# COMPILATION OF EU MEMBER STATES' CITES BIENNIAL REPORTS 2005–2006

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### **CONTENTS**

Introduction	4
EU Member States' CITES biennial reports 2005-2006	5
Austria	5
Belgium	24
Cyprus	54
The Czech Republic	73
Denmark	92
Estonia	109
Finland	126
France	146
Germany	169
Greece	196
Hungary	222
Ireland	241
Italy	261
Latvia	279
Lithuania	297
Luxembourg	317
Malta	335
The Netherlands	353
Poland	377
Portugal	396
Slovakia	414
Slovenia	432
Spain	455
Sweden	481
United Kingdom	497
Annex I	
Bulgaria	520
Romania	534

### INTRODUCTION

The Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) is implemented in the European Union (EU) through two main regulations: *Council Regulation (EC) No. 338/97* and *Commission Regulation (EC) No. 865/2006* (hereafter referred to jointly as the European Community (EC) Wildlife Trade Regulations).

According to Article 15 (c) of Council Regulation (EC) No. 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein, EU Member States should report biennially to the European Commission "all the information relating to the preceding two years required for drawing up the reports referred to in Article VIII.7 (b) of the Convention and equivalent information on the provisions of this Regulation that fall outside the scope of the Convention".

In October 2005, EU Member States agreed to use the new format for biennial reports as agreed at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES in October 2004. This biennial report format for EU Member States consists of two parts: Part 1 is composed exclusively of questions included in the CITES biennial report format, and Part 2 includes supplementary questions which are related to information on the provisions of the EC Wildlife Trade Regulations, that fall outside the scope of CITES

Biennial reports for 2005–2006 were received by the European Commission from all 25 EU Member States that were part of the EU during the reporting period. This compilation presents the individual biennial reports 2005-2006 from the 25 EU Member States, in alphabetical order, in the format in which they were received. Bulgaria and Romania, having joined the EU in January 2007, were not required to submit biennial reports to the Commission for the 2005–2006 reporting period. Nonetheless, both Member States voluntarily submitted Part 1 of the biennial report, and these were included in Annex 1 of the compilation.

The electronic version of annexes to the Biennial Reports 2005-2006 submitted by Member States are compiled on a CD-ROM and are available on the CIRCA website.

Please be aware that the table of contents of this document refers to page numbers in the electronic version only.

# AUSTRIA

# **AUSTRIAN BIENNIAL REPORT 2005 AND 2006**

# PART 1 - CITES QUESTIONS

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

<sup>\*</sup> Document as discussed and agreed at COM 34 held on 14 June 2005.

### A. General information

Party	AUSTRIA
Period covered in this report: 1 January 2005 to 31 December 2006	2005-2006
Details of agency preparing this report	Austrian Federal Ministry of Agriculture, Forestry, Environment and Water Management
Contributing agencies, organizations or individuals	9 Austrian Scientific Authorities, Federal Ministry of Finance (Customs Authority)

# B. Legislative and regulatory measures

1	Has information on CITES- under the CITES National I			eady been provided	Yes (1	• /			
	If yes, ignore questions 2, 3	-	Project?			partly)			
	11 yes, ignore questions 2, 3	and 4.			No			빌	
				No information/unknown					
2	If your country has planned details:	, drafted or	enacted any	CITES-relevant le	gislation, p	lease pro	vide the follow	/ing	
	Title and date: Amer Species Trade Act, 07th I			Status:					
	Species Marking Ordinance (incl. Annexes), 19th April 2006								
	Brief description of content	s: Amendm	ents to the S	Species Trade Act a	nd a new S	pecies M	arking Ordinar	nce	
3	Is enacted legislation availa	ble in one o	f the worki	ng languages of the	Yes			$\boxtimes$	
	Convention?				No				
					No in	formation	1		
4	If yes, please attach a copy		egislative te	xt or key legislative	legisla	tion attac	hed	$\boxtimes$	
	provisions that were gazette	ed.			provid	ed previo	ously		
							rill send later		
5	Which of the following issu your country has adopted for				neasures th	at Tick	all applicable	!	
	(in accordance with Article	XIV of the	Convention	n)?					
			The conditi	ions for:	The	complete	prohibition of:		
	Issue	Yes	No	No information	Yes	No	No informa	tion	
	Trade					$\boxtimes$			
	Taking					$\boxtimes$			
	Possession					$\boxtimes$			
	Transport					$\boxtimes$			
	Other (specify)								
	Additional comments			of the European Un					
		measures	are regula	ted in the Europea	n Regulati	ion (EC)	No. 338/97.		

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?						
	Item	Adequate	Partially Inadequate	Inadequate	No information		
	Powers of CITES authorities	$\square$					
	Clarity of legal obligations						
	Control over CITES trade						
	Consistency with existing policy on wildlife management and use						
	Coverage of law for all types of offences						
	Coverage of law for all types of penalties						
	Implementing regulations	$\square$					
	Coherence within legislation	$\square$					
	Other (please specify):						
	Please provide details if available:						
	See answer to question 5! This was n	o official review,	only an internal	assessment!			
7	If no review or assessment has taken pl reporting period?	ace, is one planne	d for the next	Yes No			
	Please provide details if available: <b>A w</b>	orking group wil	l be initiated.	No	information		
8	Has there been any review of legislatio relation to implementation of the Conv		subjects in	Tick al	l applicable		
	Subject		Yes	No	No information		
	Access to or ownership of natural resou	ırces					
	Harvesting				$\square$		
	Transporting of live specimens						
	Handling and housing of live specimen	S			$\square$		
	Please provide details if available:						
9	Please provide details of any additional	measures taken:					

# C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been und	dertaken?		
	Review of reports and other information provided by traders and producers:		$\boxtimes$	
	Inspections of traders, producers, markets	$\boxtimes$		
	Border controls	$\boxtimes$		
	Other (specify)			
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?			
3	If Yes, please indicate how many and for what types of violations? If a Annex.	available, p	lease attacl	n details as
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?			

5	If information available:		Numb	er	
	☐ Significant seizures/confiscations				
	☐ Total seizures/confiscations				
	If possible, please specify per group of species or attach details on annex.				
	See attached list of confiscations 2005 and 2006!				
6	Have there been any criminal prosecutions of significant CITES-related violations?	$\boxtimes$		[	$\boxtimes$
7	If Yes, how many and for what types of violations? If available, please	attach det	ails as Anne	ex.	
	There was one case concerning a smuggle of 49 Geochelones (35 elevia Slovenia to Austria in 2006, this case was taken to court – but wabout a sentence up to now.				
8	Have there been any other court actions of CITES-related violations?			[	
9	If Yes, what were the violations involved and what were the results? Please a	ttach detai	ls as Annex.		
10	How were the confiscated specimens generally disposed of?	N	Ti	ck if ap	plicable
	<ul> <li>Return to country of export</li> </ul>				Ш
	<ul> <li>Public zoos or botanical gardens</li> </ul>				$\boxtimes$
	Designated rescue centres				$\boxtimes$
	<ul><li>Approved, private facilities</li><li>Euthanasia</li></ul>				
-	<ul><li>Other (specify)</li></ul>				
	Comments:	****			
11	Has your country provided to the Secretariat detailed informatio	n on	Yes		$\boxtimes$
	significant cases of illegal trade (e.g. through an ECOMESSAGE of their manner) or information on convicted illegal traders and	or	No		
	other means), or information on convicted illegal traders and persistent offenders?		Not applic	able	
	•		No inform	ation	
	Comments: The CITES-Secretariat and also the European Commiss	sion will l	oe informed	l quart	erly.
12	Has your country been involved in cooperative enforcement activities w	ith	Yes		
	other countries		No		
	(e.g. exchange of intelligence, technical support, investigative assistanc joint operation, etc.)?	e, 	No informa	tion	
13	If Yes, please give a brief description:				
14	Has your country offered any incentives to local communities to assist in		Yes		
	enforcement of CITES legislation, e.g. leading to the arrest and convicts offenders?	ion oi	No		
			No informa	tion	
15	If Yes, please describe:				
16	Has there been any review or assessment of CITES-related enforcement	t?	Yes		
			No		
			Not applic		
		••••	No informa	tion	
1.5	Comments:				
17	Please provide details of any additional measures taken:				

### D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information	Yes	
	for the MA(s) in your country which are not yet reflected in the CITES	No	$\boxtimes$
	Directory?	No information	
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been	Yes	
	designated?	No	
	In Austria there is only one MA.	No information	$\boxtimes$
4	If Yes, please name that MA and indicate whether it is identified as the lead M	IA in the CITES Direct	ctory.
5	How many staff work in each MA? 10 persons		
6	Can you estimate the percentage of time they spend on CITES related	Yes	$\boxtimes$
	matters?	No	
		No information	
	If yes, please give estimation		
	5 persons working fulltime, 1 person part-time, 4 persons working each		
	50 %		
7	What are the skills/expertise of staff within the MA(s)?	Tick if ap	oplicable
	– Administration		$\boxtimes$
	– Biology		$\boxtimes$
	– Economics/trade		
	– Law/policy		$\boxtimes$
	- Other (specify)		
	<ul> <li>No information</li> </ul>		
8	Have the MA(s) undertaken or supported any research activities in relation to	Yes	
	CITES species or technical issues (e.g. labelling, tagging, species	No	
	identification) not covered in D2(8) and D2(9)?	No information	
9	If Yes, please give the species name and provide details of the kind of research	h involved	
,	Financial support for the Bulbophyllum-project together with the Univer		nicol
	Garden).	sity of vicinia (Dotai	ncai
10	Please provide details of any additional measures taken		-

### D2 Scientific Authority (SA)

1		n any changes in t your country wh		Yes No No informatio	n		
2	In the scientific	c Authority of Sa	y to provide those alzburg there is a enicht@salzburg.	new perso			
3	Management A	uthority?	cientific Authority	-		Yes No	
		signated 9 differ the federal coun	ific	No informatio	n 🗌		
4	What is the stru	Tic	k if applicable				
	– Governi	ment institution					$\boxtimes$
	– Academ	nic or research ins					
	– Perman	ent committee					
	- Pool of	individuals with o	certain expertise				
	– Other (s	specify)					
5	·		on CITES issues			_	
6	-	te the percentage	of time they spend	d on CITES	S related	Yes	$\boxtimes$
	matters?					No	
	If you places of	ivo actimation				No informatio	n 📙
	If yes, please gi		ne staff is only wo	rking 50 9	% on CITES		
	related matter		ie stair is omy wo	TKIIIg 50	70 OH CITES		
7	What are the sk	tills/expertise of s	taff within the SA	(s)?		Tic	k if applicable
	– Botany						$\boxtimes$
	- Ecology	/					$\boxtimes$
	– Fisherie	es					
	- Forestry	7					
	– Welfare	•					
	– Zoology						$\boxtimes$
	– Other (s	specify) Law/	policy				$\boxtimes$
	- No info	ormation					
8	•		een undertaken	by the SA	(s) in	Yes	
	relation to CIT	TES species?				No	
						No informatio	n 🖂
9		tive the species na	me and provide de		T	ch involved.	
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
				-		No information	on $\square$
10	Have any proj	ject proposals fo	r scientific resea	rch been s	submitted to	Yes	$\boxtimes$
	the Secretariat	under Resoluti	on Conf. 12.2?			No	
						No information	on
11	Please provide	details of any add	itional measures ta	aken:			
	Research on th	ne Bulbophyllum	-project of the U	niversity o	f Vienna (Bota	nical Garden).	

D3	Enforcement Authorities
----	-------------------------

1	To date, has your country advised the Secretariat of any enforcement	Yes	$\boxtimes$
	authorities that have been designated for the receipt of confidential enforcement information related to CITES?	No	
	Austrian Federal Ministry of Finance (also Customs Authority)	No information	
2	If No, please designate them here (with address, phone, fax and email).		
3	Has your country established a specialized unit responsible for CITES-	Yes	$\boxtimes$
	related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	No	
		Under consideration	
	The Contains Authority whose slee the malies is constituted involved.	No information	
	The Customs Authority where also the police is sometimes involved.		
4	If Yes, please state which is the lead agency for enforcement: Austrian Fo	ederal Ministry of Finance	
5	Please provide details of any additional measures taken:		
<u> </u>			

### D4 Communication, information management and exchange

1	To what extent is CIT	ES infor	mation in y	our country	compu	iterized?	Tick if applicable			
	<ul> <li>Monitoring an</li> </ul>	d reporti	ng of data o	on legal trac	le		$\boxtimes$			
	<ul> <li>Monitoring an</li> </ul>	d reporti	ng of data o	on illegal tra	ade		$\boxtimes$			
	<ul> <li>Permit issuance</li> </ul>	$\boxtimes$								
	– Not at all									
	- Other (specify									
2	Do the following auth	orities h	ave access t	to the Interr	et?		Tick if applicable			
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate			
	Management Authority	$\square$								
	Scientific Authority									
	Enforcement Authority									
3	Do you have an electr	onic info	ormation sy	stem provid	ling info	ormation	on CITES Yes			
	species?						No			

4	If Yes, does it provide information on:		Tick if applicable				
	Legislation (national, regional or internation						
	Conservation status (national, regional, integration)	ernational)?		$\boxtimes$			
	- Other (please specify)?						
5	Is it available through the Internet:		Yes	s			
			No	$\boxtimes$			
	Furthermore we also use the german web-site w	ww.wisia.de.	No	t applicable			
			No	information $\Box$			
	Please provide URL:						
6	Do the following authorities have access to the following		Tick if applicable				
	Publication	Scientific Authority	Enforcement Authority				
	2003 Checklist of CITES Species (book)						
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)						
	Identification Manual	$\boxtimes$		$\boxtimes$			
	CITES Handbook	$\boxtimes$	$\boxtimes$				
7	If not, what problems have been encountered to acc	cess to the mentioned	d information?				
8	Have enforcement authorities reported to the Mana	gement Authority or	1:	Tick if applicable			
	– Mortality in transport?			$\boxtimes$			
	– Seizures and confiscations?			$\boxtimes$			
	Discrepancy in number of items in permit and n	number of items actu	ally traded?	$\boxtimes$			
	Comments:						
9	Is there a government website with information on	CITES and its	Yes				
	requirements?		No				
			No in	nformation			
	If Yes, please give the URL: www.cites.at		<del> </del>				
10	Have CITES authorities been involved in any of the bring about better accessibility to and understandin requirements to the wider public?			Tick if applicable			
	- Press releases/conferences						
	Newspaper articles, radio/television appeara	ances					
	− Brochures, leaflets ⊠						
	- Presentations						
	- Displays						
	Information at border crossing points			$\boxtimes$			
	<ul> <li>Telephone hotline</li> </ul>						
	- Other (specify)		***************************************				
	Please attach copies of any items as Annex.			_			
11	Please provide details of any additional measures to						
	Austrian Campaign for Travel guides and Trave	ellers which is mad	e together with	WWF-Austria.			

### D5 Permitting and registration procedures

1	Have any changes in permit for	Yes					
	empowered to sign CITES pern Secretariat?	nits/certificates bee	n reported	previously to	o tne	No	
	Secretariat					Not applicable	닏
	If no, please provide details of a	any:				No information	Ш
	Changes in permit format:						
	Changes in designation or s						
2	To date, has your country devel following?	oped written permi	es for any of	the	Tick if applicable		
				Yes	No	No informati	on
	Permit issuance/acceptance						
	Registration of traders						
	Registration of producers						
3	Please indicate how many CITH	ES documents were	issued or	denied in the	two year	period?	
	(Note that actual trade is norma documents).	lly reported in the	Annual Re	port by Parti	es. This qu	uestion refers to issu	ıed
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments	
	How many documents were issued?	1936	233	2796	No		
	How many applications were					Suspected fraud of	
	denied because of severe ommissions or mis-	1	0	0	No	export-permit from Cameroon.	m
	information?					Cameroon.	
	Year 2				<u> </u>		
	How many documents were	2244	149	3207	No		
	issued?						
	How many applications were					Negative opinion	of the
	denied because of severe	1	0	0	No	SRG.	
	ommissions or mis- information?	_	_				
4		t ware issued leter	 	and ranloas	l haaayaa	Yes	
4	Were any CITES documents the of severe ommissions or mis-in		cancelled	and replaced	i because	No	
		ioimution:				No information	
5	If Yes, please give the reasons to	for this				No information	
6	Please give the reasons for re		documen	ts from othe	or	Tick if applicable	
Ü	countries.	getton of CIILO	aocumen	to from our	_1	Tien ii appiicacie	
		ason		Yes	No	No informati	 on
	Technical violations						
	Suspected fraud						
	Insufficient basis for finding of	non-detriment					
	Insufficient basis for finding of						
	Other (specify)	- 3 - 1 - 1 - 1 - 1					
	An export-permit from Came	roon was rejected	because o	of a			
	suspected fraud.						
7	Are harvest and/or export quota	s as a management	tool in the	e procedure f	or	Yes	$\boxtimes$
	issuance of permits?					No	
						No information	
	Comments						
8	How many times has the Scient	•	-	•	•		
	Our Scientific Authorities wil						
9	Has the Management Authority	charged fees for pe	ermit issua	ince, registra	tion or	Tick if app	olicable
	related CITES activities?						

	<ul> <li>Issuance of CITES documents:</li> <li>Licensing or registration of operatio</li> <li>Harvesting of CITES-listed species</li> <li>Use of CITES-listed species:</li> <li>Assignment of quotas for CITES-lis</li> <li>Importing of CITES-listed species:</li> <li>Other (specify):</li> </ul>	:		CITES sp	ecies:		
10	If Yes, please provide the amounts of such f						
11	Have revenues from fees been used for the in wildlife conservation?	mplemer	ntation of	f CITES	or		Tick if applicable
	- Entirely:						П
	Partly:						
	– Not at all:						$\boxtimes$
	– Not relevant:						
	Comments: All revenues of fees are directl	y going	to the A	ustrian			
12	Federal Ministry of Finance.  Please provide details of any additional mea	curac tak	on:				
12	Trease provide details of any additional mea	suies tak	.011.				
D6	Capacity building						
1	Have any of the following activities been u CITES implementation at the national level		n to enh	ance effe	ctiven	ess of	Tick if applicable
	Increased budget for activities	$\boxtimes$	Impro	vement c	of natio	onal	
	Hiring of more staff			ase of tec oring/enf			oment for
	Development of implementation tools	П		uterisatio			$\boxtimes$
	- Other (specify)		1				
2	Have the CITES authorities in your country	heen th	e recinie	ent of any	of th	e follo	wing capacity building
	activities provided by external sources?	occii tii	Crecipie	m or uny	or un	<b>c</b> 10110	wing capacity banding
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other (specify)						

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?									
	Please tick boxes group and which a		te which targ	Oral or written	advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Managem	nent Auth	nority							
	Staff of Scientific	Authorit	ty							Internal Trainings
	Staff of enforceme	ent autho	orities							
	Traders				] [					WWF-Austria
	NGOs									Financial support for the information campaign of the WWF-Austria to traders and the public.
	Public			L						
	Other parties/Inter	rnational	meetings							
	Other (specify)									
4	Please provide de	tails of a	ny additional	l measure	es tak	en				
D7 Co	llaboration/co-op	erative i	initiatives							
_	Is there an inter-agency or inter-sectoral committee on CIT									_
1	Is there an inter-a	igency or	inter-sector	al commi	ttee c	on CITI	ES?			Yes □ No □ No information □
2	Is there an inter-a									No 🖂
		encies are up with 1 Enforcer	e represented members of ment Author	l and how	ofter	n does i	it meet?			No 🖂
	If Yes, which age A task force ground Committee, the leading phytosanitary-age	encies are up with the Enforcer gency wat	e represented members of ment Author as initiated.	and how  the Anin  rity and v	ofter nals- veter	n does i and Plainary-	it meet? lants- and			No 🖂
2	If Yes, which age A task force ground Committee, the lightytosanitary-age If No, please indicates	encies are up with the Enforcer gency was cated the	e represented members of ment Author as initiated. e frequency o (e.g. other M	and how  the Anin  rity and v	ofter nals- veter gs or s), Cu	n does i and Plainary-	it meet? lants- and	others		No No information   IA to ensure co-ordination  Other (specify)
2	If Yes, which age A task force ground Committee, the lightytosanitary-age If No, please indicates	encies are up with the Enforcer gency was cated the thorities	e represented members of ment Author as initiated. e frequency o (e.g. other M	I and how the Anim rity and v	often nals- veter gs or s), Cu	n does i and P inary- consult ustoms,	it meet? lants- and ancies us	others	): No	No No information   IA to ensure co-ordination  Other (specify)
2	If Yes, which age A task force grow Committee, the l phytosanitary-ag  If No, please indication of the committee of the last series of the committee of the com	encies are up with the Enforcer gency was cated the thorities	e represented members of ment Author as initiated. e frequency o (e.g. other M	I and how the Anim rity and v	often nals- veter gs or s), Cu	n does i and Pl inary- consult ustoms, nually	it meet? lants- and ancies us	info	): No	No No information   IA to ensure co-ordination  Other (specify)
2	If Yes, which age A task force grown Committee, the legal phytosanitary-age If No, please indication among CITES automore CITES automore CITES automore cite and cite	encies are up with a Enforcer gency wa cated the thorities Daily	e represented members of ment Author as initiated. e frequency o (e.g. other M Weekly	and how  The Anim  Tity and v  of meeting  MAs, SA(s  Monthly	often nals- veter gs or s), Cu Ann	n does i and Pl inary- consult ustoms, nually	ancies us police, o	info	No rmation	No No information   IA to ensure co-ordination  Other (specify)  as required
3	If Yes, which age A task force grown Committee, the I phytosanitary-age If No, please indication among CITES automore CITES automore Consultations At the national level of the consultation and the consultation are consultations.	encies are up with a Enforcer gency wa cated the thorities Daily U u vel have	e represented members of ment Author as initiated. e frequency o (e.g. other M Weekly	and how  The Anim  Tity and v  of meeting  MAs, SA(s  Monthly	often nals- veter gs or s), Cu Ann	n does i and Pl inary- consult ustoms, nually	ancies us police, o	info	No rmation	No No information   IA to ensure co-ordination  Other (specify)  as required
3	If Yes, which age A task force grown Committee, the I phytosanitary-age If No, please indication among CITES automore CITES automore Consultations At the national lecollaborate with:	encies are up with a Enforcer gency wa cated the thorities Daily  U vel have	e represented members of ment Author as initiated.  frequency or (e.g. other M Weekly  there been and	I and how  I the Anim  rity and v  of meeting  MAS, SA(s  Monthly	often nals- veter gs or s), Cu Ann	n does i and Pl inary- consult ustoms, nually	ancies us police, o	info	No rmation	No No information   IA to ensure co-ordination  Other (specify)  as required
3	If Yes, which age A task force grown Committee, the legal phytosanitary-age.  If No, please indication among CITES authorized the consultations.  At the national legal collaborate with:  Agencies for developments.	encies are up with a Enforcer gency wa cated the thorities  Daily  vel have	e represented members of ment Author as initiated. e frequency o (e.g. other M Weekly  there been an and trade tial authoritie	I and how I the Anim I	often nals- veter gs or s), Cu Ann	n does i and Pl inary- consult ustoms, nually	ancies us police, o	info	No rmation	No No information   IA to ensure co-ordination  Other (specify)  as required
3	If Yes, which age A task force group Committee, the Inphytosanitary-age If No, please indications among CITES automorphisms Consultations At the national leccollaborate with: Agencies for development of the Provincial, state of the committee of	encies are up with a Enforcer gency wa cated the thorities Daily  vel have elopment or territor or comm	e represented members of ment Author as initiated. e frequency o (e.g. other M Weekly  there been an and trade tial authoritie	I and how I the Anim I	often nals- veter gs or s), Cu Ann	n does i and Pl inary- consult ustoms, nually	ancies us police, o	info	No rmation	No No information   IA to ensure co-ordination  Other (specify)  as required
3	If Yes, which age A task force grown Committee, the Inphytosanitary-age If No, please indicated among CITES and Meetings Consultations At the national lecollaborate with: Agencies for devermination of the Provincial, state of Local authorities Indigenous people Trade or other principles.	encies are up with a Enforcer gency wa cated the thorities Daily  vel have elopment or territor or comm es	e represented members of ment Author as initiated.  frequency or (e.g. other M Weekly  there been an and trade rial authorities	I and how  I the Anim  rity and v  of meeting  MAS, SA(s  Monthly	often nals- veter gs or s), Cu Ann	n does i and Pl inary- consult ustoms, nually	ancies us police, o	info	No rmation	No No information   IA to ensure co-ordination  Other (specify)  as required
3	If Yes, which age A task force grown Committee, the I phytosanitary-age If No, please indications CITES automore CITES automore CITES automore Consultations At the national leccollaborate with: Agencies for development of the Committee	encies are up with a Enforcer gency wa cated the thorities Daily  vel have elopment or territor or comm es	e represented members of ment Author as initiated.  frequency or (e.g. other M Weekly  there been an and trade rial authorities	I and how I the Anim rity and v of meeting MAs, SA(s Monthly  I I I I I I I I I I I I I I I I I I	often nals- veter gs or s), Cu Ann	n does i and Pl inary- consult ustoms, nually	ancies us police, o	info	No rmation	No No information   IA to ensure co-ordination  Other (specify)  as required

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if appl	icable
	SA		
	Customs		
	Police		
	Other border authorities (specify)		
	Other government agencies		
	Private sector bodies		
	NGOs		
	Other (specify)		
	No Memorandum of Understanding has been made.		
6	Has your country participated in any regional activities related to CITES?	Tick if appl	icable
	Workshops EU Wildlife Trade Enforcement Co-		$\boxtimes$
	ordination Workshop (Oct. 2005) in the UK		
	Workshop concerning difficulties in		
	the EC-Regulations (Nov. 2006) in		
	Vilm, Germany		
	Meetings		$\boxtimes$
	Other (specify)		
7	Has your country encouraged any non-Party to Yes		
	accede to the Convention? No		$\boxtimes$
	No information		
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to	Yes	$\boxtimes$
	another country in relation to CITES?	No	
		No information	
10	If Yes, which country(ies) and what kind of assistance was provided?		
	Financial assistance for the MIKE-programme on African Elephants to the	ne CITES-Secretariat	
11	(€15.000,-).	37	
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes	
	Species identification for Bulbophyllum-species.	No	
12		No information	
12	If Yes, please give a brief description.	Yes	$\overline{\square}$
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other	y es No	
	multilateral environmental agreements (e.g. the biodiversity-related	No information	
	Conventions)?	140 miormation	
14	If Ves, places give a brief description		
14	If Yes, please give a brief description.  Our expert for the CITES-Convention on the plants-section is also the ex	nert for the Riediversi	itv.
	Convention.	percior the biodiversi	icy-
15	Please provide details of any additional measures taken:		

### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the level and what is the respective level of priority?						
	Activity	High	Medium	Low			
	Increased budget for activities		$\boxtimes$				
	Hiring of more staff						
	Development of implementation tools						
	Improvement of national networks						
	Purchase of new technical equipment for monitoring and enforcement						
	Computerisation						
	Other (specify)						
2	Has your country encountered any difficulties in implementing specific	Yes					
	Resolutions or Decisions adopted by the Conference of the Parties?	No		$\boxtimes$			
		No info	rmation				
3	If Yes, which one(s) and what is the main difficulty?						
4	Have any constraints to implementation of the Convention arisen in your country	Yes					
	requiring attention or assistance?	No		$\boxtimes$			
		No inf	formation				
5	If Yes, please describe the constraint and the type of attention or assistance that is	required	<u>l.</u>				
6	Has your country identified any measures, procedures or mechanisms within the	Yes		$\boxtimes$			
	Convention that would benefit from review and/or simplification?	No					
		No inf	formation				
7	If Yes, please give a brief description. Marking of live reptiles (photo-docume	entation (	or micro-ch	ips)			
8	Please provide details of any additional measures taken:						

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	$\boxtimes$
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	$\boxtimes$
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	
		Not available	$\boxtimes$
		Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for	Enclosed	$\boxtimes$
	educational or public awareness purposes,	Not available	
		Not relevant	
	Comments		

### ANNEX - PROPOSED BIENNIAL REPORT FORMAT

# PART 2 - SUPPLEMENTARY QUESTIONS

Note: Questions in Part 2 are additional to those in Part 1, and relate to information on the provisions of the Regulation that fall outside the scope of CITES.

# ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:								
	Title and date: Status:								
	Brief description of conte	nts:							
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?  Tick all applicable categories below that these categories apply to.								
			The condit	tions for:		The complete	prohibition of:		
	Issue	Yes	No	No information	ı Y	es No	No information		
	Trade		$\boxtimes$						
	Taking		$\boxtimes$						
	Possession		$\boxtimes$						
	Transport		$\boxtimes$						
	Other (specify)		$\boxtimes$						
	Additional comments								
8b	Has there been any review relation to implementation			ollowing subjects					
					Yes	No	No information		
	Introduction of live Regul Community that would th					$\square$			
	(in accordance with Artic			auna and nora					
	Marking specimens to fac	ilitate identi		accordance					
	with Article 19, paragraph	1 (iii))							
	Please provide details if a	vailable,		<u> </u>					
	Review of the Species M								
9b	Please provide details of maximum penalties that may be imposed for Regulation-related violations, or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).								
	There are no informatio	ns about ma	ximum po	enalties available.	. The Au	strian Species	Trade Act		
	regulates that there is a	possibility fo	or penaltio	es up to €36.340,	or to be	e sentenced up	to two years		
	imprisonment.								

<sup>&</sup>lt;sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

2b	Have any actions, in addition to those reported in C (2-9) above, been taken	Yes	
	for Regulation-related violations?	No	$\boxtimes$
		No information	
16b	Has there been any review or assessment of Regulation-related	Yes	
	enforcement, in addition to that reported under C (16) above?	No	$\boxtimes$
		No information	
	Comments:		
17	in captivity or artificially propagated? (In accordance with Commission	Yes	$\boxtimes$
new		No	
		No information	
	Comments: Marking of birds will be done by authorized persons (see list!)	•	
18	Have any monitoring activities been undertaken to ensure that the intended	Yes	$\boxtimes$
new	accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article	No	
	4 paragraph 1 (c))	No information	
	Comments: This activities are executed by our Scientific Authorities befor import.	e issuing an expertise	for the
	D. Administrative measures		
1 Ma	nagement Authority (MA)		
8b	Have the MA(s) undertaken or supported any research activities in relation to	Yes	
	non CITES-listed species or technical issues (e.g. species identification) not	No	$\boxtimes$
	covered in D2 (8) and D2 (9)?	No information	

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	
10 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2),	Yes No No information	

# D2 Scientific Authority (SA)

8b	Have any resea	arch activities be	Yes						
	relation to non	CITES listed sp	ecies?			No			
						No information	n 🖂		
9b	If Yes, please gi	ve the species nar	me and provide de	etails of the	e kind of researc	h involved.			
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)		
	1								
	2								
	3								
	etc.								
						No information	on 🗌		
11 new	How many Sci attended?	entific Review (	Group (SRG) me	etings ha	ve the SA	Number			
ne w	All 8 meetings	All 8 meetings of the SRG have been attended.							
	Indicate any dif	ficulties that rende	ered attendance to	the SRG	difficult:				

D3	Enforcement	<b>Authorities</b>	c

6	Has a liaison officer/focal point for CITES been nominated within each relevan			
new	, year comments	es		
		lo		
	J	Inder consideration		
	see answer in D7 (2)	No information		
D4 Co	mmunication, information management and exchange			
1b	Is Regulation-related information in your country computerized on?	Tick if app	licable	
	Annex D listed species		$\boxtimes$	
	Other matters not reported on in question D4 (1) (please specify)			
3b	Do you have an electronic information system providing information on	Yes		
	Regulation-listed species?	No		
	Register of marked specimens (only Annex A), only where documents applied for.	No information		
<b>D5 Pe</b> i 9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes No No information		
D8	Areas for future work			
2b	Has your country encountered any difficulties in implementing specific	Yes		
	suspensions or negative opinions adopted by the European Commission? (In	No	$\boxtimes$	
	accordance with Article 4 (6))	No information		
4b	Have any constraints to implementation of the Regulation, not reported under	Yes		
	question D8(4), arisen in your country requiring attention or assistance?	No	$\boxtimes$	
		No information		
7 bis new	Can you indicate the percentage of permits/certificates issued that are returned the MA after endorsement by customs?			
new	Every document which is endorsed by the customs will be returned to the Management Authority as soon as possible.	Number : XX		
	The difference between the issued documents of the MA and the returned documents by customs can only occure when applicants do not use their documents and not send it to the issuing MA.			

# **BELGIUM**

# A. Informations générales

Partie	
Période couverte par ce rapport: 1er janvier 2003 au 31 décembre 2004	2005-2006
Service préparant le rapport	SPF SANTE PUBLIQUE, SECURITE DE LA CHAINE ALIMENTAIRE ET ENVIRONNEMENT
	DG Animaux, Végétaux et Alimentation
	Service Bien-être animal et CITES
	EUROSTATION Bloc II - 7ème étage
	Place Victor Horta 40 bte 10
	1060 Bruxelles
	tél. 0032 2 524.74.00
	fax. 0032 2 524.74.47
Services, organisations ou personnes y ayant	Georges.Evrard@health.fgov.be
contribué	isabelle.gregoire@health.fgov.be

### B. Mesures législatives et réglementaires

1				ES ont-elles déjà été		Oui (cor	mplètement)	$\boxtimes$
		•	dre du projet sur les législations nationales?			Oui (pai	rtiellement)	
	Si oui, passer à la	question 5				Non		
						Pas d'in	formation	
2	Si une législation C	CITES a ét	é planifié	e, préparée ou adopte	ée, veu	illez ind	iquer:	
	le titre et la date de	e la législat	ion:	où elle en	est:			
	Brève description of	du contenu	ı:					
3	Existe-t-il une légis	lation ado	ptée, disp	onible dans une des	·	Oui		
	langues de travail	de la Conv	ention?			Non		
						Pas d'in	formation	
4	Si oui, veuillez join	Si oui, veuillez joindre une copie du texte législatif complet				législation jointe		
	ou des principales	disposition	ns législat	tives publiées au	fou	rnie ant	érieurement	
	journal officiel.				nor	n dispon	nible, sera	
							ltérieurement	
5		Quelles sont parmi les questions suivantes celles qui sont traitées par						
	des mesures internes plus strictes adoptées pour des espèces CITES							
	(conformement a l'applicables	Article XIV	de la Co	nvention)? Cochez le	s case	:S		
	applicables	Sous con	ditions:		Intord	iction co	mplète:	
		Sous con	uitions.		interu		Implete.	
	Question	Oui	Non	Pas d'information	Oui	Non	Pas d'inform	ation
	Commerce							
	Prélèvement							
	Possession	$\boxtimes$						
	Transport							
	Autre (à préciser)	П	П	П				

### Autres commentaires

### \* Commerce:

- Règlement CE du Conseil n° 338/97 relatif à la protection des espèces de faune et de flore sauvages par le contrôle de leur commerce (disponible à l'adresse: http://ec.europa.eu/environment/cites/home\_fr.htm.) et le Réglement de la Commission CE n°1808/2001 portant les modalités d'application (remplaçé par le Réglement n° 865/06 du 4 mai 2006) fournit des mesures plus strictes pour le commerce des espèces visées par la CITES:

- Un permis d'importation est requis pour les importations de spécimens des Annexes (CE) A et B.
- Possibilité d'établir des restrictions à l'importation pour certaines combinaisons espècespays; avis négatifs à l'importation de certaines combinaisons espèces-pays établis par le "Scientific Review Group" de la CE.
- Les activités commerciales sont interdites en ce qui concerne les spécimens d'espèces inscrites à l'Annexe (CE) A sauf dérogations générales (espèces fréquemment élevées en captivité, cf. Annexe VIII du Règlement 1808/2001 de la Commission (remplacé en 2006 par le Règlement n°865/06 du 4 mai 2006) ou particulières (certificats délivrés au cas par cas et sous certaines conditions).
- Au niveau belge: Obligation pour toute personne commercialisant des spécimens d'animaux de l'Annexe A ou B de tenir un registre des entrées et des sorties.
- Espèces CITES aussi visées par les Directives "Oiseaux" (n°79/409/CEE) et "Habitat" (n° 92/43/CEE) : la compétence concernant la détention et de la commercialisation des espèces indigènes visées par ces directives appartient aux Régions.

### \*Possession/Détention:

- Loi du 28/07/1981 portant approbation de la CITES:

Interdiction de détention de spécimens de l'Annexe I sauf dérogations générales ou particulières, visées à l'article 2 de l'AR du 09/04/2003 relatif à la protection des espèces de faune et de flore sauvages par le contrôle de leur commerce.

Les dérogations particulières sont notamment accordées aux personnes physiques ou morales détenant des animaux vivants de l'Annexe I et qui ont introduit un inventaire selon les modalités prévues.

### \*Transport:

- Le Réglement CE n°338/97 rend contraignante l'application des règles de l' IATA pour le transport aérien d'animaux vivants et les directives de la CITES en matière de transport.
- La réglementation CE peut également restreindre les importations de spécimens d' espèces inscrites à l' Annexe B (CE) susceptibles d'une mortalité importante en cours de transport.

6	Quels sont les résultats de tout et la législation CITES pour les élén applicables							
	Eléments	Adéquate	En partie inadéquate	Inadéquate	Pas d'information			
	Compétences des organes CITES	$\boxtimes$						
	Clarté des obligations légales							
	Maîtrise du commerce CITES							
	Cohérence avec la politique de gestion et d'utilisation des espèces sauvages							
	Couverture par la loi de tous les types d'infraction	$\boxtimes$						
	Couverture par la loi de tous les types de sanction							
	Application des réglementations	$\boxtimes$						
	Cohérence interne de la législation	$\boxtimes$						
	Autre (veuillez préciser)							
	Veuillez fournir les détails disponibles:							
	en oeuvre de la réglementation de flore sauvages par le contrôle visait à fournir un panorama pre européenne, en vue d'aider à r réglementation européenne per européen. L'Organe de Gestion février 2006) au questionnaire e sont parus en septembre 2007.	e de leur com écis des beso enforcer la m tinente par d belge (OG) a	merce dans les ins et des moy ise en oeuvre les actions co a contribué à c	s 25 Etats manurens d'action e de la Connectées me cette étude e	nembres". L'étude à travers l'Union nvention et de la enées au niveau en répondant (en			
7	Si aucun examen ou évaluation n pour la prochaine période de rapp		n a-t-il un planifi	é Oui Non				
				_	'information			
	Veuillez donner les précisions dis	ponibles:						
8	Y a-t-il eu un examen de la législa pour ce qui est de l'application de toutes les cases applicables	ation sur les su						
	Sujet		Ou	ui Non	Pas d'information			
	Accès aux ressources naturelles ressources	ou propriété d	es					
	Prélèvement							
	Transport des spécimens vivants							
	Manutention des spécimens vival abritant	nts et installation	ons les					
	Veuillez fournir les détails disponi	bles:		<del>-</del>				

- 9 Veuillez fournir des indications sur toute mesure supplémentaire prise:
  - 1) Parution le 13 octobre 2005 d'un arrêté royal portant exécution de l'article 5 bis de la loi du 28 juillet 1981 (voir Annexe n°1). Cet article prévoit la possibilité d'imposer des amendes administratives en cas d'infractions aux dispositions de la dite loi. L'AR précité prévoit les dipositions pratiques pour les paiements de ces amendes.
  - 2) En ce qui concerne les pénalités (article 5 de la loi du 28/07/1981 modifié par la loi programme du 27.12.04, voir rapport bisannuel 2003-2004) à savoir l'emprisonnement de six mois à cinq ans et/ou amende de 1000 à 50000 euros (loi du 27.12.2004). Il a été décidé après analyse interne que les montants devaient être revus à la baisse afin qu'il y aie une meilleure adéquation entre les montants des amendes à payer et le type d'infraction commis (les montants ci-dessus devant encore être multipliés par 5.5 (= centimes additionels)). Le système des amendes administratives ne pourra être mis en place qu'après adoption de cette modification de la loi.

### C. Mesures pour le respect de la Convention et la lutte contre la fraude

		Oui	Non	Pas d'information
1	Y a-t-il eu des opérations telles que celles indiquées ci-dess	sous?		
	Examen des rapports et d'autres informations fournies par les négociants et les producteurs			
	Inspections de négociants, de producteurs, de marchés			
	Contrôles aux frontières			
	Autres (veuillez préciser)			
2	Des mesures administratives (amendes, interdictions, suspensions, etc.) ont-elles été imposées pour des violations de la CITES?			
3	Si oui, veuillez indiquer combien et pour quels types de viol disponibles.	ations e	et joindi	re les détails
4	Y a-t-il eu des saisies, confiscations ou séquestres importants de spécimens CITES?			
5	Si des informations sont disponibles:		Ν	lombre
	Saisies/confiscations importantes			
	☐ Total des saisies/confiscations	70	(200	5), 108 (2006)
	Veuillez les indiquer si possible par groupe d'espèces ou joindre des indications.	(v	oir Ann	exe 2 partie b)
6	Y a-t-il eu des poursuites pénales pour violation importante de la CITES?			
7	Si oui, combien et pour quels types de violation? Veuillez jo	indre le	s détai	ls en annexe.
8	Y a-t-il eu d'autres actions en justice pour violation de la CITES?			

9	Si oui, pour quelles violations et avec quels résultats? Veuillez joindre	e les détails en annexe.
	07/09/2005: Décision de justice dans le cadre d'un commerce illégal (survenu entre 98 et 2004) : le tribunal de première instance à cond an de prison avec sursis assortis d'une amende de 12.394,68 EURC d'emprisonnement ferme de trois mois. L'intéressé a fait appel.	anmé le commerçant à 1
10	Que deviennent habituellement les spécimens confisqués?	Cochez si applicable
	<ul> <li>Retour au pays d'exportation</li> </ul>	$\boxtimes$
	<ul> <li>Zoos ou jardins botaniques publics</li> </ul>	
	Centres de sauvetage désignés	
	<ul> <li>Installations privées approuvées</li> </ul>	$\boxtimes$
	<ul> <li>Euthanasie</li> </ul>	
	Autre (veuillez spécifier)	
	Commentaires:	
	*- Zoos:	
	- Société Royal de Zoologie d'Anvers est le seul centre de sauvegarde officiellement reconnu avec lequel un contrat a été passé. Comme celui-ci n'a pas toujours la possibilité d'héberger les spécimens saisis, l'OG doit parfois faire appel à d'autres solutions (voir centres de sauvegarde ci-dessous) - Jardins botaniques publics: Jardin Botanique National, Meise, est le seul centre officiellement reconnu pour les	
	plantes vivantes  * Centres de sauvegarde désignés:	
	- "CARAPACE" asbl, siège à Gembloux pour l'accueil des reptiles/amphibiens et des tortues en particulier	
	- Centre de revalidation, Opglabeek (principalement oiseaux)	
	*Aquarium Aquarium à l'Université de Liège: poissons, coraux	
	*Musées:	
	- Musée d'Histoire Naturelle de Tournai: reptiles, amphibiens vivants et spécimens morts	
	- Institut Royal des Sciences naturelles de Belgique, Bruxelles: spécimens morts	
	- Musée Royal d'Afrique centrale: spécimens morts	
	* Dans certains cas assez rares, lorsqu'il y a vraiment un manque de place dans les différentes institutions pré-citées et qu'il s'agit d'espèces sensibles, le service peut avoir recours à une solution alternative comme le placement chez un spécialiste connu disposant des installations adéquates pour acceuillir les spécimens saisis.	
	N.B. Les cas de refoulement vers le pays d'origine sont assez exceptionnels et ne se produisent que lorsque l'OG à l'assurance que les dispositions concernant le bien-être animal seront respectées.	

11	Des informations détaillées ont-elles été communiquées au Secrétariat concernant des affaires importantes de commerce	Oui	
	illicite (par ECOMESSAGE ou un autre moyen), ou des	Non	
	informations sur des négociants illicites reconnus coupables et sur des récidivistes?	Non applicable	
		Pas d'information	Ш
	Commentaires: Voir Annexe n°2 (partie c) .		
	Pour les saisies importantes et/ou intéressantes, le Secrétariat, la CE et le pays d'origine et /ou de destination des spécimens sont informés.		
12	Y a-t-il eu des activités de lutte contre la fraude menées en	Oui	$\boxtimes$
	coopération avec d'autres pays?	Non	
	(échange de renseignements, appui technique, assistance pour enquête, opération conjointe, etc.)?	Pas d'information	
13	Si oui, veuillez les indiquer brièvement:		
	Coopération bilatérale: échange de renseignements avec différents pays :		
	<ul> <li>Avec l'Allemagne, au sujet d'envois illégaux de caviar et au sujet de cas de commerce illégal de reptiles vivants en particulier des tortues.</li> </ul>		
	- Avec le Danemark et la Tchéquie au sujet d'aigles ravisseurs en provenance du Kazakstan.		
	Opération conjointes (voir Annexe n°2 partie c pages 2 et 4):		
	<ul> <li>Avec les Pays-Bas concernant des vols de spécimens de psittacidés de l'Annexe I.</li> </ul>		
	<ul> <li>Avec la France concernant l'importation illégale de dendrobates.</li> </ul>		
14	Des incitations ont-elles été proposées aux populations	Oui	
	locales pour qu'elles contribuent à l'application de la législation CITES, avec pour effet d'entraîner, par exemple,	Non	$\boxtimes$
	des arrestations et la condamnation des contrevenants?	Pas d'information	
15	Si oui, veuillez indiquer lesquelles:		

16	Y a-t-il eu un examen ou une évaluation de la lutte contre la	Oui	$\boxtimes$
	fraude liée à la CITES?	Non	
		Non applicable	
		Pas d'information	
	Commentaires:		
	Suivi régulier des développements dans le commerce illégal au sein de la CE, via les réunions du Groupe d'Application CE (EWG) les 26/04/2005; le 26/06/2006, 06/11/2006.		
	Au niveau national, la mise en place d'un groupe d'Application de la réglementation présidé par l'OG est prévu par l'arrêté royal du 09.04.2003 (article 17). Une réunion préparatoire à la formation de ce groupe a été tenue en avril 2006 en présence des différents représentants des autorités visées à l'article 7 de la loi. Il s'agissait de définir les rôles/missions du groupe, sa composition ainsi que son fonctionemment.		
	La principale mission de ce groupe est :		
	- d'échanger des informations et d'établir des canaux de communication efficaces au niveau national et international;		
	- d'évaluer les mesures d'exécution et d'élaborer la stratégie des contrôles et		
	- d'assurer la formation des services de contrôle.		
	Un projet d'arrêté ministériel portant nomination des membres du groupe Application de la réglementation a été préparé début 2007.		

17 Veuillez fournir des indications sur toute mesure supplémentaire prise:

- Le système EU-TWIX (European Union Trade in Wildlife eXchange), outil développé pour faciliter l'échange d'information et la coopération internationale entre les autorités chargées de contrôles au sein de l'Union européenne, mis en place par la police fédérale belge et TRAFFIC Europe avec l'appui de l'OG et de la douane belge (cf rapport bisannuel 2003-2004), est opérationel depuis octobre 2005.

Une présentation sur les progrès et la mise à jour du système a été donnée par Traffic Europe lors du Groupe application CE du 26.06.2006.

Au niveau belge, ce système est utilisé par la Police fédérale, la Douane et l'OG pour estimer les tendances relatives aux importations illégales en BE afin de déterminer des priorités en matière de lutte contre la fraude. Par exemple, identifier les espèces faisant l'objet d'un commerce illégal important, les routes commerciales choisies par les contrevenants ainsi que les méthodes de dissimulation et ou de détection.

- Novembre 2005: Financement par l'OG d'une étude menée par Traffic Europe sur le commerce illégal et la vente d'espèces CITES en Belgique. Cette étude vise à renseigner les autorités belges responsables du contrôle sur les caractéristiques du commerce illicite d'espèces CITES en Belgique en particulier sur celui de l'ivoire d'éléphant. L'étude analyse les données disponibles sur les saisies de spécimens et présente les résultats d'une enquête menée sur le commerce de l'ivoire dans 3 grandes villes du pays (Anvers, Bruxelles, Gand). Ce rapport a été publié en août 2006. Une copie est disponible sur demande.
- Décembre 2006: Action caviar: La Belgique ayant été citée comme un des pays régulièrement impliqué dans le trafic de caviar lors des différentes réunions du EWG et lors du workshop "caviar"de juin 2006 (cf point D7.6), l'OG a estimé qu'une action de contrôle coordonnée devrait avoir lieu. Une réunion de préparation a été organisée par l'OG avec différents services: Inspection des recherches des douanes de Bruxelles, AFSCA (Agence fédérale pour la Sécurité de la Chaine Alimentaire), Police fédérale, Service d'inspection Bien-être et CITES et un expert CITES. Plusieurs établissements et restaurants ont été contrôlés et aucune infraction n'a pû être contactée. Il a été décidé qu'une action de plus grande ampleur devrait être programmée pour fin 2007 et qu'une formation spécifique caviar devrait être d'abord organisée avec les différentes autorités impliquées.

#### D. Mesures administratives

### D1 Organe de gestion (OG)

1	Y a-t-il eu des changements dans l'OG désigné ou dans ses coordonnées, n'ayant pas encore été consignés dans le	Oui Non	
	Répertoire CITES?	Pas d'information	
2	Si oui, veuillez indiquer ici ces changements:		
3	S'il y a plus d'un OG dans votre pays, un OG principal a-t-il été	Oui	
	désigné?	Non	$\boxtimes$
		Pas d'information	
4	Si oui, veuillez indiquer son nom et s'il figure comme principal O CITES.	G dans le Répertoire	
5	Quel est l'effectif de chaque OG?		

