A, B, C o D del Reglamento (CE) nº 338/97.</i></p> <p><i>La tasa es de:</i></p> <ul style="list-style-type: none"> <li>- 20 € por permiso de importación, exportación o certificado de reexportación cuando estos contienen hasta 4 especies, que se incrementa en 5 euros más por especie adicional.</li> <li>- 20 € por Certificado de uso comunitario.</li> <li>- 30 € por Certificado de propiedad privada hasta 4 especies, que se incrementará en 5 € más por especie adicional.</li> <li>- 10 € por Certificado de exhibición itinerante.</li> </ul> <p><i>Quedan exentos del pago de la tasa los organismos/instituciones oficiales pertenecientes a cualquiera de las Administraciones Públicas.</i></p> <p><i>El cobro de la tasa entró en vigor el 10 de diciembre de 2007, es decir, a mediados del periodo considerado en este informe.</i></p>	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
13	¿Puede indicar el porcentaje de permisos/certificados emitidos que son devueltos a la AA tras ser diligenciados por la Aduana?	Porcentaje: <b>86,80 %</b>

14	<p>¿Se ha compilado una lista de puntos de introducción o exportación en su país conforme al artículo 12 del <i>Reglamento (CE) del Consejo n° 338/97</i>?</p> <p>En caso afirmativo, adjúntelo.</p> <p><i>La lista de puntos de introducción o exportación ha sido publicada por la Comisión de la Unión Europea, mediante comunicación n° C 2008/C 72/02 publicada en el Diario Oficial de la Unión Europea serie C n° 72 de 18/03/2008.</i></p>	<p>Sí <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Sin información <input type="checkbox"/></p>
15	<p>¿Se han registrado personas y entidades de conformidad con lo dispuesto en los artículos 18 y 19 del Reglamento (CE) de la Comisión n° 865/2006?</p> <p>En caso afirmativo, proporcione detalles.</p>	<p>Sí <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Sin información <input type="checkbox"/></p>
16	<p>¿Se han registrado instituciones científicas de conformidad con lo dispuesto en el artículo 60 del Reglamento (CE) de la Comisión n° 865/2006?</p> <p>En caso afirmativo, proporcione detalles.</p>	<p>Sí <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Sin información <input type="checkbox"/></p>
17	<p>¿Han sido acreditados criadores de conformidad con lo dispuesto en el artículo 63 del Reglamento (CE) de la Comisión n° 865/2006?</p> <p>En caso afirmativo, proporcione detalles.</p>	<p>Sí <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Sin información <input type="checkbox"/></p>
18	<p>¿Se han autorizado empresas de procesado y envasado o reenvasado de caviar de conformidad con lo dispuesto en el apartado 7 del artículo 66 del Reglamento (CE) de la Comisión n° 865/2006?</p> <p>En caso afirmativo proporcione detalles. <i>Tres empresas, cuyos detalles se han proporcionado a la Secretaría CITES y a la Comisión de la UE.</i></p>	<p>Sí <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Sin información <input type="checkbox"/></p>
19	<p>¿Se emplean certificados fitosanitarios de conformidad con lo dispuesto en el artículo 17 del Reglamento (CE) de la Comisión n° 865/2006?</p> <p>En caso afirmativo, proporcione detalles.</p>	<p>Sí <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Sin información <input type="checkbox"/></p>
20	<p>¿Se han dado casos en los que se han emitido permisos de exportación y certificados de reexportación retroactivamente de conformidad con lo dispuesto en el artículo 15 del Reglamento (CE) de la Comisión n° 865/2006?</p> <p>En caso afirmativo, proporcione detalles.</p> <p><i>Se emitió un certificado de reexportación previo acuerdo con la Autoridad Administrativa del país de destino.</i></p>	<p>Sí <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Sin información <input type="checkbox"/></p>

2b	¿Ha encontrado su país alguna dificultad para aplicar determinadas suspensiones u opiniones negativas adoptadas por la Unión Europea? (De acuerdo con el apartado 6 del artículo 4).	SÍ <input type="checkbox"/> No <input checked="" type="checkbox"/> Sin información <input type="checkbox"/>
4b	¿Se plantea alguna dificultad en su país para aplicar el Reglamento, de la que no se haya informado en la pregunta D8 (4), que requiera atención o asistencia? <i>Comercio intracomunitario y cria en cautividad de especies incluidas en el Anexo B del Reglamento (CE) nº 338/97.</i>  <i>Las autoridades de observancia informan que vienen observando desde hace años un cierto abuso de los llamados “documentos de cesión”, con frecuencia incompletos, y que en muchos casos se usan para encubrir actividades comerciales de gran importancia que por otro lado, eluden del pago de los tributos correspondientes al simular entregas altruistas entre particulares o incluso entre comerciantes establecidos.</i>	SÍ <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>

# SWEDEN

# **CITES BIENNIAL REPORT FOR 2007- 2008; SWEDEN**

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 45 held on 14 November 2008.

### A. General information

Party	SWEDEN
Period covered in this report: 1 January 2007 to 31 December 2008	Swedish Board of Agriculture Division for CITES-and Pet Animals SE- 551 82 Jönköping
Details of agency preparing this report	CITES Management Authority
Contributing agencies, organizations or individuals	Swedish Environmental Protection Agency- CITES SA and assisting CITES SA Swedish Customs National Police Board (RKP and RPS) Swedish Prosecution Authority

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable
	The conditions for:	The complete prohibition of:
	Yes      No      No information	Yes      No      No information
	Trade	X <input type="checkbox"/> <input type="checkbox"/>
	Taking	X <input type="checkbox"/> <input type="checkbox"/>
	Possession	X <input type="checkbox"/> <input type="checkbox"/>
	Transport	X <input type="checkbox"/> <input type="checkbox"/>
	Other (specify)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Additional comments	As one of the 27 Member States of the EU we implement the stricter measures of the EU CITES legislation for most of above mentioned issues as for instance personal and household effects, trade prohibitions and species on appendices.