	<ul><li>- Universitaire :</li><li>- en 2005: 1.9 équivalents temps plein</li></ul>		
	- en 2006: 3.2 équivalents temps plein		
	- Non universitaire : 2,7 équivalents temps plein		
6	Pouvez-vous estimer le pourcentage de temps qu'il consacre	Oui	$\boxtimes$
	aux questions CITES?	Non	
		Pas d'information	
	Si oui, veuillez faire une estimation:		
	+/- 80%		
7	Quelles sont les compétences du personnel des OG?	Cochez si appl	icable
	<ul> <li>Administration</li> </ul>		$\boxtimes$
	- Biologie		$\boxtimes$
	<ul> <li>Economie/commerce</li> </ul>		
	<ul> <li>Loi/politiques</li> </ul>		
	<ul> <li>Autre (veuillez spécifier)</li> </ul>		
	<ul> <li>Pas d'information</li> </ul>		
8	Les OG ont-ils entrepris ou appuyé des activités de recherche	Oui	$\boxtimes$
	sur des espèces ou des questions techniques CITES	Non	
	(étiquetage, marquage, identification d'espèces, etc.) non couvertes par D2 (8) et D2 (9)?	Pas d'information	
9	Si oui, veuillez indiquer les espèces et le type de recherche.		
	En 2006, élaboration d'un protocole d'accord (MOU) entre le argentin et belge dans le cadre d'une étude sur la conserva (Boa constrictor occidentalis) en Argentine. Projet fincancé par la vente publique en avril 1998 d'un flancs de caïmans originaires d'Argentine et à destination de d'Anvers. La justice belge a décidé la confiscation des pièce vendues aux enchères (cf rapport bisannuel 1999-2000).	ation du "Boa Lampal e "Caiman Skins Fundot de 1623 peaux et l'Italie saisis par la de es qui ont été par la	lagua" d". Ce 3967 ouane suite
	En juin 2006, l'Administration des douanes et accises a versé 23 EUROS (produit de la vente moins les frais administratifs) au		/ 6/1, 
10	Veuillez fournir des indications sur toute mesure supplémentaire	prise:	

# D2 Autorité scientifique (AS)

1	Y a-t-il eu des changements dans l'AS désignée ou dans ses coordonnées, n'ayant pas encore été consignés dans le	Oui Non	
	Répertoire CITES?	Pas d'information	
2	Si oui, veuillez indiquer ici ces changements:		
3	L'autorité scientifique désignée est-elle indépendante de	Oui	$\boxtimes$
	l'organe de gestion?	Non	
		Pas d'information	

4	Quelle e	st la structur	e des AS?			Cochez si	i applicable
	- Institu	ution gouverr	nementale				
	<ul> <li>Institution académique ou de recherche</li> </ul>						
	<ul> <li>Commission permanente</li> </ul>						
	– Grou	pe d'individu	s ayant certaines	s connaissances			
	- Autre	(veuillez spe	écifier):				
5	L'Autorit d'univers et est co comme s	é Scientifique sités, musée enstituée de s suit :	e est composée s, jardins botanio 5 sections et de	les questions CI de bénévoles ér ques, parcs zook 20 membres rép s, Amphibiens et	nanant ogiques artis		
	Poisson	s: 4; Invertéb	rés: 3; Plantes:	5			
6	aux que:	stions CITES	3?	de temps qu'il c	1 1	Oui Non Pas d'informati	ion
		nction et de		: entre 1-8%, d nembre au sein			
7	Quelles	sont les com	pétences du pe	rsonnel des AS?		Cochez si	i applicable
	<ul><li>Botar</li></ul>	nique					
	- Ecolo	gie					
	– Pêch	eries					
	– Fores	sterie					$\boxtimes$
	- Prote	ction animal	е				$\boxtimes$
	- Zoolo	ogie					
	·	(veuillez pré	eciser)				
	l l	d'information	,				
8			erche ont-elles	été menées par l	es AS (	Oui	
		espèces CIT		•		Non	$\boxtimes$
					ſ	Pas d'informati	ion 🗌
9	Si oui, v	euillez indigu	er les espèces e	et le type de rech	erche		
	Espèce	Population	Répartition	Prélèvement	Commerce	Commerce	Autre
	Lspece	Topulation	géographique	Freieveilleilt	licite	illicite	(préciser)
					İ		
						<u> </u>	
	[Au besoin	, veuillez joindr	e l'information suppl	émentaire sur une a	utre feuille.]	Pas d'informa	ation 🔲
10				rche scientifique		Oui	
	été soun 12.2?	nises au Sec	retariat au titre d	de la résolution C	ont.	Non	
	12.2:					Pas d'informa	tion 🔲

11	Veuillez fournir des indications sur toute mesure supplémentaire prise:

# D3 Autorités chargées de la lutte contre la fraude

1	Les autorités désignées pour recevoir des informations confidentielles sur la lutte contre la fraude dans le cadre de la CITES ont-elles été indiquées au Secrétariat?	Oui	$\boxtimes$
		Non	
		Pas d'information	
2	Si non, veuillez les désigner ici (avec l'adresse, le téléphone, le fax et le courriel):		
3	Y a-il un service spécialisé dans la lutte contre la fraude CITES (dans le département chargé des espèces sauvages, les douanes, la police, l'appareil judiciaire, etc.)?	Oui	$\boxtimes$
		Non	
		A l'étude	
		Pas d'information	
4	Si oui, veuillez indiquer le principal organisme chargé de la lutte contre la fraude:		•
	- Inspection nationale des Recherches des Douanes		
	- Police Fédérale, Service Environnement		
	L'Administration des douanes et accises a mis en place en avril 2005 "un Centre de connaissance CITES". La création de ce centre répond à la volonté de l'administration douanière de mettre en oeuvre une gestion des risques dans les contrôles douaniers en matière de CITES. Le service est actuellement toujours en phase de projet. La mise en place structurelle définitive du service est attendue pour le 1er janvier 2009.		
	Le principal objectif du Centre de connaissance CITES est l'analyse et la gestion des risques liés à la CITES. Le centre est notamment chargé de décrire des mécanismes de fraude.		
	Le Centre a décidé, conjointement avec l'OG de focaliser son action sur les activités frauduleuses liées aux types de commerce suivants: caviar; bois tropicaux (le port d'Anvers constituant les principaux points d'entrée de ces spécimens dans la Communauté européenne), ivoire, dendrobates et Hoodia.		
	Le Centre de connaissance CITES dispose également d'un représentant et d'un suppléant au sein du groupe application de la réglementation. (Voir partie C.16)		
	Actuellement (2007), l'équipe CITES du Centre de connaissance est composée de 8 personnes mais seul le responsable de projet travaille à temps plein pour la CITES. Les autres membres de l'équipe travaillent pour la CITES en plus de leur charge de travail habituelle du bureau où ils sont affectés.		

5 Veuillez fournir des indications sur toute mesure supplémentaire prise:	
5   Veuillez lournii des indications sur toute mesure supplementaire prise.	
* Mise en place du Groupe Application BE (voir partie C.16)	
*Service d'inspection Bien-être animal et CITES au sein de la Direction Générale Anima Végétaux et Alimentation: engagement de personnel en 2005 et 2006 : 2 inspecte vétérinaires supplémentaires pour la partie francophone du pays et 4 inspecte vétérinaires (dont 3 engagés dans le cadre des contrôles pour lutter contre la gripaviaire) pour la partie néerlandophone.	eurs eurs

# D4 Communication; gestion et échange des informations

1	Quelles sont les in	Cochez si applicable					
	<ul> <li>Suivi et rapport</li> </ul>						
	<ul> <li>Suivi et rapport</li> </ul>	$\boxtimes$					
	<ul> <li>Délivrance des</li> </ul>	permis					$\boxtimes$
	<ul><li>Aucune</li></ul>						
	<ul> <li>Autres (veuillez</li> </ul>	précise	er):				
2	Les autorités suiva	ntes or	t-elles ac	cès à Internet	?		Cochez si applicable
	Autorité	Oui, accès continu et sans restriction	Oui, mais seulement par téléphone	Oui, mais seulement par l'intermédiaire d'un autre service	Seulement certains services	Non, aucune	Veuillez, s'il y a lieu, donner des précisions
	Organe de gestion	$\square$					
	Autorité scientifique						
	Autorité de lutte contre la fraude						
3	Y a-t-il un système				nant de	s	Oui 🖂
	informations sur le	s espèc	es CITES	<b>3</b> ?			Non 🗌
							Pas d'information

4	Si oui, donne-il des informations sur:		Co	ochez si applic	able
	<ul> <li>la législation (nationale, régionale ou int</li> </ul>			$\boxtimes$	
	- la conservation (nationale, régionale ou	internationale)	?		$\boxtimes$
	<ul><li>autre (veuillez préciser)?</li></ul>				$\boxtimes$
5	Est-il disponible par Internet?		Oui		$\boxtimes$
			Non		
			Non ap	plicable	
			Pas d'i	nformation	
	Veuillez indiquer l'URL: www.unep-wcmc.o	rg/species/inde	ex.htm~main		
	Autre: L'OG a prévu de transmettre aux a contre fraude (ALcF) un système de be «Green Parrot Project» (élaboré en UK s'agit d'un outil destiné à fournir une aide a la faune et de la flore figurant aux connaissance en biologie ou relative à 6 effet. Les espèces peuvent être recherche de la famille, du pays d'origine ou encore physiques externes.	pase de donne i: www.greenp lu niveau de l'id Annexes Cl CITES n'étant ées tant à part	ées dénommé arrot.co.uk). Il dentification de TES. Aucune requise à cet ir du nom que		
	Des retards dans les mises à jour du sy rendre le système opérationel en 2006. prévue en 2007.				
6	Les autorités mentionnées ont-elles accès Cochez si applicable	aux publication	ns suivantes?		
	Publications	Organe de gestion	Autorité scientifique	Autorité de contre la fra	
	Liste des espèces CITES 2003 (livre)	$\boxtimes$	$\boxtimes$		
	Liste des espèces CITES 2003 et Annexes et réserves CITES annotées (CD-ROM)				
	Manuel d'identification	$\boxtimes$			
	Guide CITES				
7	Si non, quels ont été les problèmes rencon	trés pour accé	der à ces inform	nations?	
	Ces deux dernières autorités, l'AS et l'AL peuvent sur base d'un simple contact ave sein de l'OG.				
	Les services de contrôles ont également à l'OG qui a l'avantage de tenir compte des c Communauté européenne. Une version au 14 sera prochainement éditée.	espèces et anr	nexes propres a	u Règlement d	de la
8	Des autorités chargées de la lutte contre la l'organe de gestion des rapports sur:	fraude ont-elle	es soumis à C	Cochez si appli	icable
	<ul> <li>la mortalité pendant le transport?</li> </ul>				$\boxtimes$
	– les saisies et les confiscations?				

	<ul> <li>des écarts entre le nombre d'articles figurant sur les permis et le nombre d'articles effectivement commercialisés?</li> </ul>		
	Commentaires: Le système informatique prévoit l'enregistrement d données sur la mortalité pendant le transport fournies par les vétérinaires de l'AFSCA chargés des contrôles sanitaires et du Bier être animal à l'importation (voir Annexe n°3)		
9	Y a-t-il un site Internet du gouvernement donnant des	Oui	$\boxtimes$
	informations sur la CITES et les obligations qui en découlent?	Non	
		Pas d'information	
	Si oui, veuillez indiquer l'URL:		
	Depuis 2005, www.health.fgov.be		
10	Des autorités CITES ont-elles participé aux activités suivantes pour un plus large accès du public à la Convention et une meilleure compréhension des obligations qui en découlent?	Cochez si appli	cable
	<ul> <li>Communiqués de presse /conférences de presse</li> </ul>		$\boxtimes$
	<ul> <li>Articles de journaux, interventions à la radio/à la télévision</li> </ul>		$\boxtimes$
	Brochures, fascicules		
	- Présentations		$\boxtimes$
	- Expositions		$\boxtimes$
	Information aux frontières		
	Ligne téléphonique spéciale		
	Autre (veuillez préciser)		$\boxtimes$
	Veuillez joindre une copie de ces éléments.		
	voir Annexe n°4 .b		
11	Veuillez fournir des indications sur toute mesure supplémentaire pri	se:	
	Voir Annexe n°4 .a		

# D5 Procédures en matière de permis et d'enregistrement

1	Des changements dans le désignés pour signer les p signatures, ont-ils été sign	Oui Non Non applicable							
	Si non, veuillez donner des	Pas d'information	Ш						
	les changements dans		\						
	les changements dans Ajout de 2 personnes supp - Miet Van Looy : biolog		\						
	- Anne Vanden Bloock		•						
	Les signatures sont tra	• •	• ,						
	Les coordonnées de ce utile pour compléter la Belgique .								
2	Votre pays a-t-il élaboré de pour ce qui suit?	es procédures é	crites			Cochez si applica	able		
				Oui	Non	Pas d'informat	on		
	Délivrance/acceptation des	s permis		$\square$					
	Enregistrement des négoc	iants							
	Enregistrement des produ	cteurs		$\boxtimes$					
3	Combien de documents C (Notez que dans les rappo Cette question se réfère au	orts annuels, cert	taines Pa	-	•				
	Année 1	Importation ou introduction en provenance de la mer	Expor- tation	Réexpor- tation	Autre	Commentaire	6		
	Nombre de documents délivrés:	1355	538	230	3499	Autre = certi intra CE	ficats		
	Nombre de demandes rejetées en raison de graves omissions ou d'informations erronées?	3 demandes rejetées sur base scientifique			MINI 11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				
	Année 2 Nombre de documents délivrés:	1054	510	200	3896	Autre =certificats	intra		
	Nombre de demandes rejetées en raison de graves omissions ou d'informations erronées?	1 demande rejetée sur base scientifique		111 MM 1111 MM	111 111 1111 1111 1111 1111 1111 1111 1111				
4	Des documents CITES dé				et	Oui			
	remplacés en raison de graerronées?	aves omissions	ou d'infoi	mations		Non Pas d'information			
5	Si oui, veuillez en indiquer les motifs.								

6	Veuillez indiquer les motifs du rejet de documents Cl <sup>-</sup> d'autres pays.	Cochez si applica	ble		
	Motif	Oui	Non	Pas d'informati	on
	Violation technique				
	Suspicion de fraude	$\square$			
	Base insuffisante pour établir l'avis de commerce non préjudiciable	$\square$			
	Base insuffisante pour établir la légalité de l'acquisition				
	Autre (veuillez préciser)				
7	Les quotas de prélèvement/d'exportation sont-ils des			Oui	$\boxtimes$
	gestion dans la procédure de délivrance des permis?			Non	
				Pas d'information	
	Commentaires: La vérification des quotas indiqués d'exportation est un des critères importants dans cette				
8	Combien de fois l'autorité scientifique a-t-elle été prié	e de doni	ner son	opinion? 39 fois.	
	Depuis fin 2005, les demandes d'avis sont envoy document standardisé "Template advice import an n°5). Ce document reprend toutes les informations u selon l'article 4.2. de la Réglementation CE (n°338/9 préalablement remplies par l'OG: description des statut de conservation, statistiques de commerce, exi	nexe A/E tiles à la 7). Certai spécimer	B-listed formula ines pa ns, déc	species" (voir An ation d'avis argume irties de ce dernier sisions CE éventue	nexe entés sont
9	L'OG perçoit-il des droits pour la délivrance des perm l'enregistrement ou d'autres activités touchant à la Cl			Cochez si applic	cable
	<ul> <li>Délivrance de documents CITES</li> </ul>				$\boxtimes$
	<ul> <li>Octroi de licences ou enregistrement des établiss produisant des espèces CITES</li> </ul>	ements			
	<ul> <li>Prélèvement d'espèces CITES</li> </ul>				
	Utilisation d'espèces CITES				П
	<ul> <li>Affectation de quotas à des espèces CITES</li> </ul>				Ħ
	<ul> <li>Importation d'espèces CITES</li> </ul>				一
	Autre (veuillez préciser)				
10	Si oui, veuillez en indiquer le montant. montant to 2005 et 2006 = 249 800,00 EUROS. Tableaux des re Annexe n°6).				
11	Les recettes des droits sont-elles utilisées pour l'appli CITES ou pour la conservation des espèces sauvage		la	Cochez si applica	ble
	<ul> <li>Entièrement</li> </ul>				
	<ul><li>Partiellement</li></ul>				
	<ul><li>Pas du tout</li></ul>				
	<ul><li>Non pertinent</li></ul>				$\boxtimes$

Commentaires: Jusqu'à la fin 2006, les recettes ont été perçues sous forme de timbres fiscaux (apposés sur les demandes de document) et étaient affectées au Trésor public.

Le cadre légal pour déterminer le montant des rétributions ainsi que les modalités de leur perception a été fondé par la loi du 24.12.2002 modifiant la loi du 28/07/1981 portant approbation de la CITES.

Le système de paiement par timbre fiscaux a été abrogé début 2007 et les retributions doivent être à présent versées au Fonds budgétaires des matières premières et des produits. Un système de facturation est mis en place pour ce faire.

Veuillez fournir des indications sur toute mesure supplémentaire prise:

#### D6 Renforcement des capacités

1	Les activités suivantes ont-elles d'iefficacité de l'application de la C	Cochez si applic	able					
	Augmentation du budget des activités		Amélioration des réseaux nationaux					
	Engagement de personnel		Achat d'équipements technic surveillance continue/la lutte					
	Elaboration d'outils d'application		Informatisation	tion				
	- Autres (veuillez préciser)							
	-Etablissement du programme 'EU-TWIX' (Cf Point C17.) qui permet l'enregistrement de toutes les infractions constatées par les Etats Membres de la CE et qui prévoit l'échange des informations.							
	- Mise en place du Groupe application de la réglementation (Cf Point C16.)							

2	Les autorités CITES ont-elles bénéficié des activités suivantes de renforcement des capacités assurées par des sources externes?								
	Veuillez cocher les cases pour indiquer les groupes ciblés et les activités.  Groupes ciblés	Avis/orientation fourni oralement ou par écrit	Assistance technique	Assistance financière	Formation	Autre (à spécifier)	Quelles étaient les sources externes?		
	Personnel de l'OG	$\square$							
	Personnel de l'AS						la CE + Secrétariat et TRAFFIC-Europe.		
	Personnel d'autorités de lutte contre la fraude								
	Négociants								
	ONG		$\boxtimes$				TRAFFIC-Europe : dépliant et site web "wild life trade"		
	Public								
	Autres (veuillez préciser)								

3	Les autorités CITES ont-elles assuré le	s activit	és de	renfo	rcem	ent d	es capacités suivantes?
	Veuillez cocher les cases pour indiquer les groupes ciblés et les activités.  Groupes ciblés	Avis/orientation fourni oralement ou par écrit	Assistance technique	Assistance financière	Formation	Autres (à spécifier)	Détails
	Personnel de l'OG Personnel de l'AS						Relatif à l'AS : - recherche et fourniture de documents -secrétariat Relatif aux ALcF: -transmission de documents -désignation d'experts pour des missions de contrôle
	Personnel d'autorités de lutte contre la fraude		$\boxtimes$				1111 M
	Négociants ONG						Négociants et Public: circulaires relatives aux adaptations/changements de la réglementation CITES  ex: Présentation pour les clubs de détenteurs de perroquets : "Application de la CITES en BE"
	Public						
	Autres parties/réunions internationales Autres (veuillez préciser)						-Assistance technique: Développement de EU-TWIX - formation : voir Annexe n°4
4	Veuillez fournir des indications sur toute	e mesur	e supp	pléme	ntaire	e pris	se:

## D7 Collaboration/initiatives concertées

1	Existe-t-il un co	mité su	ır la CITES		Oui						
	intersectoriel?						Non				
	Pas d'inform										
2	Si oui, quelles sont les agences représentées et à quelle fréquence les réunions ont-elles lieu?										
3	Si non, veuillez indiquer à quelle fréquence l'organe de gestion tient des réunic consultations pour assurer la coordination entre les autorités CITES [autres Of douanes, police, autres)]:										
		Par jour	Par semaine	Par mois	Par an	Aucune	Pas d'information	Autres (spécifier)			
	Réunions							AS: tous les trois mois, avant les réunions Scientific Review Group (CE)  ALcF: Douane, Police, AFSCA, suivant les besoins			
	Consultations							régulières en fonction des cas qui se présentent			
4	Y a-t-il au nive avec:	au nat	ional un e	effort pour	collaborer	1	Cochez si D applicable dis				
	Les agences pour le développement et le commerce  Les autorités provinciales, d'Etat ou territoriales  Les autorités locales ou les collectivités							Autorités des Régions compétente s pour la Conservati on de la Nature			
	Les populations										
						111111111111111111111111111111111111111		ANDIBEL pour les animaux			

	Les associations privées, commerciales ou autres	$\boxtimes$		vivants, Fédération du bois et Fédération de la Fourrure
	Les ONG			- TRAFFIC- EUROPE -FACE Chasseurs -WWF -IUCN
	Autres (veuillez préciser) La collaboration avec l'Agence Fédérale pour la Sécurité de la Chaîne Alimentaire (AFSCA), permet de faire les contrôles CITES en même temps et sous la même compétence que les contrôles sanitaires.		***************************************	AFSCA
5	Existe-t-il des protocoles d'accord ou autres arrangeme formels entre l'organe de gestion et les agences suivan vue d'une coopération institutionnelle touchant à la CITI Cochez si applicable	tes en		
·	Autorités scientifiques			
	Douanes			$\boxtimes$
	Police			
	Autres autorités aux frontières (veuillez préciser) S d'inspection de l'AFSCA aux postes d'inspection frontali			
	Autres agences gouvernementales			
	Organismes du secteur privé			
	ONG			
	Autres (veuillez préciser)			
6	Des fonctionnaires ont-ils participé à des activités région touchant à la CITES?	nales	Cochez	si applicable
	Ateliers			
	Réunions			
	Autres (veuillez préciser)			
	<ul> <li>du 25 au 27 octobre 2005: Royaume-Uni: participa l'OG, de la douane et de la Police fédérale au séminair Wildlife trade Enforcement co-ordination Workhop" o par le Gouvernement britanique.</li> </ul>	e "EU- rganisé		
	- du 2 au 5 mars 2006: Allemagne : participation d'un n de la douane belge de l'aéroport de Zaventem au sé			

"Sniffer dogs" organisé par le WWF et Traffic-Europe Allemagne . Le but de ce séminaire était d'échanger les expériences et connaissances sur les programmes "wildlife trade sniffer dogs" existants dans l'Union européenne. - du 2 au 5 avril 2006 : lle de Vilm (Allemagne): participation de l'OG ainsi que de la douane à un atelier organisé par l'OG Allemand. Cet atelier destiné plus spécifiquement aux 10 « nouveaux » Etats membres rentrés dans l'Union européenne en 2004 avait pour principal objectif de discuter problèmes et difficultés rencontrés dans le cadre de l'application de la Réglementation européenne. du 27 au 29 juin 2006 : participation de l'OG ainsi que d'un membre du comité scientifique spécialisé dans le domaine des esturgeons à l'atelier " Sturgeon Enforcement Workshop" à Bruxelles. Le but de cet atelier était de réunir les autorités responsables des contrôles des Etats exportateurs de caviar, les pays consommateurs et de transit ainsi que des représentants d'organisation internationales afin d'identifier les principaux problèmes concernant le commerce illegal et de dégager des approches communes pour lutter contre le braconnage et le commerce illégal de caviar. - du 18 au 21 octobre 2006: participation de l'OG à la 6ème Réunion de la Région Européenne sur la CITES et les Plantes à Pérugia (Italie). Les représentants de 15 pays de la région européenne, dont 13 Etats membre de l'UE ainsi qu'un représentant de la Commission européenne et un représentant de TRAFFIC-Europe y étaient présents. Le but de ce séminaire était d'échanger des informations sur plusieurs grands thèmes : exposés relatifs à la CITES :rapport du dernière Comité des Plantes, propositions d'amendement des annexes à soumettre à COP14), application de la CITES au niveau national dans différents pays de la région européenne, réglementation communautaire, commerce des plantes médicinales, commerce des espèces de bois, commerce des orchidées. L'OG a présenté un document sur les caractéristiques du commerce des plantes CITES en BE. (voir annexe n°4). 7 Une action a-t-elle été menée pour encourager des non-Oui Parties à adhérer à la Convention? M Non Pas d'information 8 Si oui, lesquels et comment? 9 Une assistance technique ou financière a-t-elle été fournie à Oui  $\boxtimes$ un autre pays concernant la CITES? Non Pas d'information 10 Si oui, quel type d'assistance a-t-elle été fournie et à quels pays? - Assistance financière à la Tanzanie (Beeswax fund: issus d'une vente d'ivoire dans les années 1980), ce fond alimente

	encore des projets de conservation dans ce pays.		
11	Des données à inclure dans le Manuel d'identification CITES ont-elles été fournies?	Oui Non Pas d'information	
12	Si oui, veuillez en faire une brève description.		
13	Des mesures ont-elles été prises pour coordonner les activités des autorités CITES nationales et celles d'autres accords multilatéraux sur l'environnement (conventions liées à la biodiversité, etc.) et pour réduire les doubles-emplois?	Oui Non Pas d'information	
14	Si oui, veuillez en faire une brève description.  - La collaboration avec le groupe "Convention Biodiversité" a mené à l'établissement d'un plan pour une stratégie nationale sur la biodiversité biologique (finalisé en 2005)  - Participation aux réunions du groupe de contact "espèces exotiques envahissantes" (IAS) organisées par la DG Environnement du SPF Santé publique, Sécurité de la Chaine alimentaire et Environnement en collobaration avec différentes autorités (platteforme biodiversité, régions,).Le but de ce groupe étant notamment de dégager des propositions de mesures réglementaires à prendre pour limiter l'introduction d'IAS en Belgique.  - Participation aux réunions du "Groupe Directeur Nature" dépendant du CCPIE (Comité de Coordination de la Politique internationale de l'environnement). Ce Groupe a , entre autre, pour mission de coordonner la position des entités fédérées et du fédéral sur la scène internationale.		
15	Veuillez fournir des indications sur toute mesure supplémentaire	prise:	•

## D8 Domaines pour une activité future

1	Les activités suivantes sont-elles nécessaires pour améli la CITES au niveau national et quel est leur rang de prior		acité de l'applic	ation de
	Activité à rang de priorité:	élevé	moyen	faible
	Augmentation du budget des activités			
	Engagement de personnel			
	Elaboration d'outils d'application		$\square$	
	Amélioration des réseaux nationaux		$\square$	
	Achat d'équipements techniques pour la surveillance continue/ la lutte contre la fraude			
	Informatisation			
	Autres (veuillez préciser) :			

2	Des difficultés ont-elles été rencontrées dans l'application de	Oui	$\boxtimes$
	certaines résolutions ou décisions adoptées par la Conférence	Non	
	des Parties?	Pas d'information	
3	Si oui, lesquelles et quelle est la principale difficulté?		
	Délai entre la date de mise en vigueur des amendements aux Annexes de la CITES et des Résolutions de la CdP et celui de leur entrée en vigueur dans l'UE par la publication des Règlements ad-hoc au Journal Officiel des CE.		
4	Des contraintes au niveau de l'application de la Convention	Oui	
	nécessitant une attention ou une assistance ont-elles surgi dans	Non	$\boxtimes$
	votre pays?	Pas d'information	
5	Si oui, veuillez indiquer lesquelles et le type d'attention ou d'assistance requise.		
6	Des mesures, des procédures ou des mécanismes qui	Oui	$\boxtimes$
	bénéficieraient d'un examen et/ou d'une simplification ont-ils été	Non	
	décelés dans la Convention?	Pas d'information	
7	Si oui, veuillez en faire une brève description.		
	Révision des procédures pour les échanges internationaux d'échantillons médicaux.		
8	Veuillez fournir des indications sur toute mesure supplémentaire pr	ise:	
	- démarches faites pour engager un biologiste afin d'améliore fonctionnement du Comité Scientifique.	er et de faciliter le	
	- Mises au point de formations spécifiques pour les contrôleurs sur	:	
	* le commerce de caviar		
	* le commerce de bois tropicaux		

# E. Commentaires généraux

Veuillez joindre tous autres commentaires, y compris au sujet de cette présentation.

Merci d'avoir rempli ce formulaire. Veuillez inclure toutes les annexes demandées dans ce document. Elles sont rappelées ci-après:

Partie	A fournir		
B4	Copie du texte complet de la législation CITES	Jointe	$\boxtimes$
		Non disponible	
		Non applicable	
C3	Détail des violations et des mesures administratives imposées	Joint	
		Non disponible	$\boxtimes$
		Non applicable	
C5	Détail des spécimens saisis, confisqués ou mis sous séquestre	Joint	$\boxtimes$
		Non disponible	
		Non applicable	
C7	Détail des violations et résultat des poursuites	Joint	
		Non disponible	$\boxtimes$
		Non applicable	
C9	Détail des violations et résultat des actions en justice	Joint	
		Non disponible	$\boxtimes$
		Non applicable	
D4(10)	Détails sur les brochures ou fascicules sur la CITES produits	Joint	
	au plan national à des fins d'éducation ou de sensibilisation du	Non disponible	
	public	Non applicable	$\boxtimes$
	Commentaires Voir annexe n°4 .b		

# ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

2b	If your country has planned reported under question B				ation -releva	ınt legislat	ion, other than that
	Title and date:			Status:			
5b	Has your country adopted specifically for non CITE  Tick all applicable catego	S-listed spec	ies <sup>1</sup> ?	ŕ	those repor	ted under	question B(5),
	Tick all applicable catego		The condit		The	complete	prohibition of:
	Issue	Yes	No No	No information	Yes	No	No information
	Trade		X			X	
	Taking		X	H		X	H
	Possession		X	ī		X	
	Transport		X		1 5	X	
	Other (specify)		х			x	
	Additional comments			ment authoriy is not			
		species that	are not its	ted in the CITES ap are of the competer			indigenous species
8b	Has there been any review relation to implementation			ollowing subjects in			
		i oi the Regt	inution:		Yes	No	No information
	Introduction of live Regul	lation-listed	species into	the		X	
	Community that would the (in accordance with Artic	reaten the in	digenous fa				
	Marking specimens to fac with Article 19, paragraph		fication (in	accordance		Х	
	Please provide details if a	vailable,					
9b	Please provide details of rother additional measures (9) Not applicable.						

<sup>&</sup>lt;sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

# C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken	Yes	
	for Regulation-related violations?	No	X
		No information	
16b	Has there been any review or assessment of Regulation-related	Yes	
	enforcement, in addition to that reported under C (16) above?	No	X
		No information	. $\square$
	Comments:		
17	Have specimens been marked to establish whether they were born and bred	Yes	X
new	in captivity or artificially propagated? (In accordance with Commission	No	
	Regulation (EC) No 1808/2001 Article 36),	No information	
	Comments: - Depending on the species and/or the age of the specimen (in some case), artic Commission regulation n°865/06) may or my not be applied. For example for s <i>kleinmanni</i> , the offspring of <i>Testudo hermanni</i> , <i>T. graeca</i> which have not yet at cm), a certificate with limited validity is issued For the CITES birds bred in captivity protected by the "Birds directive" :the F certain type of closed ring.	specimens of <i>Testudo</i> tained a certain length:	: (10
18	Have any monitoring activities been undertaken to ensure that the intended	Yes	X
new	accommodation for a live specimen at the place of destination is adequately	No	$\bigcap$
110 **	equipped to conserve and care for it properly? (In accordance with Article	No information	H
	4 paragraph 1 (c))		
	Comments: Assurances that the intended accommodation is adequately equipp declaration given by the intended new owner. In case of specimens of wild original Belgian SA is required. As for other origin codes, the MA will take the decision data. If needed, controlling agents inspect the indicated accommodation.	gin ('W'), advice from	the
19	Have any monitoring activities been undertaken to ensure that live animals	Yes	X
new	are transported in accordance with Article 9 paragraph 5?	No	
		No information	. $\square$
	Comments: The veterinarians at the border inspection post investigates very c transfer of live specimens into the Community as well as the transit of these specimens		
20	Have any captive breeding facilities for Regulation-listed species been	Yes	
new	registered?	No	X
		No information	
	If yes, please provide details:		
D1 Ma	D. Administrative measures anagement Authority (MA)		
8b	Have the MA(s) undertaken or supported any research activities in relation to	Yes	
	non CITES-listed species or technical issues (e.g. species identification) not	No	x
	covered in D2 (8) and D2 (9)?	No information	
10	Has the Commission and the CITES Secretariat (if relevant) been informed of		
new	the outcomes of any investigations that the Commission has considered it	No	
	necessary be made? (In accordance with Article 14 paragraph 2),	No information	X
11	If yes, what were the outcomes?		

new

D2	Scientific Author						
8b		arch activities be CITES listed spe		by the SA	(s) in	Yes No No information	x
9b	If Yes, please g	ive the species nan	ne and provide de	etails of the	e kind of researc	h involved.	
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
						No informatio	n 🔲
11	How many Sc	ientific Review G	roup meetings	have the	SA attended?	Number	
new	SA BE attend	ed at 7 SRG's m	eetings (total	in 2005-2	<b>2006= 8</b> )		
			<u> </u>			ll control of the con	11-11
)3	Enforcement Ai	ıthorities					
6	Has a liaison of	ficer/focal point fo	r CITES been no	minated w	rithin each releva	ant enforcement a	uthority in
new	your country?	neer, roear point ro	1 C11 L5 0 CC 11 110	minuted W	Territ Cuerr Fere V	Yes	X
						No	
						Under considerat	tion
						No information	
<b>1</b> b	Is Regulation-re	information mand elated information in			ed on?	Tick	if applicable
	1	•					
				4 (1) ( 1	:0 >		X
3b			on in question D				
	Regulation-liste	electronic informa				Yes	
						No	x
		electronic informa					x
05	Permitting and re	electronic informa	tion system prov			No	x
9b	Has the Manage	electronic informad species?  egistration procedu	tion system prov	iding info	rmation on	No No informa	x
	_	electronic informad species?  egistration procedu	tion system prov	iding info	rmation on	No No informa	x
	Has the Manage	electronic informad species?  egistration procedu	tion system prov	iding info	rmation on	No No informa	x x x tion x
	Has the Manage	electronic informad species?  egistration procedu	tion system prov	iding info	rmation on	No No informa	x x x tion x
9b	Has the Manage	electronic informad species?  egistration procedument Authority chaptestion D5 (9)?	tion system prov	iding info	rmation on	No No informa	x x x tion x
9b	Has the Manage not covered in o	electronic informad species?  egistration procedument Authority chaptestion D5 (9)?	ures arged fees for an	iding info	rmation on	No No informa	x x x tion x
9b <b>08</b>	Has the Manage not covered in cov	electronic informad species?  egistration procedurement Authority chaptestion D5 (9)?  re work  y encountered any negative opinions a	ures arged fees for an	y Regulati	on-related matte	No No informa  ers Yes No No informa	x x x tion x
9b <b>08</b>	Has the Manage not covered in covered in covered in the second se	electronic informad species?  egistration procedurement Authority chaptestion D5 (9)?  re work  y encountered any negative opinions a	ures arged fees for an	y Regulati	on-related matte	No No informa  ers Yes No No informa  Yes	x x x ttion
9b <b>D8</b>	Has the Manage not covered in of the Areas for future.  Has your countr suspensions or accordance with the Have any construction.	electronic informad d species?  egistration procedument Authority chaptestion D5 (9)?  re work  ry encountered any negative opinions and Article 4 (6)  raints to implement	ures arged fees for an difficulties in im dopted by the Eu	y Regulati	on-related matte	No No informa  Yes No No informa  Yes No No informa  Yes	x x x ttion
<b>D8</b> 2b	Has the Manage not covered in of the Areas for future.  Has your countr suspensions or accordance with the Have any construction.	electronic informad d species?  egistration procedus ement Authority chances in D5 (9)?  re work  ry encountered any negative opinions an Article 4 (6)	ures arged fees for an difficulties in im dopted by the Eu	y Regulati	on-related matte	No No informa  Trs Yes No No informa  Yes No No informa	x x x tion  x ation  x x x x x x x x x x x x x x x x x x

Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?

Number: about

90%.

7 bis

new

# **CYPRUS**

# **Part 1 - CITES Questions**

Note: Part 1 is compormat, approved at	bosed exclusively the 13 <sup>th</sup> meeting	of the question of the Conference	ons included in ence of the Pa	n the CITES Bi rties to CITES,	ennial Report October 2004
* Document as	s discussed and a	greed at COM	I 34 held on 14	4 June 2005.	

## A. General information

Party	CYPRUS
Period covered in this report: 1 January 2005 to 31 December 2006	$\sqrt{}$
Details of agency preparing this report	Environment Service, Ministry of Agriculture, Natural Resources and Environment
Contributing agencies, organizations or individuals	

# B. Legislative and regulatory measures

1	Has information on CITES- under the CITES National L			eady been provided	,	fully) partly)		√ 
	If yes, ignore questions 2, 3	and 4. Se	e Annex I		No	3,		
					No in	formation	/unknown	
2	If your country has planned, details:	drafted or	enacted any	y CITES-relevant le	gislation, p	lease pro	vide the follow	ing
	Title and date:		S	Status:				
	Brief description of contents	3:						
3	Is enacted legislation availal	ble in one o	f the worki	ng languages of the	Yes			
	Convention?				No			
					No in	formation	1	
4	If yes, please attach a copy of provisions that were gazette		egislative te	ext or key legislative	provid	tion attac		
5	Which of the following issu your country has adopted fo (in accordance with Article	r CITES-lis	sted species				all applicable	
			The condit	ions for:	The	complete	prohibition of:	
	Issue	Yes	No	No information	Yes	No	No informa	tion
	Trade							
	Taking							
	Possession							
	Transport							
	Other (specify)							
	Additional comments							

6	What were the results of any review CITES legislation, with regard to th			ess of Tic	k all applicable
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	V			
	Clarity of legal obligations	<b>√</b>			
	Control over CITES trade	V			
	Consistency with existing policy on wildlife management and use	<b>V</b>			
	Coverage of law for all types of offences	<b>V</b>			
	Coverage of law for all types of penalties		√ 		
	Implementing regulations	<b>V</b>			
	Coherence within legislation	V			
	Other (please specify):				
	Please provide details if available:				
7	If no review or assessment has taken pl reporting period?	ace, is one planne	ed for the next	Yes No No	information
8	Please provide details if available:  Has there been any review of legislatio			m· 1 1	1 1 11
8	relation to implementation of the Conve		g subjects in	l ick al	l applicable
	Subject		Yes	No	No information
	Access to or ownership of natural resou	ırces	<u>√</u>		
	Harvesting			√ 	
	Transporting of live specimens		V		
	Handling and housing of live specimen	S	V		
	Please provide details if available:				
9	Please provide details of any additional	measures taken:			

# C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been und	dertaken?		
	Review of reports and other information provided by traders and producers:	<b>V</b>		
	Inspections of traders, producers, markets		<b>√</b>	
	Border controls	V		
	Other (specify)			
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?		√	
3	If Yes, please indicate how many and for what types of violations? If Annex. None for 2005-2006.	available, p	lease attacl	n details as
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<b>V</b>		

Significant seizures/confiscations Total seizures/confiscations please specify per group of species or attach details on Annex III been any criminal prosecutions of significant CITES- ations? many and for what types of violations? If available, p been any other court actions of CITES-related  were the violations involved and what were the results? Pl he confiscated specimens generally disposed of? Into country of export lic zoos or botanical gardens ignated rescue centres roved, private facilities hanasia er (specify)  Confiscated parts and derivatives are kept in the pren country provided to the Secretariat detailed inform cases of illegal trade (e.g. through an ECOMESSA his), or information on convicted illegal traders an offenders?  Confiscated parts and derivative enforcement activities untry been involved in cooperative enforcement activities untry been involved in cooperative enforcement activities untry offered any incentives to local communities to a t of CITES legislation, e.g. leading to the arrest and co	please attach of the mation on AGE or and writies with	Environment Yes No Not app No infor	nex.  x. Tick if appl  t Service.	
please specify per group of species or attach details on Annex III been any criminal prosecutions of significant CITES- ations?  many and for what types of violations? If available, p been any other court actions of CITES-related  were the violations involved and what were the results? Ple he confiscated specimens generally disposed of?  In to country of export lic zoos or botanical gardens ignated rescue centres proved, private facilities manasia er (specify)  Confiscated parts and derivatives are kept in the pren country provided to the Secretariat detailed inform cases of illegal trade (e.g. through an ECOMESSA ms), or information on convicted illegal traders and offenders?  country been involved in cooperative enforcement activities untry been involved in cooperative enforcement activities use of intelligence, technical support, investigative assistion, etc.)?  see give a brief description: country offered any incentives to local communities to a	please attach of the mation on AGE or and writies with	details as Anne	x. Tick if appl	
Annex III been any criminal prosecutions of significant CITES- ations?  many and for what types of violations? If available, probeen any other court actions of CITES-related  were the violations involved and what were the results? Plane confiscated specimens generally disposed of?  Into country of export  lic zoos or botanical gardens  ignated rescue centres  roved, private facilities  nanasia  er (specify)  Confiscated parts and derivatives are kept in the pren  country provided to the Secretariat detailed informates of illegal trade (e.g. through an ECOMESSA  ns), or information on convicted illegal traders and offenders?  country been involved in cooperative enforcement activities  nage of intelligence, technical support, investigative assistion, etc.)?  se give a brief description:  country offered any incentives to local communities to a	please attach of the mation on AGE or and writies with	details as Anne	x. Tick if appl	
many and for what types of violations? If available, possen any other court actions of CITES-related  were the violations involved and what were the results? Please confiscated specimens generally disposed of?  In to country of export  lic zoos or botanical gardens  ignated rescue centres  roved, private facilities  nanasia  er (specify)  Confiscated parts and derivatives are kept in the prentountry provided to the Secretariat detailed information on convicted illegal traders and offenders?  country been involved in cooperative enforcement activities  nage of intelligence, technical support, investigative assistion, etc.)?  se give a brief description:  country offered any incentives to local communities to a	mises on the mation on AGE or and	details as Anne	x. Tick if appl	
been any other court actions of CITES-related  were the violations involved and what were the results? Plane confiscated specimens generally disposed of?  In to country of export  lic zoos or botanical gardens  ignated rescue centres  roved, private facilities  nanasia  er (specify)  Confiscated parts and derivatives are kept in the pren  country provided to the Secretariat detailed informates of illegal trade (e.g. through an ECOMESSA  ns), or information on convicted illegal traders and offenders?  country been involved in cooperative enforcement activities  nage of intelligence, technical support, investigative assistion, etc.)?  se give a brief description:  country offered any incentives to local communities to a	mises on the mation on AGE or and	Environment Yes No Not app No infor	x. Tick if appl	
been any other court actions of CITES-related  were the violations involved and what were the results? Plane confiscated specimens generally disposed of?  In to country of export  lic zoos or botanical gardens  ignated rescue centres  roved, private facilities  nanasia  er (specify)  Confiscated parts and derivatives are kept in the pren  country provided to the Secretariat detailed informates of illegal trade (e.g. through an ECOMESSA  ns), or information on convicted illegal traders and offenders?  country been involved in cooperative enforcement activities  nage of intelligence, technical support, investigative assistion, etc.)?  se give a brief description:  country offered any incentives to local communities to a	mises on the mation on AGE or and	Environment Yes No Not app No infor	x. Tick if appl	
he confiscated specimens generally disposed of?  In to country of export  lic zoos or botanical gardens  ignated rescue centres  roved, private facilities  nanasia er (specify)  Confiscated parts and derivatives are kept in the pren  country provided to the Secretariat detailed information on convicted illegal traders and offenders?  country been involved in cooperative enforcement activities  nationally been involved in cooperative enforcement activities  nation of intelligence, technical support, investigative assistion, etc.)?  se give a brief description:  country offered any incentives to local communities to a	mises on the mation on AGE or and	Environment Yes No Not app No infor  Yes No	Tick if appl	
lic zoos or botanical gardens ignated rescue centres ignated rescue	mation on AGE or ad	Environment Yes No Not app No infor  Yes No	Service.	
lic zoos or botanical gardens ignated rescue centres proved, private facilities nanasia er (specify)  Confiscated parts and derivatives are kept in the pren country provided to the Secretariat detailed inforr cases of illegal trade (e.g. through an ECOMESSA ns), or information on convicted illegal traders an offenders?  Duntry been involved in cooperative enforcement activities nage of intelligence, technical support, investigative assi ion, etc.)?  See give a brief description:	mation on AGE or ad	Yes No Not app No infor  Yes No	licable	
ignated rescue centres proved, private facilities panasia per (specify)  Confiscated parts and derivatives are kept in the prentrountry provided to the Secretariat detailed informations, or information on convicted illegal traders and offenders?  Dentry been involved in cooperative enforcement activities page of intelligence, technical support, investigative assistion, etc.)?  See give a brief description:	mation on AGE or ad	Yes No Not app No infor  Yes No	licable	
confiscated parts and derivatives are kept in the prenductive provided to the Secretariat detailed information on convicted illegal traders and offenders?  Confiscated parts and derivatives are kept in the prenductive provided to the Secretariat detailed information on convicted illegal traders and offenders?  Country been involved in cooperative enforcement activities age of intelligence, technical support, investigative assistion, etc.)?  See give a brief description:	mation on AGE or ad	Yes No Not app No infor  Yes No	licable	
confiscated parts and derivatives are kept in the prendountry provided to the Secretariat detailed information on convicted illegal traders and offenders?  Country been involved in cooperative enforcement activities age of intelligence, technical support, investigative assistance, etc.)?  See give a brief description:	mation on AGE or ad	Yes No Not app No infor  Yes No	licable	\[ \sqrt{1} \]
Confiscated parts and derivatives are kept in the prendountry provided to the Secretariat detailed informations, or information on convicted illegal traders anoffenders?  Description:   mation on AGE or ad	Yes No Not app No infor  Yes No	licable	\[ \sqrt{1} \]	
Confiscated parts and derivatives are kept in the prendountry provided to the Secretariat detailed information of cases of illegal trade (e.g. through an ECOMESSAns), or information on convicted illegal traders and offenders?  Sountry been involved in cooperative enforcement activities age of intelligence, technical support, investigative assistion, etc.)?  See give a brief description:	mation on AGE or ad	Yes No Not app No infor  Yes No	licable	√
country provided to the Secretariat detailed information cases of illegal trade (e.g. through an ECOMESSA as), or information on convicted illegal traders an offenders?  Country been involved in cooperative enforcement activities age of intelligence, technical support, investigative assistion, etc.)?  See give a brief description:  Country offered any incentives to local communities to a	mation on AGE or ad	Yes No Not app No infor  Yes No	licable	
cases of illegal trade (e.g. through an ECOMESSAns), or information on convicted illegal traders and offenders?  Duntry been involved in cooperative enforcement activities age of intelligence, technical support, investigative assistion, etc.)?  See give a brief description:  Duntry offered any incentives to local communities to a	AGE or and vities with	No Not app No infor  Yes No		□ √ □
ns), or information on convicted illegal traders an offenders?  ountry been involved in cooperative enforcement activities age of intelligence, technical support, investigative assistion, etc.)?  se give a brief description:  ountry offered any incentives to local communities to a	vities with	Not app No infor Yes No		√ □
ountry been involved in cooperative enforcement activities age of intelligence, technical support, investigative assision, etc.)? se give a brief description: buntry offered any incentives to local communities to a	vities with	No infor		
ountry been involved in cooperative enforcement activities age of intelligence, technical support, investigative assision, etc.)? se give a brief description: ountry offered any incentives to local communities to a		Yes No	mation	닏
ries age of intelligence, technical support, investigative assistion, etc.)? se give a brief description: buntry offered any incentives to local communities to a		No		Ш
ries age of intelligence, technical support, investigative assistion, etc.)? se give a brief description: buntry offered any incentives to local communities to a		No		
age of intelligence, technical support, investigative assistion, etc.)?  se give a brief description:  buntry offered any incentives to local communities to a	sistance,	- 10		
se give a brief description: buntry offered any incentives to local communities to a				
ountry offered any incentives to local communities to a		No infor	nation	
t of CITES legislation, e.g. leading to the arrest and co		Yes		
	onviction of	No		$\sqrt{}$
		No inform	nation	
se describe:				
een any review or assessment of CITES-related enforc	cement?	Yes		
		No		$\sqrt{}$
		Not app	licable	
		No inform	nation	
		,		
ide details of any additional measures taken:				
ee	of CITES legislation, e.g. leading to the arrest and cedescribe:  en any review or assessment of CITES-related enforcements.	of CITES legislation, e.g. leading to the arrest and conviction of e describe: en any review or assessment of CITES-related enforcement?	of CITES legislation, e.g. leading to the arrest and conviction of No No informed describe:  In any review or assessment of CITES-related enforcement?  Yes No Not app No information in the conviction of No not app No information in the conviction of No Not app No information in the conviction of No Not app No information in the conviction of No Not app No information in the conviction of No Not app No information in the conviction of No Not app No information in the conviction of No No information in the conviction in the conviction in the conviction of No No information in the conviction in the	of CITES legislation, e.g. leading to the arrest and conviction of No No information  e describe:  en any review or assessment of CITES-related enforcement?  Yes No Not applicable No information