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Please provide details if available: No main changes from previous biennial report. CITES still no priority area for Customs control.					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes	<input type="checkbox"/>
				No	<input type="checkbox"/>
			X No information	<input type="checkbox"/>	
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?			Tick all applicable	
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	X	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	X	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	X*	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	X*	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken: * No changes from previous biennial report. Also during this period the importance of fulfilment of IATA Transport requirements for CITES permits to be valid have been stressed to Customs and border veterinarians at some airports as for instance Arlanda by the CITES MA.				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	X	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	X	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	X	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	X	<input type="checkbox"/>	<input type="checkbox"/>

3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. According to Customs-one case ( <i>Naja naja</i> ). Some other cases involving CITES but also other prohibited goods. All cases are not finished yet.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	X	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: X Significant seizures/confiscations X Total seizures/confiscations If possible, please specify per group of species or attach details on annex.	Number Cust. 1 Cust.33+16 Police do not specify- 16 according to enclosed attachment See Attachments part 1-C5 for the Customs and Police		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	X acc. to Police and Custo ms	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	X Acc.to Custo ms	X acc. to Police
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			
	– Public zoos or botanical gardens			X
	– Designated rescue centres			X
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify) (goods-museum, destruction			X
	Comments: Customs had no live specimen detected under the period. Most specimens seized by the Police are dead/mounted./goods-museum, destruction of dead items. Our SA decides what to do with confiscated specimens- see attachment from SA – part 1, C5			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		X
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		X
				acc. To Cust oms
	Comments: According to info. From Police			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes		X
		No		Acc.
		No information		To SA
				<input type="checkbox"/>

			X acc. To Cust oms
13	If Yes, please give a brief description: Nordic-Baltic (Denmark, Finland, Norway, Sweden, Estonia, Latvia, Lithuania) meeting in Latvia September 2008 concerning TCM and the countries CITES work		
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>
		No	X
		No information	X
			acc.t o Cust oms
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	X
	Comments:		
17	Please provide details of any additional measures taken:		

#### D. Administrative measures

##### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes	<input type="checkbox"/>
		No	X
		No information	<input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. (Change of Department and Division. Now: Department for Animal Welfare and Health; Division for CITES- and Pet Animals)		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	How many staff work in each MA?- the same as in previous report		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation – the same as in previous report	Yes	X
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?		Tick if applicable
	– Administration		X
	– Biology		X
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		X*
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
	– *Not directly but always accessible		

8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes	<input type="checkbox"/>
		No	X
		No information	<input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country? Tick if applicable	
	– Government institution	<input checked="" type="checkbox"/>
	– Academic or research institution	<input checked="" type="checkbox"/>
	– Permanent committee	<input type="checkbox"/>
	– Pool of individuals with certain expertise	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? Swedish EPA; 2 officers 60+30 % approx. and The Museum of Natural History (assisting SA) / officers, can not estimate the time	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)? Tick if applicable	
	– Botany	<input checked="" type="checkbox"/>
	– Ecology	<input checked="" type="checkbox"/>
	– Fisheries	<input checked="" type="checkbox"/>
	– Forestry	<input type="checkbox"/>
	– Welfare	<input type="checkbox"/>
	– Zoology	<input checked="" type="checkbox"/>
	– Other (specify) Systematics and taxonomy, Geography	<input checked="" type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
	Species name	Populations
	Distribution	Off take
	Legal trade	Illegal trade
	Other (specify)	
	<i>Anquilla anquilla</i>	
	2	
	3	
	etc.	
	No information <input type="checkbox"/>	
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
11	Please provide details of any additional measures taken:	

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?  No for Customs- se previous report	Yes	<input checked="" type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).		
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?  (There is a WWF/TRAFFIC led unofficial group and a more official under the MA is under consideration)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: Concerning the Police/ National Criminal Police/Financial Intelligence unit,/Box 122 56, 102 26 Stockholm, Sweden. Fipo-miljobrott.rkp@polisen.se		
5	Please provide details of any additional measures taken: Two Customs Advisers and one legal Adviser with CITES as one of their areas.  A project has been initiated by the national Police Board in order to improve co-operation between local and regional police authorities and inspection /local Mas.		

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>							
	– Monitoring and reporting of data on legal trade <span style="float: right;"><input type="checkbox"/></span>							
	– Monitoring and reporting of data on illegal trade <span style="float: right;"><input checked="" type="checkbox"/></span>							
	– Permit issuance <span style="float: right;"><input type="checkbox"/></span>							
	– Not at all <span style="float: right;"><input type="checkbox"/></span>							
	– Other (specify) <span style="float: right;"><input type="checkbox"/></span>							
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>							
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		No answer
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Answer only from Customs
3	Do you have an electronic information system providing information on CITES species?	Yes	<input checked="" type="checkbox"/>					
		No	<input type="checkbox"/>					
		No information						

4	If Yes, does it provide information on:	Tick if applicable		
	– Legislation (national, regional or international)?			X
	– Conservation status (national, regional, international)?			X
	– Other (please specify)?			<input type="checkbox"/>
5	Is it available through the Internet:	Yes		X
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Please provide URL: <a href="http://eur-lex.europa.eu/sv/index.htm">http://eur-lex.europa.eu/sv/index.htm</a> <a href="http://www.lagrummet.se">http://www.lagrummet.se</a> <a href="http://artdata.slu.se/rodlista/index.cfm">http://artdata.slu.se/rodlista/index.cfm</a>			
6	Do the following authorities have access to the following publications?	Tick if applicable		
	Publication	Management Authority	Scientific Authority	Enforcement Authority
	<i>2003 Checklist of CITES Species (book)</i>	X	<input type="checkbox"/>	<input type="checkbox"/>
	<i>2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)</i>	X	<input type="checkbox"/>	X
	<i>Identification Manual</i>	X	<input type="checkbox"/>	X
	<i>CITES Handbook</i>	X	<input type="checkbox"/>	X
7	If not, what problems have been encountered to access to the mentioned information? No answer from the SA			
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable		
	– Mortality in transport?			<input type="checkbox"/>
	– Seizures and confiscations?			X
	– Discrepancy in number of items in permit and number of items actually traded?			<input type="checkbox"/>
	Comments:			
9	Is there a government website with information on CITES and its requirements?	Yes		X
		No		
		No information		<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.sjv.se">http:// www.sjv.se</a>			
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable		
	– Press releases/conferences			X
	– Newspaper articles, radio/television appearances			X
	– Brochures, leaflets			X
	– Presentations			X
	– Displays			X
	– Information at border crossing points			X
	– Telephone hotline			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Please attach copies of any items as Annex.			
11	Please provide details of any additional measures taken:			