3	If there is more than one MA in your country, has a lead MA been designated? One MA.	Yes No	
		No information	
4	If Yes, please name that MA and indicate whether it is identified as the lead M	A in the CITES Direct	tory.
5	How many staff work in each MA? 2 persons		
6	Can you estimate the percentage of time they spend on CITES related	Yes	$\checkmark$
	matters?	No	
	If you mloose sive estimation 15 hours non year	No information	
7	If yes, please give estimation 15 hours per week.	Tials if an	mliaabla
,	What are the skills/expertise of staff within the MA(s)?	Tick if ap	piicable
	<ul> <li>Administration</li> </ul>		$\sqrt{}$
	– Biology		$\checkmark$
	- Economics/trade		$\checkmark$
	<ul><li>Law/policy</li></ul>		$\checkmark$
	- Other (specify)		
	<ul> <li>No information</li> </ul>		
8	Have the MA(s) undertaken or supported any research activities in relation to	Yes	
	CITES species or technical issues (e.g. labelling, tagging, species	No	$\checkmark$
	identification) not covered in D2(8) and D2(9)?	No information	
9	If Yes, please give the species name and provide details of the kind of research	involved.	
10	Please provide details of any additional measures taken		

# D2 Scientific Authority (SA)

1			he designation of ich are not yet refl			Yes No	√
	_					No informatio	n
2	If Yes, please u	se the opportunity	y to provide those	changes he	ere.		
3	Has your count	ry designated a So	cientific Authority	independe	ent from the	Yes	
	Management A			тасрена		No	, 
	_	•	rities. Please see	Annex II.		No informatio	n 📙
4	What is the stru	icture of the SA(s)	) in your country?			Tick	if applicable
		ment institution	,				
	– Academ	nic or research ins	titution				
	– Permane	ent committee					$\sqrt{}$
	- Pool of	individuals with o	certain expertise				
	- Other (s	specify)					
5			on CITES issues	? Most me	embers of the sc	ientific authoritie	es work in
		SA. Please see A		1 07777	~		1
6	Can you estima matters?	te the percentage	of time they spend	d on CITE	S related	Yes No	√ 
	matters:					No informatio	n 🗀
	If yes, please gi	ive estimation 20	working hours pe	r week in t	total.	110 miomatio	🗆
7	What are the sk	rills/expertise of st	taff within the SA	(s)?		Tick	if applicable
	- Botany						
	- Ecology	/					$\sqrt{}$
	– Fisherie	es					$\sqrt{}$
	– Forestry	<i>I</i>					$\sqrt{}$
	W 16						
	– Welfare	;					V
	Zaalam	*					-1
	– Zoology	Y					V
	Other (s	enecify)					
	- Other (s	specify)					
	- No info	ormation					
8	- 10 - 110		een undertaken	by the SA	(s) in	Yes	
			ly some basic re			No	$\sqrt{}$
	Resources, for	information pu	rposes.			No information	n 🗌
9	If Yes, please g	ive the species na	me and provide de	etails of the	e kind of researc	ch involved.	
	Species name	Populations	Distribution	Off	Legal trade	Illegal trade	Other
		Topulations	Distribution	take		megar trace	(specify)
	1						
	2						
	3					1	
	etc.	<u> </u>				<u></u>	
ĺ						No informati	on 📙

10	Have any project pr the Secretariat unde				ch been	submitte	No	nformation	\ \frac{1}{\sqrt{1}}
11	Please provide details	of any a	dditional m	neasures tal	ken:				
D3	Enforcement Authoriti	es							
1	To date, has your cou authorities that have be enforcement informat	een desi	gnated for t	the receipt			Yes No No infor	rmation	\ \ \
2	If No, please designat The Customs and Exc Nicosia, Tel. 00357 2 Name: George Const	ise Depa 2 601751	rtment, Mi	nistry of Fi	inance, l	M. Karoli	and Gr. Afxent		96
3	Has your country esta related enforcement (of police, public prosecu	e.g. with	in the wildl				No	onsideration mation	√ □ □
4	If Yes, please state wl	nich is th	e lead agen	cy for enfo	orcemen	t: The Cu	stoms and Exci	se Departmen	t.
5	Please provide details	of any a	dditional m	neasures tal	ken:				
D4	Communication, infor	mation n	nanagemen	nt and exch	nange				
1	To what extent is CIT					itarizad?		Tick if a	pplicable
1	Monitoring an					iterizeu:		1 ICK II a	.ppiicable √
	- Wolltoning an	u reporti	ng or data (	m iegai iia	uc				V
	– Monitoring an	d reporti	ng of data o	on illegal tr	rade				$\checkmark$
	– Permit issuance	ee							$\checkmark$
	– Not at all								
	- Other (specify	)							
2	Do the following auth	orities ha	ave access t	to the Inter	net?			Tick if a	pplicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all		ovide details w ppropriate	here 'here
	Management Authority	<b>V</b>							
	Scientific Authority	V							
	Enforcement Authority	<b>√</b>							

3	Do you have an electronic information system prov species?	riding information or	No	information	√ □ □
4	If Yes, does it provide information on:			Tick if appl	icable
	Legislation (national, regional or internation	nal)?			$\checkmark$
	Conservation status (national, regional, inte	rnational)?			
	- Other (please specify)?				
5	Is it available through the Internet:  Please provide URL: <a href="www.moa.gov.cy">www.moa.gov.cy</a> (Environm	ent Service link)		applicable information	√ □ □
6	Do the following authorities have access to the following			Tick if applic	able
	Publication	Management Authority	Scientific Authority	Enforceme Authorit	
	2003 Checklist of CITES Species (book)	V	V	V	
3333 333	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)	V	V	<b>V</b>	
	Identification Manual	V	V	$\sqrt{}$	
	CITES Handbook	V	V	$\sqrt{}$	
7	If not, what problems have been encountered to acc	cess to the mentioned	d information?		
8	Have enforcement authorities reported to the Mana;  – Mortality in transport?  – Seizures and confiscations?  – Discrepancy in number of items in permit and no Comments:			Tick if appl	icable  □  √
9	Is there a government website with information on requirements?	CITES and its	Yes No No in	formation	√ □
	If Yes, please give the URL: www.moa.gov.cy		<u> </u>		
10	Have CITES authorities been involved in any of the bring about better accessibility to and understanding requirements to the wider public?			Tick if appl	icable
	- Press releases/conferences				
	Newspaper articles, radio/television appeara	ances			
	- Brochures, leaflets				
	- Presentations See Annex IV				$\sqrt{}$
	– Displays				
	Information at border crossing points				
	Telephone hotline				
	Other (specify) Articles in the web site.				$\sqrt{}$

	Please attach copies of any items as Annex.
11	Please provide details of any additional measures taken:

#### D5 Permitting and registration procedures

D3	1 ermuing and registration pro	ceuures						
1	Have any changes in permit for empowered to sign CITES perm Secretariat?		Yes No Not applicable No information	\[ \sqrt{\lambda} \] \[ \sqrt{\lambda} \] \[ \sqrt{\lambda} \]				
	If no, please provide details of a							
	Changes in permit format:							
	Changes in designation or s	m: 1 : 2 1: 11						
2	To date, has your country devel following?	oped written permi	t procedure	es for any	of the	Tick if applicable		
	Tollowing !			Yes	No	No informatio		
	Dormit igguanga/agantanga							
	Permit issuance/acceptance				1			
	Registration of traders				—			
	Registration of producers				√ V			
3	Please indicate how many CITES documents were issued or denied in the two year period?  (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).							
	Year 1 2005	Import or introduction from the sea	Export	Re-export	Other	Comments		
	How many documents were issued?	2		4	-	-		
	How many applications were denied because of severe ommissions or misinformation?	-		-	-	-		
	Year 2 2006 How many documents were issued?	-		3	-	-		
	How many applications were denied because of severe ommissions or misinformation?	-		-	-	-		
4	Were any CITES documents the of severe ommissions or mis-in		cancelled	and replac	ed because	Yes No No information	\[ \sqrt{\lambda} \]	
5	If Yes, please give the reasons t	or this.						
6	Please give the reasons for recountries.	ejection of CITES	document	s from ot	her	Tick if applicable		
		ason		Ye	s No	No informatio		
		ason		16	S NO	No illioillatio		
	Technical violations			<u>_</u>				
	Suspected fraud	1 4		<u> </u>	J   L			
	Insufficient basis for finding of			<u>_</u>				
	Insufficient basis for finding of	legal acquisition						
	Other (specify)				<u>.                                    </u>	<u> </u>		
7	Are harvest and/or export quota issuance of permits?	s as a management	tool in the	procedure	tor	Yes No	Ш	

							No information	√
	Comments							
8	How many times has the Scientific Authority							
	Two to three times monthly, regarding vario						T: 1 :0	1. 11
9	Has the Management Authority charged feed related CITES activities?	s for peri	nıt ıssua	nce, regi	stratic	on or	Tick if app	licable
	- Issuance of CITES documents:							
	<ul> <li>Licensing or registration of operatio</li> </ul>	ns that n	roduce (	TITES sn	ecies:			
	<ul> <li>Harvesting of CITES-listed species</li> </ul>	-	104400	51125 Sp				П
	- Use of CITES-listed species:							Ī
	<ul> <li>Assignment of quotas for CITES-lis</li> </ul>	ted speci	ies:					
	<ul> <li>Importing of CITES-listed species:</li> </ul>	•						
	- Other (specify):							
10	If Yes, please provide the amounts of such f	ees.					•	
11	Have revenues from fees been used for the i	mplemer	ntation of	CITES	or		Tick if app	licable
	wildlife conservation?							
	- Entirely:							$\vdash$
	<ul><li>Partly:</li><li>Not at all:</li></ul>							
	Not relevant:							H
	Comments: No fees charged							Ш
12	Please provide details of any additional mea	sures tak	en:					,
	Y							
D6	Capacity building							
	<u> </u>	u dautalra	n to only	maa affa	atirran		Tials if anni	iaabla
1	Have any of the following activities been u CITES implementation at the national level		n to enna	ince ene	cuvei	iess of	Tick if appl	icable
	Increased budget for activities		-					
	increased budget for activities	√ Improvement of national						
		ľ	Improv network		of nati	onal		
			netwo	ks			ament for	
	Hiring of more staff		network Purcha	ks	hnica	l equip	oment for	
	Hiring of more staff	V	Purcha monito	ks use of tec oring/enf	hnica orcen	l equip	oment for	
			Purcha monito	ks se of tec	hnica orcen	l equip	oment for	
	Hiring of more staff  Development of implementation tools		Purcha monito	ks use of tec oring/enf	hnica orcen	l equip	oment for	<ul><li>□</li><li>√</li></ul>
2	Hiring of more staff  Development of implementation tools  - Other (specify)		Purcha monito Comp	ks use of tec oring/enf uterisatio	chnica forcen	l equip		\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
2	Hiring of more staff  Development of implementation tools		Purcha monito Comp	ks use of tec oring/enf uterisatio	chnica forcen	l equip		\[ \sqrt{\sq}}}}}}}}}} \scrt{\sq}}}}}}}} \sqrt{\sq}}}}}}}}} \sqrt{\sq}}}}}}}}} \sqrt{\sqrt{\sqrt{\sqrt{\sq}}}}}}}} \end{\sqrt{\sq}}}}} \end{\sqrt{\sqrt{\sqrt{\sq}\sq}}}}}} \end{\sqrt{\sqrt{\sq}}}}}}} \end{\sqrt{\sqrt{\sqrt{\sq}}}}}} \end{\sqrt{\sqrt{\sq}}}}
2	Hiring of more staff  Development of implementation tools  - Other (specify)  Have the CITES authorities in your country	been th	Purcha monito Comp	ks use of tec oring/enf uterisatio	chnica forcen	l equip		\[ \sqrt{\sqrt{\gamma}} \] \[ \sqrt{\gamma} \] \[ \gamma \] \[ \gamma \] \[ \gamma \]
2	Hiring of more staff  Development of implementation tools  - Other (specify)  Have the CITES authorities in your country activities provided by external sources?  Please tick boxes to indicate which target	been th	Purcha monito Comp	ks use of tec oring/enf uterisatio	chnica forcen	l equipment e follo		
2	Hiring of more staff  Development of implementation tools  - Other (specify)  Have the CITES authorities in your country activities provided by external sources?	been th	Purcha monito Compo	rks use of tecoring/enf uterisation  nt of any	chnica forcem on	l equipment e follo	wing capacity building	
2	Hiring of more staff  Development of implementation tools  - Other (specify)  Have the CITES authorities in your country activities provided by external sources?  Please tick boxes to indicate which target	been th	Purcha monito Compo	rks use of tecoring/enf uterisation  nt of any	chnica forcem on	l equipment e follo	wing capacity buildin	
2	Hiring of more staff  Development of implementation tools  - Other (specify)  Have the CITES authorities in your country activities provided by external sources?  Please tick boxes to indicate which target group and which activity.	been th	Purcha monito Compo	rks use of tecoring/enf uterisation  nt of any	chnica forcem on	l equipment e follo	wing capacity building	
2	Hiring of more staff  Development of implementation tools  — Other (specify)  Have the CITES authorities in your country activities provided by external sources?  Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance the	Purcha monito Comp	ks use of tec oring/enf uterisatio	Innica orcem on of th	l equip	wing capacity building	
2	Hiring of more staff  Development of implementation tools  - Other (specify)  Have the CITES authorities in your country activities provided by external sources?  Please tick boxes to indicate which target group and which activity.	been th	Purcha monito Compo	rks use of tecoring/enf uterisation  nt of any	chnica forcem on	l equipment e follo	wing capacity building	
2	Hiring of more staff  Development of implementation tools  — Other (specify)  Have the CITES authorities in your country activities provided by external sources?  Please tick boxes to indicate which target group and which activity.  Target group  Staff of Management Authority	Oral or written and advice/guidance that use advice/guidance	Purcha monito Compo	rks use of tecoring/enf uterisation  nt of any	chnica forcem	l equipment e follo	wing capacity building	
2	Hiring of more staff  Development of implementation tools  — Other (specify)  Have the CITES authorities in your country activities provided by external sources?  Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance the	Purcha monito Compo	rks use of tecoring/enf uterisation  nt of any	Innica orcem on of th	l equipment e follo	wing capacity building	
2	Hiring of more staff  Development of implementation tools  — Other (specify)  Have the CITES authorities in your country activities provided by external sources?  Please tick boxes to indicate which target group and which activity.  Target group  Staff of Management Authority  Staff of Scientific Authority	Oral or written and advice/guidance the advice/guidance advice/guidance the advice/guidance advice/guidance the advice/guidanc	Purcha monito Compo	rks use of tecoring/enf uterisation  nt of any	chnica forcem	l equipment e follo	wing capacity building	
2	Hiring of more staff  Development of implementation tools  — Other (specify)  Have the CITES authorities in your country activities provided by external sources?  Please tick boxes to indicate which target group and which activity.  Target group  Staff of Management Authority	Oral or written and advice/guidance that use advice/guidance	Purcha monito Compo	rks use of tecoring/enf uterisation  nt of any	chnica forcem	l equipment e follo	wing capacity building	

_							
	Traders	V					
	NGOs						
	Public						
	Other (specify)						
3	Have the CITES authorities in your co	ountry been	the provid	ers of an	y of th	e follo	wing capacity building
	activities?	A		1			
	Please tick boxes to indicate which tar group and which activity.  Target group	gat the state of t	auvice gundanee Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	V		П	П	П	
	Staff of Scientific Authority	\ \ \					
	Staff of enforcement authorities	√					
	Traders	√					
	NGOs				П		
	Public						
	Other parties/International meetings						
	Other (specify)						
4	Please provide details of any additional	al measures	taken				
57.0							
	llaboration/co-operative initiatives					<del> </del>	
1	Is there an inter-agency or inter-sector	ral committ	tee on CIT	ES?			Yes ☐ No √
							No information
2	If Yes, which agencies are represented	d and how	often does	it meet?			
3	If No, please indicated the frequency among CITES authorities (e.g. other N						A to ensure co-ordination
	Daily Weekly	Monthly	Annually	None		No mation	Other (specify)
	Meetings						Case by case
	Consultations						Case by case
4	At the national level have there been a collaborate with:	any efforts	to	Tick i	if appli	cable	Details if available
				·			
	Agencies for development and trade						
	Agencies for development and trade Provincial, state or territorial authoriti	ies					
		ies					
	Provincial, state or territorial authoriti	ies					

	NGOs	$\sqrt{}$	нининин	
	Other (specify)			
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if app	plicable
	SA			√
	Customs			$\checkmark$
	Police			
	Other border authorities (specify)	W		
	Other government agencies			
	Private sector bodies			
	NGOs			
	Other (specify)			
6	Has your country participated in any regional activities related to CITES?		Tick if app	plicable
	Workshops			$\sqrt{}$
	Meetings			$\sqrt{}$
	Other (specify)			
7	Has your country encouraged any non-Party to	Yes		
	accede to the Convention?	No		$\sqrt{}$
		No information		
8	If Yes, which one(s) and in what way?			
9	Has your country provided technical or financial	assistance to	Yes	
	another country in relation to CITES?		No Information	ν Π
10	If W =		140 information	
11	If Yes, which country (ies) and what kind of assistance was p		Yes	
11	Has your country provided any data for inclusion in the CIT Manual?	ES Identification	No	$\sqrt{}$
			No information	
12	If Yes, please give a brief description.			
13	Has your country taken measures to achieve co-ordination a		Yes	
	duplication of activities between the national authorities for		No	$\sqrt{}$
	multilateral environmental agreements (e.g. the biodiversity Conventions)?	-rerated	No information	
14	If Yes, please give a brief description.			
15	Please provide details of any additional measures taken:			
	Fronta admin or any additional ineasures taken.			

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?							
	Activity	High	Medium	Low				
	Increased budget for activities		V					
	Hiring of more staff	1						
	Development of implementation tools		V					
	Improvement of national networks			<b>V</b>				
	Purchase of new technical equipment for monitoring and enforcement		√					
	Computerisation		√					
	Other (specify)							
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes No No info	rmation	√ □ □				
3	If Yes, which one(s) and what is the main difficulty? The identification of certain	species.						
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes No No inf	ormation	√ □				
5	If Yes, please describe the constraint and the type of attention or assistance that is identification of certain species.	required	. The					
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes No No inf	ormation	√				
7	If Yes, please give a brief description.							
8	Please provide details of any additional measures taken:							

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	$\checkmark$
	In Greek.	Not available	
	Annex I	Not relevant	
С3	Details of violations and administrative measures imposed	Enclosed	
		Not available	
		Not relevant	$\checkmark$
C5	Details of specimens seized, confiscated or forfeited	Enclosed	
	•	Not available	
		Not relevant	$\sqrt{}$
C7	Details of violations and results of prosecutions	Enclosed	
,	- common and common processing and p	Not available	Ħ
		Not relevant	$\sqrt{}$
C9	Details of violations and results of court actions	Enclosed	
		Not available	
		Not relevant	$\checkmark$
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for	Enclosed	√
	educational or public awareness purposes,	Not available	
	Annex III	Not relevant	Ħ.
	Comments		

# **Annex - Proposed Biennial Report Format Part 2 - Supplementary Questions**

Note: Questions in Part 2 are additional to those in Part 1, and relate to information on the provisions of the Regulation that fall outside the scope of CITES.

# ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:							
	Title and date:			Status:				
	Brief description of conte							
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?  Tick all applicable categories below that these categories apply to.							
			The condit		The	complete	prohibition of:	
	Issue	Yes	No	No information	Yes	No	No information	
	Trade		V			<b>V</b>		
	Taking		√			<b>V</b>		
	Possession		√			√		
	Transport		<b>√</b>			√ √		
	Other (specify)		<b>√</b>			V		
	Additional comments					1		
8b	Has there been any review relation to implementation			ollowing subjects in				
					Yes	No	No information	
	Introduction of live Regul Community that would the (in accordance with Artic	reaten the in	digenous f			$\sqrt{}$		
	Marking specimens to fac with Article 19, paragraph		fication (in	accordance		√		
	Please provide details if a	vailable,						
9b	Please provide details of rother additional measures (9). Maximum penalty C	taken in rela	ation to imp	plementation of the				

23

<sup>&</sup>lt;sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

	C. Compliance and enforcement measures		
2b	Have any actions, in addition to those reported in C (2-9) above, been taken	Yes	
	for Regulation-related violations?	No	
		No information	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes	
		No	
		No information	
	Comments:		
17	Have specimens been marked to establish whether they were born and bred in captivity or artificially propagated? (In accordance with Commission Regulation (EC) No 1808/2001 Article 36),	Yes	V
new		No	
		No information	
	Comments:		
18	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c))	Yes	
new		No	$\sqrt{}$
		No information	
	Comments:		
D1 Ma	D. Administrative measures nagement Authority (MA)		
8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	√ □

# D2 Scientific Authority (SA)

10

new

8b	Have any research activities been undertaken by the SA(s) in				Yes		
	relation to non CITES listed species?				No	$\checkmark$	
		_				No informatio	n 🔲
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
						No information	on 🔲
11 new	How many Scientific Review Group (SRG) meetings have the SA attended?				Number		
	Indicate any difficulties that rendered attendance to the SRG difficult:						

Yes

No

No information

Has the Commission and the CITES Secretariat (if relevant) been informed of

the outcomes of any investigations that the Commission has considered it

necessary be made? (In accordance with Article 14 paragraph 2),

D3	Enforcement.	<b>Authorities</b>
----	--------------	--------------------

6 new	Has a liaison officer/focal point for CITES been nominated within each relev your country?	t enforcement authority in Yes √	
110 11		No	
	ī	Under consideration	П
	1	No information	
	<u> </u>		
D4 Co	mmunication, information management and exchange		
1b	Is Regulation-related information in your country computerized on?	Tick if appl	icable
	Annex D listed species		
	Other matters not reported on in question D4 (1) (please specify)		
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	
		No	
		No information	
<b>D5 Pe</b> l	rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matter	s Yes	
90	not covered in question D5 (9)?	No	ال
	not control in question for (9).	No information	
	Anne of the fortune world		
D8	Areas for future work		
2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In	Yes	
		No	
	accordance with Article 4 (6))	No information	
4b	Have any constraints to implementation of the Regulation, not reported under	Yes	
	question D8(4), arisen in your country requiring attention or assistance?	No	
	question 20(1), unser in your country requiring attention of assistance.		,
	question Bo(1), urisen in your country requiring unontion of assistance.	No information	<u></u>
7 bis	Can you indicate the percentage of permits/certificates issued that are returned the MA after endorsement by customs?		

# THE CZECH REPUBLIC

#### 4. DOTAZNIK CITES (POUZE V ANGLICTINE) / CITES QUESTIONNAIRE

#### PART 1 - CITES QUESTIONS

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

#### A. General information

Party	Czech Republic
Period covered in this report:	1 January 2005 to 31 December 2006
Details of agency preparing this report	Ministry of the Environment of the Czech Republic – CITES Management Authority
Contributing agencies, organizations or individuals	- Agency for Nature Conservation and Landscape Protection of the Czech Republic – CITES Scientific Authority
	- Czech Environmental Inspectorate (CEI)
	- General Directorate of Customs

#### B. Legislative and regulatory measures

1	Has information on CITES-			eady been provided	,	Yes (fully)			
	under the CITES National L					partly)			
	If yes, ignore questions 2, 3	and 4.			No	No			
					No in	formation	n/unknown		
2	If your country has planned details:	, drafted or	enacted ar	ny CITES-relevant l	egislation,	please pr	ovide the follo	wing	
	Title and date:		S	Status:					
	Brief description of contents	s:						•••	
3	Is enacted legislation availal	ble in one of	the worki	ng languages of the	Yes			Y	
	Convention?				No				
					No in	No information [			
4	If yes, please attach a copy of	of the full le	gislative te	ext or key legislative	e legisla	legislation attached			
	provisions that were gazette	d.			provided previously			Y	
					not available, will send later				
5	Which of the following issu your country has adopted fo (in accordance with Article	r CITES-list	ted species		measures the	hat Ticl	c all applicable		
		·	The condit	ions for:	The o	complete	prohibition of:		
	Issue	Yes	No	No information	Yes	No	No informa	tion	
	Trade	Y							
	Taking	Y							
	Possession	Y							
	Transport	Y							
Ì	Other (specify)								

Additional comments	Most of the stricter measures resulted in the implementation of the Council Regulation (EC) No 338/97 (CITES) and other relevant EC legislation.
	The additional stricter measures implemented by the Czech Republic:
	(1) Most of species listed by the CITES which are indigenous for the Czech Republic are strictly protected by the Act No. 114/1992 Coll., on Protection of Nature and the Landscape. Taking from the wild, trade and possession are prohibited. Exceptions only under strict conditions, e.g. for bred-in-captivity animals or artificially propagated plants.
	(2) Live exotic specimens of selected species of mammals, birds and reptiles from Appendix I and II must be registered by the state authorities in accordance with the Section 23 of the Act No. 100/2004 Coll. (Act on Trade in Endangered Species).

6	What were the results of any review CITES legislation, with regard to the	ness of Tio	ck all applicable		
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	Y			
	Clarity of legal obligations	Y			
	Control over CITES trade	Y			
	Consistency with existing policy on wildlife management and use	Y			
	Coverage of law for all types of offences	Y			
	Coverage of law for all types of penalties	Y			
	Implementing regulations	Y			
	Coherence within legislation	Y			
	Other (please specify):				
7	The former legislation (Act No. 16/199 CITES Secretariat as Category 1 durin acceded to the EU and the "new" CITE national Act No. 100/2004 Coll. (Act on If no review or assessment has taken pla reporting period?	g the National Lo S legislation inclu n Trade in Endang	egislation Project aded the Council gered Species).	et. Since May 1, 1 I Regulation (EC)	2004, the Czech R. No 338/97 and the
	Please provide details if available: see 6	5		No No	information
8	Has there been any review of legislation relation to implementation of the Conve		subjects in	Tick al	l applicable
	Subject		Yes	No	No information
	Access to or ownership of natural resou	rces		N	
	Harvesting			N	
	Transporting of live specimens				N
	Handling and housing of live specimens	8			N
	Please provide details if available:				
9	Please provide details of any additional				
	Maximum penalties that may be impose 200 000 (EUR 7 150); a fine for a busin	ess up to CZK 1:	500 000 (EUR 5	3 500); imprisonr	nent up to 8 years.
	Transporting of live specimens and legislation which meets basic requirement				of the veterinary

#### C. Compliance and enforcement measures

						Yes	No	No information	
1	Have any of the following compliance monitoring operations been undertaken?								
	Review	-	ther information pro	vided by traders and	1		N		
	Inspection	ons of traders, pr	roducers, markets			Y			
	Border o					Y			
	Other (s	pecify)							
2			measures (e.g., fine S-related violations?	s, bans, suspensions	s)	Y			
3	If Yes, p	lease indicate ho	ow many and for wh	at types of violation	ns? If av	ailable, pl	lease attacl	n details as	
	Summar	y of the report b	y the Czech Environ	nmental Inspectorate	e:_				
		Penalties		Confiscations					
		No	CZK value	Cases					
	2005	195	952 150	49					
	2006	129	1 210 950	51					
	The mei	n tymas of froud	wan amusalina and	not muoving the lea		n of CITE	Canacima	na in tuada	
4			were smuggling and		gai origii	Y	S specime	ns in trade.	
4		y significant sen pecimens been i	zures, confiscations a made?	and fortentures of		Y			
5	If inforn	nation available:				Number			
		Y Significant se	eizures/confiscations			Exact numbers are not available.			
		Y Total seizures	s/confiscations			The database by the CEI does not distinguish between significant and			
	If possibannex.	le, please specif	Ty per group of speci-	es or attach details of	on		ificant seiz		
	- No. of	confiscated CIT	ES specimens:						
			2005	20	006				
	Mamma	ls - (live)	0		0				
	- (dead)		4		18				
	Birds - (	live)	8		20				
	- (dead)		1		2				
	Reptiles	- (live)	217	4	506				
	- (dead)		4	4	530				
	Fish		0		0				
	Inverteb (dead)	rates –	269		52				
	Plants -	(live)	83		37				
	- (dead)		0	90 + 18.3	3 kg				
				(snowdrop bs + TO	CM)				
6		ere been any crir riolations?	minal prosecutions o	f significant CITES	-	<b>Y</b> *			

7	If Yes, how many and for what types of violations? If available, please attach details as Annex.									
	In 2005 there were 2 persons prosecuted and accused of the crime of unauthorized management of protected wild fauna and flora*.									
	In 2006 there were 20 persons prosecuted and 18 accused of the crime of unauthorized management of protected wild fauna and flora*.									
	There were 3 and 5 persons sentenced for the crime of unauthorized mar and flora* in 2005 and 2006, respectivelly.	nagement	of protecte	ed wild fa	una					
	*The crime of unauthorized management of protected wild fauna and flora concerns both nationally protected non-CITES fauna and flora and the CITES-listed species. Unfortunately, we have no statistics on crime concerning the CITES-listed species only.									
8	Have there been any other court actions of CITES-related violations?			X						
9	If Yes, what were the violations involved and what were the results? Ple	ase attach	details as	Annex.						
10	How were the confiscated specimens generally disposed of?		Т	ick if app	licable					
	Return to country of export									
İ	Public zoos or botanical gardens				Y					
	Designated rescue centres				Y					
	Approved, private facilities									
	– Euthanasia									
	- Other (specify)				Y					
	Comments: Live specimens are most often placed in rescue centers, zoos specimens are offered by the state to museums and other scientific collect not sell confiscated specimens, but only donates them for non-commercial	ctions. Th	e Czech R							
11	Has your country provided to the Secretariat detailed information on		Yes		Y					
	significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offend		No							
	mounts), or information on convicted magnitudes and personal		Not appli	cable						
			No inforn	nation						
	Comments: To the Interpol and Secretariat by the Ecomessages and to the	ne EU by	the EU-TV	VIX datab	ase.					
12	Has your country been involved in cooperative enforcement activities with		Yes		Y					
	other countries		No							
	(e.g. exchange of intelligence, technical support, investigative assistance joint operation, etc.)?	,	No inform	ation						
13	If Yes, please give a brief description: Participation in the EU Enforcement Participation in the EU Enforcement Wildlife Crime Crown and the EU TW									
	Republic is active in the Interpol Wildlife Crime Group and the EU-TW. Environmental Inspectorate has close co-operation with enforcement aut									
	New Zealand, USA, Germany, United Kingdom, Slovakia etc.				,					
14	Has your country offered any incentives to local communities to assist in		Yes							
	enforcement of CITES legislation, e.g. leading to the arrest and conviction offenders?	on of	No							
	offenders?		No inform	ation	N					
15	If Yes, please describe:									
16	Has there been any review or assessment of CITES-related enforcement	?	Yes		Y					
			No							
			Not appli	cable						
			No inform							
ŀ	Comments:		140 111101111	anon						
17	Please provide details of any additional measures taken: organized by the	o EU Cor	nmission	Garstack	; T					
17	(2006): Implementation of Article 16, Council Regulation (EC) No. 338, European Union. A TRAFFIC Europe Report for the European Commission	/97, in the	e 25 Memb	er States						

#### D. Administrative measures

#### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information	Yes	
	for the MA(s) in your country which are not yet reflected in the CITES Directory?	No	N
	Directory?	No information	
2	If Yes, please use the opportunity to provide those changes here.		
	See chapter on ADMINISTRATIVE STRUCTURE		
3	If there is more than one MA in your country, has a lead MA been	Yes	Y
	designated?	No	
		No information	
4	If Yes, please name that MA and indicate whether it is identified as the lead M	A in the CITES Direc	tory.
	Ministry of the Environment (No. 1°MA in the CITES Directory).		
5	How many staff work in each MA?		
	Ministry of the Environment - Office of the CITES Management Authority: 6		
	Other MA (see Chapter 1 on administrative structure of this Report): There is responsible for the CITES at each office, but mostly as a part-time job because responsibilities as well.		ther
6	Can you estimate the percentage of time they spend on CITES related	Yes	Y
· ·	matters?	No	n
		No information	H
	If yes, please give estimation	1 to information	ш
	Ministry of the Environment - Office of the CITES Management Authority: 5 officers 100%, 1 officer 30%.		
	See also 5		
7	What are the skills/expertise of staff within the MA(s)?	Tick if ap	plicable
	– Administration		Y
	- Biology		Y
	- Economics/trade		
	<ul> <li>Law/policy</li> </ul>		Y
	<ul><li>Other (specify) – Environment protection</li></ul>		Y
	<ul> <li>No information</li> </ul>		$\bar{\Box}$
8	Have the MA(s) undertaken or supported any research activities in relation to	Yes	
	CITES species or technical issues (e.g. labelling, tagging, species	No	$\bar{\Box}$
	identification) not covered in D2(8) and D2(9)?	No information	$\overline{\Box}$
9	If Yes, please give the species name and provide details of the kind of research		
	Marking of live animals. In co-operation with the SA – see D2.		
10	Please provide details of any additional measures taken		
- 0	r		

#### D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES	Yes No	□ N
	Directory?		
2	If Yes, please use the opportunity to provide those changes here.		
	See chapter on ADMINISTRATIVE STRUCTURE		
3	Has your country designated a Scientific Authority independent from the	Yes	Y
	Management Authority?	No	

						No informatio	n 🗌		
4	What is the stru	cture of the SA(s)	in your country?			Tic	k if applicable		
	– Governr	nent institution					Y		
	<ul> <li>Academic or research institution</li> </ul>								
	– Permane	ent committee							
	<ul> <li>Pool of i</li> </ul>	individuals with c	ertain expertise						
	- Other (s	pecify)							
5	How many staff	work in each SA	on CITES issues	?					
			tion and Landscap f of the Agency ar			Republic: 5 "CI"	ΓES		
6	•	te the percentage	of time they spend	on CITES	S related	Yes	Y		
	matters?					No			
				_		No informatio	n 🗌		
_	, ,		officers 100%, the	•	y partially.				
7		ills/expertise of st	aff within the SA(	s)?		Tic	k if applicable		
	- Botany						Y		
	- Ecology						Y		
	– Fisheries								
	<ul><li>Forestry</li></ul>								
	– Welfare								
	– Zoology						Y		
		-	servation, conserv	vation biol	ogy		Y		
	- No info	rmation				•			
8			undertaken by the	e SA(s) in	relation to	Yes	<u>Y</u>		
	CITES species?					No			
						No informatio	n 🗌		
9		ive the species na	me and provide de	tails of the	<u> </u>	h involved.	=		
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)		
	reptiles						marking		
	mammals						marking		
	birds						marking by rings		
						No informati	on 🗌		
10			ientific research b	een submi	tted to the	Yes			
	Secretariat unde	er Resolution Con	f. 12.2?			No	N		
						No informati	on $\square$		
11	Please provide of	details of any add	tional measures ta	ıken:					

#### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential	Yes No						
	enforcement information related to CITES?	No information						
2	If No, please designate them here (with address, phone, fax and email).	No information						
3	Has your country established a specialized unit responsible for CITES-	Yes						
	related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	No						
	ponce, public prosecutor's office):	Under consideration						
		No information						
4	If Yes, please state which is the lead agency for enforcement:							
	The Czech Environmental Inspectorate							
5	Please provide details of any additional measures taken:							
	A new official agreement was concluded between the Czech Environmental Inspectorate and the Customs in 2006 on close co-operation and establishment of a special inter-agency unit for investigation of significant CITES-related cases.							

#### D4 Communication, information management and exchange

1	To what extent is CIT	Tick if applicable					
	<ul> <li>Monitoring an</li> </ul>	d reporti	ng of data	on legal trac	le		Y
	<ul> <li>Monitoring an</li> </ul>	Y					
	<ul> <li>Permit issuance</li> </ul>	ce					Y
	<ul><li>Not at all</li></ul>						
	<ul> <li>Other (specify</li> </ul>	·)					
2	Do the following auth	orities h	ave access	to the Interr	net?		Tick if applicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	Y					
	Scientific Authority	Y					
	Enforcement Authority	Y					
3	Do you have an electr	onic info	rmation sy	stem provid	ling info	ormation	on CITES Yes Y
	species?						No
							No information

4	If Yes, does it provide information on:		Tick if applicable				
	Legislation (national, regional or internation			Y			
	<ul> <li>Conservation status (national, regional, inte</li> </ul>	ma	mainly national				
	Other (please specify)?						
5	Is it available through the Internet:	Yes	S	<u> </u>			
	C		No				
			No	t applicable			
			No	information			
	Please provide URL: www.env.cz/cites; www.natu: www.cs.mfcr.cz	re.cz; www.cizp.cz,					
6	Do the following authorities have access to the following	owing publications?		Tick if applic	able		
	Publication	Management Authority	Scientific Authority	Enforcem Authorit			
	2003 Checklist of CITES Species (book)	Y	Y	Y			
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)	Y	Y	Y			
	Identification Manual	Y	Y	Y			
	CITES Handbook	${f Y}$	Y	Y			
7	If not, what problems have been encountered to acc	cess to the mentioned	d information?				
8	Have enforcement authorities reported to the Mana	gement Authority or	· ·	Tick if app	licable		
U	Mortality in transport?	gement rumonty of	1.	тек п арр	N		
	Seizures and confiscations?				Y		
	Discrepancy in number of items in permit and n	number of items actu	ally traded?		Y		
	Comments: Mortality in transport is competence of		-		1		
9	Is there a government website with information on		Yes		Y		
	requirements?	CITES and its	No				
				nformation			
	If Yes, please give the URL:		1,01				
	MA: www.env.cz/cites						
	SA: www.nature.cz						
	Czech Environmental Inspectorate: www.cizp.cz						
	Customs Administration: www.cs.mfcr.cz						
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?						
	Press releases/conferences				Y		
	Newspaper articles, radio/television appeara	ances			Y		
	- Brochures, leaflets				Y		
	- Presentations		······································		Y		
	– Displays				Y		
	<ul> <li>Information at border crossing points</li> </ul>				Y		
	Telephone hotline				Y		
	- Other (specify)						
	Please attach copies of any items as Annex.						
11	Please provide details of any additional measures ta	aken:					

#### D5 Permitting and registration procedures

1	Have any changes in permit for						Yes	Y	
	empowered to sign CITES peri	nits/certificates bee	n reported	previous	ly to the	•	No		
	Secretariat?	Not applicable							
	IC						No information		
	If no, please provide details of	any:							
	Changes in permit format:								
	Changes in designation or s								
2	To date, has your country deve following?	Tick if applicable							
				Yes		No	No information	n	
	Permit issuance/acceptance			Y					
	Registration of traders			Y					
	Registration of producers					N			
3	Please indicate how many CIT	ES documents were	issued or	denied in	the two	year	period?		
	(Note that actual trade is normal documents).	ally reported in the	Annual Re	port by P	arties. T	his q	uestion refers to issue	ed	
	Year 1 (2005)	Import or introduction from	Export	Re-expo	ort C	Other	Comments		
		the sea							
	How many documents were issued?						It is a considerable decrease comparing		
	issued?						with 2004 caused l		
							import restrictions		
		414	292	26			to avian influenza,	(2)	
							use of permit form		
							more than one speand (3) levying a f		
							and (3) levying a r	CC 101	
	How many applications were				Total No.: 21 denie	:d			
	denied because of severe						applications		
	ommissions or mis-								
	information?								
	Year 2 (2006)								
	How many documents were issued?	378	161	14					
	How many applications were						Total No.: 19 deni	ed	
	denied because of severe						applications		
	ommissions or mis-								
4	information? Were any CITES documents the	ent were issued leter	concelled	and ranta	and bas	01100	Yes		
4	of severe ommissions or mis-ir		Canceneu	and repra	iceu bec	ause	No	N	
	or severe diministrations of this in	normanom.					No information		
5	If Yes, please give the reasons	for this					No information		
6			documon	to from o	thor		Tick if applicable		
U	countries.	Please give the reasons for rejection of CITES documents from other countries.							
		eason			l'es	No	No informatio	n	
	Technical violations				Y	Щ.	<u> </u>		
	Suspected fraud								
	Insufficient basis for finding of				<u>Y</u>				
	Insufficient basis for finding of	legal acquisition							
	Other (specify)								
7	Are harvest and/or export quota	as as a management	t tool in the	e procedu	re for		Yes		
	issuance of permits?								

	Comments: The Czech Republic does not normally export specimens taken from the wild and does not have any export quotas. The export quotas of the third countries are considered when allowing import into the Czech R.									
8	How many times has the Scientific Authority				de opi	nions'	?			
	For every application for a CITES document									
9	Has the Management Authority charged fees related CITES activities?	for peri	nit issua	nce, regi	stratio	on or	Tick if applica	able		
	<ul> <li>Issuance of CITES documents:</li> </ul>						Y	Y		
	<ul> <li>Licensing or registration of operatio</li> </ul>	ns that p	roduce (	CITES sp	ecies	:	N	N		
	<ul> <li>Harvesting of CITES-listed species</li> </ul>	:					N	N		
	<ul> <li>Use of CITES-listed species:</li> </ul>						N	N		
	<ul> <li>Assignment of quotas for CITES-lis</li> </ul>	ted spec	ies:				N	N		
	<ul> <li>Importing of CITES-listed species:</li> </ul>						N	N		
	- Other (specify):									
10	If Yes, please provide the amounts of such for	ees.								
11	Have revenues from fees been used for the in wildlife conservation?	mplemer	ntation of	f CITES	or		Tick if applica	able		
	– Entirely:						Γ	7		
	– Partly:						Y	_		
	– Not at all:							]		
	– Not relevant:							- ]		
	Comments: The collected fees go to the Stat	e Budge	t, from w	hich the				_		
	CITES administration is paid. However, the			r all cost	by					
	the Ministry of Environment with the CITES	S agenda	•							
12	Please provide details of any additional mea									
	Since January 1, 2005, there is a fee of CZK application for CITES documents.	1 000 (	ca EUR 3	35) per (	one					
D6	Capacity building									
1	Have any of the following activities been u CITES implementation at the national level		n to enha	ance effe	ective	ness of	f Tick if applical	ble		
	In page 25 d by doot for activities		Immen		f noti	on o1	<b>1010001100</b> 101111111111111111111111111	<b>T</b> 7		
	Increased budget for activities		netwo	vement o rks	oi nau	onai		Y		
	Hiring of more staff	Y	:	ase of tec oring/enf			pment for	Y		
	Development of implementation tools	Y	Comp	uterisatio	on			Y		
	- Other (specify)	<u></u>								
2	Have the CITES authorities in your country	, boon th	o raginia	nt of one	, of th	a fall	vying conscity building			
2	activities provided by external sources?	, neen un	e recipie	ni or any	y OI III	e lone	swing capacity building			
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	ning	Other (specify)	What were the externa sources?	al		
	Target group	Oral advi	Tecł assis	Fina	Training	Othe				

No information

 $\mathbf{Y}$ 

Y

Y

Y

Mostly from the EU Commission and Member States and TRAFFIC.

"" + the Netherlands

Staff of Management Authority

Staff of Scientific Authority

	Staff of enforcement authorities	Y			Y		From enforcement authorities of some Parties, TRAFFIC a other experts.	and
	Traders							
	NGOs							
	Public							
	Other (specify)							
3	Have the CITES authorities in your country activities?	been th	e <i>provide</i>	ers of an	y of th	e foll	owing capacity buildi	ng
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details	
	Staff of Management Authority	Y			Y		Regular training for	the
	Staff of Scientific Authority	Y			Y		staff.	
	Staff of enforcement authorities	Y			Y			
	Traders	Y						
	NGOs							
	Public	Y						
	Other parties/International meetings			Y				
	Other (specify)							
4	Please provide details of any additional mea	asures ta	ken					
D7 Co	llaboration/co-operative initiatives							
1	Is there an inter-agency or inter-sectoral co	mmittee	on CITE	ES?			Yes	Y
							No	
							No information	
2	If Yes, which agencies are represented and	how ofte	en does i	t meet?				
	CITES committees and working groups by	the Euro	pean Cor	nmissior	1.			
	On national level, there is a standing workin by the Customs and the Czech Environmenta			cement c	ompo	sed		

3	If No, please indi among CITES au							to ensure co-ordination		
	(1) Regular week	ly consul	Itations of	the MA wi	th the SA on	new app	lications for p	ermits.		
	Conservation and	l Landsca istoms ar	ape Protect e regularly	ion (SA), the	he Czech En	vironme	ntal Inspectora	e Agency for Nature tte and the General rities are invited to those		
		Agency	for Nature	Conservat	ion and Lan			he Ministry of the Czech Environmental		
	(4) Twice a year there are one-day meetings of the Ministry of Environment (principal MA) with other regional MAs.									
	(5) Once or twice CITES enforcement				ital Inspector	rate orgai	nizes special o	ne-day meetings on		
		rs, Custon						ng meeting for its ng is usually connected		
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)		
	Meetings			Y 2)	Y 3) 4) 5) 6)					
	Consultations		Y 1)							
4	At the national level have there been any efforts to collaborate with:					Tick i	f applicable	Details if available		
	Agencies for deve	elopment	and trade			B				
	Provincial, state of	or territor	ial authori	ties			Y	The Ministry of Environment is co- ordinating other territorial authorities working for CITES – see also chapter on ADMINISTRATIVE STRUCTURE		
	Local authorities	or comm	unities							
	Indigenous people	es								
	Trade or other pri	ivate sect	or associat	tions			Y			
	NGOs						Y			
	Other (specify)									
5	To date, have any formal arrangement to CITES been agagencies?	ents for in	nstitutional	cooperation	on related			Tick if applicable		
	SA					(SA is	designated by	Law) Y		
Customs (Agreement on co-operation)								peration) Y		
	Police									
	Other border auth	norities (s	specify)							
	Other governmen	nt agencie	es							
	Private sector boo	dies								
	NGOs									
	Other (specify)									

6	Has your country participated in any regional activities related to CITES?		Tick if app	licable
	Workshops			Y
	Meetings			Y
	Other (specify)			
7	Has your country encouraged any non-Party to accede to	Yes		
	the Convention?	No		
		No information		N
8	If Yes, which one(s) and in what way?			
9	Has your country provided technical or financial assistance	to another	Yes	
	country in relation to CITES?		No	
			No information	N
10	If Yes, which country(ies) and what kind of assistance was	provided?		
11	Has your country provided any data for inclusion in the CIT	TES Identification	Yes	
	Manual?		No	N
			No information	
12	If Yes, please give a brief description.			
13	Has your country taken measures to achieve co-ordination a		Yes	Y
	duplication of activities between the national authorities for multilateral environmental agreements (e.g. the biodiversity		No	
	Conventions)?	related	No information	
14	If Yes, please give a brief description.			
	Biodiversity-related Conventions are administered by the sa Environment.	ame Department of	the Ministry of the	
15	Please provide details of any additional measures taken:			

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implevel and what is the respective level of priority?	lementat	ion at the na	ational
	Activity	High	Medium	Low
	Increased budget for activities	Y		
	Hiring of more staff	Y		
	Development of implementation tools			
	Improvement of national networks		Y	
Ì	Purchase of new technical equipment for monitoring and enforcement	Y		
	Computerisation (Development of new CITES software)		Y	
	Other (specify)			
2	Has your country encountered any difficulties in implementing specific	Yes		
	Resolutions or Decisions adopted by the Conference of the Parties?	No		N
		No info	rmation	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country	Yes		
	requiring attention or assistance?	No		N
		No inf	ormation	
5	If Yes, please describe the constraint and the type of attention or assistance that is	required		
6	Has your country identified any measures, procedures or mechanisms within the	Yes		

	Convention that would benefit from review and/or simplification?	No	N
		No information	
7	If Yes, please give a brief description.		
8	Please provide details of any additional measures taken:		

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	
		Not available	
		Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for	Enclosed	Y
	educational or public awareness purposes,	Not available	
		Not relevant	
	Comments:		

#### PART 2 - SUPPLEMENTARY QUESTIONS

Note: Questions in Part 2 are additional to those in Part 1, and relate to information on the provisions of the Council Regulation (EC) No 338/97 that fall outside the scope of CITES.