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		Not applicable	<input type="checkbox"/>			
	No information	<input type="checkbox"/>				
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials: Some officials have been designated and some have been taken away from the list						
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable				
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	332	125	14		
	How many applications were denied because of severe omissions or mis-information?	5	1	1		
	Year 2					
	How many documents were issued?	334	112	8		
	How many applications were denied because of severe omissions or mis-information?	2	4	0		
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes	<input type="checkbox"/>			
		No	<input checked="" type="checkbox"/>			
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.	Tick if applicable				
	Reason	Yes	No	No information		
	Technical violations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
Comments We follow recommendations to request notation of quotas in export permits and state them in our import permits issued. We do not have quotas of our own in case of export.						
8	How many times has the Scientific Authority been requested to provide opinions? The same percentage ca 70% as in previous report.					
9	Has the Management Authority charged fees for permit issuance, registration or	Tick if applicable				

	related CITES activities?	
	– Issuance of CITES documents:	X
	– Licensing or registration of operations that produce CITES species:	<input type="checkbox"/>
	– Harvesting of CITES-listed species :	<input type="checkbox"/>
	– Use of CITES-listed species:	X*
	– Assignment of quotas for CITES-listed species:	<input type="checkbox"/>
	– Importing of CITES-listed species:	<input type="checkbox"/>
	– Other (specify): * refers to EU CITES certificates for commercial activities	<input type="checkbox"/>
10	If Yes, please provide the amounts of such fees. CITES permits – SEK 400 CITES certificates for commercial activities- SEK 350	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? – Entirely: – Partly: – Not at all: – Not relevant: Comments:* contribute to salaries and wages for CITES staff	Tick if applicable  <input type="checkbox"/> <input type="checkbox"/> X* <input type="checkbox"/>
12	Please provide details of any additional measures taken:	

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable
	Increased budget for activities <input type="checkbox"/>	Improvement of national networks X
	Hiring of more staff <input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement <input type="checkbox"/>
	Development of implementation tools <input type="checkbox"/>	Computerisation <input type="checkbox"/>
	– Other (specify) <input type="checkbox"/>	<input type="checkbox"/>
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?	
	Please tick boxes to indicate which target group and which activity.	What were the external sources?
	Target group	Oral or written advice/guidance Technical assistance Financial assistance Training Other (specify)
	Staff of Management Authority	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Traders	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	NGOs	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Public	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Other (specify)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES? See previous report	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>			
2	If Yes, which agencies are represented and how often does it meet?						
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):						
	Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	*	<input type="checkbox"/>	<input type="checkbox"/>	Quarterly
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	At the national level have there been any efforts to collaborate with:	Tick if applicable			Details if available		
	Agencies for development and trade	<input checked="" type="checkbox"/>					
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>					
	Local authorities or communities	<input checked="" type="checkbox"/>					
	Indigenous peoples	<input type="checkbox"/>					
	Trade or other private sector associations	<input checked="" type="checkbox"/>					
	NGOs	<input checked="" type="checkbox"/>					
	Other (specify)	<input type="checkbox"/>					

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable	
	SA		<input type="checkbox"/>
	Customs		<input type="checkbox"/>
	Police		<input type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?	Tick if applicable	
	Workshops		X
	Meetings		X
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	X
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	X
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes	*
		No	<input type="checkbox"/>
	* Work with European Eal Manual started 2008	No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	X
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	X
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	X
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input type="checkbox"/>	X
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation	X*	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)*and** se previous report	X**	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? * see previous report; ** Customs	Yes		<input type="checkbox"/>
		No		X*
		No information		X**
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance? *Customs	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		X*
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		X*
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description. *According to Customs to manydifficult exceptions(hunting trophies etc.); see also previous report			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	X
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	X
		Not relevant	X
C5	Details of specimens seized, confiscated or forfeited	Enclosed	X
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	X
		Not relevant	X
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	X
		Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes, See previous report Comments	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

# CITES BIENNIAL REPORT FOR 2007- 2008; SWEDEN

## PART 2

\* Document as discussed and agreed at COM 45 held on 14 November 2008.

### A. General information

Party	SWEDEN
Period covered in this report: 1 January 2007 to 31 December 2008	Swedish Board of Agriculture Division for CITES-and Pet Animals SE- 551 82 Jönköping
Details of agency preparing this report	CITES Management Authority
Contributing agencies, organizations or individuals	Swedish Environmental Protection Agency- CITES SA and assisting CITES SA Swedish Customs National Police Board (RKP and RPS) Swedish Prosecution Authority

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text. -----						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details: -----						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	X	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	X	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed; 4 years imprisonment/ according to Prosecutor						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9). ----						

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations? *Customs	Yes No No information	<input type="checkbox"/> X X*
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions;		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes No No information	<input type="checkbox"/> X X*
	Comments: *Customs		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> X*
	Comments: *see previous report		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> X
	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
	Comments:		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.) If yes, please provide details.	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments: Species experts, Custom laboratory etc.		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments: According to Customs		

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
Comments:		No information	<input type="checkbox"/>
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
Comments: Not a task for Customs/Customs comment		No information	<input checked="" type="checkbox"/>
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
Comments: Compare with previous question/Customs comment		No information	<input type="checkbox"/>
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
Comments:		No information	<input type="checkbox"/>
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
Comments: If applicable		No information	<input type="checkbox"/>
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
Comments: *no direct request besides once- Finland made a request but there was no transfer of the animal		No information	<input type="checkbox"/>

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
* not applicable- concerning SRG: Yes			

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
No information							<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number / 7 meetings 2007-2008	<input type="checkbox"/>
Indicate any difficulties that rendered attendance to the SRG difficult:			

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species		<input checked="" type="checkbox"/>
	– Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input checked="" type="checkbox"/>
	* See previous report	No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes	<input type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees.	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : ca 80 %	
		No information	<input type="checkbox"/>
			<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ?	Yes	<input checked="" type="checkbox"/>
	If yes, please attach. List is attached.	No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006?	Yes	<input checked="" type="checkbox"/>
	If yes, please provide details. List is attached	No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006?	Yes	<input checked="" type="checkbox"/>
	If yes, please provide details. List is attached.	No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
	If yes, please provide details. No interest from breeders/ no applicatio	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006?	Yes	<input type="checkbox"/>
	If yes, please provide details.	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

# UNITED KINGDOM

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 45 held on 14 November 2008.

## A. General information

Party	United Kingdom
Period covered in this report:	1 January 2007 to 31 December 2008
Details of agency preparing this report	UK Management Authority
Contributing agencies, organizations or individuals	Scientific Authorities- JNCC (Fauna), Royal Botanic Gardens, Kew (Flora), Enforcement Authorities (Defra, HM Revenue and Customs, UK Border Agency (UKBA) the Police Authorities)

## B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input type="checkbox"/> Yes (partly) <input checked="" type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: Statutory Instrument 2009 Status: Came into force 10 November 2007 Statutory Instrument 2007, No 2952 The Control of Trade in Endangered Species (Enforcement) (Amendment) Regulations 2007 Brief description of contents: These Regulations amend the Control of Trade in Endangered Species (Enforcement) Regulations 1997 by replacing the reference to <a href="#">Commission Regulation (EC) No. 939/97</a> with a reference to the current Commission Regulation ( <a href="#">Commission Regulation (EC) No. 865/2006</a> , OJ No. L166, 19.6.2006, p. 1) and making consequential changes to references to Article numbers of that Regulation. A copy of the regulation can be found at: <a href="http://www.opsi.gov.uk/si/si2007/uksi_20072952_en_1">http://www.opsi.gov.uk/si/si2007/uksi_20072952_en_1</a>					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input checked="" type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable				
	The conditions for:	The complete prohibition of:				
Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional comments

Some bird species listed on CITES must be registered with the department and the taking and sale of native birds and animal species needs a license under UK conservation legislation.