The numbering of this section reflects that in Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:								
	Title and date: Status:								
	Brief description of conte	nts:							
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?  NO – see B(5).  Tick all applicable categories below that these categories apply to.								
	Tren un approuere carege		The condi	•	Th	e complete	prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information		
	Trade								
	Taking								
	Possession								
	Transport								
	Other (specify)								
	Additional comments								
8b	Has there been any review relation to implementation			ollowing subjects in					
				•	Yes	No	No information		
	Introduction of live Regul Community that would th (in accordance with Article	reaten the in	digenous f			N			
	Marking specimens to facilitate identification (in accordance   N   with Article 19, paragraph 1 (iii))								
	Please provide details if available,								
9b	Please provide details of rother additional measures (9)								

\_

<sup>&</sup>lt;sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

#### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes	
	for Regulation-related violations?	No	
		No information	N
16b	Has there been any review or assessment of Regulation-related	Yes	
	enforcement, in addition to that reported under C (16) above?	No	N
		No information	
	Comments:		
17	Have specimens been marked to establish whether they were born and bred	Yes	Y
new	in captivity or artificially propagated? (In accordance with Commission Regulation (EC) No 1808/2001 Article 36),	No	
	Regulation (EC) 110 1000/2001 Fattere 30),	No information	
	Comments: There are, however, many unmarked specimens because of welfalegislation (Act No. 100/2004 Coll.), each such case must be certified by writ		
18	Have any monitoring activities been undertaken to ensure that the intended	Yes	Y
new	accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article	No	
	4 paragraph 1 (c))	No information	
	Comments:		

#### D. Administrative measures

#### D1 Management Authority (MA)

10 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2)	Yes No No information	□ □ N
	covered in D2 (8) and D2 (9)?	No information	
8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not	Yes No	z 🗌

#### D2 Scientific Authority (SA)

8b	Have any rese	earch activities b	een undertaken	by the SA	(s) in	Yes	Y
	relation to no	n CITES listed sp	No				
						No information	ı 🗌
9b	If Yes, please g	h involved.					
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	Species protected under NATURA 2000	in the Czech Rep.	in the Czech Rep				
	Species protected under national law on protection of nature	in the Czech Rep.	in the Czech Rep.				
	3						
	etc.						
						No informatio	n 🔲
11 new	How many Sc attended?	rientific Review	Number	8			
D3 En	delegate can us	ually attend.	lered attendance to	THE SICO	difficult. Due to	Thanciar reasons	, only one
6	T		For CITES boon no	minated w	rithin agah ralay	ent enforcement e	uthority in
	your country?	meer/focar point i	for CITES been no	mmateu w	itiiiii eacii ieiev	Yes	Y
new			No				
			Under considera	tion $\square$			
			No information				
							<u> </u>
<b>D4 Co</b>	1		nagement and e		ed on?	Tiel	t if applicable
10		D listed species	ini your country c	ompaterizi	cu on.	Tier	Y
	}	_	d on in quartion D	14 (1) (place	asa spacify)		1 —
21-	<ul> <li>Other matters not reported on in question D4 (1) (please specify)</li> <li>Do you have an electronic information system providing information on</li> </ul>					V	
3b	Regulation-liste		iation system prov	ading inioi	rmation on	Yes	⊔ N
		1				No No informa	N ution
D5 Pe	rmitting and reg	gistration proce	dures				
9b	1	•	charged fees for an	v Regulati	on-related matte	ers Yes	
		question D5 (9)?	<u> </u>			No	N
		No informa					

#### D8 Areas for future work

2b	Has your country encountered any difficulties in implementing specific	Yes	
	suspensions or negative opinions adopted by the European Commission? (In	No	N
	accordance with Article 4 (6))	No information	
4b	Have any constraints to implementation of the Regulation, not reported under	Yes	
	question D8(4), arisen in your country requiring attention or assistance?		N
		No information	
7 bis	Can you indicate the percentage of permits/certificates issued that are returned to	•	
new	the MA after endorsement by customs?	Number : ca 90%	

## **DENMARK**

#### A. General information

Party	DENMARK
Period covered in this report:	1 January 2005 to 31 December 2006
Details of agency preparing this report	The Danish Forest and Nature Agency
Contributing agencies, organizations or individuals	The Danish Plant Directorate

#### B. Legislative and regulatory measures

1	Has information on CITES- under the CITES National I If yes, ignore questions 2, 3	•				Yes (fully) Yes (partly) No		
	-, -					formation	/unknown	
2	If your country has planned details:	, drafted or e	enacted any	/ CITES-relevant le	gislation, p	lease pro	vide the follow	ing
	Title and date:		S	Status:				
	Brief description of content	s:						
3		ted legislation available in one of the working languages of the Yes						
	Convention?				No			빝
	70 11	21 2111				formation		<u> </u>
4	If yes, please attach a copy provisions that were gazette		gislative te	ext or key legislative		tion attac led previo		H
	provisions that were gazette	u.					ill send later	H
5	Which of the following issu your country has adopted for (in accordance with Article	r CITES-list	ted species				all applicable	
		F	The conditi	ions for:	The	complete	prohibition of	
	Issue	Yes	No	No information	Yes	No	No informa	tion
	Trade	X						
	Taking	X						
	Possession	X						
	Transport	X						
	Other (specify)	X						
	Additional comments	Marking o	of annex A	specimens				

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?						
	Item	Adequate	Partially Inadequate	Inadequate	No informati	ion	
	Powers of CITES authorities	X					
	Clarity of legal obligations	X					
	Control over CITES trade	X					
	Consistency with existing policy on wildlife management and use	X					
	Coverage of law for all types of offences	X					
	Coverage of law for all types of penalties	X					
	Implementing regulations	X					
	Coherence within legislation	X					
	Other (please specify):						
	Please provide details if available:						
7	If no review or assessment has taken pl reporting period?	ace, is one planne	ed for the next	Yes No No	information	X	
8	Please provide details if available:		1.:	TP: 1 1	1 1 11		
8	Has there been any review of legislatio relation to implementation of the Conve		g subjects in	i ick ai	l applicable		
	Subject		Yes	No	No informat	ion	
	Access to or ownership of natural resou	ırces	X				
	Harvesting		X				
		Transporting of live specimens					
	Handling and housing of live specimen						
	Please provide details if available:						
9	Please provide details of any additional	measures taken:					

#### C. Compliance and enforcement measures

		Yes	No	No information		
1	Have any of the following compliance monitoring operations been un	ndertaken?				
	Review of reports and other information provided by traders and producers:		X			
	Inspections of traders, producers, markets	X				
	Border controls	X				
	Other (specify)					
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	X				
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. <b>Attached as Annex 1</b>					
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made? See Annex 1					

5	If information available:	Number			
	☐ Significant seizures/confiscations				
	X Total seizures/confiscations	17	75 cases, se	e annex 1	
	If possible, please specify per group of species or attach details on annex.				
6	Have there been any criminal prosecutions of significant CITES-related violations?	X			]
7	If Yes, how many and for what types of violations? If available, please	attach det	ails as Ann	ex.	
8	Have there been any other court actions of CITES-related violations?	X			]
9	If Yes, what were the violations involved and what were the results? Please a	ttach detail	ls as Annex.	Annex 1	
10	How were the confiscated specimens generally disposed of?	-	Т	ick if app	licable
	Return to country of export				
	Public zoos or botanical gardens				X
	Designated rescue centres				П
	Approved, private facilities				
	– Euthanasia				
	- Other (specify)				
	Comments: Dead specimens are disposed of the Management Author	rity			
11	Has your country provided to the Secretariat detailed informatio	n on	Yes		
	significant cases of illegal trade (e.g. through an ECOMESSAGE	or	No		X
	other means), or information on convicted illegal traders and		Not appli	cable	
	persistent offenders?		No inform	nation	
	Comments: No cases of significance				
12	Has your country been involved in cooperative enforcement activities w	vith	Yes		X
	other countries		No		
	(e.g. exchange of intelligence, technical support, investigative assistance joint operation, etc.)?	ee,	No inform	ation	
13	If Yes, please give a brief description: Participation in EU Enforcement	ent Group	on CITE	S	
14	Has your country offered any incentives to local communities to assist	in the	Yes		
	enforcement of CITES legislation, e.g. leading to the arrest and convict	ion of	No		X
	offenders?		No inform	ation	
15	If Yes, please describe:				
16	Has there been any review or assessment of CITES-related enforcemen	t?	Yes		X
			No		
			Not appli	cable	
			No inform	ation	
	Comments:				
17	Please provide details of any additional measures taken:				

#### D. Administrative measures

#### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information		
	for the MA(s) in your country which are not yet reflected in the CITES	No	X
	Directory?	No information	
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been	Yes	X
	designated?	No	
		No information	
4	If Yes, please name that MA and indicate whether it is identified as the le The Danish Forest and Nature Agency. Identified as lead MA.	ad MA in the CITES Direc	ctory.
5	How many staff work in each MA? 2 plant inspectors)	fulltime, 11-12 parttime	(plus
6	Can you estimate the percentage of time they spend on CITES related	Yes	X
	matters?	No	
		No information	
	If yes, please give estimation Approximate a total of 7000 hours a year (only for lead MA, not possible to give an estimate for the Plant Directorate)		
7	What are the skills/expertise of staff within the MA(s)?	Tick if ap	plicable
	- Administration		X
	– Biology		X
	- Economics/trade		
	– Law/policy		X
	Other (specify): Horticulture		X
	– No information		
8	Have the MA(s) undertaken or supported any research activities in relatio	n to Yes	
	CITES species or technical issues (e.g. labelling, tagging, species	No	X
	identification) not covered in D2(8) and D2(9)?	No information	
9	If Yes, please give the species name and provide details of the kind of res	earch involved.	
10	Please provide details of any additional measures taken		

#### D2 Scientific Authority (SA)

1		n any changes in t	Yes		Ш			
		your country whi	ch are not yet refl	ected in th	ie CITES	No		X
	Directory?	No informatio	n					
2	If Yes, please u	se the opportunity	to provide those	changes h	ere.			
3	Has your count	ry designated a Sc	eientific Authority	independe	ent from the	Yes		X
	Management A	uthority?		_		No		
						No informatio	n	
4	What is the stru	cture of the SA(s)	) in your country?			Tic	k if appl	icable
		ment institution					1	
	<ul><li>Academ</li></ul>	nic or research inst	titution					X
	– Perman	ent committee						
	<ul> <li>Pool of</li> </ul>	individuals with c	ertain expertise					
	– Other (s	specify)	-					
5	How many staf	f work in each SA	on CITES issues	?				
6	•		of time they spend		S related	Yes		П
	matters?	1 0	<i>J</i> 1			No		X
						No informatio	n	П
	If yes, please gi	ve estimation						
7	What are the sk	ills/expertise of st	aff within the SA	(s)?		Tic	k if appl	icable
	- Botany							X
	<ul><li>Ecology</li></ul>	,						X
	– Fisherie	·s						П
	<ul><li>Forestry</li></ul>							$\Box$
	<ul><li>Welfare</li></ul>							X
	<ul><li>Zoology</li></ul>							X
	- Other (s							
		ormation						
- 0			1 . 1	1 .1 6.4	/ <b>&gt;</b> •	***		<del> </del>
8	,		een undertaken	by the SA	ı(s) in	Yes		L.
	relation to CIT	ES species?				No		X
						No informatio	n	Ш
9		ive the species na	me and provide de		1	h involved.		
	Species name	Populations	Distribution	Off	Legal trade	Illegal trade	Oth	
	-	<u> </u>		take		C	(spec	21IY)
	1			<u> </u>	<u> </u>			
	2							
	3							
	etc.							
							on	Ш
10			r scientific resea	rch been	submitted to	Yes		
the Secretariat under Resolution Conf. 12.2?					No		X	
					No information	on		
11	Please provide	details of any add	itional measures ta	aken:				
	_	-						

D3	Enforcement Authorities
----	-------------------------

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No No information	X
2	If No, please designate them here (with address, phone, fax and email).		
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes No Under consideration No information	x 
4	If Yes, please state which is the lead agency for enforcement:		
5	Please provide details of any additional measures taken:		

#### D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?						Tick if applicable
	<ul> <li>Monitoring an</li> </ul>	d reporti	ng of data o	on legal trac	de		X
	<ul> <li>Monitoring an</li> </ul>	X					
	<ul> <li>Permit issuance</li> </ul>	ee					X
	– Not at all						
	- Other (specify	)					
2	Do the following auth	orities h	ave access t	o the Interr	net?		Tick if applicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	X					
	Scientific Authority	X					
	Enforcement Authority	X					
3	Do you have an electronic information system providing information on CITES species?						on CITES Yes X No   No information

4	If Yes, does it provide information on:		Tick if app	licable		
	Legislation (national, regional or internation			X		
	- Conservation status (national, regional, international)?					
	Other (please specify)?					
5	Is it available through the Internet:	Ye	S	X		
			No			
				t applicable	님	
	Please provide URL: www.cites.dk		No	information		
6	Do the following authorities have access to the following	owing publications?		Tick if applic	able	
	Publication	Management Authority	Scientific Authority	Enforcem Authori		
	2003 Checklist of CITES Species (book)	X	X	X		
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)	X	X	X		
	Identification Manual	X	X	X		
	CITES Handbook	X	X	X		
7	If not, what problems have been encountered to acc	ess to the mentioned	l information?			
8	Have enforcement authorities reported to the Manaş	gement Authority on	:	Tick if app	licable	
	– Mortality in transport?				X	
	Seizures and confiscations?				X	
	Discrepancy in number of items in permit and n				X	
	Comments: Also done by Management Authority		<del></del>			
9	Is there a government website with information on requirements?	CITES and its	Yes		X	
	requirements		No No is	nformation		
	If Yes, please give the URL: www.cites.dk		140 11	mormation	ш	
10	Have CITES authorities been involved in any of the	following activities	to	Tick if app	licable	
10	bring about better accessibility to and understanding requirements to the wider public?			тек п арр	ireasie	
	<ul> <li>Press releases/conferences</li> </ul>				X	
	Newspaper articles, radio/television appeara	ances			X	
	<ul> <li>Brochures, leaflets</li> </ul>				X	
	- Presentations					
	– Displays					
	<ul> <li>Information at border crossing points</li> </ul>					
	Telephone hotline					
	- Other (specify)					
	Please attach copies of any items as Annex.					
11	Please provide details of any additional measures ta	ıken:				

#### D5 Permitting and registration procedures

1	Have any changes in permit for empowered to sign CITES perm Secretariat?					Yes X No  Not applicable  No information
	If no, please provide details of a	anv:				No information
	Changes in permit format:					
	Changes in designation or s	ignatures of relevan	nt officials			
2	To date, has your country devel following?				the	Tick if applicable
				Yes	No	No information
	Permit issuance/acceptance			X		
	Registration of traders			X		
	Registration of producers				X	
3	Please indicate how many CITE (Note that actual trade is normal documents).				-	-
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	819+84	220	171		84 import permits issued by the Plant Directorate. For live plants no export permits are issued, instead phyto sanitarian certificates are used. The amount of these is not given.
	How many applications were denied because of severe ommissions or misinformation?	0	0	0		
	Year 2 How many documents were issued?	750+56	262	180		56 import permits issued by the Plant Directorate. For live plants no export permits are issued, instead phyto sanitarian certificates are used. The amount of these is not given.
	How many applications were denied because of severe ommissions or misinformation?	0	0	0		
4	Were any CITES documents the of severe ommissions or mis-in		cancelled	and replaced	because	Yes
5	If Yes, please give the reasons	for this.				

6	Please give the reasons for rejection of C countries.	ITES do	ocuments from	other		Tick if appl	licable	
	Reason			Yes	No	No inf	ormatio	n
	Technical violations			X				
	Suspected fraud				$\overline{\Box}$			
	Insufficient basis for finding of non-detrime	nt		X				
	Insufficient basis for finding of legal acquis			X	Ī			
	Other (specify)							
7	Are harvest and/or export quotas as a manag	ement to	ool in the proced	ure for		Yes		X
	issuance of permits?		-			No		
						No informa	ition	
	Comments No Danish export quotas. For i	mport t	o Denmark the	export				
8	quotas are reviewed  How many times has the Scientific Authorit	<b>.</b>	annested to many	سنسه داد	iona?			
8	In a few cases related to import. Most of t			-		Scientific R	oviow	
	Group.	ne seien	thic review is a	one by	the EU	Scientific iv	CVICW	
9	Has the Management Authority charged feer related CITES activities?	s for perr	mit issuance, reg	istration	n or	Tick	if appl	icable
	<ul> <li>Issuance of CITES documents:</li> </ul>							
	<ul> <li>Licensing or registration of operation</li> </ul>	ns that p	roduce CITES s	pecies:				
	<ul> <li>Harvesting of CITES-listed species</li> </ul>	:		•				
	<ul> <li>Use of CITES-listed species:</li> </ul>							
	<ul> <li>Assignment of quotas for CITES-lis</li> </ul>	ted speci	ies:					
	<ul> <li>Importing of CITES-listed species:</li> </ul>	_						
	- Other (specify):							
10	If Yes, please provide the amounts of such f	ees.						
11	Have revenues from fees been used for the i wildlife conservation?	mplemer	ntation of CITES	or		Tick	if appl	icable
	– Entirely:							
	– Partly:							
	– Not at all:							
	– Not relevant:							
	Comments:							
12	Please provide details of any additional mea	sures tak	en:					
D6	Capacity building							
1	Have any of the following activities been u CITES implementation at the national leve		n to enhance eff	ectivene	ess of	Tick	if appli	cable
	Increased budget for activities		Improvement networks	of natio	nal			
	Hiring of more staff		Purchase of te monitoring/en			ent for		
	Development of implementation tools		Computerisati	on				
	- Other (specify)	!						
L	`							

2	Have the CITES authorities in your country activities provided by external sources?	been th	e <i>recipie</i>	ent of any	of the	e follo	owing capacity building
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority						
	Staff of Scientific Authority	ĪП					
	Staff of enforcement authorities	X			X		Management Authority
	Traders	X					Management Authority
	NGOs						
	Public	X					Management Authority
	Other (specify)						
3	Have the CITES authorities in your country	been th	e provid	ers of an	y of th	ne foll	owing capacity building
	activities?		1	1	1		•
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	X			X		
	Staff of Scientific Authority						
	Staff of enforcement authorities	X			X		
	Traders	X					
	NGOs						
	Public	X					
	Other parties/International meetings			X			
	Other (specify)						
4	Please provide details of any additional me	asures ta	ken				
D7 Co	llaboration/co-operative initiatives						
1	Is there an inter-agency or inter-sectoral co	mmittee	on CITI	ES?			Yes X No  No information
2	If Yes, which agencies are represented and	how oft	en does i	t meet?			
	EU meetings. Management Authorities me authorities 4 times a year and enforcement						

3	If No, please indi among CITES au							A to ensure co-ord	ination
		Dail y	Weekl	Month ly	Annuall y	Non e	No information	Other (spec	eify)
	Meetings				X				
	Consultations		X						
4	At the national le collaborate with:	evel have	there been	any effort	s to	Tick i	f applicable	Details if ava	nilable
	Agencies for dev	elopment	t and trade						
	Provincial, state of	or territor	rial authori	ties					
	Local authorities	or comm	nunities						
	Indigenous peopl	les							
	Trade or other pr	ivate sect	tor associa	tions			X		
	NGOs						X		
	Other (specify)								
5	To date, have any formal arrangement to CITES been as agencies?	ents for in	nstitutional	cooperation	on related			Tick if ap	plicable
	SA								X
	Customs								X
	Police								
	Other border auth	norities (s	specify)						
	Other governmen	nt agencie	es						
	Private sector boo	dies							
	NGOs								
	Other (specify)								
6	Has your country related to CITES		ated in any	regional a	ctivities			Tick if ap	plicable
	Workshops								
	Meetings								X
	Other (specify)								
7	Has your country	encoura	ged any no	n-Party to	accede to	Yes			X
	the Convention?					No			
	1037 1:1	() 1:	1 ,	0.751 . 5		No info	ormation		Ш
8	If Yes, which one	• •							
9	Has your country country in relatio			or financia	al assistance	to anothe	er	Yes No	X
								No information	H
10	If Yes, which cou	untry(ies)	and what	kind of ass	istance was	provided	? Financial	support to partici	pation
11	Has your country	provide	d any data	for inclusion	on in the CIT	TES Ident	tification	Yes	
	Manual?		-					No	X
								No information	
12	If Yes, please giv	e a brief	description	1.					

13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	X
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implevel and what is the respective level of priority?	lementat	ion at the na	itional
	Activity	High	Medium	Low
	Increased budget for activities			X
	Hiring of more staff			X
	Development of implementation tools			X
	Improvement of national networks			X
	Purchase of new technical equipment for monitoring and enforcement			X
	Computerisation			X
	Other (specify)			
2	Has your country encountered any difficulties in implementing specific	Yes		
	Resolutions or Decisions adopted by the Conference of the Parties?	No		X
		No info	rmation	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country	Yes		
	requiring attention or assistance?	No		X
		No inf	ormation	
5	If Yes, please describe the constraint and the type of attention or assistance that is	required		
6	Has your country identified any measures, procedures or mechanisms within the	Yes		
	Convention that would benefit from review and/or simplification?	No		X
		No inf	ormation	
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	
С3	Details of violations and administrative measures imposed	Enclosed	X
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	X
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	X
		Not available	
		Not relevant	
С9	Details of violations and results of court actions	Enclosed	X
		Not available	
		Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for	Enclosed	
	educational or public awareness purposes,	Not available	
		Not relevant	
	Comments		

### ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

2b	If your country has planned reported under question B				ation -releva	ınt legislat	ion, other than that	
	Title and date:			Status:				
	Brief description of conte	nts:						
5b								
			The condit	tions for:	The	complete	prohibition of:	
	Issue	Yes	No	No information	Yes	No	No information	
	Trade	X						
	Taking	X						
	Possession	X						
	Transport			<u>X</u>		$  \square  $		
	Other (specify)							
	Additional comments		In a	accordance with the	e Council R	.eg. 338/97	7.	
8b	Has there been any review relation to implementation			ollowing subjects in				
					Yes	No	No information	
	Introduction of live Regul Community that would the (in accordance with Artical	reaten the in	digenous f		X			
	Marking specimens to fac with Article 19, paragraph		fication (in	accordance		X		
	Please provide details if a	vailable,						
9b	Please provide details of r other additional measures (9) See Annex 2							

<sup>&</sup>lt;sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

#### C. Compliance and enforcement measures

2b		ns, in addition to trelated violations	hose reported in C	C (2-9) abo	ve, been taken	Yes No		X
	See Annex 2					No information	n	
16b			essment of Regula eported under C (			Yes No No information	on	
	Comments:							
17	Have specimens	s been marked to	establish whether	they were	born and bred	Yes		X
new		rtificially propaga ) No 1808/2001 A	nted? (In accordant article 36),	nce with Co	ommission	No No informatio	on	
	Comments:							
18 new	accommodation	for a live specim	een undertaken to en at the place of	destination	is adequately	Yes No		X
	equipped to con 4 paragraph 1 (c		r it properly? (In	accordance	e with Article	No information	n	
	Comments:							
8b	non CITES-liste covered in D2 (	) undertaken or sued species or tech 8) and D2 (9)? ssion and the CIT	apported any resea nical issues (e.g. s	relevant) b	ntification) not	No No informa	ation	X
new			ns that the Comm ce with Article 14			No No informa	ation	X
D2 Sc	ientific Authorit	y (SA)						
8b		arch activities b n CITES listed sp	een undertaken oecies?	by the SA	(s) in	Yes No No informatio	on	П Х П
9b	If Yes, please g	ive the species na	me and provide d	etails of the	e kind of researc	h involved.		
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade		Other pecify)
	1							
	2							
	3							
	etc.					No informati	ion	
11 new	How many Scattended? All	ientific Review (	Group (SRG) me	eetings ha	ve the SA	Number		
		ficulties that rend	ered attendance to	the SRG	difficult:			

#### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant of your country?  Yes	enforcement authorit	y in X
110 **	No		
	Un	der consideration	
	No	information	
D4 Co	mmunication, information management and exchange		
1b	Is Regulation-related information in your country computerized on?	Tick if app	licable
	Annex D listed species		X
	Other matters not reported on in question D4 (1) (please specify)		
3b	Do you have an electronic information system providing information on	Yes	X
	Regulation-listed species?	No	
		No information	
	rmitting and registration procedures	V	
<b>D5 Pe</b> i 9b	Has the Management Authority charged fees for any Regulation-related matters	Yes No	
		No	□ X □
	Has the Management Authority charged fees for any Regulation-related matters		X
	Has the Management Authority charged fees for any Regulation-related matters	No	X □
9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific	No	
9b <b>D8</b>	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In	No No information	X 
9b <b>D8</b>	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific	No No information	
9b <b>D8</b>	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6))  Have any constraints to implementation of the Regulation, not reported under	No No information  Yes No	
9b  D8  2b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6))	No No information  Yes No No information	
9b  D8  2b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6))  Have any constraints to implementation of the Regulation, not reported under	No No information  Yes No No information Yes	
9b  D8  2b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6))  Have any constraints to implementation of the Regulation, not reported under	No No information  Yes No No information Yes No No information	

# **ESTONIA**

#### A. General information

Party Estonia	
Period covered in this report:	
1 January 2005 to 31 December 2006	
Details of agency preparing this report	
Ministry of the Environment	
Narva mnt 7a	
15172 Tallinn	
Estonia	
Contributing agencies, organizations or individuals	

B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been	Yes (fully)
	provided under the CITES National Legislation Project?	Yes (partly)
	If yes, ignore questions 2, 3 and 4.	No
		No information/unknown

- If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:
  - 1. Regulation of the Minister of Environment 12.04.2007 No 29 "Procedure for registration of the keeping of mammals, birds and reptiles listed in Annex A to Council Regulation (EC) No. 338/97 on the protection of species of wild fauna and flora by regulating trade therein, and prohibition of the keeping of specimens of the Hominoidea family" (adopted 12.04.2007)

Brief description of contents: The regulation order the registration and marking of all Annex A mammals, birds and reptiles. All "new" specimens have to register and marked, the "old" ones only if they are sold or exported. It is the responsibility of the seller/exporter to provide documents that prove the legal origin of the specimens

- 2. Paragraphs added to the Nature Conservation Act (came into force: 1.04.2007):
- ?55 (3¹) The Minister of Environment shall establish by a regulation on the basis of Annexes A to D to Council Regulation (EC) No. 338/97 on the protection of species of wild fauna and flora by regulating trade therein (OJ L 61, 03.03.1997, pp. 1–69) a list of animal species the killing of commercially imported or bred live specimens of which is permitted.
- ?56 (5) For specimens of species listed in Annexes A and B of Council Regulation (EC) No. 338/97, except in the case of sale of food products, the seller shall enclose documentary proof of origin containing: the scientific name of the species;

information on the legal origin of the specimen (taken from the wild, artificially propagated, farm-bred, etc.);

in the case of specimens imported into the European Union, the special permits issued under the above Regulation:

in the case of live specimens of animal species, information on the previous owner and information enabling the identification of the specimen (identification mark, photo, description, etc.).

- 3. Section added to the CITES Ratification Act (came into force: 30.03.2007):
- ? 3. In accordance with Article 15(3) and Article 16(2) of the Convention, the Minister of Environment is empowered to make and withdraw by a regulation reservations to Appendix I, II or III on the basis of decisions of the EC Committee on Trade in Wild Fauna and Flora.

Title and date:	Status:		
Brief description of contents:			
Is enacted legislation available:	in one of the working language	es of Yes	

	the Convention?			No (not yet, will be				
					translated)			
					No information			
4	If yes, please attach a copy	of the full	legislativ	e text or key	legislation attached			
	legislative provisions that	were gaze	tted.		provided previously			
					not av	ailable, v	vill send later	
5	Which of the following iss	ues are ad	dressed b	y any stricter dom	nestic	Tick	all applicable	
	measures that your count	ry has adop	oted for C	ITES-listed specie	es.			
	(in accordance with Articl	e XIV of th	e Conven	tion)?				
		The condit	ions for:		The complete prohibition of:			
	Issue	Yes	No	No information	Yes	No	No information	
	Trade							
	Taking							
	Possession	x						
	Transport							
	Other (specify)	x						
		Growing of a specimen of a non-native species endangering natural balance is prohibited, except in scientifically justified cases on the basis of the permission of the Minister of the Environment Release of living specimen of non-native species, planting and sowing of non-native plant species into the wild shall be prohibited.						

6	What were the results of any review or assessment of the effectiveness of  Tick all applicable								
	CITES legislation, with regard to the Item	Adequate	s? Partially Inadequ		Inadequate	No information			
	Powers of CITES authorities	х	_						
	Clarity of legal obligations	x							
	Control over CITES trade	x							
	Consistency with existing policy on wildlife management and use					x			
	Coverage of law for all types of offences	х							
	Coverage of law for all types of penalties	х							
	Implementing regulations		х						
	Coherence within legislation	х							
	Other (please specify):								
	Please provide details if available:								
7	If no review or assessment has taker next reporting period?	n place, is one pla	anned for	the	Yes No No	information			
	Please provide details if available:								
8	Has there been any review of legisla relation to implementation of the Co		wing sub	jects in	Tick all applic	cable			
	Subject			Yes	No	No information			
	Access to or ownership of natural re	sources			x				
	Harvesting				x				
	Transporting of live specimens			x					
	Handling and housing of live specin	nens			x				
	Please provide details if available:								
9	Please provide details of any addition	onal measures ta	ken:						

C. Compliance and enforcement measures

F		_				
	·		es	No	No inform	nation
1	Have any of the following compliance monitoring operations be	eer	n undert	aken?		
	Review of reports and other information provided by traders and producers:			х		
	Inspections of traders, producers, markets	x				
	Border controls	x				
	Other (specify)					
2	Have any administrative measures (e.g., fines, bans,	х				
	suspensions) been imposed for CITES-related violations?					
3	If Yes, please indicate how many and for what types of violation Annex.	ns?	? If availa	able, plea	ase attach de	etails as
4	Have any significant seizures, confiscations and forfeitures of			х		
	CITES specimens been made?					
5	If information available:		Number	1		
	Significant seizures/confiscations					
	Total seizures/confiscations		20			
	If possible, please specify per group of species or attach details					
	on annex.			1		
6	Have there been any criminal prosecutions of significant CITES-related violations?	-		x		
7	If Yes, how many and for what types of violations? If available,	nl	oaco atta	ch detail	e ae Δnnev	
8	Have there been any other court actions of CITES-related	Pr	y	Tr detail	s as Ailliex.	
	violations?		^			
9	If Yes, what were the violations involved and what were the results?	?		ı		
	As Environmental Inspectorate does not have the right to confiscate,		ey have	to forwar	d the cases to	the court. See
	an attached list of violations, cases proceeded by Environmental Insp					
	Please attach details as Annex.					
10	How were the confiscated specimens generally disposed of?		r	Гick if ap	plicable	
	- Return to country of export					
	- Public zoos or botanical gardens				x	
	<ul> <li>Designated rescue centres</li> </ul>					
	<ul> <li>Approved, private facilities</li> </ul>					
	– Euthanasia					
	<ul> <li>Other (specify) Collection of confiscated goods for training</li> </ul>	in	g			
	purposes					
	Comments:					
11	Has your country provided to the Secretariat detailed information			Yes		
	significant cases of illegal trade (e.g. through an ECOMESSAGE		-	<u>No</u>		
	means), or information on convicted illegal traders and persister	nt		Not appl		
	offenders?			No infor	mation	
12	Comments:	Live	ition '	Voc		
12	Has your country been involved in cooperative enforcement act with other countries	UV.		<u>Yes</u> No		
	(e.g. exchange of intelligence, technical support, investigative as	ecio			mation	
	joint operation, etc.)?	331	starice, i	NO IIIIOII	mation	
13	If Yes, please give a brief description: Estonian Customs collabor	ra	te with p	olice and	d customs o	f different
	countries (e.g. joint operations with neighbouring countries, from					
	information between many countries etc.) Also cooperation wit	th	internati	onal bod	lies (WCO, I	Europol etc.)
	is going on.					
14	Has your country offered any incentives to local communities to					
	the enforcement of CITES legislation, e.g. leading to the arrest ar	nd	[ ]	Vo.		

	conviction of offenders?	No information
15	If Yes, please describe:	
16	Has there been any review or assessment of CITES-related enforcement?	Yes
		<u>No</u>
		Not applicable
		No information
	Comments:	
17	Please provide details of any additional measures taken:	

### D. Administrative measures D1 Management Authority (MA)

Have there been any changes in the designation of or contact	Yes						
information for the MA(s) in your country which are not yet reflected	in No						
the CITES Directory?	No information						
If Yes, please use the opportunity to provide those changes here.							
If there is more than one MA in your country, has a lead MA been	Yes						
designated?	No						
	No information						
If Yes, please name that MA and indicate whether it is identified as the	e lead MA in the CITES Directory.						
How many staff work in each MA? 1 person	•						
Can you estimate the percentage of time they spend on CITES related	Yes						
matters?	No						
	No information						
If yes, please give estimation 50 %							
What are the skills/expertise of staff within the MA(s)?	Tick if applicable						
- Administration							
- Biology	x						
· ·							
- No information							
Have the MA(s) undertaken or supported any research activities in	Yes						
	No information						
If Yes, please give the species name and provide details of the kind of	research involved.						
Please provide details of any additional measures taken							
	information for the MA(s) in your country which are not yet reflected the CITES Directory?  If Yes, please use the opportunity to provide those changes here.  If there is more than one MA in your country, has a lead MA been designated?  If Yes, please name that MA and indicate whether it is identified as the How many staff work in each MA? 1 person  Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation 50 %  What are the skills/expertise of staff within the MA(s)?  Administration  Biology  Economics/trade  Law/policy  Other (specify)  No information  Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, spidentification) not covered in D2(8) and D2(9)?  If Yes, please give the species name and provide details of the kind of						

D2 Scientific Authority (SA)

D2	Scientific A	uthority (SA)										
1	Have there been	n any changes i	n the designatior	of or con	tact	Yes						
	information for	the SA(s) in yo	<u>No</u>									
	information for the SA(s) in your country which are not yet reflected in No information  the CITES Directory?  No information  If Yes, please use the opportunity to provide those changes here.											
2	If Yes, please use the opportunity to provide those changes here.											
3	Has your count	ry designated a	Scientific Autho	rity indep	endent from	Yes						
	the Managemen	nt Authority?				No						
		-				No information	on					
4	What is the stru	acture of the SA	(s) in your count	ry?		Tick if applica	able					
	- Govern	nment institutio	n				·					
	– Acader	nic or research	institution									
	- <u>Permar</u>	nent committee					X					
	- Pool of	individuals wi	th certain experti	se								
	- Other (	specify)										
5	How many staf	f work in each	SA on CITES issu	es? 5								
6	Can you estima	ite the percenta	ge of time they sp	end on C	ITES related	Yes						
	matters?					<u>No</u>						
						No information	on					
	If yes, please gi					•						
7			f staff within the	SA(s)?		Tick if applicable						
	- <u>Botany</u>					x						
	- <u>Ecology</u>	_				x						
	- Fisheric	es										
	<ul><li>Forestr</li></ul>	-										
	- Welfare	e										
	- Zoolog	<u>y</u>				x						
	- Other (	specify)										
		ormation				·-	<u> </u>					
8			en undertaken b	y the SA(s	s) in relation to	Yes						
	CITES species?					<u>No</u>						
						No information						
9			name and provide				ĭ					
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)					
	1											
	2											
	3											
	etc.					No informat	<u> </u>					
10	Harra any masia	et proposale for	. aciontifia massame	h hoon ou	hmittad to the	No informat Yes	1011					
10	Secretariat und		scientific researd	in been su	binitted to the							
	Decretariat unu	ei Nesoiuuoii C	0111, 12,2;			<u>No</u> No information						
11	Please provide	details of any a	dditional measur	es taken:		1 NO IIIIOIIIIat	1011					
	rease provide	actume of any a	aamona measur	co mixer.								
	1											

#### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforceme authorities that have been designated for the receipt of confidential enforcement information related to CITES?	
2	If No, please designate them here (with address, phone, fax and em	ail).
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <u>No</u> Under consideration No information

4	If Yes, please state which is the lead agency for enforcement:
5	Please provide details of any additional measures taken:

D4 Communication, information management and exchange

	Communication,	injorniu	tion mum	zemeni	unu ca		mze				
1	To what extent is CIT	TES info	rmation in	your co	untry	con	nputeri	zed?	Tick if app	plicable	
	<ul> <li>Monitoring a</li> </ul>	ınd repo	rting of da	ıta on leş	gal tra	de				x	
	<ul> <li>Monitoring a</li> </ul>	ınd repo	rting of da	ta on ill	egal tr	ade	2			x	
	<ul> <li>Permit issuar</li> </ul>	<u>nce</u>								x	
	<ul><li>Not at all</li></ul>										
	<ul> <li>Other (specif</li> </ul>	y)									
2	Do the following aut	horities	have acces	s to the					Tick if app	plicable	
	Authority	contin uous and	only through a	differer	offi n a s or	ice	Not at all	Please provid	le details v	where appropi	riate
	Management Authority	х									
	Scientific Authority	х									
	Enforcement Authority	х									
3	Do you have an elect CITES species?	ronic inf	formation s	system p	provid	ling	inform	<u>N</u>	es <u>o</u> o informa	tion	
4	If Yes, does it provid	e inform	ation on:						ick if appl		
	- Legislation (			or intern	ationa	1)?			11		
	- Conservation						onal)?				
	- Other (please		•	0 ,			,				
5	Is it available through							Y			
								N			
									ot applica		
								N	o informa	ition	
	Please provide URL:	1	1	1	C 11		1 1.		Tr. 1 .6	1. 11	
6	Do the following aut	norities	nave acces	s to the						applicable	•••
	Publication				Management Authority			Scientific	Enforc	ement Author	ity
	2003 Checklist of CITE	C Cassis	2 (la 2 2 1 s)		<b>†</b>	orit	<u>y</u>	Authority	<u>.</u>		
	2003 Checklist of CITE 2003 Checklist of CITE			tated	x x			X	X		
	Appendices (CD-ROM		5 unu 11mm	шин	X			X	x		
	Identification Manual	-)			x			x	x		
	CITES Handbook				x			x	x		
7	If not, what problems	s have b	een encour	ntered to		ss to	the me				
	linety white problems	3 1101 7 0 0		increu to	, acces	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	, (210 221		11101110111		
8	Have enforcement au	ıthoritie	s reported	to the N	lanage	eme	ent Aut	hority on:	Tick if	applicable	
	<ul> <li>Mortality in</li> </ul>				Ü			J		x	
	<ul> <li>Seizures and</li> </ul>									x	
	<ul> <li>Discrepancy</li> </ul>	in numl	er of item	s in perr	nit and	d n	umber (	of items actua	<u>lly</u>	x	
	traded?								-		
	Comments:										
9	Is there a governmen	t websit	e with info	rmation	on C	ITE	S and it				· <u> </u>
	requirements?							No			

	No information	
	If Yes, please give the URL:	
	http://www.envir.ee/1692	
10	Have CITES authorities been involved in any of the following activities to Tick if applicable	
	bring about better accessibility to and understanding of the Convention's	
	requirements to the wider public?	
	<ul> <li>Press releases/conferences</li> </ul>	x
	<ul> <li>Newspaper articles, radio/television appearances</li> </ul>	x
	<ul> <li>Brochures, leaflets</li> </ul>	x
	<ul> <li>Presentations</li> </ul>	x
	- Displays	x
	<ul> <li>Information at border crossing points</li> </ul>	x
	- Telephone hotline	
	- Other (specify)	
	Please attach copies of any items as Annex.	
11	Please provide details of any additional measures taken:	

D5	Permitting and registration	on procedures							
1	Have any changes in permit f officials empowered to sign C		0			Yes No			
	previously to the Secretariat?	erries permissi ex	cruncute	, been repor	ica	Not applicable No information			
	If no, please provide details o	f any:							
	Changes in permit format:	•							
	Changes in designation or sig	natures of releva	ant officia	als:		-			
2	To date, has your country development the following?	veloped written j	permit pr	ocedures fo	or any of	Tick if applicable			
				Yes	No	No information			
	Permit issuance/acceptance			х					
	Registration of traders				х				
	Registration of producers				х				
3	Please indicate how many CI								
	(Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issue documents).								
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments			
	issued?	34	10	10	37	Other - EU certificates			
	How many applications were denied because of severe ommissions or misinformation?								
	Year 2	50	12	4	31				
						Other - EU certificates			
	How many applications were denied because of severe ommissions or mis-								
4	information?		1aton as ::	- 11 a d a m - 1 ::	الم مما	Vac			
4	Were any CITES documents that were issued later cancelled and replaced Yes because of severe ommissions or mis-information?  No information								
5	If Yes, please give the reasons	for this.							
6	Please give the reasons for rej countries.		documen	its from oth	er	Tick if applicable			
	Reason			Yes	No	No information			

	Technical violations							
	Suspected fraud							
	Insufficient basis for finding of non-detriment							
	Insufficient basis for finding of legal acquisition							
	Other (specify) Last day of validity was over							
7	Are harvest and/or export quotas as a management tool in the procedure for Yes							
	issuance of permits? No							
	No information							
	Comments The hunting quotas for lynxes and wolves have been established in Estonia							
8	How many times has the Scientific Authority been requested to provide opinions? Not counted, permanently							
9	Has the Management Authority charged fees for permit issuance, Tick if applicable							
	registration or related CITES activities?							
	- Issuance of CITES documents:							
	<ul> <li>Licensing or registration of operations that produce CITES species:</li> </ul>							
	- Harvesting of CITES-listed species :							
	- Use of CITES-listed species:							
	<ul> <li>Assignment of quotas for CITES-listed species:</li> </ul>							
	- Importing of CITES-listed species:							
	- Other (specify):							
10	If Yes, please provide the amounts of such fees.							
11	Have revenues from fees been used for the implementation of CITES Tick if applicable							
	or wildlife conservation?							
	- Entirely:							
	- Partly:							
	- Not at all:							
	- Not relevant:							
	Comments:							
12	Please provide details of any additional measures taken:							

D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness Tick if applicable of CITES implementation at the national level?							
	Increased budget for activities	x	Improv		of nati	onal	x	
	Hiring of more staff		networks Purchase of technical equipment for x monitoring/enforcement					
	Development of implementation tools		Compu	terisatio	n			
	<ul><li>Other (specify)</li></ul>							
2	Have the CITES authorities in your couractivities provided by external sources?  Please tick boxes to indicate which target group and which activity.  Target group	Oral or written	Techni cal assista	Financi al	Trai ning	Othe r	_	
	Staff of Management Authority Staff of Scientific Authority Staff of enforcement authorities				x		Partly supported by Netherlands	

Other (specify)						
Have the CITES authorities in your counactivities?	ntry beer	the pro	viders o	fany	of the	e following capacity building
Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice / guida nce	cal assista		ning	r (spe	Details
Staff of Management Authority Staff of Scientific Authority Staff of enforcement authorities				x		Seminars/trainings (CITES implementation in EU) for customs officers and environmental inspectors
Traders NGOs <u>Public</u>	х					*CITES exhibition in the Estoniar Police Board (september 2005) * Presentation in Tourest 2005 and Tourest 2006 (Travel Trade Fair) in Tallinn (CITES exhibition and presentation of collection of confiscated goods). * CITES exhibition in Estonian museum of natural history (April 2006) * CITES exhibition in the Luhamaa border point (border to Russia) (June 2006)
Other parties/International meetings Other (specify)						
Please provide details of any additional	measure	s taken				

D7 Collaboration/co-operative initiatives

<b>T</b>									
1	Is there an inter-a	agency	or inter-s	ectoral cor	nmittee on	CITES?	Yε	es	
							No	<u>o</u>	
							No	o information	
2	If Yes, which agencies are represented and how often does it meet?								
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination								
	among CITES au	thoritie	s (e.g. oth	er MAs, S	SA(s), Cust	oms, pol	ice, others):		
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)	
	Meetings				1-2				
	Consultations		1-2						
4	At the national le		e there b	een any ef	forts to	Tick if	applicable	Details if available	
	collaborate with:								
	Agencies for dev	elopme	nt and tra	nde					
	Provincial, state					İ			
	Local authorities					İ		İ	
	Indigenous peop								
	Trade or other pr		ector asso	ciations		İ			
	NGOs	ivate se	Ct01 4330	Ciations		~		Several consultations	
	Other (specify)					^		Several consultations	
_	\ 1	. Moss	wanda - C	I In dougle	سطنست من	Ti al. 10	م المعالم المعالم		
5	To date, have any	y iviemo	oranda of	Unaersta	naing or	1 1CK 1f	applicable		

	other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the		
	following agencies?		
	SA		
	Customs		
	Police		
	Other border authorities (specify)		
	Other government agencies		
	Private sector bodies		
	NGOs		
	Other (specify)		x
	Memorandum of Understanding for institutional		
	cooperation related to environmental surveillance have		
	been agreed between the Environmental Inspectorate		
	and Police.		
	Has your country participated in any regional activities Tick if applical	ble	
	related to CITES?		
	Workshops		
	<u>Meetings</u> x		
	Other (specify)		
	Has your country encouraged any non-Party to Yes		
	accede to the Convention? <u>No</u>		
	No information	n	
	If Yes, which one(s) and in what way?		
	Has your country provided technical or financial assistance to	Yes	
	another country in relation to CITES?	<u>No</u>	
	·	No information	
)	If Yes, which country(ies) and what kind of assistance was provided?		
	Has your country provided any data for inclusion in the CITES	Yes	
	Identification Manual?	<u>No</u>	
		No information	
	If Yes, please give a brief description.		
	Has your country taken measures to achieve co-ordination and reduce		
	duplication of activities between the national authorities for CITES and		
	other multilateral environmental agreements (e.g. the biodiversity-	No information	
	related Conventions)?		
	If Yes, please give a brief description.		
	Please provide details of any additional measures taken:		

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national						
	level and what is the respective level of priority?	_					
	Activity	High	Medium	Low			
	Increased budget for activities						
	Hiring of more staff	x					
	Development of implementation tools						
	Improvement of national networks						
	Purchase of new technical equipment for monitoring and enforcement			x			
	Computerisation						
	Other (specify)						
2	Has your country encountered any difficulties in implementing specific	Yes					
	Resolutions or Decisions adopted by the Conference of the Parties?	<u>No</u>					
		No info	ormation				
3	If Yes, which one(s) and what is the main difficulty?						

4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes <u>No</u> No information
5	If Yes, please describe the constraint and the type of attention or assistance	that is required.
6	Has your country identified any measures, procedures or mechanisms	Yes
	within the Convention that would benefit from review and/or	<u>No</u>
	simplification?	No information
7	If Yes, please give a brief description.	
8	Please provide details of any additional measures taken:	

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format. Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item	
B4	Copy of full text of CITES-relevant legislation	Enclosed
		Not available
		Not relevant
C3	Details of violations and administrative measures imposed	Enclosed
		Not available
		Not relevant
C5	Details of specimens seized, confiscated or forfeited	<u>Enclosed</u>
		Not available
		Not relevant
C7	Details of violations and results of prosecutions	Enclosed
		Not available
		Not relevant
C9	Details of violations and results of court actions	Enclosed
		Not available
		Not relevant
D4 (10)	Details of nationally produced brochures or leaflets on CITES	Enclosed
	produced for educational or public awareness purposes,	Not available
		Not relevant
	Comments	

## ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

B. Legislative and regulatory measures

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than							
	that reported under que	estion B (2)	), please p	provide the follow	ing det	ails:		
	Title and date:			Status:				
	Brief description of con-	tents:						
5b				nestic measures, of	ther tha	an thos	e repor	rted under question B(5),
	specifically for non CIT	ES-listed s	species3?					
	Tick all applicable categ							1.11.11
			litions for:					ohibition of:
	Issue	Yes	No	No information	Yes	3	No	No information
	Trade	_	x				x	
	Taking		x				x	
	Possession		x				x	
	Transport		x				x	
	Other (specify)							
	Additional comments							
8b	Has there been any revi				ojects ir	า		
	relation to implementat	tion of the	Regulatio	n?		_		
					Yes	No		No information
	Introduction of live Reg							
	Community that would					x		
	flora (in accordance wit	th Article 3	3, paragra	ph 2 (d)).				
	Marking specimens to f	acilitate ic	dentification	on (in accordance		х		
	with Article 19, paragra	ıph 1 (iii)).		·				
	Please provide details it	f available	1		.1			•

57

In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been	Yes
	taken for Regulation-related violations?	<u>No</u>
		No information
16b	Has there been any review or assessment of Regulation-related	Yes
	enforcement, in addition to that reported under C (16) above?	<u>No</u>
		No information
	Comments:	
17	Have specimens been marked to establish whether they were born and	Yes
new	bred in captivity or artificially propagated? (In accordance with	<u>No</u>
	Commission Regulation (EC) No 1808/2001 Article 36),	No information
	Comments:	
18	Have any monitoring activities been undertaken to ensure that the	Yes
new	intended accommodation for a live specimen at the place of destination	No
	is adequately equipped to conserve and care for it properly? (In	No information
	accordance with Article 4 paragraph 1 (c))	
	Comments: for Lynx	

#### D. Administrative measures

#### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in	Yes	
	relation to non CITES-listed species or technical issues (e.g. species	<u>No</u>	
	identification) not covered in D2 (8) and D2 (9)?	No information	
10	Has the Commission and the CITES Secretariat (if relevant) been info	rmed Yes	
new	of the outcomes of any investigations that the Commission has	<u>No</u>	
	considered it necessary be made? (In accordance with Article 14	No information	
	paragraph 2),		

D2 Scientific Authority (SA)

8b	Have any resea	arch activities be	een undertaken	by the SA(s	s) in relation t	o Yes			
	non CITES listed species?					<u>No</u>	<u>No</u>		
						No informati	on		
9b	If Yes, please give the species name and provide details of the kind of research involved.								
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)		
	1								
	2								
	3								
	etc.								
	No information								
11	How many Sci	entific Review (	Group meetings	have the Sa	A attended?	Number 7			
new									

D3 Enforcement Authorities

6	Has a liaison officer/focal point for CITES been nom	inated within each relevant enforcement authority in
new	your country?	<u>Yes</u>
		No
		Under consideration
		No information

D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable
	- Annex D listed species	
	- Other matters not reported on in question D4 (1) (please specify)	
3b	Do you have an electronic information system providing information on	Yes
	Regulation-listed species?	<u>No</u>
		No information

D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related	Yes
	matters not covered in question D5 (9)?	<u>No</u>
		No information

#### D8 Areas for future work

2b	Has your country encountered any difficulties in implementing specific	Yes
	suspensions or negative opinions adopted by the European Commission?	<u>No</u>
	(In accordance with Article 4 (6))	No information
4b	Have any constraints to implementation of the Regulation, not reported	Yes
	under question D8(4), arisen in your country requiring attention or	<u>No</u>
	assistance?	No information
7 bis	Can you indicate the percentage of permits/certificates issued that are	
new	return to the MA after endorsement by customs?	Number: 30 %

# **FINLAND**

#### **Part 1 - CITES Questions**

Note: Part 1 is composed ex	clusively of the	questions inc	luded in the C	CITES Bie	ennial Report
format, approved at the 13 <sup>th</sup>	meeting of the	Conference of	the Parties to	o CITES,	October 2004

Version 29.9.2007/ compiled by Stella From, stella.from@environment.fi

 $<sup>\</sup>boldsymbol{*}$  Document as discussed and agreed at COM 34 held on 14 June 2005.