Other UK legislation such as the Wildlife and Countryside Act 1981 (WCA) makes it illegal to trade in certain species including all cetaceans [S. 9(5)(a) WCA] and also the Habitats Regs make it an offence to, sell or exchange, or offer for sale or exchange any live or dead cetacean, or any part of or anything derived from one – R. 39(2).

More information is available at ;

<http://www.defra.gov.uk/wildlife-pets/wildlife/protect/bird-habitat/amend.htm> and <http://www.defra.gov.uk/wildlife-pets/wildlife/protect/documents/habitat-reg.pdf> and a list of CITES species protected by the Habitats Regs is attached.

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?	Tick all applicable			
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<p>Please provide details if available: A scoping study in 2008 identified that the UK had strong powers and penalties in place but needed to update the current domestic legislation to take account of new developments such as non invasive DNA sampling and caviar labelling This has led to a programme to replace current Statutory Instruments by 2011</p> <p>The study also incorporated a review of current sanctions and problems with inter-community movement of illegal specimens. A review of sanctions including the use of administrative measures is planned to take place in 2011.</p>					
7	If no review or assessment has taken place, is one planned for the next reporting period?	Yes <input checked="" type="checkbox"/>			
		No <input type="checkbox"/>			
<p>No information <input type="checkbox"/></p> <p>Please provide details if available: A review of the Control of Trade in Endangered Species (Enforcement) Regulations (COTES) which enforces CITES in the UK started in 2009.</p>					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable			
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex. <i>Details attached</i>	Number <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> Total seizures are 2007 = 360 2008 = 431		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.A			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input checked="" type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	No	<input checked="" type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments: UKBA have used eco-messages.			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance,	Yes	No	<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>

	joint operation, etc.)?)	
13	<p>If Yes, please give a brief description:</p> <p>Zambia: Enquiries into seizures of live tortoises made in UK.  Ghana: Enquiries into seizures of live tortoises made in UK.  Republic of Ireland: Identification of detained specimens.  Macedonia: Enquiries into seizures of seized tortoises made into UK. Details passed to M.A. for consideration.  New Zealand: Operations on Reptile smuggling.  U.S.A: Considerable dialogue concerning permit validity and legislation.  France: exchange of information concerning ivory seizure.  Netherlands: Joint operation on export of ivory.  EU. All interesting seizures disseminated via EU Twix alert system.  Germany: Joint operation regarding reptile smuggling.  Sweden: Joint operation regarding reptile smuggling.  Czech Republic: Intelligence exchange regarding seized reptiles  Switzerland: Intelligence exchange on traders.  South Korea: exchange of information concerning CITES permits.  Cayman Islands: Technical cooperation.  Brazil: Technical cooperation regarding disposal of seized specimens.  South Africa: Information exchange regarding seized specimens and CITES permit irregularities</p> <p>The UK National Wildlife Crime Unit (NWCU) have used Interpol to circulate intelligence</p>	
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
15	If Yes, please describe:	
16	Has there been any review or assessment of CITES-related enforcement?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: NWCU undertake an annual wildlife crime Strategic Assessment (SA) which describes and assesses the current threats posed by criminals involved in all types of wildlife crime. Once wildlife crime priorities have been agreed, annual operation plans are drawn up to tackle each priority. The illegal trade in CITES species was identified as a UK wildlife priority in 2007 and 2008 (and continues to be so).  NWCU sit on a multi – agency ‘High Level Group’ (HLG) which is made up of UK enforcement agencies including police and customs. The HLG provides the enforcement response for wildlife crime in the UK	
17	Please provide details of any additional measures taken: During 2007-08, and as a result of the strategic assessment, the UK Border Agency targeted five CITES priority areas – tortoises, ivory, traditional medicines, ramin and caviar. These priority areas were specifically identified in the annual operational plan.	

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. – Two Government bodies make up the UK CITES MA, the Department for Environment Food and Rural Affairs (Defra) that deals with CITES policy issues and Animal Health, Wildlife Licensing and Registration Service – who undertake licensing activities for the UK.	
5	How many staff work in each MA? 7.5 in the CITES policy team and 33 in CITES licensing	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation 75%	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input checked="" type="checkbox"/>
	– Biology	<input type="checkbox"/>
	– Economics/trade	<input type="checkbox"/>
	– Law/policy	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved. Forensic identification of CITES listed timber and wood products (see <a href="http://randd.defra.gov.uk/Default.aspx?Menu=Menu&amp;Module=More&amp;Location=None&amp;ProjectID=15329&amp;FromSearch=Y&amp;Publisher=1&amp;SearchText=CITES&amp;SortString=ProjectCode&amp;SortOrder=Asc&amp;Paging=10#Description">http://randd.defra.gov.uk/Default.aspx?Menu=Menu&amp;Module=More&amp;Location=None&amp;ProjectID=15329&amp;FromSearch=Y&amp;Publisher=1&amp;SearchText=CITES&amp;SortString=ProjectCode&amp;SortOrder=Asc&amp;Paging=10#Description</a> for more information on the project)	
10	Please provide details of any additional measures taken	

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority? The UK has 2 Scientific Authorities - Joint Nature Conservation Committee (JNCC) (fauna) and Royal Botanic Gardens Kew (flora)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable	
	- Government institution - JNCC		<input checked="" type="checkbox"/>
	- Academic or research institution - RBG Kew		<input checked="" type="checkbox"/>
	- Permanent committee		<input type="checkbox"/>
	- Pool of individuals with certain expertise		<input type="checkbox"/>
	- Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? RBG Kew 3.6, JNCC 4.2 (total 7.8)		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation; RBG Kew, 3 @ 100%, 1 @ 60% JNCC, 3 staff @100%, 1 staff@ 80%, 1 staff@ 40%	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable	
	- Botany - Kew		<input checked="" type="checkbox"/>
	- Ecology-JNCC		<input checked="" type="checkbox"/>
	- Fisheries-JNCC		<input checked="" type="checkbox"/>
	- Forestry - Kew		<input checked="" type="checkbox"/>
	- Welfare captive husbandry - JNCC		<input checked="" type="checkbox"/>
	- Zoology-JNCC		<input checked="" type="checkbox"/>
	- Other (specify)-JNCC sustainable use/production systems		<input type="checkbox"/>
	- Kew – capacity building, artificial propagation, plant trade issues, sustainable use, taxonomy and nomenclature, horticulture, wood anatomy, DNA techniques - Kew)		<input type="checkbox"/>
	- No information		<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	Aves, diurnal raptors	Guinea	Yes	Yes	Yes	Yes	checklist
	Corals	Fiji, Tonga, Samoa, Vanuatu	Yes	Yes	Yes	No	checklist
	Testuinid	No	No	No	No	No	Study into alternative forms of marking testuinids
	etc.						
						No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes	<input checked="" type="checkbox"/>
						No	<input type="checkbox"/>
						No information	<input type="checkbox"/>
11	Please provide details of any additional measures taken: Timber trade, wood identification (ramin), bulb research in Georgia						

### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).		
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: The UK Border Agency (UKBA) which includes frontier anti-smuggling staff is responsible for CITES enforcement at the borders. UKBA have a dedicated CITES team based at Heathrow Airport who act as a national focal point for all CITES operational enforcement and import/export issues.		
5	Please provide details of any additional measures taken: Continued funding for the UK National Wildlife Crime Unit. Most UK police forces have police wildlife crime officers and the Metropolitan Police are partners in Operation Charm which is an initiative against the illegal trade in endangered species in the UK and uses a combination of law enforcement and publicity in London.		

### D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?	Tick if applicable
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	– Permit issuance	<input checked="" type="checkbox"/>
	– Not at all	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>

2	Do the following authorities have access to the Internet?					Tick if applicable	
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species?					Yes	<input checked="" type="checkbox"/>
						No	<input type="checkbox"/>
						No information	<input type="checkbox"/>
4	If Yes, does it provide information on:					Tick if applicable	
	– Legislation (national, regional or international)?						<input checked="" type="checkbox"/>
	– Conservation status (national, regional, international)?						<input checked="" type="checkbox"/>
	– Other (please specify)? Guidance to traders and taxonomy						<input checked="" type="checkbox"/>
5	Is it available through the Internet:					Yes	<input checked="" type="checkbox"/>
						No	<input type="checkbox"/>
						Not applicable	<input type="checkbox"/>
						No information	<input type="checkbox"/>
	Please provide URL: <a href="http://www.defra.gov.uk/wildlife-pets/wildlife/trade-crime/cites/about.htm">http://www.defra.gov.uk/wildlife-pets/wildlife/trade-crime/cites/about.htm</a>						
	<a href="http://www.defra.gov.uk/animalhealth/CITES/">http://www.defra.gov.uk/animalhealth/CITES/</a>						
6	Do the following authorities have access to the following publications?					Tick if applicable	
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
7	If not, what problems have been encountered to access to the mentioned information?						
8	Have enforcement authorities reported to the Management Authority on:					Tick if applicable	
	– Mortality in transport?						<input checked="" type="checkbox"/>
	– Seizures and confiscations?						<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?						<input checked="" type="checkbox"/>
	Comments:						
9	Is there a government website with information on CITES and its requirements?					Yes	<input checked="" type="checkbox"/>
						No	<input type="checkbox"/>
						No information	<input type="checkbox"/>

	<p>If Yes, please give the URL:</p> <p><a href="http://www.defra.gov.uk/wildlife-pets/wildlife/trade-crime/cites/index.htm">http://www.defra.gov.uk/wildlife-pets/wildlife/trade-crime/cites/index.htm</a></p> <p><a href="http://www.defra.gov.uk/animalhealth/CITES/">http://www.defra.gov.uk/animalhealth/CITES/</a></p> <p><a href="http://www.direct.gov.uk/en/TravelAndTransport/TravellingAbroad/BringinggoodsorcashintotheUK/DG_177075">http://www.direct.gov.uk/en/TravelAndTransport/TravellingAbroad/BringinggoodsorcashintotheUK/DG_177075</a></p> <p><a href="http://customs.hmrc.gov.uk/channelsPortalWebApp/channelsPortalWebApp.portal?_nfpb=true&amp;_pageLabel=pageExcise_ShowContent&amp;id=HMCE_CL_001568&amp;propertyType=document">http://customs.hmrc.gov.uk/channelsPortalWebApp/channelsPortalWebApp.portal?_nfpb=true&amp;_pageLabel=pageExcise_ShowContent&amp;id=HMCE_CL_001568&amp;propertyType=document</a></p>																								
10	<p>Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public? <span style="float: right;">Tick if applicable</span></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 5%;">–</td> <td style="width: 85%;">Press releases/conferences</td> <td style="width: 10%; text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>–</td> <td>Newspaper articles, radio/television appearances</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>–</td> <td>Brochures, leaflets</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>–</td> <td>Presentations</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>–</td> <td>Displays</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>–</td> <td>Information at border crossing points</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>–</td> <td>Telephone hotline – <i>Customs hotline</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>–</td> <td>Other (specify) – <i>attendance at public shows</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> <p>Please attach copies of any items as Annex.</p>	–	Press releases/conferences	<input checked="" type="checkbox"/>	–	Newspaper articles, radio/television appearances	<input checked="" type="checkbox"/>	–	Brochures, leaflets	<input checked="" type="checkbox"/>	–	Presentations	<input checked="" type="checkbox"/>	–	Displays	<input checked="" type="checkbox"/>	–	Information at border crossing points	<input checked="" type="checkbox"/>	–	Telephone hotline – <i>Customs hotline</i>	<input checked="" type="checkbox"/>	–	Other (specify) – <i>attendance at public shows</i>	<input checked="" type="checkbox"/>
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–	Other (specify) – <i>attendance at public shows</i>	<input checked="" type="checkbox"/>																							
11	<p>Please provide details of any additional measures taken: A short survey was undertaken by the UK Central Office of Information (COI) in March 2008 to assess public awareness of CITES restrictions – copy attached</p>																								

**D5 Permitting and registration procedures**

1	<p>Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?</p> <p style="text-align: right;">           Yes <input checked="" type="checkbox"/>            No <input type="checkbox"/>            Not applicable <input type="checkbox"/>            No information <input type="checkbox"/> </p>																
	<p>If no, please provide details of any:</p> <p style="padding-left: 20px;">Changes in permit format:</p>																
	<p style="padding-left: 20px;">Changes in designation or signatures of relevant officials: One new member of staff employed by the CITES licensing team in Animal Health.</p>																
2	<p>To date, has your country developed written permit procedures for any of the following? <span style="float: right;">Tick if applicable</span></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="width: 10%; text-align: center;">Yes</th> <th style="width: 10%; text-align: center;">No</th> <th style="width: 20%; text-align: center;">No information</th> </tr> </thead> <tbody> <tr> <td>Permit issuance/acceptance</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Registration of traders</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Registration of producers</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>		Yes	No	No information	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Yes	No	No information													
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	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>													
Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>														