#### A. General information

Party	
Period covered in this report: 1 January 2005 to 31 December 2006	
Details of agency preparing this report	Finnish Environment Institute/Stella From, Veijo Miettinen
Contributing agencies, organizations or individuals	National Board of Customs/JarkkoKeskinen; Museum of Natural History/Olof Biström

### B. Legislative and regulatory measures

1	Has information on CITES-			eady been provided	Yes (			X
	under the CITES National L If yes, ignore questions 2, 3		roject?		9	partly)		片
	If yes, ignore questions 2, 3	and 4.			No	c .:	/ 1	님
							/unknown	
2	If your country has planned, details:	drafted or e	drafted or enacted any CITES-relevant legislation, please provide the follow					
	Title and date:		S	Status:				
	Brief description of contents	3:						
3	Is enacted legislation availal Convention?	ble in one of	f the working	ng languages of the	Yes No No in			
4	If yes, please attach a copy of provisions that were gazette		f the full legislative text or key legislative l.				hed usly ill send later	
5	Which of the following issu your country has adopted fo (in accordance with Article	r CITES-list	ted species	•	neasures th	at Tick	all applicable	;
		-	The conditi	ions for:	The	The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No informa	tion
	Trade				X			
	Taking				X			
	Possession				X	İ 🗆 İ		
	Transport	П						
	Other (specify)							
	Additional comments	All stricter domestic measures included in the EU regulations are implemented in national legislation. Additional stricter domestic measures on national level: Taking and possession of live animal species protected under the Nature Act is completely prohibited; the taking and possession of dead animals protected under the Nature Act is either prohibited or regulated by permits. The sale of certain animals covered by the Hunting Act is prohibited or regulated by permits. The import of whale (covers all species) meat (and other products) for commercial use is prohibited by law. The taking of whales is prohibited (includes also all Finnish vessels, which thus cannot take part in whale hunting).						

6	What were the results of any review CITES legislation, with regard to the			ess of Tio	ck all applicable	
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities					
	Clarity of legal obligations					
	Control over CITES trade					
	Consistency with existing policy on wildlife management and use					
	Coverage of law for all types of offences					
	Coverage of law for all types of penalties					
	Implementing regulations					
	Coherence within legislation	oherence within legislation				
	Other (please specify):					
	Please provide details if available:					
	No reviews or assessments of the effect	tiveness of CITES	legislation has tal	ken place during	g 2005-06.	
7	If no review or assessment has taken pl reporting period?  Please provide details if available:	ace, is one planne	d for the next	Yes No No	information $\square$	
8	Has there been any review of legislation relation to implementation of the Convo		g subjects in	Tick al	l applicable	
	Subject		Yes	No	No information	
	Access to or ownership of natural resou	ırces				
Ì	Harvesting					
İ	Transporting of live specimens					
	Handling and housing of live specimen	S				
	Please provide details if available:					
	No review during 2005-06. Since 1995 housing of live specimens have been ta				ng and handling and	
9	Please provide details of any additional	measures taken:				
	According to the Finnish Penal Code th Also the sc living value of the animal is protected under Finnish law, be it CITE	s forfeited to the S	State (this goes for	offences involv	ing any species	

#### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been u	ndertaken?		
	Review of reports and other information provided by traders and producers:		X	
	Inspections of traders, producers, markets		X	
	Border controls	X		
	Other (specify)			
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	X		

3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.					
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	X				
5	If information available:  Significant seizures/confiscations  Total information available:		Number			
	x Total seizures/confiscations  If possible, please specify per group of species or attach details on annex.		45			
	The seizures have mostly been tourist souvenirs and small items sent in postal packages from abroad.					
6	Have there been any criminal prosecutions of significant CITES-related violations?				Х	
7	If Yes, how many and for what types of violations? If available, please a	ttach de	tails as Ann	ex.		
8	Have there been any other court actions of CITES-related violations?				Х	
9	If Yes, what were the violations involved and what were the results? Please att	tach deta	ils as Annex.			
10	How were the confiscated specimens generally disposed of?		Т	ick if ap	plicable	
	Return to country of export					
	Public zoos or botanical gardens				x	
	Designated rescue centres					
	<ul> <li>Approved, private facilities</li> </ul>					
	– Euthanasia					
	Other (specify): Educational purposes				X	
	Comments:					
11	No confiscations of live animals during 2005-06.		Yes			
11	Has your country provided to the Secretariat detailed information significant cases of illegal trade (e.g. through an ECOMESSAGE o		No		X	
	other means), or information on convicted illegal traders and		Not appli	cable		
	persistent offenders?		No inform			
	Comments:					
12	Has your country been involved in cooperative enforcement activities wi	ith	Yes			
	other countries		No		x	
	(e.g. exchange of intelligence, technical support, investigative assistance joint operation, etc.)?	,	No informa	ation		
13	If Yes, please give a brief description:					
14	Has your country offered any incentives to local communities to assist ir		Yes			
	enforcement of CITES legislation, e.g. leading to the arrest and conviction offenders?	on of	No		X	
	officiacis:		No informa	ation		
15	If Yes, please describe:					
16	Has there been any review or assessment of CITES-related enforcement	?	Yes			
			No		Х	
			Not applie		片	
			No informa	ation	<u> </u>	
	Comments:					
17	Please provide details of any additional measures taken:		. 4 -	1		
	No permits or certificates are issued for live animals listed as category A (compares mostly with CITES Appendix I species) unless the specimen too small for marking: the animal must be marked as soon as possible).					

#### D. Administrative measures

#### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	x 
2	If Yes, please use the opportunity to provide those changes here.  The new contact information for both MAs was last given during COP14 in Todirectory has still old contact information for both MAs (latest check 6 <sup>th</sup> Septe The new telephone and/or fax numbers:  Ministry of the Environment: phone +358.20.490 100 (switchboard); fax numbers Finnish Environment Institute: phone +358.20.490 123 (switchboard); fax +35	ember 2007). bers have not been cha	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	x
4	If Yes, please name that MA and indicate whether it is identified as the lead M. The Ministry of the Environment is identified as the lead MA in the CITES dis		tory.:
5	How many staff work in each MA? 1-2 staff in lead MA (policy, interaction with other international agreements, l the other MA (permitting, administration)	egislative work), 2-4 s	taff in
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation:	Yes No No information	
	Lead MA 1-2% per year, permitting MA 15-100% per year per staff.		
7	What are the skills/expertise of staff within the MA(s)?	Tick if ap	plicable
	- Administration		X
	- Biology		X
	- Economics/trade		
	- Law/policy		X
	- Other (specify)		
	<ul> <li>No information</li> </ul>		
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	x
9	If Yes, please give the species name and provide details of the kind of research	n involved.	
10	Please provide details of any additional measures taken		

#### D2 Scientific Authority (SA) [Olle]

1	Have there been for the SA(s) in Directory?	Yes No No informatio	n $\square$				
2	If Yes, please u						
3	Has your count Management A	Yes No No informatio	x 🔲				
4	What is the stru	cture of the SA(s)	) in your country?			Tic	k if applicable
	- Governi	ment institution					
	- Academ	nic or research ins	titution				X
	– Perman	ent committee					
	- Pool of	individuals with c	ertain expertise				
	- Other (s	specify)					
5	How many staf	f work in each SA	on CITES issues	? 1-3			
6	Can you estima matters?	te the percentage	of time they spend	d on CITE	S related	Yes No No informatio	x  n
			5 % per staff per y				
7	What are the sk	ills/expertise of st	taff within the SA	(s)?		Tic	k if applicable
	- Botany						X
	- Ecology						X
	– Fisherie	es					
	<ul><li>Forestry</li></ul>						
	– Welfare						
	– Zoology						<u>x</u>
	- Other (s	specify)					
	- No info	ormation					
8			een undertaken	by the SA	ı(s) in	Yes	
	relation to CIT	TES species?				No	X
9	ICV1	: 41 :		-4-:1641-	- 1-: 4 - 6	No informatio	<u>п</u>
9	Species name	ive the species na	me and provide de	:	-	in involved.	Othor
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1				<u> </u>	l	(-F 3)
	2						
	3						
	etc.						
				.L	.1	No informati	on 🔲
10	Have any proj	ect proposals fo	r scientific resea	rch been s	submitted to	Yes	
		under Resolutio				No	x
						No informati	on $\square$
11	Please provide	details of any add	itional measures ta	aken:			

#### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No No information	x   
2	If No, please designate them here (with address, phone, fax and email).		
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes No Under consideration No information	x 
4	If Yes, please state which is the lead agency for enforcement:		
5	Please provide details of any additional measures taken:		

#### D4 Communication, information management and exchange

1	To what extent is CIT	ES infor	mation in y	our country	compu	terized?	Tick if applicab	ole
	Monitoring an     [Details: no da	x s]						
	Monitoring an     [Details: real details]	х						
	Permit issuance     [Details: perm.]	-	ord docume	ents; no elec	etronic s	systems i	n place]	<u>C</u>
	– Not at all							
	Other (specify [is the above to be core]		computeriz	ed' or not?]			]	
2	Do the following auth	orities h	ave access t	o the Intern	iet?	v	Tick if applicab	ole
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	
	Management Authority	Х						
	Scientific Authority	X						
	Enforcement Authority	X						
3	Do you have an electr species? [Details: no national E		· ·	•			No x	

4	If Yes, does it provide information on:  Tick if applications and application of the second of the s					
	Legislation (national, regional or internation)					
	Conservation status (national, regional, integration)					
	- Other (please specify)?	,				
5	Is it available through the Internet:		Yes	<u> </u>		
			No			
				t applicable		
			No	information $\Box$		
	Please provide URL:					
6	Do the following authorities have access to the following	owing publications?		Tick if applicable		
	Publication	Management Authority	Scientific Authority	Enforcement Authority		
	2003 Checklist of CITES Species (book)	x	X	x		
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)	X		X		
	Identification Manual	x		x		
	CITES Handbook	х		X		
7	If not, what problems have been encountered to acc	cess to the mentioned	information?			
8	Have enforcement authorities reported to the Mana	gement Authority on	:	Tick if applicable		
	– Mortality in transport?	,		🗆		
	<ul><li>Seizures and confiscations?</li></ul>			X		
	Discrepancy in number of items in permit and n	number of items actua	ally traded?	П		
	Comments:			,,		
	There has been no detected mortality in CITES tran	sports so far.				
9	Is there a government website with information on	CITES and its	Yes	X		
	requirements?		No			
				nformation		
	If Yes, please give the URL: <a href="https://www.ymparisto.fi/cites">www.ymparisto.fi/cites</a> (websites of the environment.fi/cites)	es, www.miljo.fi/cite	<u>S,</u>			
	Finnish, Swedish and (short version) English; www					
	where e.g. the handbook for import and export resti	rictions may be publi	cly			
	accessed)	0.11	<del> </del>			
10	Have CITES authorities been involved in any of the bring about better accessibility to and understandin			Tick if applicable		
	requirements to the wider public?	8 or the convention				
	Press releases/conferences			X		
	Newspaper articles, radio/television appeara	ances		X		
	- Brochures, leaflets			X		
	- Presentations			X		
	– Displays			X		
	<ul> <li>Information at border crossing points</li> </ul>			X		
	- Telephone hotline					
	- Other (specify)					
	Please attach copies of any items as Annex.					
	Poster attached: CITES and Orchids, used in variou	is connections.				
	News paper material attached: ?					
11	Please provide details of any additional measures ta	aken:				

#### D5 Permitting and registration procedures

1	Have any changes in permit format or the designation and s empowered to sign CITES permits/certificates been reporte Secretariat?  Changes in designation and signatures of relevant officials the Secretariat on 20.12.2005.	d previously t	o the	Yes No Not applicable No information	x 
	If no, please provide details of any:				
	Changes in permit format:				
	Changes in designation or signatures of relevant official	s:			
2	To date, has your country developed written permit procedu following?	ires for any of	the	Tick if applicable	
		Yes	No	No information	1
	Permit issuance/acceptance		X		
	Registration of traders		X		
	Registration of producers		X		

f	documents). Year 1	Import or introduction from	Farant	Re-export	Other	Comments
		the sea	Export	Ke-export	Other	
	How many documents were issued?	105	12	33	120	Explanation for 'Other' EU certificates for cert CITES specimens.
	How many applications were denied because of severe ommissions or misinformation?	None	None	None	None	Severe omissions in applications: we try to help the applicant to fil the application correctl and ask for back groun information where needed. Usually customers contact us before they make an application: 'hopeless cases' are turned away already at this point. Whave not so far detected misinformation.
	Year 2 How many documents were issued?	120	11	34	73	Explanation for 'Other' EU certificates for cert CITES specimens.
	How many applications were denied because of severe ommissions or misinformation?	None	None	None	None	Some applications were denied due to differing technical violations, e.g. when a certain forward agent repeatedly walke through Customs with handing in CITES documents.  Severe omissions in applications: we try to help the applicant to fil the application correctl and ask for necessary back ground information where needed. Usuall customers contact us before they make an application: 'hopeless cases' are turned away already at this point. W
						have not so far detected misinformation.
	Were any CITES documents the because of severe ommissions of			and replace	ed	Yes No x

6	Please give the reasons for rejection of CITES documents fro countries.	m other		Tick if applicable	
	Reason	Yes	No	No information	
	Technical violations		ТП		
	Suspected fraud				
	Insufficient basis for finding of non-detriment				
	Insufficient basis for finding of legal acquisition				
	Other (specify)				
	No rejections of CITES documents from other countries in 2005-06.				
7	Are harvest and/or export quotas USED as a management tool in a for issuance of permits?	the proced	dure	Yes No No information	x   
	Comments				_
	No export permits for brown bear trophies / items are issued unles	s the bear	r is		
	harvested in accordance with the national quota (if not in accordance of national Hunting Act).	nce: viola	tion		
	Import permit applications are checked against possible published				
8	How many times has the Scientific Authority been requested to pr				
	3 – 5 times per year (opinions given in e.g. EU level suspension of				e
9	EU Scientific Committee; no opinions requested as regards specif Has the Management Authority charged fees for permit issuance,	_		Tick if applic	ahla
9	related CITES activities?	registratio	)11 ()1	тек п аррпс	zabie
	- Issuance of CITES documents:				X
	<ul> <li>Licensing or registration of operations that produce CITE</li> </ul>	S species			$\Box$
	- Harvesting of CITES-listed species :	r			Ħ
	<ul><li>Use of CITES-listed species:</li></ul>				x
	- Assignment of quotas for CITES-listed species:				П
	- Importing of CITES-listed species:				$\overline{\Box}$
	- Other (specify): non-CITES certificates (used by some tra	ders whe	n		ī
	trading items of non-listed species with certain countries, where the			•	_
	some reason require a document stating that the said species is not	t listed).			
10	If Yes, please provide the amounts of such fees.				
	1. Export permits, import permits and re-export certificates: 75 €				
	2. EU certificates (for sale and movement of certain CITES species inside EU territory): 40 €	s and spe	cimens	•	
	3. Non-CITES certificates: 42 € (one certificate is usually issued f	or a large	<u>,</u>		
	quantity of items, see box 9 for further explanation).	8			
11	Have revenues from fees been used for the implementation of CIT	ES or		Tick if applic	cable
	wildlife conservation?			_	_
	– Entirely:			_	╛
	– Partly:			_	╛
	– Not at all:			L	
	– Not relevant:			X	(
	Comments:				
	All fees go to cover administrative costs,				
12	Please provide details of any additional measures taken:				

#### D6 Capacity building

1	Have any of the following activities been u CITES implementation at the national level		n to enha	ance effe	ctiven	ess of	Tick if applicable	
	Increased budget for activities	udget for activities						
	Hiring of more staff			ase of tec oring/enf			pment for x	
	Development of implementation tools		Comp	uterisatio	n		X	
	- Other (specify)	Ť						
	Details about the ticks above:							
	The bettering of equipment by Customs wa general reasons: effectiveness of CITES im							
2	Have the CITES authorities in your country activities provided by external sources?	been th	e recipie	ent of any	of th	e follo	owing capacity building	
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?	
	Target group	Ов		a L				
	Staff of Management Authority	X					In conjunction with Swedish CITES authorities meeting, where UK CITES experts were invited presenters, Feb 2006	
	Staff of Scientific Authority							
	Staff of enforcement authorities	X			X		Visits by Customs officers to Heathrow + advice and guidance given to Customs by MA	
	Traders						Don't understand the question: are traders etc. counted here as 'CITES authorities'?	
	NGOs							
	Public							
	Other (specify)							

3	Have the CITES activities?	authoritie	es in your count	ry been t	the <i>provid</i>	<i>lers</i> of an	y of th	ne foll	owing capacity building
	Please tick boxes group and which		te which target	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Manager	ment Auth	nority						
	Staff of Scientifi		•						
	Staff of enforcer		•	X			X	П	MA to Customs
	Traders		,1100	X				П	Customs and/or MA at
	Traders			A					exhibitions, fairs, etc.
	NGOs								
	Public			X					Customs and/or MA at exhibitions, fairs, etc.
	Other parties/Int	ernational	meetings	x				$  \square  $	MA to Estonian MA
	Other (specify)								
4	Please provide d	etails of a	ny additional m	easures	taken				
D7 Co	llaboration/co-o <sub>l</sub>	perative	initiatives						
1	Is there an inter-	agency or	inter-sectoral o	committe	e on CITI	ES?			Yes
									No x
	1037 1:1		. 1	1.1	0 1	., ,0			No information
2	If Yes, which ag						1 1	41 N	[A 4
3	among CITES a								IA to ensure co-ordination
		Daily	Weekly Mo	onthly	Annually	None		No rmation	Other (specify)
	Meetings				X				Board of Customs organises for Custom officers an annual workshop on import/export restrictions. Usually 2 days are reserved for CITES issues, and staff from the MA permit unit attend both days and gives presentations.
	Consultations	X							MA (permit unit) collaborates daily / several times per week with Customs in operational/routine matters (consultations).
4	At the national l collaborate with		there been any	efforts to	0	Tick i	f appl	icable	Details if available
	Agencies for dev	velopment	and trade						MA permit unit with

			environmen prosecutors and phytosanita inspection	d with ary
	Provincial, state or territorial authorities	x	İ	
	Local authorities or communities			
	Indigenous peoples		MA permit uni Orchid Society Herpetologi Society.	y and
	Trade or other private sector associations	X		
	NGOs			
	Other (specify)			
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if app	olicable
	SA			
	Customs			
	Police			
	Other border authorities (specify)			
	Other government agencies			
	Private sector bodies			Щ
	NGOs			
	Other (specify)		T: 1 : C	
6	Has your country participated in any regional activities related to CITES?		Tick if app	olicabie
	Workshops			X
	Meetings			X
	Other (specify)			
7	Has your country encouraged any non-Party to	Yes		
	accede to the Convention?	No No information		x 
8	If Yes, which one(s) and in what way?	110 111101111111111	_	
9	Has your country provided technical or financial	assistance to	Yes	X
	another country in relation to CITES?	assistance to	No	
			No information	
10	If Yes, which country(ies) and what kind of assistance was prinancial assistance for participation of developing countries funds were given to the secretariat to be used at their discretical	s to international me	eetings, like COP. Th	e
11	Has your country provided any data for inclusion in the CIT	ES Identification	Yes	
	Manual?		No	х
12	If Yes, please give a brief description.		No information	
13	Has your country taken measures to achieve co-ordination a	 and reduce	Yes	X
15	duplication of activities between the national authorities for	CITES and other	No	
	multilateral environmental agreements (e.g. the biodiversity Conventions)?	-related	No information	

14	If Yes, please give a brief description.
	The work is coordinated, as the staff of both CITES MA:s work in the same administrative units as the national focal points of all other MEAs in the field of nature conservation. Furthermore, in some cases the same person is responsible for CITES related issues as well as issues related to certain other MEAs.
15	Please provide details of any additional measures taken:

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the na level and what is the respective level of priority?						
	Activity	High	Medium	Low			
	Increased budget for activities						
	Hiring of more staff						
	Development of implementation tools	X					
	Improvement of national networks		x				
	Purchase of new technical equipment for monitoring and enforcement						
	Computerisation						
	Other (specify)						
2	Has your country encountered any difficulties in implementing specific	Yes					
	Resolutions or Decisions adopted by the Conference of the Parties?	No		X			
		No info					
3	If Yes, which one(s) and what is the main difficulty?						
4	Have any constraints to implementation of the Convention arisen in your country	Yes					
	requiring attention or assistance?	No		X			
			Cormation				
5	Yes, please describe the constraint and the type of attention or assistance that is required.						
6	Has your country identified any measures, procedures or mechanisms within the						
	Convention that would benefit from review and/or simplification?	No		X			
		No information					
7	If Yes, please give a brief description.						
8	Please provide details of any additional measures taken:						

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	X
C3	Details of violations and administrative measures imposed	Enclosed	X
		Not available	
	Annual reports for 2005 and 2006 are enclosed; confiscations on page 2.	Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	X
		Not available	
	Annual reports for 2005 and 2006 are enclosed; confiscations on page 2.	Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	X
		Not relevant	
С9	Details of violations and results of court actions	Enclosed	
		Not available	X
		Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for	Enclosed	Х
	educational or public awareness purposes,	Not available	
		Not relevant	
	Comments: Orchid poster enclosed. Brochures by Customs enclosed.		

### ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:								
	Title and date: Status:								
	Brief description of contents:								
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>4</sup> ?								
	Tick all applicable categories below that these categories apply to.								
		The conditions for:				e complete prohibition of:			
	Issue	Yes	No	No information	Yes	No	No information		
	Trade	片	X			X			
	Taking		X			X			
	Possession		X			X			
	Transport		X			X			
	Other (specify)		X			X			
	Additional comments								
8b	Has there been any review of legislation on the following subjects in relation to implementation of the Regulation?								
	<b>,</b>		Yes	No	No information				
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).					X			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii))					X			
	Please provide details if available,								
9b	Please provide details of rother additional measures (9)								

78

<sup>&</sup>lt;sup>4</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

#### C. Compliance and enforcement measures [jarkko]

2b	Have any action	Yes							
	for Regulation-r	No x							
						No informatio	n		
16b	Has there been a	Yes							
	enforcement, in	enforcement, in addition to that reported under C (16) above?						X	
		No informatio	n						
	Comments:								
17	Have specimens	Yes		X					
new	in captivity or artificially propagated? (In accordance with Commission Regulation (EC) No 1808/2001 Article 36),					No			
	Regulation (EC	No information [							
	Comments:								
	See part 1 point	17.							
18	Have any monit	oring activities be	een undertaken to	ensure tha	t the intended	Yes	X		
new	accommodation	No							
		equipped to conserve and care for it properly? (In accordance with Article							
		4 paragraph 1 (c))							
	Comments:	mina livo animala	s are inspected reg	ularky ona	a nar waar by the	Animal Haalth	and W	alfora	
			not cover private p						
			a reason to suspe						
	includes also pr	vate persons.			-				
		_		•					
			. Administrati	ve meas	sures				
)1 Ma	anagement Auth	ority (MA)							
8b		Have the MA(s) undertaken or supported any research activities in relation to							
			nical issues (e.g. s	pecies ider	ntification) not	No		X	
	covered in D2 (	covered in D2 (8) and D2 (9)?						X	
10		Has the Commission and the CITES Secretariat (if relevant) been informed of							
new	the outcomes of	No							
	necessary be ma	ide? (In accordan	ce with Article 14	paragrapn	2),	No informa	tion	X	
02 Sc	ientific Authority	/ (SA)							
8b	Have any resea	Yes							
	relation to non	No							
		No informatio	n	X					
9b	If Yes, please gi	ve the species na	me and provide de	etails of the	e kind of researc	h involved.			
	Species name	Populations	Distribution	Off	Legal trade	Illegal trade		ther	
		Topulations	Distribution	take		megai trade	(sp	ecify)	
	1								
	2								
	3								
	etc.								
		No information							
11	How many Sci	How many Scientific Review Group (SRG) meetings have the SA						8	
	attended?								
new			ereup (erre) me	ethigo na	ve tile 5A	Number			
new	attended?		ered attendance to						

D:	2	En.	far	22	man	4 A.	uth	orities
v,	)		ıuı	CEI	IIEII	ιAι	иин	บบเนษอ

	H. 1: CC /C 1 : / C CITEGI : / 1 ://:	1 1 4 6 4 1 4	$\neg$	
6 new	Has a liaison officer/focal point for CITES been nominated within ear your country? Yes	ach relevant enforcement authority in	х	
	A CITES liaison officer for each Customs District has been nominated only a small part of the said officers all duties).	ed (not a full time job: it constitutes		
ļ		No [		
		Under consideration [	$\Box$	
		No information	$\exists$	
04 Coi	mmunication, information management and exchange			
1b	Is Regulation-related information in your country computerized on?	Tick if applicable	e	
	Annex D listed species		]	
	Other matters not reported on in question D4 (1) (please specified).	cify)	]_	
3b	Do you have an electronic information system providing information	n on Yes	J	
	Regulation-listed species?	No x		
		No information	]	
05 Per	rmitting and registration procedures			
9b	Has the Management Authority charged fees for any Regulation-rela	ated matters Yes	ī	
	not covered in question D5 (9)?	No x		
		No information	]	
D8	Areas for future work			
2b	Has your country encountered any difficulties in implementing	Yes	Х	
	specific suspensions or negative opinions adopted by the European	No	Ē	
	Commission? (In accordance with Article 4 (6))	No information	L	
4b	Have any constraints to implementation of the Regulation, not	Yes	X	
	reported under question D8(4), arisen in your country requiring attention or assistance?	No		
7 bic		No information		
7 bis new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	In 2006: ca 73 % were returned.		
110 11	are retained to the riff area endorsement of endorsemen	Details: of 165 issued permits/		
		certificates ca 15 were not used at		
		all for various reasons. Of the 150		
		certificates that were used, ca 40		
		were not returned to MA. Of these		
		22 were issued to one forwarding		
		agent, who failed to comply by the rules (walked through customs		
		without handing in CITES		
		documents). See part 1 D5 point 3.		

# **FRANCE**

# A. Informations générales

Partie	FRANCE
Période couverte par ce rapport:	1 <sup>er</sup> janvier 2005 au 31 décembre 2006
Service préparant le rapport	Organe de gestion national
Services, organisations ou personnes y ayant contribué	Bureau des échanges internationaux d'espèces menacées (DNP - organe de gestion national)
	Directions régionales de l'environnement (DIREN - organes de gestion régionaux)
	Muséum national d'histoire naturelle (MNHN - autorité scientifique)
	Office central de lutte contre les atteintes à l'environnement et à la santé publique (OCLAESP – point focal des contrôles)
	Direction générale des douanes (services de contrôle sur le territoire et en frontières)
	Office national de la chasse et de la faune sauvage (ONCFS - services de contrôle sur le territoire)

# B. Mesures législatives et réglementaires

1	Des informations sur la législation CITES ont-elles déjà été fournies dans le cadre du projet sur les législations nationales? Si oui, passer à la question 5.	Oui (complètement) Oui (partiellement) Pas d'information	X
2	Si une législation CITES a été planifiée, préparée ou adoptée, ve	euillez indiquer:	
	le titre de la législation: où elle	en est :	
	Ordonnance du Président de la		
	République (Polynésie française et		
	Wallis & Futuna) Projet rédigé, et	n	
	Délibération du Gouvernement de	cours	
	Nouvelle - Calédonie d'adoption		
		En cours de rédaction	
3000 3000	Brève description du contenu: concerne ces 3 territoires français seulement		
3	Existe-t-il une législation adoptée, disponible dans une des	Oui (règlements UE)	X
	langues de travail de la Convention?	Non	П
		Pas d'information	

4	Si oui, veuillez joindre une copie du texte législatif comp				t lég	islation	jointe		
	ou des dispositions	s législativ	es publié	es au journal officiel.	fou	rnie ante	érieurement	X	
					non	non disponible, sera			
					enve	envoyée ultérieurement			
						Pour la Polynésie			
						française, la Nouvelle			
						Calédonie et Wallis &			
							législations		
							smises dès des textes		
5	Ovallas sant name	lag gwagti		antes celles qui sont t			ues textes		
3	` .			ntées cenes qui sont u ptées pour des espèce					
				Convention)? Cochez					
	applicables	THILICIC TY.	i v de ia	convention): coencz	i ies ea	303			
	l npp	Sous cone	ditions:		Interdi	Interdiction complète:			
	0	O:	N	D 12:C	O:	<b>N</b> T	Pas		
	Question	Oui	Non	Pas d'information	Oui	Non	d'information	on	
	Commerce	X							
	Prélèvement				X				
	Possession	X							
	Transport	X							
	Autre (à préciser)								
				ns (prélèvement, trans					
			_	mentation française du					
				ole et territoires d'Ou					
			èces peu	vent être CITES (ex :	loup, o	ours, per	rroquets guyan	ais,	
	rapaces) ou non CI		omto d'os	màssa nansa difficiles	à 41arr	مسمل سم			
				spèces rares, difficiles e des autorisations pré					
				A du règlement CE n°					
				s spécimens est subor				e	
				e même que la circula					
	vivants.						-		

Quels sont les résultats de tout examen ou évaluation de l'efficacité de la législation CITES pour les éléments suivants? Cochez les cases applicables					
	Eléments	Adéquate	En partie inadéquate	Inadéquate	Pas d'information
	Compétences des organes CITES				
	Clarté des obligations légales				
	Maîtrise du commerce CITES				
	Cohérence avec la politique de gestion et d'utilisation des espèces sauvages				
	Couverture par la loi de tous les types d'infraction				
	Couverture par la loi de tous les types de sanction				
	Application des réglementations				
	Cohérence interne de la législation				
	Autre (veuillez préciser)				
	Veuillez fournir les détails disponent examinée ou évaluée.	nibles: la légis	slation national	e n'a pas été o	fficiellement
7	Si aucun examen ou évaluation n planifié pour la prochaine périod		n a-t-il un	Oui Non Pas d'i	nformation
	Veuillez donner les précisions di	*			
8	Y a-t-il eu un examen de la légis pour ce qui est de l'application d toutes les cases applicables				_
	Sujet		O	ui Non	Pas d'information
	Accès aux ressources naturelles or ressources	ou propriété de	es		X
	Prélèvement				X
	Transport des spécimens vivants				X
	Manutention des spécimens viva abritant	nts et installat	ions les		X
	Veuillez fournir les détails dispo-	nibles:			
9	Veuillez fournir des indications s	sur toute mesu	re supplémenta	aire prise:	

# C. Mesures pour le respect de la Convention et la lutte contre la fraude

		Oui	Non	Pas d'information
1	Y a-t-il eu des opérations telles que celles indiquées ci-des	ssous?		
	Examen des rapports et d'autres informations fournies par les négociants et les producteurs	X		
	Inspections de négociants, de producteurs, de marchés	X		
	Contrôles aux frontières	X		
	Autres (veuillez préciser) contrôles routiers et enquêtes ciblées	X		
2	Des mesures administratives (amendes, interdictions, suspensions, etc.) ont-elles été imposées pour des violations de la CITES?	X		
3	Si oui, veuillez indiquer combien et pour quels types de vi disponibles. Information non disponible	olation	s et join	dre les détails
4	Y a-t-il eu des saisies, confiscations ou séquestres importants de spécimens CITES?	X		
	Si des informations sont disponibles:  Saisies/confiscations importantes  Total des saisies/confiscations  Veuillez les indiquer si possible par groupe d'espèces ou joindre des indications.  Douanes 2005  - 630 animaux vivants - 729 animaux naturalisés - 778 pièces d'ivoire brut ou travaillé, - 1 348 coquillages et coraux, - 43 983 articles et produits divers, issus d'espèces protégées (779  ouvrages en peaux de reptiles, 2 818 orchidées, 4 344  peaux, 35 011 hippocampes séchés, etc.).  Douanes 2006 - 621 animaux vivants, - 247 animaux naturalisés, - 473 pièces d'ivoire brut ou travaillé, - 2 764 coquillages et coraux, - 6 032 articles et produits divers, issus d'espèces protégées (ouvrages en peaux de reptiles, orchidées, peaux, etc.).  OCLAESP:	végé joint 2006 anim saisi 2005 voir c 2006 voir c 2005 : Testud 2006 :	Dc : 123 a staux sai staux sai si si si si si si si si si si si si si	nimaux et 4003 sis (cf tableau pécimens 0 végétaux leau joint) nuanes: spécimens saisis, spécimens saisis, spécimens saisis, aux saisis, dont 9 et 1 Pan paniscus nimaux saisis, dont 78 oiseaux
	2000 peaux diverses 32 Dendrobates tinctorius spp 2 Aras glaucogularis 10 kg d'ivoire d'éléphant d'Afrique	411111111111111111111111111111111111111		

6	Y a-t-il eu des poursuites pénales pour violation importante de la CITES?	X			
7	Si oui, combien et pour quels types de violation? Veuillez join 2005: contraventions de 4 éme classe (356) - Délits (541) 2006: contraventions de 4ème classe (153) - Délits (649)	idre le	es détail	S.	
8	Y a-t-il eu d'autres actions en justice pour violation de la CITES?			X	
9	Si oui, pour quelles violations et avec quels résultats? Veuillez join	ndre l	es détai	ls en ann	exe.
10	Que deviennent habituellement les spécimens confisqués?		Coche	ez si appl	licable
	<ul> <li>Retour au pays d'exportation</li> </ul>				X
	<ul> <li>Zoos ou jardins botaniques publics</li> </ul>				X
	<ul> <li>Centres de sauvetage désignés</li> </ul>				X
	<ul> <li>Installations privées approuvées</li> </ul>				X
	– Euthanasie				X
	<ul> <li>Autre (veuillez spécifier)</li> </ul>				X
	Destruction des spécimens morts ou				
	remise des spécimens à des musées ou remise aux services de				
	contrôle pour les formations des agents ou spécimens laissés au propriétaire en vue d'une régularisation				
	administrative				
	Commentaires:				
11	Des informations détaillées ont-elles été communiquées au Secrétariat concernant des affaires importantes de commerce illicite (par écomessage ou un autre moyen), ou des informations sur des négociants illicites reconnus coupables et sur des récidivistes?		n applica d'infori		
	Commentaires: Information non disponible				
12	Y a-t-il eu des activités de lutte contre la fraude menées en	Oui			X
	coopération avec d'autres pays?	Nor			
	(échange de renseignements, appui technique, assistance pour enquête, opération conjointe, etc.)?	Pas	d'infori	mation	
13	Si oui, veuillez les indiquer brièvement: opération conjointe importation illicite de caviar – Détail non disponible échanges de renseignements avec police fédérale Belge (saisie de Dendrobates), Angleterre / Allemagne pour vente de tigre blanc avec certificat erroné.				
14	Des incitations ont-elles été proposées aux populations locales pour qu'elles contribuent à l'application de la législation CITES, avec pour effet d'entraîner, par exemple, des arrestations et la condamnation des contrevenants?	Oui Nor Pas	ı d'inforı	nation	X
15	Si oui, veuillez indiquer lesquelles:				

16	Y a-t-il eu un examen ou une évaluation de la lutte contre la fraude liée à la CITES?	Oui Non Non applicable Pas d'information	
	Commentaires:		
17	Veuillez fournir des indications sur toute mesure supplémenta	ire prise:	

#### D. Mesures administratives

# D1 Organe de gestion (OG)

1	Y a-t-il eu des changements dans l'OG désigné ou dans ses	Oui	
	coordonnées, n'ayant pas encore été consignés dans le	Non	X
	Répertoire CITES?	Pas d'information	
2	Si oui, veuillez indiquer ici ces changements:		
3	S'il y a plus d'un OG dans votre pays, un OG principal a-t-il	Oui	X
	été désigné?	Non	
		Pas d'information	
4	Si oui, veuillez indiquer son nom Ministère de l'écologie, du dév	durables	ement
	paysages	rection de la nature et des	
	Bu	ureau des échanges	
	internationaux d'espèces menacées		
	et s'il figure comme principal OG dans le Répertoire CITES.	<u>oui</u>	
5	Quel est l'effectif de chaque OG?		
	Variable selon les régions	<del></del>	
6	Pouvez-vous estimer le pourcentage de temps qu'il consacre		Ц
	aux questions CITES?	Non	Ц
		Pas d'information	
	Si oui, veuillez faire une estimation: variable selon le nombre	e	
	de dossiers. Entre 5 % et 100 % du temps des agents en charge de la CITES, selon les régions		
7	Quelles sont les compétences du personnel des OG?	Cochez si applic	cable
	- Administration		X
	- Biologie		
	- Economie/commerce		
	– Loi/politiques		님
	Autre (veuillez spécifier) :		
	<ul><li>Pas d'information</li></ul>		<u>Ш</u>
8	Les OG ont-ils entrepris ou appuyé des activités de recherche	e Oui	X
	sur des espèces ou des questions techniques CITES	Non	
	(étiquetage, marquage, identification d'espèces, etc.) non	Pas d'information	
	couvertes par D2 (8) et D2 (9)?		

9	Si oui, veuillez indiquer les espèces et le type de recherche.
	Prédation de <i>Canis lupus</i> sur les grands herbivores
	Régime alimentaire de Falco naumanni
	Impact des éoliennes sur Hieraaetus fasciatus
	Recherche des milieux favorables à la nidification de Tetrax tetrax
	Suivi des hivernages de <i>Tetrax tetrax</i>
	Diverses études sur <i>Ursus arctos</i>
10	Veuillez fournir des indications sur toute mesure supplémentaire prise:

# D2 Autorité scientifique (AS)

1	Y a-t-il eu des changements dans l'AS désignée ou dans ses coordonnées, n'ayant pas encore été consignés dans le Répertoire CITES?	Oui Non Pas d'information	X
2	Si oui, veuillez indiquer ici ces changements:		
3	L'autorité scientifique désignée est-elle indépendante de	Oui	v
3	l'organe de gestion?	Non	X
	1 organic de gestion:	Pas d'information	H
4	Quelle est la structure des AS?	Cochez si appl	icable
	<ul> <li>Institution gouvernementale</li> </ul>		
	<ul> <li>Institution académique ou de recherche</li> </ul>		X
	Commission permanente		П
	Groupe d'individus ayant certaines connaissances		
	– Autre (veuillez spécifier):		
5	Quel est l'effectif de chaque AS pour les questions CITES?	4 personnes + 20 experts	
		thématiques	
6	Pouvez-vous estimer le pourcentage de temps qu'il consacre	Oui	X
	aux questions CITES?	Non Pas d'information	님
	Si oui, veuillez faire une estimation : entre 10 % et 100 % de leur temps, selon les champs de compétence	Pas d information	Ш
7	Quelles sont les compétences du personnel des AS?	Cochez si appl	icable
	– Botanique		X
	– Ecologie		X
	– Pêcheries		X
	– Foresterie		
	<ul> <li>Protection animale</li> </ul>		X
	– Zoologie		X
	Autre (veuillez préciser) : droit de l'environnement		X
	- Pas d'information		
8	Des activités de recherche ont-elles été menées par les AS sur	Oui	X
	des espèces CITES?	Non	
		Pas d'information	

Espèce	Population	Répartition géographique	Prélè- vement	Comm erce licite	Commer ce illicite	Autre (préciser
Lophura edwardsi	Population captive					Taxonomie
Lophura hatinhensis	Population captive					Taxonomie
Lophura imperialis						Taxonomie
Lophura e. erythrophthalma	Population captive					
Tragopan caboti	Population captive					
Tragopan blythii	Population captive					
Tragopan satyra	Population captive					
Columbidés, Psittacidés, Corciidés, Alécédinidés, Momotidés, Bucérotidés, Méropidés, Todidés						Taxonomie
Psittacula krameri		Distribution (introduction invasive) en Algérie	X			Ecologie
Merops apiaster et Merops chrysocercus		Distribution en Algérie	X			Ecologie (alimentation)
Chlamydotis undulata	Etat des populations naturelles					
Loxodonta africana cyclotis						Structure sociale de l'éléphant de forêt
Bos gaurus						
Addax nasomaculatus ; Oryx dammah ; Pongo Pygmaeus ; Tetrax tetrax						Historique du peuplement de gaur et ses relations phylogéographique avec les autres bovidés en Asie du Sud Est
Hippocampes			X			Elevages conservatoires et renforcement des populations
					Pas d	information
Des proposition elles été soumis Conf. 12.2?	1 ,		_		Oui Non	
					Pas d'	information

# D3 Autorités chargées de la lutte contre la fraude

1	Les autorités désignées pour recevoir des informations confidentielles sur la lutte contre la fraude dans le cadre de la CITES ont-elles été indiquées au Secrétariat?	Oui Non Pas d'information	X
2	Si non, veuillez les désigner ici (avec l'adresse, le téléphone, le fax et le courriel):		
3	Y a-il un service spécialisé dans la lutte contre la fraude CITES (dans le département chargé des espèces sauvages, les douanes, la police, l'appareil judiciaire, etc.)?	Oui Non A l'étude Pas d'information	X
4	Si oui, veuillez indiquer le principal organisme chargé de la lutte contre la fraude:  La Gendarmerie Nationale, via l'Office central de lutte contre les atteintes à l'environnement et à la santé publique (OCLAESP). Chacune des 3600 brigades de gendarmerie est habilitée à procéder à ces contrôles, à relever des infractions et à effectuer des saisies sur l'ensemble du territoire national. Les douanes sont chargées des contrôles en frontières et interviennent également sur tout le territoire.  Enfin, il existe une brigade spécialisée CITES de 7/8 personnes au sein de l'Office national de la chasse et de la faune sauvage (ONCFS) qui effectue régulièrement des contrôles sur tout le territoire.		
5	Veuillez fournir des indications sur toute mesure supplémenta L'OCLAESP, créé en juin 2004, constate les infractions (si l'in anime et de coordonne l'action des services répressifs sur le pl gendarmerie – douanes - ONCFS), les assiste, centralise l'info diffusion à l'ensemble des services, observe et étudie les comp caractéristiques des auteurs et complices et participe à des acti- d'information.	mportance le justifie), an national (police – ormation et en assure la portements les plus	

# D4 Communication; gestion et échange des informations

1	Qι	nelles sont les informations CITES ayant été informatisées?	Cochez si applicable
	_	Suivi et rapport sur les données sur le commerce licite	X
		Suivi et rapport sur les données sur le commerce illicite	
	_	Délivrance des permis	X
	_	Aucune	
		Autres (veuillez préciser): avis scientifiques de l'autorité tionale et du groupe d'examen scientifique de l'Union ropéenne	X
	Cu	topecime	

2	Les autorités suiva	antes or	nt-elles ac	cès à Internet?	?		Co	ochez si appl	icable
	Autorité	Oui, accès continu et sans restriction	Oui, mais seulement par téléphone	Oui, mais seulement par l'intermédiaire d'un autre service	Seulement certains services	Non, aucune		lez, s'il y a li er des précision	
	Organe de gestion	X							
	Autorité scientifique	X							
	Autorité de lutte contre la fraude	X							
3	Y a-t-il un système				onnant	des	Oui		X
	informations sur le	es espè	ces CITES	S?			Non		
							Pas d'i	nformation	
4	Si oui, donne-il de	s infor	mations su	ur:			Co	ochez si appl	icable
	<ul> <li>la législation</li> </ul>	n (natio	nale, régi	onale ou inter	nationa	le)?			X
	<ul> <li>la conservat</li> </ul>	ion (na	tionale, ré	gionale ou int	ternatio	nale)?			
	<ul> <li>autre (veuill</li> </ul>	ez préc	iser)?						
5	Est-il disponible p	ar Inter	net?				Oui		X
							Non		
							-	plicable	
							Pas d'i	nformation	
	Veuillez indiquer	l'URL:	http://cite	es.ecologie.go	<u>uv.fr</u>				
6	Les autorités ment Cochez si applicat		s ont-elles	accès aux pu	blicatio	ns suiv	vantes?		
	Duh	lication	10	Orga	ne de	Αι	utorité	Autorité de	e lutte
	1 40				stion	scie	ntifique	contre la f	raude
	Liste des espèces (	CITES .	2005 (livr		X		X	X	
	Liste des espèces ( Annexes et réserve (CD-ROM)				X		X	X	
	Manuel d'identific	cation			X		X	X	
	Guide CITES				X		X	X	
7	Si non, quels ont é	té les p	roblèmes	rencontrés po	ur accé	der à c	es inform	ations?	
8	Des autorités charg l'organe de gestion	-			e ont-ell	es sou	mis à C	Cochez si app	olicable
	<ul> <li>la mortalité</li> </ul>	pendan	t le transp	ort?					
	<ul> <li>les saisies et</li> </ul>	t les co	nfiscation	s?					X
				articles figura ent commerci		es perr	mis et le		

	transport et aux écarts entre le nombre de spécime permis / certificats et le nombre de spécimens réel (ré)exportés doivent normalement être portées par documents CITES, mais ces informations ne sont renseignées sur ces documents. L'information est	ns figu lement les dou pas tou	rant imp uane jour	sur le ortés s sur l s	s /	
9	Y a-t-il un site Internet du gouvernement donnant informations sur la CITES et les obligations qui en		ılent	?	Oui Non Pas d'information	X
	Si oui, veuillez indiquer l'URL:					
	http://www.ecologie.gouv.fr/-CITEShtml					
	http://cites.ecologie.gouv.fr					
	http://www.douane.gouv.fr/					
10	Des autorités CITES ont-elles participé aux activit pour un plus large accès du public à la Convention meilleure compréhension des obligations qui en dé	et une		S	Cochez si appli	cable
	Communiqués de presse / conférences de	presse				X
	Articles de journaux, interventions à la radio	o/à la té	élévi	sion		
	<ul> <li>Brochures, fascicules</li> </ul>					X
	<ul><li>Présentations</li></ul>					
	– Expositions					
	Information aux frontières					
	Ligne téléphonique spéciale					
	Autre (veuillez préciser) Court métrage diffusé dans les avions d'Air France	e				X
	Veuillez joindre une copie de ces éléments.					
	Film déjà communiqué au Secrétariat					
	Dépliant téléchargeable à l'adresse :					
	http://www.douane.gouv.fr/pdf/documentation/wa	shingto	on.p			
1 1	df	17	4			
11	Veuillez fournir des indications sur toute mesure s	supplen	nent	aire pi	rise:	
D5	Procédures en matière de permis et d'enregistrem	ent				
1	Des changements dans le formulaire de permis, dans le formulaire de permis d				Oui	X
	désignés pour signer les permis/certificats CITES, signatures, ont-ils été signalés au Secrétariat?	ou dans	s les		Non	
	signatures, ont-ins etc signales au secretariat?				Non applicable Pas d'information	,
	Si non, veuillez donner des précisions sur:				i as u iiiioiiiiatioi	ı [_]
	les changements dans le formulaire de permis:					
	les changements dans les cadres désignés et les	signatu	ıres:			
2	Votre pays a-t-il élaboré des procédures écrites				Cochez si applica	able
	pour ce qui suit?	0	. 1	Non	Dog d'informe-t	ion
	Délivrance/accentation des permis	Oui	1	11011	Pas d'informat	1011

	Enregistrement des négoc	iants	Partiel		X				
	Enregistrement des produ	cteurs	Partiel		X				
3	Combien de documents C	ITES ont-ils été	délivrés	et r	ejete	és (	dans	la	période de deux ans?
	(Notez que dans les rapports annuels, certaines Parties enregistrent le commerce effectif.								
	Cette question se réfère au		elivrés).				1		
	Année 2005	Importation ou introduction en provenance de la mer	Expor- tation		éexpo		A	utre	Commentaires
	Nombre de documents délivrés: 17 854 1 000 32 996 2 014				)14	« autres » se référe			
	Nombre de demandes rejetées en raison de graves omissions ou d'informations erronées?	Information non disponible							aux certificats intra- UE prévus par l'article 10 du règlement (CE) n°
	Année 2006 Nombre de documents délivrés:	20 001	944	31	011	1	3 4	178	338/97
	Nombre de demandes rejetées en raison de graves omissions ou d'informations erronées?	Information non disponible							
4	Des documents CITES dé remplacés en raison de gra erronées?						s et		Oui Très rare X  Non  Pas  d'information
5	Si oui, veuillez en indique	er les motifs.							
	Falsification du permis d' réexportation délivré par l	*				ner	ıs		
6	Veuillez indiquer les moti d'autres pays.	ifs du rejet de do	ocument	s CI	TES	én	nana	ant	Cochez si applicable
	Mo	otif			Ou		N	lon	Pas d'information
	Violation technique				X	_			
	Suspicion de fraude				X	-			
	Base insuffisante pour éta non préjudiciable	blir l'avis de co	mmerce		X				
	Base insuffisante pour éta l'acquisition	blir la légalité d	e		X				
	Autre (veuillez préciser)								
7	Les quotas de prélèvemen				outil	s d	e		Oui X
	gestion dans la procédure	de délivrance de	es permis	s?					Non Pas d'information
	Commentaires: les quotas françaises, mais il faciliter (avis scientifiques plus ais	nt la délivrance						on	
8	Combien de fois l'autorité Environ 6500 fois par an	e scientifique a-t	-elle été	prié	e de	do	nne	er so	on opinion?