3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	13528	2231	8263	29464	Other = EC Certificates
	How many applications were denied because of severe omissions or mis-information?	123	8	29	293	
	Year 2					
	How many documents were issued?	17537	1927	7663	24180	
	How many applications were denied because of severe omissions or mis-information?	179	4	41	224	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?				Yes	<input type="checkbox"/>
					No	<input checked="" type="checkbox"/>
					No information	<input type="checkbox"/>
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.				Tick if applicable	
	Reason			Yes	No	No information
	Technical violations			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes	<input type="checkbox"/>
					No	<input checked="" type="checkbox"/>
					No information	<input type="checkbox"/>
Comments Not applicable – no UK quotas as yet						
8	How many times has the Scientific Authority been requested to provide opinions? 11128					
Applications covering 39438 specimens						
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?				Tick if applicable	
	– Issuance of CITES documents:				<input checked="" type="checkbox"/>	
	– Licensing or registration of operations that produce CITES species:				<input type="checkbox"/>	
	– Harvesting of CITES-listed species :				<input type="checkbox"/>	
	– Use of CITES-listed species: <i>Commercial use</i>				<input checked="" type="checkbox"/>	
	– Assignment of quotas for CITES-listed species:				<input type="checkbox"/>	
	– Importing of CITES-listed species:				<input checked="" type="checkbox"/>	
– Other (specify):				<input type="checkbox"/>		
10	If Yes, please provide the amounts of such fees. A full list of fees is attached to the report at Annex B					
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?				Tick if applicable	
	– Entirely:				<input type="checkbox"/>	
	– Partly:				<input checked="" type="checkbox"/>	
	– Not at all:				<input type="checkbox"/>	

	– Not relevant: <span style="float: right;"><input type="checkbox"/></span>
	Comments:
12	Please provide details of any additional measures taken:

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?					Tick if applicable
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks		<input checked="" type="checkbox"/>	
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement		<input type="checkbox"/>	
	Development of implementation tools	<input checked="" type="checkbox"/>	Computerisation		<input type="checkbox"/>	
	– Other (specify)				<input type="checkbox"/>	
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?					
	Please tick boxes to indicate which target group and which activity.					
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						Timber identification from German CITES MA (BfN)
						General caviar awareness from caviar traders Coral, tropical fish awareness
						Coral identification from zoological institutions

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
Please tick boxes to indicate which target group and which activity.							
Target group	<table border="1"> <thead> <tr> <th data-bbox="711 226 804 472">Oral or written advice/guidance</th> <th data-bbox="804 226 896 472">Technical assistance</th> <th data-bbox="896 226 989 472">Financial assistance</th> <th data-bbox="989 226 1082 472">Training</th> <th data-bbox="1082 226 1174 472">Other (specify)</th> <th data-bbox="1174 226 1426 472">Details</th> </tr> </thead> </table>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details		
Staff of Management Authority	<table border="1"> <tr> <td data-bbox="711 472 804 546"><input checked="" type="checkbox"/></td> <td data-bbox="804 472 896 546"><input checked="" type="checkbox"/></td> <td data-bbox="896 472 989 546"><input type="checkbox"/></td> <td data-bbox="989 472 1082 546"><input checked="" type="checkbox"/></td> <td data-bbox="1082 472 1174 546"><input type="checkbox"/></td> <td data-bbox="1174 472 1426 546">JNCC - Cayman Islands, MA and SA</td> </tr> </table>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	JNCC - Cayman Islands, MA and SA
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	JNCC - Cayman Islands, MA and SA		
Staff of Scientific Authority	<table border="1"> <tr> <td data-bbox="711 546 804 595"><input checked="" type="checkbox"/></td> <td data-bbox="804 546 896 595"><input checked="" type="checkbox"/></td> <td data-bbox="896 546 989 595"><input type="checkbox"/></td> <td data-bbox="989 546 1082 595"><input type="checkbox"/></td> <td data-bbox="1082 546 1174 595"><input type="checkbox"/></td> <td data-bbox="1174 546 1426 595"></td> </tr> </table>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
Staff of enforcement authorities	<table border="1"> <tr> <td data-bbox="711 595 804 1077"><input checked="" type="checkbox"/></td> <td data-bbox="804 595 896 1077"><input checked="" type="checkbox"/></td> <td data-bbox="896 595 989 1077"><input type="checkbox"/></td> <td data-bbox="989 595 1082 1077"><input checked="" type="checkbox"/></td> <td data-bbox="1082 595 1174 1077"><input type="checkbox"/></td> <td data-bbox="1174 595 1426 1077">           JNCC - Regular CITES training for Police and Customs authorities in Metropolitan UK and HM Wildlife Inspectorate             UKBA enforcement training given to UKBA detection officers, police, MA's and SA's/             Kew – training given to Wildlife Inspectorate staff on CITES plants issues and post CoP14 changes         </td> </tr> </table>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	JNCC - Regular CITES training for Police and Customs authorities in Metropolitan UK and HM Wildlife Inspectorate  UKBA enforcement training given to UKBA detection officers, police, MA's and SA's/  Kew – training given to Wildlife Inspectorate staff on CITES plants issues and post CoP14 changes
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Traders	<table border="1"> <tr> <td data-bbox="711 1077 804 1182"><input checked="" type="checkbox"/></td> <td data-bbox="804 1077 896 1182"><input type="checkbox"/></td> <td data-bbox="896 1077 989 1182"><input type="checkbox"/></td> <td data-bbox="989 1077 1082 1182"><input type="checkbox"/></td> <td data-bbox="1082 1077 1174 1182"><input type="checkbox"/></td> <td data-bbox="1174 1077 1426 1182">Kew - Fed into Sustainable User's network (SUN) meetings and</td> </tr> </table>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Kew - Fed into Sustainable User's network (SUN) meetings and
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Kew - Fed into Sustainable User's network (SUN) meetings and		
NGOs	<table border="1"> <tr> <td data-bbox="711 1182 804 1256"><input checked="" type="checkbox"/></td> <td data-bbox="804 1182 896 1256"><input type="checkbox"/></td> <td data-bbox="896 1182 989 1256"><input type="checkbox"/></td> <td data-bbox="989 1182 1082 1256"><input type="checkbox"/></td> <td data-bbox="1082 1182 1174 1256"><input type="checkbox"/></td> <td data-bbox="1174 1182 1426 1256">Link (UK NGO umbrella organisation) meetings</td> </tr> </table>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Link (UK NGO umbrella organisation) meetings
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Link (UK NGO umbrella organisation) meetings		
Public	<table border="1"> <tr> <td data-bbox="711 1256 804 1330"><input type="checkbox"/></td> <td data-bbox="804 1256 896 1330"><input type="checkbox"/></td> <td data-bbox="896 1256 989 1330"><input type="checkbox"/></td> <td data-bbox="989 1256 1082 1330"><input type="checkbox"/></td> <td data-bbox="1082 1256 1174 1330"><input type="checkbox"/></td> <td data-bbox="1174 1256 1426 1330">JNCC - CITES MSc course in Spain</td> </tr> </table>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	JNCC - CITES MSc course in Spain
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	JNCC - CITES MSc course in Spain		
Other parties/International meetings	<table border="1"> <tr> <td data-bbox="711 1330 804 1648"><input checked="" type="checkbox"/></td> <td data-bbox="804 1330 896 1648"><input checked="" type="checkbox"/></td> <td data-bbox="896 1330 989 1648"><input type="checkbox"/></td> <td data-bbox="989 1330 1082 1648"><input type="checkbox"/></td> <td data-bbox="1082 1330 1174 1648"><input type="checkbox"/></td> <td data-bbox="1174 1330 1426 1648">           JNCC - UK OTs and Crown Dependencies.             Kew - visit by Indian forestry officials (March '08) &amp; on UK delegation for CoP14 (June '07) &amp; Trinidad &amp; Tobago Herbarium (July '08)         </td> </tr> </table>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	JNCC - UK OTs and Crown Dependencies.  Kew - visit by Indian forestry officials (March '08) & on UK delegation for CoP14 (June '07) & Trinidad & Tobago Herbarium (July '08)
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	JNCC - UK OTs and Crown Dependencies.  Kew - visit by Indian forestry officials (March '08) & on UK delegation for CoP14 (June '07) & Trinidad & Tobago Herbarium (July '08)		
Other (specify)	<table border="1"> <tr> <td data-bbox="711 1648 804 1688"><input checked="" type="checkbox"/></td> <td data-bbox="804 1648 896 1688"><input checked="" type="checkbox"/></td> <td data-bbox="896 1648 989 1688"><input type="checkbox"/></td> <td data-bbox="989 1648 1082 1688"><input type="checkbox"/></td> <td data-bbox="1082 1648 1174 1688"><input type="checkbox"/></td> <td data-bbox="1174 1648 1426 1688">EC Scientific Authorities</td> </tr> </table>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	EC Scientific Authorities
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	EC Scientific Authorities		
4	<p>Please provide details of any additional measures taken</p> <p>A joint UKBA/Police CITES training course runs twice a year for up to 20 delegates per course.</p>						

**D7 Collaboration/co-operative initiatives**

1	<p>Is there an inter-agency or inter-sectoral committee on CITES? The Partnership for Action Against Wildlife Crime (PAW) oversees wildlife law enforcement generally and includes CITES in its remit, in the UK.</p> <p>CITES Officers Group (COG) – CITES MA, Police, Customs and the UK SA's</p> <p>A UK High Level Group (HLG) which includes the statutory enforcement agencies, Police, Customs and Govt Depts</p> <p>The CITES Joint Liaison Group (JLG) which is made up of NGO's, traders and CITES MA</p> <p>The Inter-departmental Ministerial Group on Biodiversity (IDMGB) Foreign and Commonwealth Office (FCO), Defra, Dept for International Development (DfID) and JNCC.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																								
2	<p>If Yes, which agencies are represented and how often does it meet? The PAW steering group meets three times a year as does the HLG. COG and JLG meetings are held 2-3 times a year. IDMGB met in March 2007.</p>																									
3	<p>If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):</p> <table border="1" data-bbox="252 705 1085 875"> <thead> <tr> <th></th> <th>Daily</th> <th>Weekly</th> <th>Monthly</th> <th>Annually</th> <th>None</th> <th>No information</th> <th>Other (specify)</th> </tr> </thead> <tbody> <tr> <td>Meetings</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Consultations</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)	Meetings	<input type="checkbox"/>		Consultations	<input type="checkbox"/>												
	Daily	Weekly	Monthly	Annually	None	No information	Other (specify)																			
Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																				
Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																				
4	<p>At the national level have there been any efforts to collaborate with:</p> <p>Agencies for development and trade</p> <p>Provincial, state or territorial authorities</p> <p>Local authorities or communities</p> <p>Indigenous peoples</p> <p>Trade or other private sector associations</p> <p>NGOs</p> <p>Other (specify)</p>	<p>Tick if applicable</p> <p><input checked="" type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p>	<p>Details if available</p> <p>Kew – in contact with FCO, DfID</p> <p>Capacity building with the Cayman Islands</p> <p>Corallium workshop</p> <p>Eel traders</p> <p>PAW membership is made up of Govt Dept/agencies, Police and Customs and also over 100 NGO partners</p> <p>Joint NGO Liaison Group meetings held 3 times a year, Sustainable Users Network (SUN pet/plant trade)</p> <p>LINK – conservation NGOs, TRAFFIC (wildlife trade monitoring) UNEP-WCMC</p>																							

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable
	Service Level Agreement (SLA) between JNCC and Animal Health	<input checked="" type="checkbox"/>
	SLA between RBG Kew and Defra	
	NWCU SLA with Animal Health	
	Enforcement MoU with Defra/Animal Health Agency/HM RC/NWCU	
	Customs	<input checked="" type="checkbox"/>
	Police	<input checked="" type="checkbox"/>
	Other border authorities (specify)	<input type="checkbox"/>
	Other government agencies	<input type="checkbox"/>
	Private sector bodies	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>
	Other (specify) MoU between JNCC and the Falkland Islands and JNCC and BIOT (in both cases for JNCC to act as scientific authority)	<input checked="" type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?	Tick if applicable
	Workshops JNCC: NDF workshop in Mexico, Corallium workshop-Hong Kong	<input checked="" type="checkbox"/>
	Meetings – EU CITES meetings, CITES Man Com, Scientific Review Group, Enforcement Working Group, Standing Committee, European eel EC working group	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
8	If Yes, which one(s) and in what way?	
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided? Enforcement training (Indian forestry officials and Polish enforcement officers) CITES & plants issues (Trinidad and Tobago), general CITES plants enquiries from EU member states . UKBA enforcement have provided CITES enforcement training (including working with the UKSA on technical training on timber issues) in Poland x 2, Romania x 2, Slovakia x 2, Cayman Islands and China.  As part of the UK/India Sustainable Development Dialogue (SDD) Defra has contributed financially to the development of a training plan for Indian wildlife law enforcers including a module on illegal trade in CITES specimens. SDD funding was also made available to fund an exchange trip of Indian officials to the UK to exchange good practice and gain knowledge of CITES implementation in the UK.	
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
12	If Yes, please give a brief description.	