9	L'OG perçoit-il des droits pour la l'enregistrement ou d'autres activ		*	Cochez si applicable
	Délivrance de documents de la company d			
	Octroi de licences ou enregis			
	produisant des espèces CITE			
	<ul> <li>Prélèvement d'espèces CI'</li> </ul>	ΓES		
	<ul> <li>Utilisation d'espèces CITI</li> </ul>	ES		
	<ul> <li>Affectation de quotas à de</li> </ul>	s espè	ces CITES	
	<ul> <li>Importation d'espèces CIT</li> </ul>	ES		
	<ul> <li>Autre (veuillez préciser)</li> </ul>			
10	Si oui, veuillez en indiquer le mo	ntant.		
11	Les recettes des droits sont-elles			Cochez si applicable
	CITES ou pour la conservation de Entièrement	es esp	eces sauvages?	
	– Partiellement			
	<ul><li>Pas du tout</li></ul>			
	<ul><li>Non pertinent</li></ul>			
	Commentaires:			
12	Veuillez fournir des indications s	ur tou	te mesure supplémentaire prise	<b>e</b> :
D6	Renforcement des capacités			
	1			
1	Les activités suivantes ont-elles l'efficacité de l'application de la			Cochez si applicable
	Augmentation du budget des activités		Amélioration des réseaux nationaux	X
	Engagement de personnel	X	Achat d'équipements technic	uues nour la
	Engagement de personner		surveillance continue/la lutte fraude	
	Elaboration d'outils		Informatisation	X
	d'application			
	– Autres (veuillez préciser)			

2	Les autorités CITES ont-elles bénéficie capacités assurées par des sources exte		tivités	suiva	antes	de re	enforcement des
	Veuillez cocher les cases pour indiquer les groupes ciblés et les activités.  Groupes ciblés	Avis/orientation fourni goralement ou par écrit	Assistance technique	Assistance financière	Formation	Autre (à spécifier)	Quelles étaient les sources externes?
	Personnel de l'OG	X	X				Secrétariat CITES  Commission  européenne
	Personnel de l'AS						Construction of the constr
	Personnel d'autorités de lutte contre la fraude	X	X		X		ONCFS, Ministère en charge de l'environnement
	Négociants						
	ONG						
	Public Autres (veuillez préciser)						
3	Les autorités CITES ont-elles assuré le	s activi	tés de	renfo	rcem	ent d	es capacités suivantes?
	Veuillez cocher les cases pour indiquer les groupes ciblés et les activités.  Groupes ciblés	Avis/orientation fourni oralement ou par écrit	Assistance technique	Assistance financière	Formation	Autres (à spécifier)	Détails
	Personnel de l'OG	X	X		X		
	Personnel de l'AS	X			X		
	Personnel d'autorités de lutte contre la fraude	X	X		X		
	Négociants				X		
	ONG						
	Public  Autres portion/néurions						
	Autres parties/réunions internationales						
	Autres (veuillez préciser)		Ш	1/	<u> </u>		
4	Veuillez fournir des indications sur tou	ne mest	ire sup	opiem	entai	re pr	ise:

#### D7 Collaboration/initiatives concertées

1	Existe-t-il un co intersectoriel?	omité s	ur la CITE	ES interage	nces ou		Oui Non Pas d'info	rmation	X
2	Si oui, quelles s Autorité scienti étrangères, de l l'artisanat, repr central de lutte santé publique la faune sauvag ONG et à quelle fréqu 1 à 2 fois par ar	fique, agricuésentar contre - OCLA e - ON	ministères lture, de la its des serv les atteinte AESP, offi CFS) repr	en charge a culture, d vices de co es à l'envir ce nationa ésentants c s ont-elles	des affaire es douane entrôle (off connement l de la cha les profess	s, de fice et à la sse et de			
3	Si non, veuillez consultations po douanes, police De telles réunio	Si non, veuillez indiquer à quelle fréquence l'organe de gestion tient des réconsultations pour assurer la coordination entre les autorités CITES [autres douanes, police, autres)]:  De telles réunions ont lieu 2 fois par an, en plus du comité intersectoriel. L'régionaux reçoivent des fiches d'instructions tous les mois						OG, AS,	des
		Par jour	Par semaine	Par mois	Par an	Aucune	Pas d'information	Autre (spécifi	
	Réunions							2 fois/	'an
	Consultations							quotidie	nnes
4	Y a-t-il au nivea avec:	au natio	onal un eff	fort pour co	ollaborer	1	ochez si plicable	Détails disponi	-
	Les agences po	our le c	lévelopper	ment et le		-	X		
	Les autorités p	rovincia	ales, d'Eta	t ou territo	riales		X	OG régionau	IX
	Les autorités lo	cales o	u les colle	ctivités			X	Collective d'Outre-	
	Les populations	s indige	enes						
	Les association	s privé	es, comme	erciales ou	autres				
	Les ONG						X	WWF, TRAFFI	îC
	Autres (veuillez	z précis	ser)				X		
	Représentants d	les sect	eurs profe	essionnels o	concernés				

5	Existe-t-il des protocoles d'accord ou autres arrangements formels entre l'organe de gestion et les agences suivantes en vue d'une coopération institutionnelle touchant à la CITES? Cochez si applicable		
	Autorités scientifiques		X
	Douanes		
	Police		X
	Autres autorités aux frontières (veuillez préciser)		
	Autres agences gouvernementales		
	Organismes du secteur privé		
	ONG		
	Autres (veuillez préciser) OCLAESP / ONCFS		X
6	Des fonctionnaires ont-ils participé à des activités régionales touchant à la CITES?	Cochez si app	licable
	Ateliers		X
	Réunions		X
	Autres (veuillez préciser)		
7	Une action a-t-elle été menée pour encourager des non- Parties à adhérer à la Convention?	Oui Non	X
		Pas d'information	
8	Si oui, lesquels et comment? Andorre, qui a demandé l'assistance de l'organe de gestion régional Midi-Pyrénées pour le commerce de spécimens CITES avec la France		
9	Une assistance technique ou financière a-t-elle été fournie à	Oui	X
	un autre pays concernant la CITES?	Non	
		Pas d'information	
10	Si oui, quel type d'assistance a-t-elle été fournie et à quels pays? Assistance technique à Andorre		
11	Des données à inclure dans le Manuel d'identification CITES	Oui	
	ont-elles été fournies?	Non	X
		Pas d'information	
12	Si oui, veuillez en faire une brève description.	1	
13	Des mesures ont-elles été prises pour coordonner les activités	Oui	X
	des autorités CITES nationales et celles d'autres accords multilatéraux sur l'environnement (conventions liées à la	Non	Н
	biodiversité, etc.) et pour réduire les doubles-emplois?	Pas d'information	
14	Si oui, veuillez en faire une brève description.		
	Protocole d'accord entre la gendarmerie et l'ONCFS		
15	Veuillez fournir des indications sur toute mesure supplémentain	re prise:	

# D8 Domaines pour une activité future

1	Les activités suivantes sont-elles nécessaires pour amélion la CITES au niveau national et quel est leur rang de priori	cacité de l'appli	ication de		
	Activité à rang de priorité:	élevé	moyen	faible	
	Augmentation du budget des activités				
	Engagement de personnel	X			
	Elaboration d'outils d'application				
	Amélioration des réseaux nationaux	X			
	Achat d'équipements techniques pour la surveillance continue/ la lutte contre la fraude				
	Informatisation			X	
	Autres (veuillez préciser)				
2	Des difficultés ont-elles été rencontrées dans l'application		Oui	X	
	certaines résolutions ou décisions adoptées par la Confére	ence des	Non		
	Parties?		Pas d'informat	tion	
3	Si oui, lesquelles et quelle est la principale difficulté? Objets personnels - Exemption coraux fossiles				
4	Des contraintes au niveau de l'application de la Conventie	on	Oui		
	nécessitant une attention ou une assistance ont-elles surgi	dans	Non		
	votre pays?		Pas d'informat	tion	
5	Si oui, veuillez indiquer lesquelles et le type d'attention o d'assistance requise.	ou			
6	Des mesures, des procédures ou des mécanismes qui		Oui	X	
	bénéficieraient d'un examen et/ou d'une simplification or	nt-ils été			
	décelés dans la Convention?		Pas d'informat	tion 🗌	
7	Si oui, veuillez en faire une brève description.				
	Des dizaines de milliers de documents CITES sont délivre	és			
	chaque année par la France pour les petits objets de maroquinerie, alors que la matière première de ces article	s a dáià			
	été contrôlée efficacement en amont.	s a ucja			
	Enregistrement des élevages et pépinières produisant des				
	spécimens de l'annexe I (orchidées)				
8	Veuillez fournir des indications sur toute mesure supplém	nentaire p	orise:		

#### E. Commentaires généraux

Veuillez joindre tous autres commentaires, y compris au sujet de cette présentation.

Merci d'avoir rempli ce formulaire. Veuillez inclure toutes les annexes demandées dans ce document. Elles sont rappelées ci-après:

Partie	A fournir		
B4	Copie du texte complet de la législation CITES	Jointe	
	(déjà communiqué antérieurement)	Non disponible	
		Non applicable	X
C3	Détail des violations et des mesures administratives imposées	Joint	
		Non disponible	X
		Non applicable	
C5	Détail des spécimens saisis, confisqués ou mis sous séquestre	Joint	X
		Non disponible	
		Non applicable	
C7	Détail des violations et résultat des poursuites	Joint	
		Non disponible	X
		Non applicable	
С9	Détail des violations et résultat des actions en justice	Joint	
		Non disponible	X
		Non applicable	
D4(10)	Détails sur les brochures ou fascicules sur la CITES produits	Joint	X
	au plan national à des fins d'éducation ou de sensibilisation du	Non disponible	
	public	Non applicable	
	Commentaires :		

#### PARTIE COMMUNAUTAIRE

#### B. Legislative and regulatory measures

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:							
	Title and date:		/, I	<u>r</u>			Status	s: arrêtés
	• AM du 29/07/20	05	(modifi	iant tous	ministériels			
	arrêtés de protection							
	• AM du 14/10/200		-	,				
	• AM du 24/03/200	6 (c	oiseaux)	,				
	• AM du 24/07/20	,	,					
	arrêtés de protection	on c	les espè	èces)				
	Brief description of conte des interdictions de transp	port	et de co	mmerce de	spécimens de ces esp		tional; ce	es arrêtés excluent
	• les objets travaillés datant d'avant le 01/06/1947 et							
	• les spécimens nés et e			•	aifrance access fr/W/	ama d/D a ala	anah aCima	alaTaveta iam)
5b	Ils sont disponibles sur Lé Has your country adopted						<del>_</del>	
30	specifically for non CITE							
	sauvages représentées en					som protege	oes aa pia	in mationar (especes
	Tick all applicable categor							
		<u> </u>		The condit		The	complete	prohibition of:
	Issue		Yes	No	No information	Yes	No	No information
	Trade		X					
	Taking					X		
	Possession		X					
	Transport		X					
	Other (specify)							
	Additional comments				ux vivants d'espèces	non domest	iques peu	t, en fonction de
		1'€	_		e de spécimens :			
		•	être lib		1 (0)			
		•		-	ole agrément préfecto			
		•			établissements d'élev 1 public d'espèces no			
					ectorales requises	n domestiq	aes aispo.	suiit des
8b	Has there been any review	v of						
	relation to implementation	n of	the Reg	gulation?				
					··········	Yes	No	No information
	Introduction of live Regularity Community that would the					X		
	(in accordance with Artic							
	Marking specimens to fac			ification (in	accordance			
	with Article 19, paragraph		` //					
	Please provide details if a							
	Une réglementation concernant les espèces envahissantes est en cours d'élaboration							

<sup>&</sup>lt;sup>5</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

Please provide details of maximum penalties that may be imposed for Regulation-related violations, or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).

Les infractions peuvent être sanctionnées au titre du code des douanes et au titre du code de l'environnement.

Code des douanes: emprisonnement de trois ans maximum, confiscation de l'objet de fraude, confiscation des moyens de transport, confiscation des objets servant à masquer la fraude et amende comprise entre une et deux fois la valeur de l'objet de fraude

Code de l'environnement: maximum de 6 mois d'emprisonnement et de 9 000 € d'amende + saisie de l'objet de l'infraction ainsi que des instruments et véhicules ayant servi à commettre l'infraction. Les frais de transport, d'entretien et de garde des objets saisis sont supportés par le prévenu.

#### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No No information	□ <b>X</b>
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes No No information	X
	Comments:		
17 new	Have specimens been marked to establish whether they were born and bred in captivity or artificially propagated? (In accordance with Commission Regulation (EC) No 865/2006 Article 66),	Yes No No information	X
	Comments: microchip or closed ring		
18 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c))	Yes No No information	X
	Comments:  Les établissements hébergeant des animaux vivants sont sous contrôle des dir services vétérinaires (DDSV) qui les visitent régulièrement et sont consultées 4.1.c. Les agents DDSV suivent des formations CITES		
19 new	Have any monitoring activities been undertaken to ensure that live animals are transported in accordance with Article 9 paragraph 5?	Yes No No information	
	Comments:  C'est le ministère de l'agriculture qui est en charge de la réglementation relativivants et au bien-être animal	ive au transport d'anin	naux
20 new	Have any captive breeding facilities for Regulation-listed species been registered?  Enregistrement au niveau national uniquement	Yes No No information	X
	If yes, please provide details:  Tous les établissements d'élevage et les élevages amateurs d'animaux d'espèc disposer d'une autorisation préfectorale	ces non domestiques d	oivent

#### D. Administrative measures

#### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to	Yes	
	non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	No No information	<b>X</b> □

10		ission and the CIT	,	,			
new		of any investigation				No	
	necessary be in	nade? (In accordan	ce with Afficie 14	paragrapi	12),	Not relevan	nt X
11	If yes, what we	ere the outcomes?					
new							
- ~ •							
D2 Scie	ntific Authority (S	SA)					
8b		earch activities b		by the SA	$\frac{\overline{\Lambda(s)}}{\Lambda(s)}$ in	Yes	
	relation to no	n CITES listed sp	vecies?			No	
01	1077			0.1	1: 1 0	No informatio	n X
9b		give the species na	me and provide de	T	1	h involved.	
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1	Mammals	X				
	2	Reptiles	X				
	3	Aves	X				
	4	Mollusques	X				
	5	Corals	X				
	6	Flora	X				,
						No information	on 🗌
11 new	How many Sc	cientific Review (	Group meetings	have the	SA attended?	Number	8
D3	Enforcement Au	ıthorities					
6	Has a liaison o	fficer/focal point f	or CITES been no	minated w	vithin each relev	ant enforcement	authority in
new	your country?	riicei, iocai point i	or erres seen no	Allinated VI	Yes		additionly in
D4	Communication	, information man	nagement and exc	change			
1b	Is Regulation-re	elated information	in your country c	computeriz	ed on?	Tic	k if applicable
	– Annex J	D listed species		X			
	– Other m	natters not reported	d on in question D	04 (1) (ple	ase specify)		
3b	+	n electronic inform				Yes	X
30	Regulation-liste		ation system prov	riding into	imation on	No	
		_	No inform	ation $\Box$			
D5	Permitt	ing and registration	on procedures				
9b	Has the Manag	ement Authority c	harged fees for an	v Regulati	ion-related matte	ers Yes	П
, ,		question D5 (9)?		.,8		No	$\overline{\mathbf{X}}$
						No inform	nation
D8	Areas fo	or future work					
2b		try encountered any				Yes	
		negative opinions	adopted by the Eu	aropean Co	ommission? (In	No	_ X
	accordance with	n Article 4.0)				No inform	nation
4b	Have any const	traints to implemen	ntation of the Reg	ulation, no	ot reported under	Yes	
	question D8(4)	, arisen in your co	untry requiring att	tention or a	assistance?	No	X
						No inform	nation $\Box$

7 bis	Can you indicate the percentage of permits/certificates issued that are return to
new	the MA after endorsement by customs?
	Une partie des exemplaires verts ne sont pas retournés aux organes de gestion,
	mais il est difficile d'estimer le pourcentage

# **GERMANY**

# Convention on International Trade in Endangered Species of Wild Fauna and Flora

# Biennial Report of the Federal Republic of Germany

**PART 1 – CITES Questions** 

**Note:** Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, as approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004

#### A. General information

Party	Federal Republic of Germany
Period covered in this report: 1 January 2005 to 31 December 2006	
Details of agency preparing this report	Federal Agency for Nature Conservation Konstantinstraße 110 D – 53179 Bonn Germany
Contributing agencies, organizations or individuals	Federal Ministry for Environment,     Nature Conservation and Nuclear Safety     Robert-Schuman-Platz 3     D – 53175 Bonn     Germany
	The Federal States 'Länder'     of the Federal Republic of Germany

#### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been	Yes (fully)	X
	provided under the CITES National Legislation Project?	Yes (partly)	
	If yes, ignore questions 2, 3 and 4.	No	
		No information/unknown	
2	If you country has planned, drafted or enacted any CITES-relevant I following details:	egislation, please provide the	
	Title and date: 'Federal Ordinance on the Conservation of Species';	Status: revised on 25.2.2005	
	Brief description of contents:		
	European Union (EU) law: Since 1984, the European Union has been implemented the provision International Trade in Endangered Species of Wild Fauna and Flother The most recent of these are Council Regulation (EC) No 338/97 of Wild Fauna and Flora by Regulating Trade Therein (entry into force Regulation (EC) No 865/2006 laying down detailed rules concern Regulation (EC) No 338/97 (entry into force: 9th July 2006). The together to understand Community wildlife trade law. Regulation which A, B and C largely correspond to the first three appendices as some non-CITES listed species protected under EU internal legical according to the CITES appendices and were lastly amended by 1332/2005 implementing the decisions at CITES CoP 13 (entry In addition, there is Commission Regulation (EC) No 349/2003 sureuropean Community of certain wild fauna and flora, lastly amended 605/2006. The regulations are available through www under <a href="http://example.com/http://example.com/htm">http://example.com/htm</a> .	ora, through common regulated the Protection of the Special ce: 1st June 1997 and Commissing the implementation of Colese two regulations must be No 338/97 has four Annexed of the Convention but also constation. The annexes are revolved into force: 22nd August 20 into force: 22nd August 20 ispending the introduction into led by Commission Regulation	ions. les or ssion read read ntain vised () No 005). o the n No
	A revised version of the Federal Nature Conservation Act <i><bnatsch< i=""> 2002 (Federal Law Gazette I p. 1193) and has been in force sinconcerned with the protection of wild fauna and flora. The Federal grants powers to impose further more detailed rules which are laid of the Conservation of Species (<i><bartschv></bartschv></i>, Federal Law Gazette I effect from 25<sup>th</sup> February 2005. The national instruments of protect of the international and European rules on the conservation of species frelevance to species conservation are implemented. As far a Directive (removal, possession, keeping) is concerned, the Federal <i><bwildschv></bwildschv></i> (Federal Law Gazette I, 1985, p. 2040) contains progoverned by hunting law (Annex 1 to the Federal Game Conservation Specifically, the Federal Nature Conservation Act contains the follow</bnatsch<></i>	nce 4 <sup>th</sup> April 2002. Part Five al Nature Conservation Act a lown in the Federal Ordinance p. 258) which was revised vion ensure effective enforcemoies. Guidelines and conventions transposition of the EC Bill Game Conservation Ordinary visions in respect of the spectar Ordinance).	e is also on with ent ons irds
	<ul> <li>The placing under protection of species listed in Annex A Regulation on species conservation as specially protected law;</li> <li>The placing under protection of species listed in Annex IN 92/43/EEC and those European bird species protected 79/409/EEC;</li> <li>The placing under protection of indigenous species (A Ordinance on the Conservation of Species);</li> <li>General protection of all wild species (Art. 41 of the Federal prohibition of removal, access and disturbance; introduction)</li> <li>Prohibition of possession of specially protected species (A Federal Nature Conservation Act);</li> <li>National prohibition on the marketing of species not cover (Art. 42 para. 2 no. 2 of the Federal Nature Conservation Act</li> <li>Duty to furnish evidence of legal acquisition (Art. 49</li> </ul>	d species under national  / of the Habitat Directive under the Bird Directive  Annex 1 of the Federal  Nature Conservation Act: ); rt. 42 para. 2 no. 1 of the  ed by the EC Regulation t);	
	Conservation Act);		

- Information and access rights (Art. 50 of the Federal Nature Conservation Act);
- Prohibition on ownership (Art. 7 of the Federal Ordinance on the Conservation of Species <BArtSchV>, Art. 3 of the Federal Game Conservation Ordinance <BWildSchV>), and animal holding permits under Länder legislation;
- Book-keeping and notification obligations (Art. 6 and Art. 7 para. 2 of the Federal Ordinance on the Conservation of Species);
- Marking obligations (Art. 52 para. 7 no. 2 of the Federal Nature Conservation Act, Art. 12 ff. of the Federal Ordinance on the Conservation of Species).

On 25<sup>th</sup> February 2005 Germany's new Federal Ordinance on Species Conservation came into force. The most important revised new regulations relate but are not limited to following issues:

- Provisions to regulate and restrict the breeding, keeping and training in the wild of hybrids of birds of prey;
- Revision of the provisions on marking of wild animals, e. g. by extending the marking regulations to further bird species and by explicitly naming the organisations which are authorized by the federal government to provide the respective marks, labels or transponders. In addition, owned to welfare aspects keepers of animals especially reptiles have the right to choose between marking by transponder and identification by photo documentation.
- For alien invasive species new regulations were established which prohibit for certain species (§ 3) the keeping, transfer and sale of live animals of such species.

In order to support the work of German customs and management authorities of the German *<Länder>*, a revised list of appointed expert bodies and individuals was published on 20<sup>th</sup> January 2006 (Federal Gazette No 53 of 16<sup>th</sup> March 2006). The task of these independent experts is the identification of animals and plants.

The Ordinance on Fees was amended (Federal Law Gazette I, p. 2981; (entry into force: 20<sup>th</sup> July 2006), following several amendments of Community wildlife trade law. The ordinance which provides for the levying of fees for permits and certificates issued by the Federal Agency for Nature Conservation for CITES protested specimens now provides for fees for the registration of caviar packaging enterprises and CITES certificates issued either for travelling exhibitions or sample collections accompanied by Carnet ATA and personal ownership certificates (see D5-10 and attachment 8).

3	Is enacted legislation available the Convention?	lable in one	e of the wo	orking languages o					
	the Convention?				No	No			
						formatior	า		
4	If yes, please attach a copy of the full legislative text or key				legisla	legislation attached			
	legislative provisions that	were gazet	ted.		provid	led previ	ously	X	
	see Attachment 1				not av	ailable. v	will send	· П	
	(revised 'Federal Ordinan	ce on the C	on the Conservation of Species')				available, will send r		
5	measures that your count	sues are addressed by any stricter domestic Tick all applicate htry has adopted for CITES-listed species cle XIV of the Convention)?							
		Т	he condit	ions for:	The c	complete	prohibition of	f:	
	Issue	Yes	No	No information	Yes	No	No informa	tion	
	Trade	⊠1)							
	Taking	$\times$							
	Possession	$\boxtimes$							
	Transport	⊠1)							
	Other (specify)	$\boxtimes$							
	Additional comments  1) EU regulation (EC) No. conditions for intracommu							cies;	

6	What were the results of any review CITES legislation, with regard to the	Tick all applicable			
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	X			
	Clarity of legal obligations	X			
	Control over CITES trade	X			
	Consistency with existing policy on wildlife management and use	×			
	Coverage of law for all types of offences	×			
	Coverage of law for all types of $\square$ penalties				
	Implementing regulations	×			
	Coherence within legislation	X			
	Other (please specify):				
	Please provide details if available:				<u> </u>
7	next reporting period?  No No infor				_
8	Please provide details if available:  Has there been any review of legisla	tion on the follow	vina subjects in	Tick al	I applicable
	relation to implementation of the Cor		g cas,coto		арричания
	Subject		Yes	No	No information
	Access to or ownership of natural re	sources	X		
	Harvesting			X	
	Transporting of live specimens			X	
	Handling and housing of live specimens			×	
	Please provide details if available:				
	Revision of the Federal Ordinance o		ervation		
	(only in force since 25 <sup>th</sup> February 20				
9	Please provide details of any additio	nai measures tal	ken:		

# C. Compliance and enforcement measures

		Yes	No	N inform	
1	Have any of the following compliance monitoring operations been	undertal	ken?		
	Review of reports and other information provided by traders and producers:	X			
	Inspections of traders, producers, markets	×		se Attachn	
	Border controls	X			
	Other (specify) (regular checks of trade via internet)	$\boxtimes$			
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	X			]
3	If Yes, please indicate how many and for what types of violations?	?			
	If available, please attach details as Annex. see Attachment 3				
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	X			
5	If information available: Number				
	☐ Signifiant seizures/confiscations				
	☐ Total seizures/confiscations		see Attac	chment 4	
	If possible, please specify per group of species or attach details on annex.				
6	Have there been any criminal prosecutions of significant CITES-related violations?	X			
7	If Yes, how many and for what types of violations?				
	If available, please attach details as Annex. see Attachment 5				
8	Have there been any other court actions of CITES-related violations?	X			
9	If Yes, what were the violations involved and what were the results?				
	Please attach details as Annex. see Attachment 6				
10	How were the confiscated specimens generally disposed of?			Tick if app	licable
	Return to country of export				X
	Public zoos or botanical gardens				$\times$
	Designated rescue centres				$\times$
	Approved, private facilities				$\times$
	- Euthanasia				
	- Other (specify)				
	Comments:				
11	Has your country provided to the Secretariat detailed information significant cases of illegal trade (e.g. through an ECOMESSAGE		Yes		$\boxtimes$
	other means), or information on convicted illegal traders and pers		No Not anni	iaabl-	
	offenders?		Not appl		
	Comments, FCOMESSACE only used by a slice to inferred to the	.I	No infor	nation	
	Comments: ECOMESSAGE only used by police to inform Interpo	)I			

12	Has your country been involved in cooperative enforcement activities with other countries	Yes No	$\boxtimes$			
	(e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	No information				
13	If Yes, please give a brief description:					
	Cooperation with institutions					
	During the reporting period partially significant preparatory input and coope CITES Enforcement Working Group of the European Union, the Interpolation, and the WCO Working Group on CITES issues.					
	Bilateral cooperation					
	During the reporting period numerous exchanges of intelligence with different countries occurred such as with:					
	- Belgium, Luxemburg and Poland on several cases on illegal shipments of caviar;					
	<ul> <li>Belgium, Netherlands, France, United Kingdom, the Czech Republic, Slovenia and Croatia on several cases on illegal trade in live reptiles, in particular tortoises;</li> </ul>					
	- Brazil and the Czech Republic on illegal transaction of parrots;					
	- South Africa, Cameroon and the USA on illegal offers of parrots;					
	- South Africa on illegal offer of reptiles, in particular tortoises.					
14	Has your country offered any incentives to local communities to assist in	Yes				
	the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	No				
		No information	X			
15	If Yes, please describe:					
16	Has there been any review or assessment of CITES-related	Yes	$\times$			
	enforcement?	No				
		Not applicable				
		No information				
	Comments:					
	Regular review by MA and Customs Investigations Agency					
17	Please provide details of any additional measures taken:					

#### D. Administrative measures

# D1 Management Authority (MA)

Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	□ ⊠
If Yes, please use the opportunity to provide those changes here.		
If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	
Directory. The lead CITES Management Authority as identified in the CITES Directory is th Federal Ministry for Environment, Nature Conservation and Nuclear Safety Robert-Schumann-Platz 3 D – 53175 Bonn Germany		
·		
Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes No No information	
What are the skills/expertise of staff within the MA(s)?	Tick if app	olicable
- Administration	,	X
- Biology		X
- Economics/trade		
- Law/policy		X
Other (specify) Veterinary		×
- No information		
Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	
If Yes, please give the species name and provide details of the kind of re	esearch involved.	
tropical timber listings  Germany's CITES Management Authority convened a works customs and trainers for regional CITES Management Authorities the technical college for timber in Bad Wildungen on the implei	hop for trainers of from 1 <sup>st</sup> – 2 <sup>nd</sup> March mentation of a new c	German <b>2006</b> at omputer
	information for the MA(s) in your country which are not yet reflected in the CITES Directory?  If Yes, please use the opportunity to provide those changes here.  If there is more than one MA in your country, has a lead MA been designated?  If Yes, please name that MA and indicate whether it is identified as the lead Directory.  The lead CITES Management Authority as identified in the CITES Directory is the Federal Ministry for Environment, Nature Conservation and Nuclear Safety Robert-Schumann-Platz 3 D – 53175 Bonn Germany How many staff works in each MA?  Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation  What are the skills/expertise of staff within the MA(s)?  Administration  Biology Economics/trade Law/policy Other (specify) Veterinary No information  Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?  If Yes, please give the species name and provide details of the kind of respecies identification and regional CITES Management Author tropical timber listings  Germany's CITES Management Authority convened a works customs and trainers for regional CITES Management Author tropical timber listings  Germany's CITES Management Authority convened a works customs and trainers for regional CITES Management Authorities the technical college for timber in Bad Wildungen on the implemance of the convenience of the con	information for the MA(s) in your country which are not yet reflected in the CITES Directory?  If Yes, please use the opportunity to provide those changes here.  If there is more than one MA in your country, has a lead MA been designated?  If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.  The lead CITES Management Authority as identified in the CITES Directory is the Federal Ministry for Environment, Nature Conservation and Nuclear Safety Robert-Schumann-Platz 3 D – 53175 Bonn Germany How many staff works in each MA?  Can you estimate the percentage of time they spend on CITES related matters?  No No information  What are the skills/expertise of staff within the MA(s)?  Tick if app — Administration  Biology Economics/trade Law/policy Other (specify) Veterinary No information  Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?  No information  If Yes, please give the species name and provide details of the kind of research involved.  Please provide details of any additional measures taken:  Workshop for customs and regional CITES Management Authorities on implementation for a new of based identification to of a new of based identification to of a new of based identification to of a new of based identification to of rtropical timber species called "CITES woodlo".

#### D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?  Yes No No information					on 🗌			
2	If Yes, please use the opportunity to provide those changes here.								
3	the Manageme	Has your country designated a Scientific Authority independent from the Management Authority?					on 🗆		
4		ucture of the SA	(s) in your cour	ntry?		Tic	k if applicable		
	- Governmer						$oxed{oxed}$		
	<ul><li>Academic of a Permanent</li></ul>	or research instit	ution				片		
		viduals with cert	ain expertise				Ħ		
	<ul> <li>Other (spec</li> </ul>		·						
5	How many staff work in each SA on CITES issues?  There are totally 8 persons in both Scientific Authorities involved and working on CITES issues.								
6	Can you estimate the percentage of time they spend on CITI matters?					Yes	$\boxtimes$		
	If yes, please of	rive estimation				No No informatio			
	ii yes, piease g	give estimation				No information	on 🗀		
		ge of time the 8 S issues would c							
7	What are the s	kills/expertise of	staff within the	SA(s)?		Tic	k if applicable		
	<ul><li>Botany</li></ul>						X		
	<ul><li>Ecology</li></ul>						$\boxtimes$		
	<ul><li>Fisheries</li></ul>								
	<ul><li>Forestry</li></ul>						$\boxtimes$		
	<ul><li>Welfare</li></ul>								
	<ul><li>Zoology</li></ul>						$\boxtimes$		
	<ul> <li>Other (spec</li> </ul>	cify) Geography					$\boxtimes$		
	<ul> <li>No informa</li> </ul>	tion							
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?					Yes No No informatio			
9	If Voc. places	give the species	name and prov	ido dotaile i	of the kind of re				
9	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)		
	1						(ороспу)		
	2								
	3								
	etc.								
		ive the species	name and pro	vide details	of the kind of	No informati	on $\square$		
	research involve	ed.	•				_		
	Development of a computer based identification tool for tropical timber species								
	In 2005 Germany's 'Scientific Authority for Botany' had commissioned a research study on the								
	identification of CITES protected timber species. This study resulted in the development of a								
	CD-ROM both in English and in German which was presented to Parties at the 16 <sup>th</sup> meeting of the Plants Committee in Lima, Peru. The CD-ROM called "CITES wood D" provides								
	identification keys for 8 trade relevant CITES timber species (e. g. Mahogany, Ramin,								
	Afrormosia) and further information for additional 40 non-CITES timber species which can readily be mistaken for any CITES protected timber. The software programme includes a								
		be mistaken to se with digitalis							

	interactive identification key. This CD-Rom is an effective enadministrative and enforcement authorities allowing them to requisually or with a tenfold magnifying glass those CITES listed regularly in international trade.	adily identify or diffe I timber species wh	erentiate nich are				
	Trade in and Conservation of two Shark Species, Porbeagle ( <i>Lamna</i> ( <i>Squalus acanthias</i> )	nasus) and Spiny I	Jogtish				
	Already in 2003-2004 Germany's Scientific Authority for Zoology had collected information the trade and conservation of the Porbeagle and the Spiny Dogfish in preparation for a CIT listing proposal for the 13 <sup>th</sup> Conference of the Parties. During the reporting period 2005/2 the Scientific Authority comprehensively revised and updated the collected information. Ba on this updated data proposals for inclusion of the two shark species in CITES Append were drafted and after an extensive review based on information provided by many CIT parties submitted in January 2007 to the CITES Secretariat for discussion and adoption at 14 <sup>th</sup> Conference of the Parties to CITES.  Development of a Taxonomic Checklist of Turtles and Tortoises of the World  In 2006 Germany's Scientific Authority for Fauna had commissioned a study to develop a recommissioned and the conference of the conference of the CITES and Tortoises of the World						
	CITES taxonomic reference list for turtles and tortoises of the world which after approve CITES Nomenclature Committee is supposed to be forwarded to the 15 <sup>th</sup> Conferent Parties for final approval to become the new reference for species names of taxa list CITES appendices.						
10	Have any project proposals for scientific research been submitted to the	Yes					
	Secretariat under Resolution Conf. 12.2?	No	$\times$				
		No information					
11	Please provide details of any additional measures taken:						

#### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any	Yes	X
	enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	No	
	The second secon	No information	
2	If No, please designate them here (with address, phone, fax and email	il).	
3	Has your country established a specialized unit responsible for	Yes	X
	CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	No	
		Under consideration	
		No information	
4	If Yes, please state which is the lead agency for enforcement:		
	Federal Agency for Nature Conservation Division Z 3.3 "Legal Affairs and Enforcement" Konstantinstrasse 110 D – 53179 Bonn Germany		
5	Please provide details of any additional measures taken:		

# D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?  Tick if applica									
	Monitoring and reporting of data on legal trade									
	Monitoring and re	Σ	X							
	- Permit issuance						Σ	X		
	<ul><li>Not at all</li></ul>									
	<ul><li>Other (specify)</li></ul>									
2	Do the following autl	norities l	nave acces	s to the In	ternet?		Tick if applicable	le		
	Yes, continuous and unrestricted access Yes, but only through a dial-up connection a different office Some offices only Not at all					Please provide details where appropriate				
	Management Authority	X								
	Scientific Authority	X								
	Enforcement Authority	×								
3	Do you have an elec CITES species?	No No information	XI 							
4	If Yes, does it provid	e inform	nation on:				Tick if applicab	le		
	Legislation (national, regional or international)?							X		
	<ul> <li>Conservation sta</li> </ul>	tus (nati	onal, regio	nal, interna	ational)	?	Σ	X		
	Other (please spe	ecify)? I	mport restr	rictions, sta	tistics	etc.	Σ	X		

			·		<del></del>
5	Is it available through the Internet:		Ye	S	$\times$
			No	1	
			No	t applicable	
			No	information	
	Please provide URL:				
	·				
	www.bfn.de				
	General information on CITES and relevant reg specific provisions for tourist souvenirs and hur		ncluding inforr	nation on perm	nits and
	www.bmu.de				
	General and up-to-date political information or agreements.	n CITES and other	relevant mult	ilateral enviror	nmenta
	www.wisia.de				
	The internet data bank WISIA-online provides i only for professional conservationists but also exotic souvenir, which often has been manufact WISIA-online besides information on the prot relevant date since when a respective species	for the general pul ctured by means of tection status man	blic. Whoever f protected spe y further usefu	intends to acq ecies can find t ul hints, includ	luire an through
	www.artenschutz-online.de				
	An internet based information forum which s protected species in the relevant tourist regions		ted for tourists	s with informa	tion on
	www.cites-online.de				
	Online platform for internet based applications for	or electronically pro	cessed CITES	S permits.	
	www.zeet.de	, , , , , , , , , , , , , , , , , , , ,			
	Information on all decisions taken by the Euro domestic measures regarding international trace			ns and other s	tricter
6	Do the following authorities have access to the	following publication	ns?	Tick if applic	able
	Publication	Management Authority	Scientific Authority	Enforcem Authorit	
	2005 Checklist of CITES Species (book)	X	×	×	
	2005 Checklist of CITES Species and Annotated Appendices (CD-ROM)	X	X	X	
	Identification Manual	X	×	×	
	CITES Handbook	X	X		
7	If not, what problems have been encountered to		_	ation?	
<b>'</b>	in not, what problems have been encountered to	o access to the me		auon:	
8	Have enforcement authorities reported to the M	Management Author	ity on:	Tick if appl	icable
	Mortality in transport?	.a.iagee.i.	,		×
	Seizures and confiscations?				
					$\boxtimes$
	<ul><li>Discrepancy in number of items in permit ar traded?</li></ul>	nd number of items	actually		$\boxtimes$
	Comments:				
9	Is there a government website with information	on CITES and its	Yes		X
	requirements?		No		
			No i	nformation	
	If Yes, please give the URL: www.bfn.de				

10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable
	Press releases/conferences	X
	Newspaper articles, radio/television appearances	X
	- Brochures, leaflets	$\boxtimes$
	<ul><li>Presentations</li></ul>	X
	- Displays	$\boxtimes$
	<ul> <li>Information at border crossing points</li> </ul>	$\boxtimes$
	Telephone hotline	
	- Other (specify)	X

- On 11<sup>th</sup> March 2005 and in the course of the International Tourism Trade Fair in Berlin ('ITB') Germany's CITES Management Authority, the President of the Federal Agency for Nature Conservation, Prof. Vogtmann and the German Customs Administration presented the new internet based information platform <a href="https://www.artenschutz-online.de">www.artenschutz-online.de</a> to the public and the media. Based on the kind and size of confiscations in the scope of infractions against CITES provisions at German border posts the new internet platform provides now special information for tourists only together with information on protected species in relevant tourist regions of the world.
- In order to raise public awareness on the conservation of CITES protected sturgeons one of the most serious CITES infractions in Germany concerning the illegal trade in caviar resulted in a press release by the German customs criminal investigation agency on 17<sup>th</sup> March 2005. In this case old copies of CITES documents were used to legalize about 1,4 tons of caviar resulted also in several raids where hundreds of falsified labels which were intended to be used as proof of legality to seal caviar containers were detected.
- On 14<sup>th</sup> July 2005 at the beginning of the high season of summer vacations the Federal Agency for Nature Conservation together with German customs and 'Fraport' opened in Terminal 2 at Frankfurt-Rhein-Main-Airport a new CITES exposition together with displays of confiscated CITES specimens. The exhibition which was hosted for free until 11<sup>th</sup> September 2005 through the support by FRAPORT was opened in the presence of various media representatives and resulted in several press releases on CITES for raising awareness among tourists about the objectives of this important Convention.
- Germany's Minister for Environment, Nature Conservation and Nuclear Safety initiated in the mid of the 2005 holiday season on 15<sup>th</sup> August 2005 a media campaign for raising awareness about CITES under the motto 'Nature is calling'. During the campaign a free download from the website of the ministry of the voices of different CITES protected flagship species such as wolf, elephant or certain parrot species fo the use in mobile phones. Minister Gabriel pointed out that the current rate of loss of biodiversity is in the global context the second most important ecological challenge of vital significance for human beings next to climate change.
- During a media campaign called 'week of wildlife conservation' which was launched by the Federal Agency for Nature Conservation in August 2005 the principle of sustainable consumptive use as an important management strategy in wildlife conservation was reiterated and that this principle has not only been embedded in many multilateral environmental agreements such as CITES but also been re-confirmed by head of states during the world summit on sustainable development in Johannesburg in 2002.
- An intense co-operation between the Federal Agency for Nature Conservation (FANC) and the internet auction platform provider 'ebay'-Germany resulted in a review of 'ebay's' auction policy to allow the sale of ivory from CITES protected species only if by sellers compliance with national CITES regulations can be proven. This successful co-operation triggered a common press-release between the FANC and the Ministry of Environment on 29<sup>th</sup> May 2006.
- In connection with the jubilee celebrations on 20<sup>th</sup> June 2006 to mark the 30<sup>th</sup> anniversary of the entry into force of CITES in Germany, the Ministry for Environment, Nature Conservation and Nuclear Safety held a press conference in Berlin on 19<sup>th</sup> June 2006. There was considerable media response to this press campaign which was accompanied by two other public events, i.e. the inauguration of the CITES exhibition prepared by the Federal Agency of Nature Conservation on 12<sup>th</sup> September and 12<sup>th</sup> October 2006 in Darmstadt and Frankfurt respectively, and each organized by the regional CITES Management Authority of the 'Regierungspräsidium' in Darmstadt.
- Because of recently increasing confiscations the Federal Agency for Nature Conservation called

	upon the public by means of a press release on 20 <sup>th</sup> September 2006 to refrain from buying <i>Hoodia</i> products via the world wide web. <i>Hoodia</i> products are becoming increasingly fashionable in western societies as natural slimming medicament as the products have been derived from an only recently CITES protected succulent medicinal plant originating in Southern Africa. Medicaments are widely offered worldwide through the internet and shipped in many instances without the necessary CITES documentation.
	Please attach copies of any items as Annex.
11	Please provide details of any additional measures taken:

# D5 Permitting and registration procedures

1	Have any changes in permit for officials empowered to sign C previously to the Secretariat?	Yes No Not applicable No information						
	If no, please provide details of	NO IIIIOIIIIalioii						
	Changes in permit format:							
	Changes in designation or	r signatures of rele	evant offic	ials:				
	Within the reporting period 3 of CITES documents for German were authorized to sign documents authorization was therefore willist. All changes had been not respective new signatures were	any were made. iments. Two office ithdrawn and their otified immediately	Three neers left the names were ward original transfer in the contract of the	ew executive e authority vere deleted ginal sample	e officers and their from the			
2	To date, has your country dev following?	eloped written per	rmit proce	dures for ar	ny of the	Tick if applicable		
				Yes	No	No information	วท	
	Permit issuance/acceptance			×				
	Registration of traders			$\boxtimes$				
	Registration of producers			X				
3	Please indicate how many Cl (Note that actual trade is norn issued documents).					•	to	
	2005 Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments	i	
	How many documents were issued?	6.308	1.189	5.966	995	see Attachment	7	
	How many applications were denied because of severe omissions or missinformation?					no information		
	2006 Year 2 How many documents were issued?	6.320	1.032	6.780	976	see Attachment	7	
	How many applications were denied because of severe omissions or missinformation?					no information		
4	Were any CITES documents because of severe omissions			elled and rep	olaced	Yes No No information		
5	If Yes, please give the reason	s for this.						

6	6 Please give the reasons for rejection of CITES documents from other Tick in countries.						
	Reason	Yes	No	No informa	ation		
	Technical violations	×					
	Suspected fraud	$\boxtimes$					
	Insufficient basis for finding of non-detriment	×					
	Insufficient basis for finding of legal acquisition	<u> </u>					
	Other (specify)						
7	Are harvest and/or export quotas as a management tool in the issuance of permits?	e proced	ure for	Yes No No information			
	Comments:						
	Germany has had no exports of wild taken specimen. F Germany has a special responsibility in thoroughly checking these refer to export quotas.						
8	How many times has the Scientific Authority been requested	to provid	e opinio	ns?			
	Germany is mainly an importing country. Exports took only either bred in captivity or artificially propagated. In such cases to provide advice whether the conditions for artificial propagamet. Referring to imports of CITES Appendix II species impossive the EU regulations. To optimise and harmonize the approximate Group (SRG) of the EU discusses regularly special scientific statements which either ends in a positive opinion opinion which leads to the restriction of respective impositions taken on this level has increased considerably already about 50 to 60 % of all import applications. The German Scientific Authority for evaluation of the scientific as	s the Scienation or the street of the street	entific Au preeding s are ne procedur cases a mports e 1997 these	uthorities are red in captivity have ecessary in accordance the CITES So and concludes of comes to a nathen number of decisions accordance.	quested ye been ordance cientific in basic egative f single bunt for		
9	Has the MA charged fees for permit issuance, registration or activities?	related C	ITES	Tick if ap	oplicable		
	<ul> <li>Issuance of CITES documents:</li> </ul>				$\times$		
	<ul> <li>Licensing or registration of operations that produce CITE</li> </ul>	S species	3:		$\boxtimes$		
	Harvesting of CITES-listed species :						
	<ul><li>Use of CITES-listed species:</li></ul>				$\times$		
	<ul> <li>Assignment of quotas for CITES-listed species:</li> </ul>						
	<ul> <li>Importing of CITES-listed species:</li> </ul>						
	- Other (specify):				$\boxtimes$		
10	If Yes, please provide the amounts of such fees.			See Attachment 8			
11	Have revenues from fees been used for the implementation of	of			pplicable		
	CITES or wildlife conservation?				_		
	- Entirely:						
	- Partly:						
	- Not at all:				□ ⊠		
	- Not relevant:				兦		
	Comments: centralized budget; annual budget of CITES authorities is fix than one year in advance based on applications as of demands						
12	Please provide details of any additional measures taken:						

## D6 Capacity building

1	Have any of the following activities been effectiveness of CITES implementation a	Tick if applica	able							
	Increased budget for activities		Impro netwo	vement rks	of nat	ional		×		
	Hiring of more staff			Purchase of technical equipment for monitoring/enforcement						
	Development of implementation tools	X	Comp		$\boxtimes$					
	- Other (specify)									
	National CITES Identification Mannual									
	and Nuclear Safety has since 1985 been publishing a national handbook for identification of CITES protected species. The Federal Agency for Nature Conservation in its capacity as Germany's Scientific Authority is the editor of the National CITES Identification Manual which is published in German and comprises currently 5 volumes in total. During the reporting period sheets for a total of 10 mammal species, 174 birds, 1 reptilian and 2 amphibian species were published. Furthermore 4 shark data sheets and in total 19 sheets for the identification of ivory were produced.									
2	Have the CITES authorities in your coun building activities provided by external so		the <i>rec</i>	cipient of	any o	of the	following capacity			
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the exter sources?	nal		
	Staff of Management Authority									
	Staff of Scientific Authority									
	Staff of enforcement authorities									
	Traders									
	NGOs									
	Public									
	Other (specify)									

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?								
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details		
	Staff of Management Authority	×			X				
	Staff of Scientific Authority	X			X				
	Staff of enforcement authorities	×			×				
	Traders	×			×				
	NGOs	×							
	Public	×							
	Other parties/International meetings	×		×	X	X	Review of wildlife trade legislation		
	Other (specify)								

- 4 Please provide details of any additional measures taken:
  - 1. From 2<sup>nd</sup> 5<sup>th</sup> March 2006 and in close collaboration with WWF-Germany the ,Foundation for Nature Conservation and Environment (,Sächsischen Landesstiftung für Natur und Umwelt') of the ,Bundesland' Saxony had organized an international workshop on the use of so-called sniffer-dogs' which can help in detecting illegal shipments of live CITES protected animals. During the workshop which was held in Bad Schandau close to the border of the Czech Republic and which was attended by representatives from the CITES Secretariat and 13 parties such as Australia, Italy, Belgium, Austria, Germany, Hungary, Netherlands, UK, Switzerland, Poland, the Czech Republic, Slovakia and the US. Practical demonstrations were given how trained dogs could efficiently detect not only living CITES protected specimens but also parts and derivatives derived from CITES species. The German customs announced at the meeting that from 2007 onwards a pilot study on the education and use of sniffer dogs to detect illegal shipments of CITES species will be initiated at specifically selected border posts. Furthermore the workshop proofed to be useful in promoting a wider use among CITES enforcement officials of sniffer dogs and an intensified cooperation on this special enforcement field.
  - 2. Organized by the Bavarian Academy for Nature Conservation and Landscape Management (ANL) the Federal Agency for Nature Conservation held a two day CITES upgrading course (15<sup>th</sup> 16<sup>th</sup> March 2006) on the latest developments in EU CITES legislation. Based on practical case studies the seminar was primarily intended to consolidate at the 'Länder' (i.e. regional) CITES Authorities level the main recent changes in the EU CITES Implementation Regulation (EC) and to provide incentives for an enhanced uniform implementation of the Convention at the national level.
  - 3. In collaboration with TRAFFIC SE-Asia, the Wildlife Conservation Society and the CITES Authorities of Vietnam and Cambodia the German CITES Management Authority conducted two CITES training seminars one in Phnom Penh from 16<sup>th</sup> -17<sup>th</sup> March 2006 and one in Hanoi from 20<sup>th</sup> 24<sup>th</sup> March 2006. The basic concept of both meetings was to train future national trainers in such areas as CITES principles and CITES mechanisms to regulate the trade in endangered species, the role and the responsibilities of customs officers in the implementation of the Convention and implementation of CITES on the national level.
  - 4. A representative of the German Management Authority participated as a lecturer at a CITES Training Seminar held in Kotor, Serbia-Montenegro from 10<sup>th</sup> 12<sup>th</sup> May 2006. The main objective of the meeting was to train government officials from customs, police as well as the CITES Management and Scientific Authorities of the State Union of Serbia and Montenegro and the Federal Republic of Macedonia on the implementation and enforcement of both the regulations implementing CITES in the European Community as well as the Convention itself.
  - 5. The Federal Ministry of Environment of Germany contributed EUR 30,000 to the first governmental meeting of the GRASP-Initiative (Great Apes Survival Project) organized by UNEP in September 2005 in Kinshasa (Democratic Republic of the Congo).
  - 6. Germany's CITES Authorities of the Federal Agency for Nature Conservation facilitated in June 2006 in close collaboration with the IUCN/SSC Crocodile Specialist Group the production and publication of a new identification guide for crocodilian skins (Fuchs, K.: 'The Crocodile Skin Important Characteristics in Identifying Crocodilian Species; Edit. Chimaira, Frankfurt/M.).
  - 7. Since 2004 Germany's CITES Management Authority of the Federal Agency for Nature Conservation (FANC) produces an electronic CITES Newsletter ('Artenschutz-Info des BfN') which provides information on recent developments in legislation, on infraction cases, on court cases or any other issue of relevance to CITES implementation bodies within Germany. The newsletter is sent by e-mail to all regional CITES enforcement and implementation authorities of the 'Länder', to customs and criminal investigation agencies and to the CITES Scientific Authorities. During the reporting period two newsletters were issued in 2005 and four in total in 2006.