13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
14	If Yes, please give a brief description. Kew training packages often include teaching CITES and CBD together. The UK MA policy team has expanded its remit to cover other MEA's including CMS and daughter agreements			
15	Please provide details of any additional measures taken:			

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty? Implementation of the personal effects derogation which UKBA think that whilst the regulations are understood by those who deal with CITES on a day-to-day basis they are not by the vast majority of customs officers who have to enforce them.			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Kew – identification of certain species, in particular timber species listed under CITES	No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required. Kew - difficult to identify individual species in trade. More research necessary to improve identification techniques e.g. DNA tests			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
7	If Yes, please give a brief description. Personal effects derogation, needs refining and clear guidance as it is complicated and difficult to understand. Annotations need clearer guidance, some enforcement officers find some of them confusing The definition of worked specimens is unclear with different countries having different ideas of what is worked, clear guidance is needed.			
8	Please provide details of any additional measures taken:			

## E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited 2007 seizures	Enclosed	<input checked="" type="checkbox"/>
	See attached	Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
	See attached	Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input checked="" type="checkbox"/>
	Comments Leaflet produced providing guidance on the commercial use of caviar has been published, a copy can be found at <a href="http://www.defra.gov.uk/animalhealth/publications/CITES/Caviar.pdf">http://www.defra.gov.uk/animalhealth/publications/CITES/Caviar.pdf</a>	Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.																																																					
2b	<p>If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:</p> <p>The review of The Control of Trade in Endangered Species (Ports of Entry) 1985 regulation started in Spring 2007 with a public consultation which completed in October 2007, a final impact assessment is in progress with a view to the regulation being in place in 2010</p> <p>Title and date: _____ Status: In progress</p> <p>Brief description of contents: The planned regulations will update the ports of entry and exit through which CITES specimens can enter the UK from third countries.</p>																																																					
5b	<p>Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species<sup>2</sup>?</p> <p>Tick all applicable categories below that these categories apply to.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width: 25%;">Issue</th> <th colspan="3" style="width: 33%;">The conditions for:</th> <th colspan="3" style="width: 33%;">The complete prohibition of:</th> </tr> <tr> <th style="width: 11%;">Yes</th> <th style="width: 11%;">No</th> <th style="width: 11%;">No information</th> <th style="width: 11%;">Yes</th> <th style="width: 11%;">No</th> <th style="width: 11%;">No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table> <p>Additional comments</p> <p>Some bird species must be registered with the department and the taking and sale of native birds and animal species needs a license under UK conservation legislation.</p> <p>The Natural Environment and Rural Communities Act 2006 (NERC) made it an offence to sell, offer or expose for sale, in a persons' possession or transport for the purpose of sale, certain live non-native species which are banned by Order</p> <p>A review of Schedule 9 to the Wildlife and Countryside Act 1981 and the ban on sale of certain non-native species was completed in January 2008.</p>						Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
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8b	<p>Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i>?</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 80%;"></th> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 10%;">No information</th> </tr> </thead> <tbody> <tr> <td>Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table> <p>Please provide details if available: a review of invasive non native species started in 2007</p>							Yes	No	No information	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																				
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<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

9b	<p>Please provide the following details about Regulations-related violations:</p> <ul style="list-style-type: none"><li data-bbox="231 134 1434 257">i) Maximum penalties that may be imposed; Under the 'Control of Trade in Endangered Species Regulations 1997', amended in 2005 and 2008 up to 5 years imprisonment and/or an unlimited fine. The Customs and Excise Management Act 1979 provides for up to 7 years imprisonment for certain import/export offences and/or an unlimited fine.</li><li data-bbox="231 257 1434 336">ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).</li></ul>
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### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	
		No information <input type="checkbox"/>		
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions; See annex			
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: All keepers of live Annex A listed specimens must mark al specimens kept for commercial purposes.			
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: UK action plan attached			
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	If yes, please provide details.			
	Comments: A dedicated UKBA/CITES team are based at Heathrow, The Animal Reception Centre at Heathrow can provide accommodation for CITES specimens, Animal Health Agency Wildlife Inspectors ensure compliance with CITES licensing requirements, GenProbe (formally Wildlife DNA Services) provide assistance with DNA testing of CITES specimens for enforcement authorities.			
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: UKBA train own detection staff and UK police forces wildlife officers			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: Animal Health wildlife inspectors provide direct support to enforcement officers and compliance checks where needed, in addition a Police Wildlife Crime Officer has been seconded to the post of Chief Wildlife Inspector to take a more enforcement focused approach to CITES compliance checks. Kew requested inspections at seven UK horticultural shows in '07 and five in '08 and tracked over 10 timber traders to ensure compliance			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II h.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: All UKBA customs examinations of CITES derivatives or live animals are conducted using a risk based system from low to high. In addition UKBA are able to set profiles on their computerised entry clearance system in order to automatically select or identify shipments being imported from third countries that are worthy of examination or require document validation i.e. CITES permits			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II i.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: at Heathrow Airport and Kew – Quarantine House available for plant seizures			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: As part of the Sustainable Development Dialogue the UK has with India, a number of exchange visits between enforcement and Government officials have taken place including visits to India to assist with the setting up of the Indian Wildlife Crime Bureau			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: visit to the UK from the Indian Forestry officials and Trinidad and Tobago Herbarium staff. Visit by UK NWCUC staff to India to exchange ideas on enforcement, visit of Indian officials to the UK to key government and enforcement officials			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>	
	Research undertaken into the impact of introducing full cost CITES fees to customers which also considered the impacts of on-compliance				
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>	

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>		
	If Yes, please give the species name and provide details of the kind of research involved.						
9b	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
No information <input type="checkbox"/>							

11 new	How many Scientific Review Group (SRG) meetings have the SA attended? Kew 4 in 2007, JNCC have attended all of them	Number	<input type="checkbox"/>
	Indicate any difficulties that rendered attendance to the SRG difficult:		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species		<input checked="" type="checkbox"/>
	– Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage 64.5%	<input type="checkbox"/>
		No information	<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. The UK have 26 companies registered under the provisions of Article 19 of EC Reg 865/2006. No companies were registered under Article 18.	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. During 2007/08 20 institutions were registered under the provisiond af Article 60 in 2007/08 Plants – review of listed/potential institutes underway	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. 110 breeders	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. There are 6 UK caviar re-packaging plants, details attached at Annex C	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. 5 export and 7 re-export permits have been issued retrospectively	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>