- 8. From 14<sup>th</sup> 17<sup>th</sup> November 2006 the German Ministry of Environment, Nature Conservation and Nuclear Safety together with the European Commission and the Federal Agency for Nature Conservation (BfN) had invited Member States of the Community to the Nature Conservation Academy of the FANC on the Island of Vilm to a workshop on experiences, challenges and perspectives of the EC Wildlife Trade Legislation. The meeting which both hosts had sponsored with €30.000,- had provided ample opportunities among participants to share experiences on the implementation of the EU CITES regulations, to identify assets and shortcomings of the current legislation, outline ways of addressing these and bring these into a wider context of objectives to be achieved taking into account costs and benefits. During the workshop different options to address current shortcomings in the EU Wildlife Legislation were discussed and assessed also with regard to whether their extend has reached now such a level that could merit a basic revision of the current EC Council Regulation on Wildlife Trade or whether there is scope of changing the ways the provisions of the Regulations are interpreted and implemented.
- 9. Germany's Scientific and Management Authorities are using a scientific information system for international species conservation, 'WISIA (www.wisia.de), which has been developed by the Scientific Authority of the Federal Agency for Nature Conservation (FANC) as an important reference system for dealing with scientific and management related aspects of species conservation. The Oracle based system which is accessible both in German and in English contains comprehensive information such as on taxonomy, protection status and distribution of protected plant and animal species. Currently the internet database contains 30.000 scientific names, about 50.000 synonyms and 5.000 vernacular names of protected animal and plant species. Furthermore the system provides searchable data sets on the conservation status and the distribution of its taxa. About 400 users per day, mostly from customs and regional CITES authorities, Ministries, Nature Conservation Organisations, traders or private individuals, contact free of costs WISIA-online. Any user can either choose between a synoptic overview or an individual species specific information set. Since 2006 WISIA-online can also be searched about only in Germany distributed and strictly protected species but also on their legal conservation history. The legal relevance of the online information is assured both through continuous up-dates by Germany's Scientific and Management Authorities.
- 10. During the reporting period (April and September 2005) both Germany's Scientific and Management Authorities contributed actively as lecturers within the scope of an EU-Commission funded Twinnig-project with Turkey. This project contained a specific CITES implementation component to several CITES training seminars for national customs officers, enforcement officials, national NGO's and representatives from the CITES Management and Scientific Authority.

## D7 Collaboration/co-operative initiatives

1	Is there an inter-a	agency	or inter-se	ctoral con	nmittee on (	CITES?		Yes	X	
								No		
								No information		
2	If Yes, which age	ncies a	re represe	nted and	how often d	oes it m	eet?			
	Species Conser		-			-			at the	
A new advisory committee on "Conservation of Specific Federal Agency for Nature Conservation in May 1999. March 1999, Federal Gazette No. 67). Its task is Conservation on the implementation of species conservation on the implementation of species conservation legislation relating total of 14 representatives drawn from the scientific of and representatives from the industry, trade or conservation at least once a year. Both its day-to-day business Federal Agency for Nature Conservation. In 2003 the term of office which is for four years. During the Conservation Advisory Committee met three times respective time period was laid on the preparation of Standing Committee, meetings of the CITES Animals CITES implementation legislation and the 14th Conference in June 2007 in Den Haag, Netherlands.				May 1995 is task is the cies conservation relating scientific code or consular business in 2003 the During the circe times. Exparation for ES Animals	(Federation adviservation agen	al Gazette Note the Federal provisions, and Nuclear orts and exposy, nature consociations. Towerking groutee was consing period 20 ajor focus of and 54th rants Committee.	o. 94, amended of all Agency for Nand also to advise Safety <i><bmu></bmu></i> of the servation organisate advisory compose are managed lestituted again for 005/2006 the Spafe his work during meetings of the Case, the revision of	n 29 <sup>th</sup> lature se the on the d of a ations mittee by the its 3 <sup>rd</sup> becies g the CITES of EU		
3						nsultancies used by the MA to ensure co- A(s), Customs, police, others):				
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify	')	
	Meetings									
	Consultations									
4	At the national le collaborate with:	vel have	e there be	en any eff	orts to	Tick if	applicable	Details if availa	able	
	Agencies for dev	elopme	nt and trad	de			×			
	Provincial, state	or territo	rial autho	rities			×			
	Local authorities	or com	munities				×			
	Indigenous peop	les								
	Trade or other pr	ivate se	ctor asso	ciations			$\boxtimes$			
	NGOs						$\boxtimes$			
	Other (specify)									

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if app	licable
	SA		
	Customs		
	Police		
	Other border authorities (specify)		
	Other government agencies		
	Private sector bodies		
	NGOs		
	Other (specify)		
6	Has your country participated in any regional activities related to CITES?	Tick if app	licable
	Workshops		X
	Meetings		X
	Other (specify)		X
	1. From 2 <sup>nd</sup> – 5 <sup>th</sup> April 2006 Germany's CITES Management Authority he officials from the 10 EU Member States that had acceded to the Conservational Nature Conservation Academy on the Island of Vision objectives of the workshop was to discuss problems associated with EU CITES legislation. Therefore particular emphasis during this workshop of problems regarding wildlife trade within the European including constraints which the 10 new EU Member states have problems including constraints which the 10 new EU Member states have problems including constraints which the 10 new EU Member states have problems including constraints which the 10 new EU Member states have problems.	Community in 2004 Im. One of the pr the implementation orkshop was put on Union and the expe	in the incipal of the to the rience
	2. Germany actively participated in the intersessional meeting of the Shain Slimbridfge, United Kingdom of Great Britain and Northern Ireland outcome of theis meeting resulted in recommendations presented a Committee and finally to COP 14.	l, from 4-6 April, 200	6. The
	3. A representative of the German Management Authority participated Training Seminar held in Kotor, Serbia-Montenegro from 10 <sup>th</sup> – 12 objective of the meeting was to train government officials from custom CITES Management and Scientific Authorities of the State Union of S the Federal Republic of Macedonia on the implementation and regulations implementing CITES in the European Community as well	2 <sup>th</sup> May 2006. The oms, police as well erbia and Monteneg enforcement of bo	main as the ro and the the
	4. Germany participated again actively at a CITES Training Seminar held <b>June 2006</b> by assigning a representative of its CITES Management seminar had its focus on training police officers in enforcement of the EU-CITES regulations and Hungarian Wildlife legislation. By mear studies both skills and techniques for investigating wildlife crime were	Authority as lecture e Convention, the re ns of using selected highlighted.	er. The elevant I case
	5. As had been decided at the 53 <sup>rd</sup> meeting of the CITES Standing Commission kindly hosted from <b>27</b> <sup>th</sup> – <b>29</b> <sup>th</sup> <b>June 2006</b> a Sturgeon Brussels. An enforcement specialist of Germany's CITES Ma participated at the successful meeting gave a presentation from Clessons learned from national caviar investigations- <i>modus operand</i> approaches & techniques, current difficulties faced and legislative inactions.	nagement Authority Germany's perspect li, useful new investi	who ive on
7	Has your country encouraged any non-Party to accede to the	Yes	
	Convention?	No	
		No information	$\boxtimes$
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another	Yes	

	country in relation to CITES?	No	
		No information	$\times$
10	If Yes, which country(-ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES	Yes	
	Identification Manual?	No	X
		No information	
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce	Yes	X
	duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-	No	
	related Conventions)?	No information	
14	If Yes, please give a brief description.		
	Germany's Federal Ministry of Environment, Nature Conservation and financially the 1 <sup>st</sup> Meeting of the Signatories to the MoU concerning the		
	and Sustainable Use of the Saiga Antelope, held from 25-26 Sep	tember 2006 in A	Imaty,
	Kazakhstan. The meeting was a joint cooperative initiative between the Species – CMS and CITES. It was in part designed to provide an imp		
	discussion of the Saiga Antelope during the 54 <sup>th</sup> meeting of the CITES St		
	Geneva 26. October 2006.		
15	Please provide details of any additional measures taken:		

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?								
	Activity	High	Medium	Low					
	Increased budget for activities	×							
	Hiring of more staff	X							
	Development of implementation tools		X						
	Improvement of national networks		X						
	Purchase of new technical equipment for monitoring and enforcement		X						
	Computerisation		X						
	Other (specify)								
	In particular for both <b>German Scientific Authorities</b> hiring of more staff and the increase in financial resources is a burning matter. These two constraints have impeded the performance of both authorities respectively in the past few years.			X					
2	Has your country encountered any difficulties in implementing specific	Yes							
	Resolutions or Decisions adopted by the Conference of the Parties?	No No info	atian						
3	If Yes, which one(s) and what is the main difficulty?	NO II IIO	rmation						
	Germany has encountered problems referring to the implementation of the received Res. Conf. 12.10 (rev. CoP 13) on 'Guidelines for a procedure to register and breed Appendix-I animal species for commercial purposes'. Within Germany services which breed animals in a commercial way. Some of them applied and were either registered or even rejected for registration. Furthermore Germany is very often confronted with animals which originate from confincted in the register of the CITES Secretariat. Therefore the MA of Germansince years any initiative on reviewing and streamlining the current registration.	d monito many th I for a CI as an ir mmercia any has	or operations here are se ITES registr importing co Il operations been suppo	s that everal ration ountry s not					
4	Have any constraints to implementation of the Convention arisen in your	Yes							
	country requiring attention or assistance?	No		$\times$					
		No inf	ormation						
5	If Yes, please describe the constraint and the type of attention or assistance	that is r	equired.						
6	Has your country identified any measures, procedures or mechanisms	Yes							
	within the Convention that would benefit from review and/or simplification?	No No inf	ormation	$\boxtimes$					
	If Very allower of a plaint described	NO II II	Offilation						
7	If Yes, please give a brief description.								
8	Please provide details of any additional measures taken:								

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		·
B4	Copy of text of CITES-relevant legislation	Enclosed	X
	see ATTACHMENT 1	Not available	
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	X
	see ATTACHMENT 3	Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	X
	see ATTACHMENT 4	Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	X
	see ATTACHMENT 5	Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	X
	see ATTACHMENT 6	Not available	
		Not relevant	
D4(10)	Details of nationally produced brochures or leaflets on CITES produced	Enclosed	X
	for educational or public awareness purposes,	Not available	
	see ATTACHMENT 9	Not relevant	

#### Comments on the reporting format

In principle the biennial report format as adopted at CITES CoP 13 has proven to be adequate and relatively easy to complete. Amendments, if necessary, shall be considered on a case by case basis and only in the scope of new decisions or resolutions adopted at a Conference of the Parties.

However the information value in relation to questions D1-5&6 and D2-5&6 referring to staff numbers and time spent on work in CITES Management Authorities and Scientific Authorities remains highly questionable as there are instances where such staff is not only working on CITES matters but likely also on other conservation or non-conservation issues. Hence it might be extremely difficult and time consuming to evaluate precisely the time and work input on CITES issues only.

# **GREECE**

## A. General information

Party	GREECE
Period covered in this report: 1 January 2005 to 31 December 2006	
Details of agency preparing this report	LEAD MANAGEMENT AUTHORITY OF GREECE
Contributing agencies, organizations or individuals	GREECE  1. 33 <sup>RD</sup> DIVISION OF CUSTOMS LAW ENFORCEMENT  2. REGIONAL MANAGEMENT AUTHORITIES  • REGIONAL DIRECTORATE OF FORESTS OF WEST MACEDONIA, DIRECTORATE OF FORESTS OF FORESTS OF PREFECTURE OF KASTORIA  • REGIONAL DIRECTORATE OF FORESTS OF CENTRAL MACEDONIA  • REGION OF ATTICA*  • REGION OF STEREA HELLAS*  • REGION OF WESTERN GREECE*  • REGION OF EPIRUS*  • REGION OF EASTERN MACEDONIA & THRACE*  • REGION OF PELOPONNESUS*  • REGION OF CRETE*
	<ul><li>REGION OF IONIAN ISLANDS*</li><li>REGION OF NORTHERN AEGEAN *</li></ul>
	<ul> <li>REGION OF SOUTH AEGEAN *</li> </ul>
	* THESE AUTHORITIES WILL START ISSUING PERMITS & CERTIFICATES IN 2007

# B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation alr under the CITES National Legislation Project?	Yes (fully)		
	2		Yes (partly)	$\boxtimes$
	If yes, ignore questions 2, 3 and 4.		No	
			No information/unknown	
2	If your country has planned, drafted or enacted and details:	y CITES-relevant legis	lation, please provide the follow	ring
	Title and date:	Status:		
	1. JOINT MINISTERIAL DECISION			
	No 104853/4953πε/06-02-2006			
	'POSSESSION AND MOVEMENT			
	OF SPECIES OF WILD FAUNA AND			
	INDIGENOUS FLORA AND THEIR			
	SPECIMENS, MANUFACTURED OR			
	NOT, USED FOR LOANS OR			
	EXCHANGES BETWEEN			
	RECOGNIZED SCIENTISTS AND			
	SCIENTIFIC RESEARCH			
	INSTITUTIONS-AMENDMENT			
	AND SUPPLEMENT OF THE JOINT			

MINISTERIAL DECISION 331794/12-03-1999 'TRADE OF SPECIES OF WILD FAUNA AND INDIGENOUS FLORA, OF THE MINISTER OF ECONOMY AND FINANCE AND OF RURAL DEVELOPMENT AND FOOD

(PUBLISHED IN THE GOVERNMENT GAZETTE No 198/B'/ 13-02-2006)

- 2. JOINT MINISTERIAL DECISION No 98533/4846/21-08-2006
  - ' REPRICING OF THE FEES FOR GRANTING PERMITS FOR THE TRADE OF SPECIES OF WILD FAUNA AND INDIGENOUS FLORA OF THE MINISTERS OF ECONOMY AND FINANCE AND OF RURAL DEVELOPMENT AND **FOOD** IN THE (PUBLISHED GOVERNMENT **GAZETTE** No 1332/B'/14-09-2006)
- 3. JOINT MINISTERIAL **DECISION** No 99098/5881/16-10-2006 ' TRADE OF SPECIES OF WILD FAUNA AND INDIGENOUS FLORA' OF THE MINISTERS OF ECONOMY AND FINANCE AND OF RURAL DEVELOPMENT AND **FOOD** (PUBLISHED IN THE GOVERNMENT **GAZETTE** No 1570/B'/26-10-2006)

	Brief description of contents:  1. THIS DECISION SETS THE CONDITIONS AND THE PROCEDURES OF REGISTRATION OF SCIENTISTS AND SCIENTIFIC INSTITUTIONS (ARTICLE 7 PARAGRAPH 4 OF THE REG. 338/97) AS WELL AS THE AUTHORITY RESPONSIBLE FOR THE REGISTRATION AND THE USE OF LABELS FOR THE MOVEMENT OF SPECIMENS BETWEEN REGISTERED SCIENTISTS AND SCIENTIFIC INSTITUTIONS.  2. NEW FEES APPLY TO THE ISSUANCE OF PERMITS AND CERTIFICATES:								
	REGULATION 338/97 DETAILED RULES C 338/97' AS WELL AS	" AND CO ONCERNI PROVISIC	MMISSIO ING THE I DNS ON TI	N REGULATION MPLEMENTATI IE PROTECTION	NT OF THE COUNCIL   865/2006 ON ' LAYING DO   ON OF COUNCIL REGULA   OF ENDANGERED SPEC   TO ARTICLE 2 OF THE	ATION			
3	Is enacted legislation availal Convention?  COMMENT: ONLY THE No 99098/5881/16-10-2006 FAUNA AND INDIGENOUS ECONOMY AND FINANC AND FOOD HAS ALREAL VERSION	JOINT MI 'TRADE ( US FLORA CE AND OI	NISTERIA OF SPECI A' OF THE F RURAL	L DECISION ES OF WILD MINISTERS OF DEVELOPMENT	No No information				
4	If yes, please attach a copy of provisions that were gazette		egislative te	kt or key legislative	legislation attached provided previously not available, will send lat	er $\square$			
5	Which of the following issu- your country has adopted fo (in accordance with Article	r CITES-lis XIV of the (	ted species	)?	neasures that Tick all applica	able			
	Igano				The complete prohibition  Yes No No information in the complete prohibition in the com				
	Issue Trade	Yes 🖂	No	No information	Yes No No info	7			
	Taking					ר ר			
	Possession					ī			
	Transport			$\boxtimes$					
	Other (specify)					]			
	Additional comments								

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?						
	Item	tem Adequate Partially Inadequate					
	Powers of CITES authorities				$\boxtimes$		
	Clarity of legal obligations				$\boxtimes$		
	Control over CITES trade				$\boxtimes$		
	Consistency with existing policy on wildlife management and use				$\boxtimes$		
	Coverage of law for all types of offences				$\boxtimes$		
	Coverage of law for all types of penalties				$\boxtimes$		
	Implementing regulations				$\boxtimes$		
	Coherence within legislation				$\boxtimes$		
	Other (please specify):						
	Please provide details if available:						
7	If no review or assessment has taken pl reporting period?	ace, is one planne	d for the next	Yes No			
				No	information $\boxtimes$		
	Please provide details if available:						
8	Has there been any review of legislation relation to implementation of the Conve		subjects in	Tick al	l applicable		
	Subject		Yes	No	No information		
	Access to or ownership of natural resou	irces		$\boxtimes$			
	Harvesting			$\boxtimes$			
	Transporting of live specimens						
	Handling and housing of live specimen	S					
	Please provide details if available:						
9	Please provide details of any additional	measures taken:					

# C. Compliance and enforcement measures

		Yes	No	No information				
1	Have any of the following compliance monitoring operations been undertaken?							
	Review of reports and other information provided by traders and producers:							
	Inspections of traders, producers, markets	$\boxtimes$						
	Border controls	$\boxtimes$						
	Other (specify)							
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?							
3	3 If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.							
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?							

5	If information available:	Number			
	☐ Significant seizures/confiscations	2			
	☐ Total seizures/confiscations	15			
	If possible, please specify per group of species or attach details on annex.				
6	Have there been any criminal prosecutions of significant CITES-related violations?				
7	If Yes, how many and for what types of violations? If available, please a	ttach de	tails as Ann	ex.	
8	Have there been any other court actions of CITES-related violations?				
9	If Yes, what were the violations involved and what were the results? Please at	tach deta	ils as Annex.		
10	How were the confiscated specimens generally disposed of?		Т	ick if app	olicable
	Return to country of export				
	<ul> <li>Public zoos or botanical gardens</li> </ul>				
	Designated rescue centres				
	Approved, private facilities				
	– Euthanasia				
	- Other (specify)	N. G. G	E D + GIG		$\boxtimes$
1.1	Comments: THE WAY OF DISPOSAL IS DECIDED ON A CASE F	·		<del></del>	
11	Has your country provided to the Secretariat detailed information significant cases of illegal trade (e.g. through an ECOMESSAGE o		Yes		
	other means), or information on convicted illegal traders and	, <u> </u>	No		
	persistent offenders?		Not applicable		
			No inform	nation	Ш
	Comments:				
12	Has your country been involved in cooperative enforcement activities w	ith	Yes		$\boxtimes$
	other countries		No		
	(e.g. exchange of intelligence, technical support, investigative assistance joint operation, etc.)?	<del>,</del>	No inform	ation	
13	If Yes, please give a brief description: <b>EXCHANGE OF INTELLIGE</b>	NCE W	ITH SWIT	ZERLA	ND
14	Has your country offered any incentives to local communities to assist in		Yes		
	enforcement of CITES legislation, e.g. leading to the arrest and conviction offenders?	on of	No		$\boxtimes$
	on on one of the one o		No inform	ation	
15	If Yes, please describe:				
16	Has there been any review or assessment of CITES-related enforcement	?	Yes		
			No		$\boxtimes$
			Not appli	cable	
			No inform		
	Comments:	·			
17	Please provide details of any additional measures taken:				
	TRAINING OF CUSTOMS OFFICERS ON CITES	S ENFO	DRCEME	NT	
	• INFORMING CUSTOMS OFFICERS FOR 'ALE				ADE
i		•			_

### D. Administrative measures

# D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information	Yes		
	for the MA(s) in your country which are not yet reflected in the CITES	No	$\boxtimes$	
	Directory?	No information		
2	If Yes, please use the opportunity to provide those changes here.			
3	If there is more than one MA in your country, has a lead MA been	Yes	$\boxtimes$	
	designated?	No		
1		No information		
4	If Yes, please name that MA and indicate whether it is identified as the lead	MA in the CITES Direc	ctory.	
	LEAD MANAGEMENT AUTHORITY			
	Ministry of Rural Development and Food			
	General Directorate for the Development and Protection			
	of Forests and Natural Environment			
	Dir. of Aesthetic Forests-National Parks & Game Management			
	Department of International Conventions			
	31 Halkokondili Street, GR-101 64 Athens.			
5	3. How many staff work in each MA?			
	<b>LEAD MANAGEMENT AUTHORITY: 4 PERMANENT EMPLOYEE</b>	ES.		
	EVERY YEAR TEMPORARY STAFF IS HIRED FOR FACILITATIN	NG THE OPERATION	OF	
	THE DEPARTMENT			
	REGIONAL MANAGEMENT AUTHORITIES			
	REGIONAL DIRECTORATE OF FORESTS OF WEST MACEDO	*		
	DIRECTORATE OF FORESTS OF PREFECTURE OF KASTORIA	A: 2		
	REGIONAL DIRECTORATE OF FORESTS OF CENTRAL MACI	EDONIA: 5		
	• REGION OF ATTICA*: 2			
	• REGION OF STEREA HELLAS*: 2			
	• REGION OF THESSALIA*: 3			
	• REGION OF WESTERN GREECE*: 3			
	• REGION OF EPIRUS*: 3			
	• REGION OF EAST ERN MACEDONIA & THRACE*: 2			
	• REGION OF PELOPONNESUS*: 2			
	• REGION OF CRETE*: 2			
	• REGION OF IONIAN ISLANDS*: 2			
	• REGION OF NORTHERN AEGEAN *: 2			
	• REGION OF SOUTH AEGEAN *: 2			
	* THESE AUTHORITIES WILL START ISSUING PERMITS	& CERTIFICATES	IN	
	2007			

6	Can you estimate the percentage of time they spend on CITES related matters?	Yes No	
	If yes, please give estimation	No information	
7		Tiols if or	nliaahla
/	What are the skills/expertise of staff within the MA(s)?	Tick if ap	pricable
	<ul> <li>Administration</li> </ul>		$\bowtie$
	- Biology		
	- Economics/trade		
	<ul> <li>Law/policy</li> </ul>		
	- Other (specify)		$\boxtimes$
	<ul> <li>No information</li> </ul>		
	Other: Forest Engineers		
8	Have the MA(s) undertaken or supported any research activities in relation to	Yes	
	CITES species or technical issues (e.g. labelling, tagging, species	No	$\boxtimes$
	identification) not covered in D2(8) and D2(9)?	No information	
9	If Yes, please give the species name and provide details of the kind of research	involved.	
10	Please provide details of any additional measures taken		

# D2 Scientific Authority (SA)

1							
		we there been any changes in the designation of or contact information the SA(s) in your country which are not yet reflected in the CITES					
	Directory?		No informatio	n 🗌			
2	If Yes, please u	se the opportunity	y to provide those	changes he	re.		
3	Has your count	ry designated a So	cientific Authority	independe	nt from the	Yes	$\boxtimes$
	Management A			•		No	$\overline{\Box}$
						No informatio	n 🗆
4	What is the stru	cture of the SA(s	) in your country?				k if applicable
	- Governi	ment institution					
	– Academ	nic or research ins	titution				
	– Permano	ent committee					
	– Pool of	individuals with o	certain expertise				$\boxtimes$
	- Other (s						
5	,	* */	on CITES issues	.?			
	STAFF: 10.						
	IT IS NOTED	THAT THERE NTS) WHEN IT	IS THE OPTION IS NEEDED.	N OF THE	USE OF ADD	OITIONAL SPEC	CIALISTS
6	Can you estima	te the percentage	of time they spen	d on CITES	related	Yes	
	matters?					No	
						No informatio	n 🖂
	If yes, please gi	ve estimation				110 1111011114110	🖂
			LOCATED TO	MATTERS	8		
	DIRECTED T COMPLEXIT		ENDS ON THEI	R NATUR	E AND		
7	What are the sk	ills/expertise of s	taff within the SA	(s)?		Tic	k if applicable
	- Botany						
	- Ecology	7					
	- Fisherie	es					
	<ul><li>Fisherie</li><li>Forestry</li></ul>	es 7					
	<ul><li>Fisherie</li><li>Forestry</li><li>Welfare</li></ul>	S					
	<ul><li>Fisherie</li><li>Forestry</li><li>Welfare</li><li>Zoology</li></ul>	s v					
	<ul> <li>Fisherie</li> <li>Forestry</li> <li>Welfare</li> <li>Zoology</li> <li>Other (s</li> </ul>	rs 7 pecify)	VOLOGY, WETE	DIV A DV			
	<ul> <li>Fisherie</li> <li>Forestry</li> <li>Welfare</li> <li>Zoology</li> <li>Other (s</li> <li>OCEANOGRA</li> </ul>	rs  /  /  /  pecify)  APHY, ICHTHY	OLOGY, VETE		THOLOGY		
	<ul> <li>Fisherie</li> <li>Forestry</li> <li>Welfare</li> <li>Zoology</li> <li>Other (s</li> <li>OCEANOGRA ORNITHOLO</li> </ul>	rs  /  /  /  pecify)  APHY, ICHTHY  GY, ICHTHYO	OLOGY, VETE PATHOLOGY,		ATHOLOGY		
	<ul> <li>Fisherie</li> <li>Forestry</li> <li>Welfare</li> <li>Zoology</li> <li>Other (s</li> <li>OCEANOGRA ORNITHOLO</li> </ul>	rs  /  /  /  pecify)  APHY, ICHTHY			ATHOLOGY		
8	<ul> <li>Fisherie</li> <li>Forestry</li> <li>Welfare</li> <li>Zoology</li> <li>Other (s</li> <li>OCEANOGRA ORNITHOLO</li> <li>No info</li> </ul>	rs / / pecify) APHY, ICHTHY OGY, ICHTHYO ormation		PHYTOPA		Yes	
8	<ul> <li>Fisherie</li> <li>Forestry</li> <li>Welfare</li> <li>Zoology</li> <li>Other (s</li> <li>OCEANOGRA ORNITHOLO</li> <li>No info</li> </ul>	pecify) APHY, ICHTHY OGY, ICHTHYO ormation earch activities b	PATHOLOGY,	PHYTOPA		Yes No	
8	<ul> <li>Fisherie</li> <li>Forestry</li> <li>Welfare</li> <li>Zoology</li> <li>Other (s</li> <li>OCEANOGRA ORNITHOLO</li> <li>No info</li> </ul> Have any reserved.	pecify) APHY, ICHTHY OGY, ICHTHYO ormation earch activities b	PATHOLOGY,	PHYTOPA			
8	<ul> <li>Fisherie</li> <li>Forestry</li> <li>Welfare</li> <li>Zoology</li> <li>Other (s</li> <li>OCEANOGRA</li> <li>ORNITHOLO</li> <li>No info</li> </ul> Have any reserrelation to CIT	pecify) APHY, ICHTHY OGY, ICHTHYO ormation earch activities b	PATHOLOGY,	PHYTOP A	(s) in	No No informatio	
-	<ul> <li>Fisherie</li> <li>Forestry</li> <li>Welfare</li> <li>Zoology</li> <li>Other (s</li> <li>OCEANOGRA</li> <li>ORNITHOLO</li> <li>No info</li> </ul> Have any reserrelation to CIT	pecify) APHY, ICHTHY OGY, ICHTHYO ormation earch activities b	PATHOLOGY,	by the SA etails of the	(s) in	No No informatio	D Other
-	<ul> <li>Fisherie</li> <li>Forestry</li> <li>Welfare</li> <li>Zoology</li> <li>Other (s</li> <li>OCEANOGRA</li> <li>ORNITHOLO</li> <li>No info</li> <li>Have any reserrelation to CIT</li> <li>If Yes, please g</li> <li>Species name</li> </ul>	pecify) APHY, ICHTHY OGY, ICHTHYO ormation earch activities b TES species? ive the species na	een undertaken	by the SA	(s) in	No No informatio	
-	- Fisherie - Forestry - Welfare - Zoology - Other (s OCEANOGRA ORNITHOLO - No info	pecify) APHY, ICHTHY OGY, ICHTHYO ormation earch activities b TES species? ive the species na	een undertaken	by the SA etails of the	(s) in	No No informatio	n Other
-	- Fisherie - Forestry - Welfare - Zoology - Other (s OCEANOGRA ORNITHOLO - No info  Have any rese relation to CIT  If Yes, please g Species name	pecify) APHY, ICHTHY OGY, ICHTHYO ormation earch activities b TES species? ive the species na	een undertaken	by the SA etails of the	(s) in	No No informatio	n Other
-	- Fisherie - Forestry - Welfare - Zoology - Other (s OCEANOGRA ORNITHOLO - No info  Have any reserelation to CIT  If Yes, please g Species name  1 2 3	pecify) APHY, ICHTHY OGY, ICHTHYO ormation earch activities b TES species? ive the species na	een undertaken	by the SA etails of the	(s) in	No No informatio	n Other
-	- Fisherie - Forestry - Welfare - Zoology - Other (s OCEANOGRA ORNITHOLO - No info  Have any rese relation to CIT  If Yes, please g Species name	pecify) APHY, ICHTHY OGY, ICHTHYO Ormation Parch activities b TES species?	een undertaken	by the SA etails of the	(s) in	No No informatio	Other (specify)

10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	
11	Please provide details of any additional measures taken:		
D3	Enforcement Authorities		
1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No No information	
	FOR CUSTOMS AUTHORITY: 33 <sup>RD</sup> DIVISION OF CUSTOMS LAW ENFORCEMENT		
	SECTION A', MISS V. BARKI (TEL. NO: 0030 210 7224770,		
	E-MAIL: d33-a@otenet.gr)		
	SECTION E', MISS G. VELANA (TEL. NO: 0030 210 3238252, E-MAIL: d33eltel@otenet.gr)		
	FOR POLICE:		
	HELLENIC POLICE HEADQUARTERS/GENERAL POLICING DIVISION		
	POLICE CAPTAIN: MR. CHRISTOS MANOURAS		
	POLICE CAPTAIN: MR. CHRISTOS PLATIS		
	TEL: 0030 210 6926908		
	FAX: 0030 210 6977374		
	E-MAIL: genpoldi@mopo.gr		
	ADDRESS: 4, KANELLOPOULOU STREET		
	101 77, ATHENS GREECE		
2	If No, please designate them here (with address, phone, fax and email).		
3	Has your country established a specialized unit responsible for CITES-	Yes	
	related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	No	$\boxtimes$
	police, public prosecutor s office).	Under consideration	
		No information	
4	If Yes, please state which is the lead agency for enforcement:		
5	Please provide details of any additional measures taken:		
 D4	Communication, information management and exchange		
	, <b>,</b>	FF: 1 :0	1: 11
1	To what extent is CITES information in your country computerized?	1 1CK 1f a	pplicable
	Monitoring and reporting of data on legal trade		
	Monitoring and reporting of data on illegal trade		
	- Permit issuance		
	- Not at all		
	- Other (specify)		$\boxtimes$

	THE TARIC IS COMPUTERIZED AND FOR CUSTOMS TARIFFS,									
	REGARDING CITES SPECIES, THERE IS A WARNING THAT CITES PERMITS ARE NECESSARY TO BE SUBMITTED.									
2	Do the following auth	Tick if applica	ıble							
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all		ovide details where ppropriate		
	Management Authority						SOME REGIO MANAGEME HAVE ACCES THROUGH D CONNECTIO	NT AUTHORITI SS ONLY IAL-UP	ES	
	Scientific Authority									
	Enforcement Authority		$\boxtimes$							
3	Do you have an electr species?	onic info	ormation sys	stem prov	iding info	ormation	No			
5	If Yes, does it provide information on:  - Legislation (national, regional or international)?  - Conservation status (national, regional, international)?  - Other (please specify)?  Is it available through the Internet:  Yes  No  Not applicable  No information							t applicable		
6	Please provide URL:  Do the following auth	orities ha	ave access t	o the follo	owing pu	blications	s?	Tick if applicable	le	
	Pul	olication			Management Authority		Scientific Authority	Enforcement Authority		
	2003 Checklist of CIT 2003 Checklist of CIT Appendices (CD-RON	ES Speci		otated		<b>⊠</b> ⊠				
	Identification Manual					$\boxtimes$				
	CITES Handbook			İ		$\boxtimes$				
7	If not, what problems	have bee	en encounte	red to acc	ess to the	mention	ned information?			
8	Have enforcement aut  - Mortality in tra  - Seizures and c  - Discrepancy in nu  Comments:	ansport? onfiscati mber of	ons? items in per	mit and n	umber of	items ac	tually traded?	Tick if applica	able	
9	Is there a government website with information on CITES and its  Yes									

	requirements?	No	$\boxtimes$
		No information	
	If Yes, please give the URL:		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if app	licable
	- Press releases/conferences	•	
	Newspaper articles, radio/television appearances		$\boxtimes$
	- Brochures, leaflets		$\boxtimes$
	- Presentations	•	
	– Displays		
	<ul> <li>Information at border crossing points</li> </ul>		$\boxtimes$
	- Telephone hotline	· · · · · · · · · · · · · · · · · · ·	
	- Other (specify)		
	Please attach copies of any items as Annex.		
11	Please provide details of any additional measures taken:		
	THE REGIONAL MANAGEMENT AUTHORITY OF CENTRAL ORGANISED PRESENTATIONS REGARDING CITES CONVE THE FRAME OF THE ENVIRONMENTAL EDUCATION PROMUNICIPALITY POLICE, FOR THE CHAMBER OF COMMENTAL EDUCATION AS WELL AS FOR PET SHOPS OWNERS	NTION FOR SCHOO GRAMME, FOR	DLS IN
	- THE REGIONAL MANAGEMENT AUTHORITIES OF STERE AMACEDONIA & THRACE AND EPIRUS RELEASED TO THE ANNOUNCEMENT FOR THE TRADERS OF WILD FAUNA AN REGISTER.	PRESS AN	N
	LEAFLETS AND POSTERS HAVE BEEN PRODUCED AND DISTRIBUTION OF THE PUBLIC AS CONVENTION (SPECIMENS ARE ATTACHED)	REST SERVICES AN	
D5	Permitting and registration procedures		
1	Have any changes in permit format or the designation and signatures of official empowered to sign CITES permits/certificates been reported previously to the Secretariat?	No Not applicable No information	
	If no, please provide details of any:  Changes in permit format:		
	Changes in designation or signatures of relevant officials:	,	
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable	;
	Yes N	o No informat	ion

 $\boxtimes$ 

 $\boxtimes$ 

Permit issuance/acceptance

Registration of traders
Registration of producers

3	Please indicate how many CITES documents were issued or denied in the two year period?							
	(Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).							
	Year 2005	Import or introduction from the sea	Export	Re-export	Other	Comments	1	
	How many documents were issued?	639	8	366				
	How many applications were denied because of severe ommissions or misinformation?					10 minutes (10 minutes)		
	Year 2006 How many documents were issued?	797	1	264		# 1111 # 111 # 111 # 1111 # 1111 # 1111 # 1111 # 111 # 1111 # 1111 # 1111 # 1111 # 1111 # 1111 # 1111 # 111		
	How many applications were denied because of severe ommissions or misinformation?	1						
4	Were any CITES documents the of severe ommissions or mis-in-	because	Yes No No information					
5	If Yes, please give the reasons f	or this.				-		
6	Please give the reasons for recountries.	jection of CITES	document	s from othe	er	Tick if applicable		
	Re	ason		Yes	No	No informati	on	
	Technical violations							
	Suspected fraud							
	Insufficient basis for finding of	non-detriment						
	Insufficient basis for finding of							
	Other (specify)							
	REJECTION DUE TO SUSP THE COMMUNITY [ACCOR REGULATIONS]		ORTS IN					
7	Are harvest and/or export quota issuance of permits?	s as a management	tool in the	procedure f	or	Yes No		
						No information		
	Comments: CHECKING THE OF THE ISSUANCE OF AN I			QUOTAS B	EFORE			
8	How many times has the Scient			to provide o	pinions?	32		
9	Has the Management Authority related CITES activities?	charged fees for p	ermit issua	nce, registra	tion or	Tick if app	olicable	
	<ul> <li>Issuance of CITES doc</li> </ul>	uments:					$\boxtimes$	
	<ul> <li>Licensing or registratio</li> </ul>	n of operations tha	t produce C	CITES specie	es:			
	<ul> <li>Harvesting of CITES-li</li> </ul>	sted species:						
	- Use of CITES-listed species:							
	<ul> <li>Assignment of quotas f</li> </ul>	or CITES-listed sp	ecies:					
	<ul> <li>Importing of CITES-lis</li> </ul>	ted species:						
	- Other (specify):							
10	If Yes, please provide the amou	nts of such fees.				<del>_</del>		
	• 40 EUROS FOR C	ITES PERMITS A	ND CERT	TFICATES				
	• 15 EUROS FOR C WITH <b>PURPOSE</b>			IFICATES 1	SSUED			
11	Have revenues from fees been i	ised for the implem	entation of	fCITES or		Tick if ann	licable	

	wildlife conservation?					•	
	– Entirely:						
	– Partly:						
	– Not at all:						
	– Not relevant:						
10	Comments:	. 1					
12	Please provide details of any additional measurement	sures tak	en:				
D6	Capacity building						
1	Have any of the following activities been un CITES implementation at the national level		n to enha	ance effe	ectiven	iess of	Tick if applicable
	Increased budget for activities		Improv networ	vement o	of natio	onal	
	Hiring of more staff			ase of tec oring/ent			oment for
	Development of implementation tools		Comp	uterisatio	on		
	- Other (specify)						
	MICROCHIP READING DEVICES WE DISSEMINATED TO ALL THE REGIO AUTHORITIES FOR FACILITATING	NAL M	[ANAG]				
2	Have the CITES authorities in your country activities provided by external sources?	been the	e recipie	ent of any	y of th	e follo	wing capacity building
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other (specify)						

3	Have the CITES authorities in your country activities?	been th	e provido	ers of an	y of th	ne foll	owing capacity building
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority						TRAINING SEMINARS TO THE REGIONAL MANAGEMENT AUTHORITIES ABOUT CITES REGULATIONS & PERMITTING SYSTEM ISSUANCE OF CIRCULARS ON LEGISLATIVE STATUS
	Staff of Scientific Authority Staff of enforcement authorities						OF CITES SPECIES & PHOTOS  CIRCULARS ON LEGISLATIVE STATUS OF CITES SPECIES & PHOTOS
	Traders						ORAL & WRITTEN GUIDANCE WHEN ASKED
	NGOs Public						PRESENTATIONS TO SCHOOLS
	Other parties/International meetings Other (specify)						
4	Please provide details of any additional mea	asures ta	ken				
D7 Col	llaboration/co-operative initiatives						
1	Is there an inter-agency or inter-sectoral co	Yes □ No ⊠ No information □					
2	If Yes, which agencies are represented and	how oft	en does i	t meet?			

3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							ination	
		Daily	Weekly	Monthly	Annually	None	No information	Other (speci	fy)
	Meetings				O TOTAL CONTRACTOR CON			MEETINGS ARRANGED THERE A ISSUES TO DISCUSS	WHEN RE ) BE
	Consultations							1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
4	At the national le collaborate with:		there been	any effort	s to	Tick i	f applicable	Details if ava	ilable
	Agencies for dev	elopment	and trade				$\boxtimes$	1 1 1 1 1 1 1 1	
	Provincial, state	or territor	ial authori	ties			$\boxtimes$		
	Local authorities	or comm	unities				$\boxtimes$	# 1	
	Indigenous people	les							
	Trade or other pr	ivate sect	or associa	tions			$\boxtimes$	= = = = = = = = = = = = = = = = = = =	
	NGOs								
	Other (specify)								
5	To date, have any formal arrangement to CITES been agagencies?	ents for in	nstitutiona	l cooperation	on related			Tick if ap	plicable
	SA								$\boxtimes$
	Customs								$\boxtimes$
	Police								
	Other border autl	norities (s	specify)						
	Other governmen	nt agencie	es						$\boxtimes$
	Private sector bo	dies							
	NGOs								
	Other (specify)								
	THE CO-OPER NATIONAL LE			BLISHED	BY THE				
6	Has your country related to CITES	participa?	ated in any	regional a	ctivities			Tick if ap	plicable
	Workshops								$\boxtimes$
	Meetings								$\boxtimes$
	Other (specify)								
7	Has your cour		_	any non	-Party to	Yes			
	accede to the	Conven	tion?			No			
						No info	ormation		
8	If Yes, which one								
9	Has your cour				r financial	assistaı	nce to	Yes	
	another count	ry in rel	ation to	CHES?				No	$\boxtimes$
4.5					• .			No information	Ш
10	If Yes, which cou	untry(ies)	and what	kind of ass	sistance was	provided	?		

11	Has your country provided any data for inclusion in the CITES Identification	Yes	
	Manual?	No	$\boxtimes$
		No information	
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce	Yes	
	duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	No	
		No information	$\boxtimes$
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implevel and what is the respective level of priority?	lementat	ion at the na	ational
	Activity	High	Medium	Low
	Increased budget for activities	$\boxtimes$		
	Hiring of more staff	$\boxtimes$		
	Development of implementation tools			
	Improvement of national networks	$\boxtimes$		
	Purchase of new technical equipment for monitoring and enforcement			
	Computerisation		$\boxtimes$	
	Other (specify)			
2	Has your country encountered any difficulties in implementing specific	Yes		
	Resolutions or Decisions adopted by the Conference of the Parties?	No		$\boxtimes$
		No info	rmation	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country	Yes		
	requiring attention or assistance?			$\boxtimes$
		No information		
5	If Yes, please describe the constraint and the type of attention or assistance that is	required		
6	Has your country identified any measures, procedures or mechanisms within the	Yes		
	Convention that would benefit from review and/or simplification?	No		
		No inf	ormation	$\boxtimes$
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		·
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	$\boxtimes$
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for	Enclosed	$\boxtimes$
	educational or public awareness purposes,	Not available	
		Not relevant	
	Comments		

## ANNEX - PROPOSED BIENNIAL REPORT FORMAT

# PART 2 - SUPPLEMENTARY QUESTIONS

Note: Questions in Part 2 are additional to those in Part 1, and relate to information on the provisions of the Regulation that fall outside the scope of CITES.

# **PART 2 SUPPLEMENTARY QUESTIONS**

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

## B. Legislative and regulatory measures

2b	J							
	question B (2), please provide the fol Title and date:	lowing detai	ils:	Status:				
	Brief description of contents:			Status.				
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?  Tick all applicable categories below that these categories apply to.							
	Tiek dir approxime caregories seems		The condit		,	The comple	ete prohibition of:	
	Issue	Yes	No	No information	Yes	No	No information	
	Trade	$\boxtimes$						
	Taking	$\boxtimes$						
	Possession			0 1 1 1 1 1				
	Transport						$\boxtimes$	
	Other (specify)							
	Additional comments	<ul> <li>Issuance of permits for Greek endangered species of indigenous flora and endemic, migratory and sedentary wild fauna, as specified in the relevant legislative acts of the Administration for non-cites species (species that are not included in the Appendices of the Convention or the EU Annexes)</li> <li>Import, export, possession and keeping of live animal species listed in Annex A and Appendix I are prohibited when the purpose of the aforementioned actions is either the trade or distribution in the Greek market for personal purposes, or the possession and keeping for personal purposes.</li> </ul>						
8b	$\mathcal{C}$							
	implementation of the Regulation?				Yes	No	No information	
	Introduction of live Regulation-listed would threaten the indigenous fauna 3, paragraph 2 (d)).	species into and flora (in	the Comm accordance	nunity that ce with Article				
	Marking specimens to facilitate ident 19, paragraph 1 (iii))	ification (in	accordanc	e with Article		$\boxtimes$		
	Please provide details if available,							
	Please provide details of maximum p measures taken in relation to impleme						, or any other additional	

21

<sup>&</sup>lt;sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

#### Law 2637/1998 - Provisions for Sanctions, Seizures and Confiscation

The most significant articles of Law 2637/1998 are the following Article 57 of Law 2637/1998 By decision of the Secretary General of each Prefecture, published in the Government Gazette, bans, limits or conditions may be placed on fishing, farming, pasture, woodcutting and the use of protected plant products as well as the collection and cutting for commercial purposes of aromatics, dyestuffs, spices, honey and plants for flowers and decoration.

#### Article 253 of Legislative Decree 86/1969 is amended as follows:

Case .g. of par. 3 of article 258 is repealed once this law enters into force after par. 5 of Article 258, with the addition of par. 3 of Article 7 of Law 177/1975 (Government Gazette 205/A/1975) a new paragraph 6 is added as follows:

6.a) the following are prohibited without a license:

The exportation, importation, re-exportation, re-importation, transportation, sale, purchase, advertising, rental, commercial exploitation, competition and generally the holding, marketing keeping, exhibiting, transporting, dispatching and transfer of:

- i) species of wild flora and fauna and samples thereof, whether living or dead and whether processed or not.
- ii) species of wild flora and fauna and samples thereof born and are being raised and still raised in captivity or artificially produced, processed or not, and species or samples which constitute part of personal or domestic articles.
- iii) species of wild flora and fauna and samples thereof, whether processed or not, which are loaned or exchanged for commercial reasons between recognized scientists and scientific research institutes.
- b) Decisions by the Minister of Agriculture, published in the Government Gazette, shall specify those species of wild flora and fauna covered by the above points, the limits and conditions for granting licenses pursuant to the above point and the type of these licenses as well as any other details necessary for the implementation of the previous point.
- c) In order for the above license to be granted, a fee must be paid which will be considered as State Budget Revenue. The amount of this fee shall be determined and readjusted by decision of the Ministers of Finance and Agriculture published in the Government Gazette.
- d) Importers, exporters and associations thereof as well as companies marketing and transporting the species specified in the above subparagraph b, shall be entered in a special register for the issue of the above-mentioned license. The Minister of Agriculture shall publish a decision in the Government Gazette specifying the conditions for entry in this register and any other details required for implementation of this point.
- ......f) The Minister shall make a decision, published in the Government Gazette, specifying the criteria and procedure for the recognition and supervision of legal persons who receive, keep and look after species of wild fauna in Protection centres for species of wild fauna and any other details for the application of this point. The above legal entities are recognized as Protection Centres for species of wild fauna, provided that their operation is not contravening the provisions in effect for public health.

#### Article 265 of Legislative Decree No 86/1969 is amended as follows:

At the end of point .a. of paragraph 4 of Article 265 the following phrase is being added: and the works carried out and implemented by the Forest Service, in order to maintain, rehabilitate and manage the species of wild fauna and flora and their biotopes. At the end of point .g. of paragraph 4 of Article 265, points .h. until .id. were added as follows:

The preparation of studies and the execution of specially formed programmes of research and management of species of wild flora and fauna and their biotopes The implementation of public awareness campaigns on matters of protection of species of wild fauna and flora and their biotopes

- ia) The implementation of programmes for reception, preservation and care of species of wild fauna
- ib) The repatriation, re-dispatching, re-exporting expenses in the country of species of wild fauna
- ic) The transportation, reception and hospitalisation expenses of the impounded species of wild fauna and flora and their samples (living or dead, processed or not, as well as the feeding of the live samples of wild fauna).
- id) The Minister of Agriculture shall issue a decision, published in the Government Gazette, for the implementation of this paragraph to lay down rules and conditions on signing contracts between the Minister and other persons, who provide guarantee and adequate scientific experience in all relevant matters under the co-ordination of the central forest service of the Ministry of Agriculture

#### **III Penalties**

In Article 287 of Legislative Decree 86/1969, amended and completed subsequently, paragraphs 22, 23 and 24 were added as follows concerning the imposed penalties:

- 22. Whoever keeps, imports, transports and trades in any way, species of Article 258 paragraph 6 point .b. without license or with a falsified license is sent to prison from two (2) months up to one (1) year and if relapsed, for two (2) years.
- 23. Whoever denies or obstructs or holds the inspection by the Auditing Authorities of species of Article 258 paragraph 6, point .b. or denies information or gives false information, is sent to prison from one (1) month up to six (6) months.
- 24. The sentences of paragraph 22 and 23 are charged against of all who are responsible, such as businessmen in personal companies, partners in general partnership, administrators in limited liability companies and whoever is in charge of cooperatives and Anonymous Companies or in lack or the above the members of the board of Directors.

#### After article 288 a new article 288a is added as follows:

This article deals with the administrative sanctions as follows:

Persons infringing paragraph 6 (a), (b), (c), (d) and (f) of Article 258 shall be fined with an amount between 200,000 and 5,000,000 Greek Drachmas. The species of wild flora and fauna and the samples of them, whether alive or dead, processed or not, shall be seized by the forest or customs authorities and they shall be given to the nearest Forest Service for further procedures with responsibilities of which shall be:

Returned or re-exported to their country of origin or disposed of or kept, taken care of and looked after. When the forestry authority seizes them, the provisions of paragraphs 2, 3 and 4 of Article 288 of legislative decree 86/1969 shall apply.

If it is discovered that there has been a forgery in license accompanying the species or has been falsified, the sanctions in the previous paragraph shall apply. For subsequent offences the fine shall be doubled.

When determining the level of the administrative sanctions in this article, account shall be taken to the severity of the infringement and whether it is a second offence.

The fine, which is referred to in this article, shall be imposed by decision of the head of the regional administration responsible in accordance with the supporting information submitted. A decision shall be made according to the provisions of Articles 56 and 57 of the Criminal Procedure Code.

The person affected by the decision to impose a fine shall have the right to appeal to the three-member administrative court within thirty (30) days. This period is commencing on the day following the issuing of the decision. The appeal shall not suspend the application of the decision. However, the chairman of the court could make a decision to suspend the application of the decision, in accordance to the provisions of Article 2, Law No 820/1978 [(Government Gazette 174/A/1978) as replaced by Article 27 of Law 1406/83 (Government Gazette 182/ A/1983)].

The suspension of the decision can be accepted in part or in full if the judge ascertains from specific information that the claimant is unable to pay or considering that errors have been committed.

Against the decisions of the administrative courts, it is possible to raise legal means according to the provisions of the Legal Taxation Procedure Code. The amount of the fine specified in this article may be adjusted by decision of the Minister for Agriculture, which shall be published in the Government Gazette. The fines collected under this article shall be considered as state revenue and collected under the State Revenue Collection Code. The Forest Service Regional Directorates shall undertake this procedure.

# Article 58 of Law 2637/1998 Article 36 of Law No 1845/1989 is amended as follows: Point .b. of paragraph 1 is amended as follows:

The protection of wild fauna and their biotopes, the inspection on maintaining game rules, the guarding and inspection in game areas, the protection of wild life shelters and game breeding stations, as well as the protection of fisheries resources of mountain streams and the carrying out of inspection while fishing into them.

b) In paragraph 1, after point .f., a new point .g. is added as follows:

The carrying out of inspections into the import, movement, placing on the market and holding of all general protected species of wild fauna and flora and samples thereof, whether living or dead, processed or not and the application of any measures required to prevent and suppress offences Paragraphs 1 and 2 of article 39, Law 1845/1989 are replaced as follows:

Under article 36 of this law, the protection of forests is carried out by the office, that work in the Forest Service, with the exception of case .f. where only forest engineers, foresters and forest guards can proceed with the inspections.

In the framework of forest protection, forest officers and officers of categories a, b, c, e, f of paragraph 1 of article 39 of this law, are obligated and responsible to draw up charging documents against any offence to the forestry laws, to impound forest products and generally all the protected species of wild fauna and flora, the samples thereof, whether living or dead, processed or not, collected, caught, traded or placed on the market or kept illegally. In addition, they are obligated to impound all games and fishes caught in offence against the provisions on game and mountain fishery. Finally they are responsible for impounding every device used in offences and to arrest, transfer and surrender any offender to the nearest police station.

The cost of transportation and feeding, along with every necessary cost until the transportation of the offenders is stated in the budget of the Ministry of Agriculture.

The Ministers of Finance and Agriculture shall decide regarding the daily feeding expenses of all the above - mentioned surrenders.

#### Paragraph 9 of article 38 of law 1845/1989 is replaced as follows:

9. Whoever passes through forests or forest grasslands, biotopes and particularly wetlands neighbouring with forests and partially forested lands, either as a stroller or a camper or professional forest profiteer or shepherd and anyone who hunts or collects or catches or transfers in any direction, is obliged to show the relevant hunting license or CITES license or license for transport of forest goods and so on. Any suspicious vehicle

could possibly be under inspection for possession of illegal forest goods, games or species of wild flora and fauna, samples thereof, whether living or dead, processed or not, as well as hazardous materials that may cause damage or pollution to the wild flora and fauna. Proper display of the identity of the forest officer should take place prior to any inspection. The inspection is carried out in any place where species of wild flora and fauna and samples thereof, whether living or dead, processed or not are being kept, transferred, looked after, exhibited or traded, if necessary in cooperation with officials of other departments.

Infringements shall be notified by the departments carrying out these inspections in writing to the person who committed the violation, who then has 15 days after notification to appeal at the inspection authority, giving their opinion or objection. This document is returned to the person committing the infringement with proof of receipt.

After the expiration of the deadline and irrespective of whether or not the person concerned has submitted comments, the inspection authority shall notify the forest directorate, which is responsible for all the information related to the infringement. Article 59 of Law 2637/1998 In Article 15 of law 998/1979, a new paragraph 7 is added as follows:

- 7. By decision of the Secretary General of each Region, all Forest Service Regional Directorates can prohibit the access of cars through forest roads so as to protect wild fauna and flora and their biotopes. By this decision, time and place of the prohibition are specified as well as every exception with relation to farmers, shepards and so on. In article 68 of law 998/1979, a new paragraph 3 is added as follows:
- 3. All persons who have committed the infringements of the provisions of decision 7 of article 15 are fined from 50.000 GRD. up to 150.000 GRD. and sent to prison from two (2) up to six (6) months.

#### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No No information	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes No No information	
	Comments:		
17 new	Have specimens been marked to establish whether they were born and bred in captivity or artificially propagated? (In accordance with Commission Regulation (EC) No 1808/2001 Article 36),	Yes No No information	
	Comments:		
18 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c))  Comments:	Yes No No information	

#### D. Administrative measures

## D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	
10 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2),	Yes No No information	

## D2 Scientific Authority (SA)

8b		arch activities be	(s) in	Yes			
	relation to non	CITES listed sp	ecies?			No	$\boxtimes$
						No informatio	n 🗌
9b	If Yes, please gi	ive the species nar	me and provide de	etails of th	e kind of researc	ch involved.	
	Species name Populations Distribution Off take Legal trade					Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
						No information	on 🗌
11		ientific Review (	Group (SRG) me	etings ha	ve the SA	Number	8
new	attended?						ÜÜ
	Indicate any dif	ficulties that rende	ered attendance to	the SRG	difficult:		

#### D3 Enforcement Authorities

6	Has a liaison officer/focal point for CITES been nominated within each relevant your country?  Yes	enforcement authority	in 🖂
new		_	
	No		
		nder consideration	
		information	Ш
	FOR CUSTOMS AUTHORITY:		
	33 <sup>RD</sup> DIVISION OF CUSTOMS LAW ENFORCEMENT		
	SECTION A', MISS V. BARKI (TEL. NO: 0030 210 7224770, , $\underline{\text{E-MAII}}$	L: d33-a@otenet.gr)	
	SECTION E', MISS G. VELANA (TEL. NO: 0030 210 3238252, <u>E-MA</u>	IL: d33eltel@otenet.g	<u>r)</u>
	FOR POLICE:		
	HELLENIC POLICE HEADQUARTERS/GENERAL POLICING DIVI	SION	
	POLICE CAPTAIN: MR. CHRISTOS MANOURAS		
	POLICE CAPTAIN: MR. CHRISTOS PLATIS		
	TEL: 0030 210 6926908		
	FAX: 0030 210 6977374		
	E-MAIL: genpoldi@mopo.gr		
	ADDRESS: 4, KANELLOPOULOU STREET		
	101 77, ATHENS GREECE		
	101 77, ATTIENS GREECE		
1b 3b	Is Regulation-related information in your country computerized on?  - Annex D listed species  - Other matters not reported on in question D4 (1) (please specify)  Do you have an electronic information system providing information on Regulation-listed species?	Tick if appli	
	Regulation-listed species?	No	
		No information	
D5 Per	mitting and registration procedures		
9b	Has the Management Authority charged fees for any Regulation-related matters	Yes	
	not covered in question D5 (9)?	No	$\boxtimes$
		No information	
D8	Areas for future work		
2b	Has your country encountered any difficulties in implementing specific	Yes	
	suspensions or negative opinions adopted by the European Commission? (In	No	$\boxtimes$
	accordance with Article 4 (6))	No information	
4b	II	3.7	
ì	Have any constraints to implementation of the Regulation, not reported under	Yes	
	question D8(4), arisen in your country requiring attention or assistance?	Yes No	
	question D8(4), arisen in your country requiring attention or assistance?	No No information	
7 bis new		No No information	

# HUNGARY

## A. General information

Party	HUNGARY
Period covered in this report:	1 January 2005 to 31 December 2006
Details of agency preparing this report	Ministry of Environment and Water EU and International Department Biodiversity Section CITES Management Authority Address: H-1011 BUDAPEST, Fő u. 44-50. Phone: +361 395 6857 Fax: +361 275 4505 Email: cites@mail.kvvm.hu
Contributing agencies, organizations or individuals	Report compiled by. Levente KŐRÖSI korosil@mail.kvvm.hu

# B. Legislative and regulatory measures

1	Has information on CITES- under the CITES National I			eady been provided	Yes (	fully) partly)	
	If yes, ignore questions 2, 3		roject.		No	partry)	H
	ir yes, ignore questions 2, s					formation	n/unknown
2	If your country has planned	, drafted or e	enacted an	y CITES-relevant les	gislation, p	lease pro	vide the following
	details:		·		, , , , , , , , , , , , , , , , , , ,		
	Brief description of	f contents:					
3	Is enacted legislation availa	ble in one of	the worki	ng languages of the	Yes		
	Convention?				No		
						formation	
4	If yes, please attach a copy		gislative te	ext or key legislative	_	tion attac	
	provisions that were gazette	ed.				led previo	
	7771 1 21 21 1						vill send later
5	Which of the following issu your country has adopted for				neasures th	at Tick	call applicable
	(in accordance with Article						
	(in accordance with rittere		The condit	/	The	complete	prohibition of:
	Issue	Yes	No	No information	Yes	No	No information
	Trade						
	Taking						
	Possession						
	Transport						
	Other (specify)						
	Additional comments	The nation	nal legisla	tion requires the d	eclaration	of all sp	ecimens of App. I.
				tebrate species and			
				mmals, birds (wit			
				nust be individual if the specimen wa			
				fies the origin for			
				y. Keeping of spe			
				chment for details		-	

	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities				
	Clarity of legal obligations				
	Control over CITES trade				
	Consistency with existing policy on wildlife management and use				
	Coverage of law for all types of offences				
	Coverage of law for all types of penalties				
	Implementing regulations				
	Coherence within legislation				
	Other (please specify):				
	Please provide details if available:				
	The legislation was reviewed by the O	CITES Secretariat	under the NLP	and was put	to Category 1
7	If no review or assessment has taken pl reporting period?	lace, is one planned	for the next	Ye Ne Ne	
	Please provide details if available:				
8	Has there been any review of legislatio relation to implementation of the Conv		ubjects in	Tick a	all applicable
	Subject		Yes	No	No information
	Access to or ownership of natural resou	urces			
	Harvesting				
	Transporting of live specimens				
	Handling and housing of live specimen	ıs			
	Please provide details if available:				
9	Please provide details of any additional	l measures taken:			
	C. Complian	nce and enforcer	nent measur	es	
				Yes N	o No information
1	Have any of the following compliance	e monitoring operation	ons been underta	aken?	
	Review of reports and other information producers:	on provided by trade	ers and		
	Inspections of traders, producers, mark	kets			
	Border controls				
	Other (specify)				
2	Have any administrative measures (e.s	g., fines, bans, suspe	nsions)		

What were the results of any review or assessment of the effectiveness of

CITES legislation, with regard to the following items?

Tick all applicable

If Yes, please indicate how many and for what types of violations? If available, please attach details as

 $\boxtimes$ 

been imposed for CITES-related violations?

Have any significant seizures, confiscations and forfeitures of

Annex. Annex attached

CITES specimens been made?

3

5	If information available:		Numb	er
	☐ Significant seizures/confiscations			
	☐ Total seizures/confiscations			
	If possible, please specify per group of species or attach details on annex.			
6	Have there been any criminal prosecutions of significant CITES-related violations?			
7	If Yes, how many and for what types of violations? If available, please at	ttach det	ails as Anne	ex.
8	Have there been any other court actions of CITES-related violations?			
9	If Yes, what were the violations involved and what were the results? Please atta	ach detail	ls as Annex.	
10	How were the confiscated specimens generally disposed of?		Ti	ck if applicable
	Return to country of export			$\boxtimes$
	Public zoos or botanical gardens			$\boxtimes$
	Designated rescue centres			$\boxtimes$
	<ul> <li>Approved, private facilities</li> </ul>			
	– Euthanasia			
	- Other (specify)			
	is a separete quarantine station at the Budapest Zoo and Botanical G near the Serbian border of Hungary at the Szeged Zoo for rehoming municipal zoos also function as rescue centers if necessary. In several cases confiscated live tortoises were sent back and released cooperation with the management and scientific authorities of the con-	confiscation confi	ated live an	imals. Other origin in
	Testudo hermanni were returned to Romania in 2004. 320 live Testud Greece in 2002 and in 1997, 184 live Testudo graeca to Turkey.	lo herme	enni were re	eturned to
	Testudo hermanni were returned to Romania in 2004. 320 live Testud	lo herme arian Na n at Fer otion, th	<i>nni</i> were re tural Histo ihegy Inter	eturned to ry Museum, national
11	Testudo hermanni were returned to Romania in 2004, 320 live Testudo Greece in 2002 and in 1997, 184 live Testudo graeca to Turkey.  Confiscated dead specimens are stored in the collection of the Hunga used for training or for public awareness purposes, such as exhibition Airport.  Euthanasia of live specimens is provided in the legislation as a last op cases, where there were health implications with regard to the specimens.	nrian Na n at Fer ption, th	<i>nni</i> were re tural Histo ihegy Inter	eturned to ry Museum, national
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	Comments:
17	Please provide details of any additional measures taken:

## D. Administrative measures

# D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	
2	If Yes, please use the opportunity to provide those changes here.		
	Management Authority		
	Ministry of Environment and Water EU and International Department Biodiversity Section		
	Address: H-1011 BUDAPEST		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	
5	If Yes, please name that MA and indicate whether it is identified as the lead? The MA is the Ministry of Environment and Water, EU and Internation Section, which is indicated box 2. There are regional enforcement author branch offices for environment, nature and water) responsible for the re registration. The list of inspectorates is attached to the report.  How many staff work in each MA?	al Department, Biodiv rities (10 inspectorates	versity and 2
,	• In the leading MA: 4 persons deal with CITES issues, 2 in full ti (altogether 330 %)	me, 1 in 80 %, 1 in 50	%
	• At regional inspectorates: 12 staff deal with CITES enforcement each + 2 at branch offices). They are responsible for CITES enforcement The percentage dealt with CITES largely differs by region.		
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes No No information	
7	If yes, please give estimation: see answer to question 5 What are the skills/expertise of staff within the MA(s)?	Tick if ap	nlicable
,	- Administration - Biology - Economics/trade - Law/policy - Other (specify): - No information	TICK II a	
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	

9	If Yes, please give the species name and provide details of the kind of research involved.  Please find attached the list of research activities that involves CITES species in Hungary, lead by nature conservation agencies in cooperation with scientific institutions and NGOs. These researches are not "CITES-related" in the strict sense, but related with CITES species occur in Hungary and protected by national legislation. These species are not allowed to be traded at all, they can only be caught, collected or kept exclusively for the interest of nature conservation or other public interest purposes.
10	Please provide details of any additional measures taken

#### D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	
2	If Yes, please use the opportunity to provide those changes here.  Scientific Authority  Ministry of Environment and Water  State Secretariat for Nature and Environmental Protection		
	Address:: H-1011 BUDAPEST		
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	
4	What is the structure of the SA(s) in your country?	Tick if a	pplicable
	<ul> <li>Government institution</li> </ul>		
	Academic or research institution		
	<ul> <li>Permanent committee</li> </ul>		
	<ul> <li>Pool of individuals with certain expertise</li> </ul>		
	- Other (specify)		$\boxtimes$
5	How many staff work in each SA on CITES issues? 1 staff.  Individual experts give scientific support occasionally, particularly in ic caviar, DNA tests)	dentification (eg.: timb	er,
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation: 80%	Yes No No information	
7	What are the skills/expertise of staff within the SA(s)?	Tick if a	pplicable
	- Botany		
	- Ecology		
	– Fisheries		
	– Forestry		$\boxtimes$
	– Welfare		
	– Zoology		
	- Other (specify)		
	- No information		
8	Have any research activities been undertaken by the SA(s) in	Yes	$\boxtimes$
	relation to CITES species?	No	
	See 8-9 in D1 (23 species)	No information	

9	If Yes, please g	ive the species na	ame and provide d	letails of th	e kind of resear	ch involved.	
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						(1 )/
	2						
	3						
	etc.						
						No informati	on $\square$
10		ect proposals fo under Resoluti	or scientific resea ion Conf. 12.2?	ırch been	submitted to	Yes No No informati	on
11	Please provide of	details of any add	litional measures t	aken:			
D3	Enforcement Au	thorities					
1			d the Secretariat o			Yes	$\boxtimes$
		have been design formation related	ated for the receip	ot of confid	lential	No	
	enforcement inf	formation related	to CITES?			No information	
2		~	e (with address, pl y (contact details				
3			pecialized unit res			Yes	$\boxtimes$
		ment (e.g. within rosecutor's office	the wildlife depart	tment, Cus		No	. 📙
	ponee, paone pr	rosecutor s office	<i>.</i> ):			Under considerat No information	ion
4	If Yes, please st	tate which is the l	lead agency for en	forcement	: CITES Mana	gement Authori	ty
5	Please provide	details of any add	litional measures t	taken:			
∟ D4	Communication.	information ma	nagement and exc	change			
1	1	-	ation in your coun		terized?	Tio	ck if applicable
	– Monitor	ing and reporting	of data on legal to	rade			
	– Monitor	ring and reporting	of data on illegal	trade			
	– Permit is	ssuance					$\boxtimes$
	– Not at al	11					
			tion of CITES sp				$\boxtimes$
	intranet to it. The to being an inner system and have Designated inspetthe system, but of MA.	nis means Intern r system. Manag e the right to deto ectors are entitle certain data can	stry, and all inspo et connection is r gement Authority ermine the level o ed to add data on only be included	needed and y is the sup of access to the specin or amend	d that it is secu pervisor of the o the database. mens, keepers o led by the centi	re due etc., to ral	
	<ul> <li>see details on t domestic measure</li> </ul>		requirement in th	ie attachm	ent on stricter		

2	Do the following auth	orities h	ave access t	o the Inte	ernet?			Tick if applicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all		vide details where propriate
	Management Authority	$\boxtimes$						
	Scientific Authority							
	Enforcement Authority						have unrestric Internet. Polic access only to a of the Police. The Management A CITES relevant (regulations, a information or contact details other enforcemental was public	the Intranet service Therefore the Authority compiled
3	Do you have an electr species? The Green Parrot Procustoms and police		-	_			No	_
4	If Yes, does it provide	de infor	mation on:					Tick if applicable
	– Legislation (na				nal)?			
	- Conservation	status (na	ational, regi	onal, inte	rnational)	)?		
	- Other (please	specify)?	' Identificat	tion				$\boxtimes$
5	Is it available through  Please provide URL:	the Inte	rnet:					
6	Do the following auth	orities h	ave access t	o the foll	owing pu	blications	?	Tick if applicable
	Pul	blication				gement hority	Scientific Authority	Enforcement Authority
	2003 Checklist of CIT	ES Spec	ies (book)			$\boxtimes$		
	2003 Checklist of CIT Appendices (CD-RON		ies and Ann	otated		$\boxtimes$		
	Identification Manual					$\boxtimes$		
	CITES Handbook					$\boxtimes$	$\boxtimes$	

7	If not, what problems have been encountered to access to the	ne mentioned	informat	tion?	
8	Have enforcement authorities reported to the Management	Authority on:		Tick if app	licable
	– Mortality in transport?	•			
	Seizures and confiscations?				
	Discrepancy in number of items in permit and number of	of items actua	lly trade	d?	$\boxtimes$
	Comments: If there are more specimens in the shipment				
	additional specimens are reported and seized.	•	,		
9	Is there a government website with information on CITES a	and its		Yes	$\boxtimes$
	requirements?			No	
				No information	Ш
	If Yes, please give the URL: www.cites.hu	-			
	Basic information on CITES, contact details, legislation downloadable documents (such as application forms) ar				
	available, however the new CITES website is under cons		d will		
	be available at the same address soon.				
10	Have CITES authorities been involved in any of the following about better accessibility to and understanding of the			Tick if app	olicable
	requirements to the wider public?				
	Press releases/conferences				
	Newspaper articles, radio/television appearances				
	- Brochures, leaflets				
	– Presentations				$\boxtimes$
	– Displays				$\boxtimes$
	Information at border crossing points				
	- Telephone hotline				
	- Other (specify): CITES public awareness campaig	gns			
	Please attach copies of any items as Annex. See Annex about public awareness campaigns	out CITES			
11	Please provide details of any additional measures taken:				
D5	Permitting and registration procedures				
1	Have any changes in permit format or the designation and si			Yes	$\boxtimes$
	empowered to sign CITES permits/certificates been reported Secretariat?	previously t	o the	No Not applicable	片
	Societalia.			No information	H
	If no, please provide details of any:				
	Changes in permit format:				
	Changes in designation or signatures of relevant officials				
2	To date, has your country developed written permit procedur following?	res for any of	the	Tick if applicable	2
		Yes	No	No informat	ion
	Permit issuance/acceptance				
	Registration of traders				
	Registration of producers				

3	Please indicate how many CITES documents were issued or denied in the two year period?							
	(Note that actual trade is norma	lly reported in the	Annual Re	port by Par	ties. This qu	uestion refers to issu	ıed	
	documents).		1					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments		
	How many documents were issued?	243	44	6				
	How many applications were denied because of severe ommissions or misinformation?	0	0	0				
	Year 2 How many documents were issued?	175	20	6				
	How many applications were denied because of severe ommissions or misinformation?	0	0	0				
4	Were any CITES documents that	at were issued later	cancelled	and replace	ed because	Yes		
	of severe ommissions or mis-in	formation?				No	$\boxtimes$	
						No information		
5	If Yes, please give the reasons							
6	Please give the reasons for recountries.	ejection of CITES	documen	ts from otl	ner 	Tick if applicable		
	Re	ason		Ye	s No	No informati	on	
	Technical violations							
	Suspected fraud							
	Insufficient basis for finding of							
	Insufficient basis for finding of	<del></del>						
	Other (specify): EU import sus opinions and domestic legislat	tion on nature con	servation					
7	Are harvest and/or export quota	s as a management	tool in the	e procedure	for	Yes		
	issuance of permits?					No		
	Comments					No information	Ш	
8	How many times has the Scient	ifia Authority boom	raguagtad	to provide	aniniana?			
٥	CITES species native to Hung					sionally requests		
	opinion from the SA in case of							
	specimens before import.							
9	Has the Management Authority related CITES activities?	charged fees for p	ermit issua	nce, registi	ation or	Tick if app	olicable	
	<ul> <li>Issuance of CITES doc</li> </ul>	uments:					$\boxtimes$	
	<ul> <li>Licensing or registratio</li> </ul>	•	t produce (	CITES spec	eies:			
	<ul> <li>Harvesting of CITES-li</li> </ul>	sted species:						
	<ul> <li>Use of CITES-listed sp</li> </ul>							
	<ul> <li>Assignment of quotas f</li> </ul>		ecies:					
	<ul> <li>Importing of CITES-lis</li> </ul>	•						
	Other (specify): issuan cert. of origin and EC certific		uments (bi	reeding cer	tificates,			
10	If Yes, please provide the amou							
	export, import permits and re EUR), and 2000 HUF (about 8							
11	documents.	and for the '	omtoti:	COTTEG		TP: _1 'C	1: -1 1	
11	Have revenues from fees been u	ised for the implen	ientation o	I CITES or		Tick if app	nicable	

	– Entirely:	
	- Partly:	$\boxtimes$
	– Not at all:	
	– Not relevant:	
	Comments: The revenues goes to the Ministry's and the inspectorates' budget and indirectly used also for the implementation of CITES	
12	Please provide details of any additional measures taken:	

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?										
	Increased budget for activities	$\boxtimes$									
	Hiring of more staff			nse of tec oring/enf	pment for						
	Development of implementation tools		Comp	uterisatio	n						
	- Other (specify): <b>Training</b>										
2	Have the CITES authorities in your country activities provided by external sources?	been th	e <i>recipie</i>	nt of any	of the	e follo	owing capacity building				
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?				
	Staff of Management Authority						Other MAs and SAs, CITES Secretariat, enforcement bodies				
	Staff of Scientific Authority						Other SAs, EU Commission				
	Staff of enforcement authorities						German CITES MA, UK Customs CITES Team - Heathrow, Norwegian Police, RSPCA, TRAFFIC				
	Traders										
	NGOs										
	Public										
	Other (specify)										

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?									
	Please tick boxes group and which  Target group		te which ta	rget Oranitten	advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Managen	ment Auth	nority		$\boxtimes$	NO. 00 THE REST OF				To inspectorates, customs, police, veterinary and phytosanitary
	Staff of Scientific	e Authori	ty							authorities, universities To inspectorates, customs, universities
	Staff of enforcem	nent autho	orities							
	Traders									
	NGOs									
	Public			[						
	Other parties/Inte	ernational	meetings							
	Other (specify)			[						
4	Please provide de	etails of a	ny addition	al measur	es ta	ken				
D7 Co	ollaboration/co-op	erative i	initiatives							
1	Is there an inter-a	agency or		oral comm	ittee	on CITI	ES?			Yes
2	Is there an inter-a		inter-secto							No 🖂
	If Yes, which ag	encies are	e represente	ed and how	w often	en does i	it meet?			No 🖂
2	If Yes, which age If No, please ind	encies are	e represente	ed and how	w often	en does i	it meet?	others		No information   IA to ensure co-ordination  Other (specify)
2	If Yes, which age If No, please ind	encies are	e represente e frequency (e.g. other)	ed and how of meetir MAs, SA	w often	en does i r consult Customs,	it meet?	others	): No	No information   IA to ensure co-ordination  Other (specify)
2	If Yes, which age If No, please ind among CITES at	encies are icated the ithorities	e represente e frequency (e.g. other) Weekly	of meetir MAs, SA Monthly	w often	en does i r consult Customs, .nnually	ancies us police, o	others	): No	No information   IA to ensure co-ordination  Other (specify)
2	If Yes, which ago If No, please ind among CITES au Meetings	pencies are icated the athorities Daily	e represente e frequency (e.g. other i	of meetir MAs, SA  Monthly	w often	en does i r consult Customs, .nnually	it meet? ancies us police, o	others	): No ormation	No information   IA to ensure co-ordination  Other (specify)  Daily coordination with inspectorates through a CITES mailing list, personal cooperation with SA on a daily basis, frequent contact to customs and police
2 3	If Yes, which ago If No, please ind among CITES at Meetings Consultations	encies are icated the athorities  Daily  Evel have	represente frequency (e.g. other Weekly	of meetir MAs, SA Monthly	w often	en does i r consult Customs, .nnually	it meet? ancies us police, o	info	): No ormation	No information   IA to ensure co-ordination  Other (specify)  Daily coordination with inspectorates through a CITES mailing list, personal cooperation with SA on a daily basis, frequent contact to customs and police
2 3	If Yes, which age If No, please ind among CITES at  Meetings Consultations  At the national le collaborate with:	Daily  Daily  Evel have  relopment or territor	there been and trade	of meetir MAs, SA Monthly	w often	en does i r consult Customs, .nnually	it meet? ancies us police, o	info	): No ormation	No information   IA to ensure co-ordination  Other (specify)  Daily coordination with inspectorates through a CITES mailing list, personal cooperation with SA on a daily basis, frequent contact to customs and police  Details if available  Inspectorates, customs and police

	Trade or other private sector associations			
	NGOs		RSPCA,TRA WWF, RI Foundati	EX
	Other (specify)			
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	,	Tick if ap	plicable
	SA			
	Customs			$\boxtimes$
	Police			$\boxtimes$
	Other border authorities (specify)	Border police		
	Other government agencies			
	Private sector bodies			
	NGOs			
	Other (specify)			
6	Has your country participated in any regional activities related to CITES?		Tick if ap	plicable
	Workshops			$\boxtimes$
	Meetings			$\boxtimes$
	Other (specify)			
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information		
8	If Yes, which one(s) and in what way?			
9	Has your country provided technical or financial assist country in relation to CITES?	stance to another	Yes No No information	
10	If Yes, which country(ies) and what kind of assistance was	provided?		
11	Has your country provided any data for inclusion in the CI Manual?	TES Identification	Yes No No information	
12	If Yes, please give a brief description.			
13	Has your country taken measures to achieve co-ordination aduplication of activities between the national authorities for multilateral environmental agreements (e.g. the biodiversity Conventions)?	r CITES and other	Yes No No information	
14	If Yes, please give a brief description.			
	As the Biodiversity Department of the Ministry of Envi multilateral agreements on nature conservation signed the duplication of activities is exluded.			
15	Please provide details of any additional measures taken:			

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implevel and what is the respective level of priority?	olementat	tion at the na	ational
	Activity	High	Medium	Low
	Increased budget for activities			
	Hiring of more staff			
	Development of implementation tools			
	Improvement of national networks			
	Purchase of new technical equipment for monitoring and enforcement			
	Computerisation			$\boxtimes$
	Other (specify)			
2	Has your country encountered any difficulties in implementing specific	Yes		
	Resolutions or Decisions adopted by the Conference of the Parties?	No		$\boxtimes$
		No info	rmation	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country	Yes		
	requiring attention or assistance?	No		
		No inf	ormation	
5	If Yes, please describe the constraint and the type of attention or assistance that is	required	l	
6	Has your country identified any measures, procedures or mechanisms within the	Yes		
	Convention that would benefit from review and/or simplification?	No		
		No inf	ormation	
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	$\boxtimes$
C3	Details of violations and administrative measures imposed	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for	Enclosed	$\boxtimes$
	educational or public awareness purposes,	Not available	
		Not relevant	
	Comments		

# ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:									
	Title and date:			Status:						
	Brief description of conte	nts:								
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>6</sup> ?									
	See Annex attached on s									
	Tick all applicable categor	ories below th	nat these ca	tegories apply to.						
			The condi	tions for:	The	complete	prohibition of:			
	Issue	Yes	No	No information	Yes	No	No information			
	Trade									
	Taking									
	Possession									
	Transport									
	Other (specify)									
	Additional comments	The stricte	r measure	es relating to keepin	g and reg	istration o	f specimens, refers			
		also to non	-CITES A	annex A and B anin	ıals.					
8b	Has there been any review relation to implementation			ollowing subjects in						
					Yes	No	No information			
	Introduction of live Regu Community that would the (in accordance with Artic	reaten the in	digenous f							
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).									
	Please provide details if a	available,		1	•					

<sup>&</sup>lt;sup>6</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

Please provide details of maximum penalties that may be imposed for Regulation-related violations, or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).

According to the Criminal Code, illegal purchase, possession, sale, import, (re-)export, transport through the territory of Hungary, trade in or killing of specimens of species listed in Annex A and B is a criminal offence and must be punished by up to 3 years imprisonment.

According to the national CITES regulation, any person not meeting, or not adequately meeting his/her obligation according to the Convention and EC Wildlife Trade Regulations, shall pay nature conservation penalty specified in the provisions of a separate law, moreover the Management Authority shall oblige him/her for the compensation of costs incurred with the seizure and confiscation, including the cost of storage, transportation respectively. The nature conservation penalty can be 50.000-100.000 HUF (approx.: 200-400 EUR) in case the species is not native protected. If the species is protected, the amount of the fine is based on the "conservation value" of the species - which is determined by a ministerial decree - and must be imposed per specimen.

# C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No	
		No information	
16b	Has there been any review or assessment of Regulation-related	Yes	
	enforcement, in addition to that reported under C (16) above?	No	$\boxtimes$
		No information	
	Comments:		
17	Have specimens been marked to establish whether they were born and bred	Yes	$\boxtimes$
new	in captivity or artificially propagated?	No	
		No information	
	Comments: see Annex on stricter measures, marking of Annex A and cers specimens is required, means are in accordance with Commission Regula used in case of juvenile tortoises		tion is
18	Have any monitoring activities been undertaken to ensure that the intended	Yes	$\boxtimes$
new	accommodation for a live specimen at the place of destination is adequately	No	
	equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c))	No information	
	Comments: Inspectorates occasionally check housing conditions		
19	Have any monitoring activities been undertaken to ensure that live animals	Yes	
new	are transported in accordance with Article 9 paragraph 5?	No	$\boxtimes$
		No information	
	Comments: transport conditions are occasionally checked by customs ser	vice	
20	Have any captive breeding facilities for Regulation-listed species been	Yes	$\boxtimes$
new	registered?	No	
		No information	
	If yes, please provide details: see Annex on stricter measures		

#### D. Administrative measures

#### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	
10 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2),	Yes No No information	
11 new	If yes, what were the outcomes?		

D2	Scientific Author	rity (SA)						
8b	_	earch activities be n CITES listed sp		by the SA	(s) in	Yes No No informatio	□ ⊠ n □	
9b	If Yes, please g	ive the species na	me and provide de	etails of the	e kind of researc	h involved.		
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	1							
	2							
	3							
	etc.			2				
						No information	on 🗌	
11 new	How many Sc. All but one	ientific Review (	Group meetings	have the	SA attended?	Number		
D4	Communication,	information man	nagement and exc	hange				
1b	Is Regulation-re	elated information	in your country c	omputeriz	ed on?	Tic	k if applicab	le
	– Annex I	D listed species					Г	٦
	ł	atters not reported	l on in question D	4 (1) (plea	ase specify)		Г	_ 
3b		electronic inform				Yes		Ī
	Regulation-liste			8		No		
						No inform		
D5	Permitting and re	egistration proced	lures					
9b	Has the Manage	ement Authority c	harged fees for an	y Regulati	ion-related matte	rs Yes		$\leq$
		question D5 (9)?				No		]
	EC Article 10 o	certificates – fee i	is 2000 HUF (app	oroximate	ly 8 EUR)	No inform	ation	_
D8	Areas for futu	re work						
2b		ry encountered any				Yes		Ī
		negative opinions a Article 4 (6))	adopted by the Eu	iropean Co	ommission? (In	No		
41				1.4	1 1	No inform		<u> </u>
4b		raints to implemer , arisen in your co				Yes No	<u>Г</u>	$\leq$
	440000011 20(4)	,	ana j roquimg at	controll of	assistance:	No inform	L nation Γ	$\exists$
	controlling the	d several times by movement and l there is no comn	egality of specim	ens listed	in Annex B (evo			_

without having a common procedure for registration and documentation. This causes difficulties in enforcement as the documents required by Article

8(5) are often missing or does not contain the relevant information.

Consultation in such cases is an administrative burden which is often not

successful or does not lead to a result.

# **IRELAND**

#### A. General information

Party	Ireland
Period covered in this report: 1 January 2003 to 31 December 2004	1 January 2005 to 31 December 2006
Details of agency preparing this report	National Parks and Wildlife Service, Department of the Environment, Heritage and Local Government
Contributing agencies, organizations or individuals	CITES Management Authority CITES Scientific Authority Customs

#### B. Legislative and regulatory measures

		_		•				
1	Has information on CIT provided under the CIT If yes, ignore questions	ES Natio	nal Legisl	•	Yes ( No No	(fully) (partly)	/unknown	
2	If you country has plant provide the following d		ed or ena	cted any CITES-				
	Title and date:		S	Status:				
	Brief description of con	itents:						
3	Is enacted legislation av languages of the Conve		n one of th	ne working	Yes No No ii	nformat	ion	
4	If yes, please attach a collegislative provisions th			slative text or ke	provi		tached viously , will send	
5	Which of the following measures that your cour (in accordance with Art	ntry has a	dopted fo	r CITES-listed s		c Tic	k all applica	ble
		Т	he condit	ions for:	The co	mplete	prohibition	of:
	Issue	Yes	No	No information	Yes	No	No informa	ation
	Trade Taking Possession Transport Other (specify) Additional comments:		_	of native CITES				
	licence under the Irish V 2000). Possession licen	Wildlife A	Act, 1976	(as amended by				

6	What were the results of any review CITES legislation, with regard to the			ess of	Tick all applicable
	Item	Adequate	Partially Inadequate	Inadequat e	No information
	Powers of CITES authorities	$\boxtimes$			
	Clarity of legal obligations				
	Control over CITES trade				
	Consistency with existing policy on wildlife management and use				
	Coverage of law for all types of offences				
	Coverage of law for all types of penalties				
	Implementing regulations				
	Coherence within legislation				
	Other (please specify):				
	Please provide details if available				
	Review found poor level of awar			-	
7	If no review or assessment has ta	ken place, is o	ne planned for	Ye	
	the next reporting period?			No	
				No int	o formation
	Please provide details if available	e:			ioimation
8	Has there been any review of leg subjects in relation to implement			Tick a	ll applicable
	Subject		Yes	No	No information
	Access to or ownership of natura	l resources			
	Harvesting				
	Transporting of live specimens				
	Handling and housing of live spe	ecimens			
	Please provide details if available	e:			
9	Please provide details of any add	itional measure	es taken:		
	C. Complian	nce and enforce	ement measures	6	
				Yes No	No information
1	Have any of the following comp	oliance monitor	ring operations	been underta	aken?
	Review of reports and other info traders and producers:	ormation provid	ded by		
	Inspections of traders, producers	s, markets			
	Border controls				
	Other (specify)				
I	( T )			_	

2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?				
3	If Yes, please indicate how many and for what types of viola attach details as Annex. Offering of Annex A (Appendix I) s requisite certificates)				
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?				
5	If information available:  Significant seizures/confiscations  Total seizures/confiscations  If possible, please specify per group of species or attach details on annex. Details attached on annex.		Num	lber	
6	Have there been any criminal prosecutions of significant CITES-related violations?				
7	If Yes, how many and for what types of violations? If availa Annex.	ble, plea	ase attac	h deta	ils as
8	Have there been any other court actions of CITES-related violations?				
9	If Yes, what were the violations involved and what were the rest	ults?			
10	How were the confiscated specimens generally disposed of?		Tic	k if ap	plicable
	Return to country of export				
	Public zoos or botanical gardens				$\boxtimes$
	Designated rescue centres				
	Approved, private facilities    Continuous   Continu				
	- Euthanasia				
	Other (specify)  Comments:	·			
11	Has your country provided to the Secretariat detailed information significant cases of illegal trade (e.g. through an ECOMESSAGE of other means), or information on convicted illegal traders and persistent offenders?	r	Yes No Not appl No infor		
	Comments:				
12	Has your country been involved in cooperative enforcement		Yes		$\boxtimes$
	activities with other countries		No Na		
	(e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?		No informa	tion	
13	If Yes, please give a brief description: Informal liaison with	Netherl	ands, Ul	K, Bel	gium
14	Has your country offered any incentives to local communities	es to	Yes		
	assist in the enforcement of CITES legislation, e.g. leading t	0	No		$\boxtimes$
	the arrest and conviction of offenders?		No		
			informa	tion	
15	If Yes, please describe:				

16	Has there been any review or assessment of CITES-related enforcement?	Yes No Not applicable No information	
	Comments: Scope for better enforcement; most offences technical are possible causes for concern	eal but caviar and tir	nber
17	Please provide details of any additional measures taken:  Case has been made for increased resources; awareness cam	paign planned for	2008

#### D. Administrative measures

# D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact	Yes	
	information for the MA(s) in your country which are not yet	No	Ц
	reflected in the CITES Directory?	No	
		information	
2	If Yes, please use the opportunity to provide those changes here. <b>principle CITES contact</b>	Mr Gerry Leckey	is is
3	If there is more than one MA in your country, has a lead MA	Yes	
	been designated? There is only one MA for Ireland	No	
		No information	
4	If Yes, please name that MA and indicate whether it is identified CITES Directory.	as the lead MA in	the
5	How many staff work in each MA? 1.5 Staff in total		
6	Can you estimate the percentage of time they spend on CITES	Yes	$\boxtimes$
	related matters?	No	$\Box$
		No information	一
	If yes, please give estimation:		
	.5 staff member 75% 1 staff member 20%		
7	What are the skills/expertise of staff within the MA(s)?	Tick if app	licable
	– Administration		$\boxtimes$
	– Biology		
	– Economics/trade		
	– Law/policy		
	- Other (specify)		
	- No information		
		<b>X</b> 7	<u> </u>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling,	Yes	
	tagging, species identification) not covered in D2(8) and D2(9)?	No	
	tagging, species identification) not covered in $D2(3)$ and $D2(3)$ .	No information	Ш
	VOV. 1		1 1
9	If Yes, please give the species name and provide details of the ki	nd of research invo	olved.
10	Please provide details of any additional measures taken:		

# D2 Scientific Authority (SA)

	etc.					No	
	3						
	Dermochelys coriacea	Migrant					Migration patterns
	Orchidacea e	Irish	Yes				Ecology
	Species name	Population s	Distributio n	Off take	Legal trade	Illegal trade	Other (specify)
9			ies name and j				
8	Have any rese relation to CIT		een undertaker	n by the SA	(s) in	Yes No No informa	tion
		rmation					
	- Zoolog	sy (specify)					
	– Welfar						
	– Forestr	У					
	– Fisheri	-					
	- Ecolog						
/	- Botany		e of staff with	m me SA(	5)!	1 ICK	if applicable
7		give estimation		in the SAC	g)9	Tiol.	if annliaghla
						No informa	tion 🗌
6	related matter		ntage of time t	ney spend	on CITES	Yes No	
5	<u> </u>		ch SA on CIT			Vag	$\square$
		(specify)	1.04	EG:			
			ith certain exp	pertise			
	– Permai	nent committe	e				
		mic or research					
7		ment instituti		country!		I ICK	
4	What is the s	tructure of the	SA(s) in your	country?		No informa	if applicable
3	•		ed a Scientific agement Author	•		Yes No	
2	If Yes, please	e use the oppor	rtunity to prov	ide those o	changes here.		
1	information f		n your country			No No informa	tion
1	Have there be	en anv chang	es in the desig	nation of a	or contact	Yes	

								information	
10	Have any project pr				h been	submitte	ed to	Yes	
	the Secretariat unde	er Resolu	ation Conf.	. 12.2?				No	$\boxtimes$
								No	
								information	
11	Please provide det	ails of	any additi	onal mea	sures	taken:			
D3	Enforcement Author	orities							
1	To date, has your	-				-		es	$\boxtimes$
	enforcement authorized receipt of confider			_			N	-	
	CITES?	itiai Cii	iorcemen	ı iiiioiiiiai	1011 10	iaicu io	N	o information	
2	If No, please desig	gnate th	em here (	with addr	ess, p	hone, fa	x and e	mail).	
3	Has your country						-	es	
	for CITES-related department, Custo		, ,	_			N		
	office)?	nns, uic	ponce, p	uone pros	ecuio	1 5		nder consideration	
	,							o information	
4	If Yes, please state								
5	Please provide det	tails of	any additi	onal mea	sures	taken: 1	None		
D4	Communication, in	ıformat	tion mana	igement a	nd ex	change			
1	To what extent is computerized?	CITES	informati	on in you	r coun	itry		Tick if appli	cable
	<ul><li>Monitoring</li></ul>	and rep	orting of	data on le	gal tr	ade			
	– Monitoring	and rep	orting of	data on il	legal t	rade			$\boxtimes$
	– Permit issua	ince							
	– Not at all								
	- Other (speci	ifv)							
2	Do the following a		ies have a	ccess to t	he Int	ernet?		Tick if applie	cable
	<u> </u>							11	
		s T	dr	rent	nly				
		uon	ly ial-ı	ly iffe	es o				
	Authority	Yes, continuous and unrestricted	Yes, but only through a dia connection	t on a d	ffic		Pleas	se provide details wh	iere
		co,	Yes, but on through a d	bu, bugh	e o	at a		appropriate	
		Yes	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all			
	Management								
	Authority	٧٧							
	Scientific								
	Authority	_	-	-	_	_			

	Enforcement Authority		Most regional enforcement sconnection.	lly based staff use a dial	l up
3	Do you have an electronic information sys	stem providing	Ye	S	
	information on CITES species?		No		닏
			No	ormation	Ш
4	If Yes, does it provide information on:		<del></del>		abla
4	Legislation (national, regional or in	ternational)?		Tick if applic	able
	Conservation status (national, region	ŕ	19		
	- Other (please specify)?	nai, internationar	) ·		
5	Is it available through the Internet:		Ye	· · · · · · · · · · · · · · · · · · ·	
	is it available through the internet.		No		
			No	t applicable	
			No		
				ormation	
	Please provide URL: <a href="http://quin.unep-wcr">http://quin.unep-wcr</a> <a href="http://www.eu-wildlifetrade.org/">http://www.eu-wildlifetrade.org/</a>	nc.org/eu/taxono	<u>my/</u>		
	http://www.eu-whametrade.org/				
6	Do the following authorities have access t	o the following p	ublications?	Tick if applicable	
	Publication	Management Authority	Scientific Authority	Enforceme Authority	
	2003 Checklist of CITES Species (book)		$\boxtimes$	$\boxtimes$	
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)	$\boxtimes$		$\boxtimes$	
	Identification Manual				
	CITES Handbook			$\boxtimes$	
7	If not, what problems have been encounte Some problems have been encountered received, which can cause delays in pro	regarding the qu	uantity of inf	ormation	ane.
8	Have enforcement authorities reported to				ck if
8	on:	the Management	Authority	applic	
	– Mortality in transport?				
	<ul><li>Seizures and confiscations?</li></ul>				
	Discrepancy in number of items in period actually traded?	mit and number o	fitems		
	Comments:				
9	Is there a government website with inform	nation on CITES	and Yes		
	its requirements?		No		
	Planned for next year		No i	nformation	
	If Yes, please give the URL:				

10	Have CITES authorities been involved in any of the activities to bring about better accessibility to and of the Convention's requirements to the wider public.	understand		Tick if applic	cable
	<ul> <li>Press releases/conferences</li> </ul>				
	<ul> <li>Newspaper articles, radio/television appear</li> </ul>	ances			$\boxtimes$
	- Brochures, leaflets				$\boxtimes$
	- Presentations				
	– Displays				$\boxtimes$
	<ul> <li>Information at border crossing points</li> </ul>				
	<ul> <li>Telephone hotline</li> </ul>				
	- Other (specify)				
	Please attach copies of any items as Annex. Leafle attached	et			
11	Please provide details of any additional measures	taken:			
D5	Permitting and registration procedures				
<b>D5</b>	Have any changes in permit format or the designation			Yes	
	Have any changes in permit format or the designation of officials empowered to sign CITES permits/cert			No	
	Have any changes in permit format or the designation			No Not applicable	
	Have any changes in permit format or the designation of officials empowered to sign CITES permits/cert			No	
	Have any changes in permit format or the designation of officials empowered to sign CITES permits/cert reported previously to the Secretariat?  If no, please provide details of any:  Changes in permit format:	ificates bee	en	No Not applicable No	
	Have any changes in permit format or the designation of officials empowered to sign CITES permits/cert reported previously to the Secretariat?  If no, please provide details of any:  Changes in permit format:  Changes in designation or signatures of relevant	ificates bee	up	No Not applicable No	
	Have any changes in permit format or the designation of officials empowered to sign CITES permits/cert reported previously to the Secretariat?  If no, please provide details of any:  Changes in permit format:  Changes in designation or signatures of relevant until September 2003 permits were signed by Bo	t officials:	Up loney.	No Not applicable No	
	Have any changes in permit format or the designation of officials empowered to sign CITES permits/cert reported previously to the Secretariat?  If no, please provide details of any:  Changes in permit format:  Changes in designation or signatures of relevant	t officials:	Up loney.	No Not applicable No	
	Have any changes in permit format or the designation of officials empowered to sign CITES permits/cert reported previously to the Secretariat?  If no, please provide details of any:  Changes in permit format:  Changes in designation or signatures of relevant until September 2003 permits were signed by Bosince October 2003 permits have been signed by	t officials: ernard May Jamie M	Up loney. ulleady	No Not applicable No	ole
1	Have any changes in permit format or the designation of officials empowered to sign CITES permits/cert reported previously to the Secretariat?  If no, please provide details of any:  Changes in permit format:  Changes in designation or signatures of relevant until September 2003 permits were signed by Bosince October 2003 permits have been signed by or Peter Carvill.  To date, has your country developed written permits	t officials: ernard May Jamie M	Up loney. ulleady	No Not applicable No information	
1	Have any changes in permit format or the designation of officials empowered to sign CITES permits/cert reported previously to the Secretariat?  If no, please provide details of any:  Changes in permit format:  Changes in designation or signatures of relevant until September 2003 permits were signed by Bosince October 2003 permits have been signed by or Peter Carvill.  To date, has your country developed written permit any of the following?  Permit issuance/acceptance	t officials: ernard Ma y Jamie Mu t procedure	Up loney. ulleady	No Not applicable No information  Tick if applicab	
1	Have any changes in permit format or the designation of officials empowered to sign CITES permits/cert reported previously to the Secretariat?  If no, please provide details of any: Changes in permit format: Changes in designation or signatures of relevant until September 2003 permits were signed by Bosince October 2003 permits have been signed by or Peter Carvill.  To date, has your country developed written permit any of the following?	t officials: ernard Ma y Jamie Mu t procedure	Up loney. ulleady	No Not applicable No information  Tick if applicab	

3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question									
	refers to issued documents Year 1	o issued documents).				Commen	ts			
	How many documents were issued?	14	16	4						
	How many applications were denied because of severe omissions or misinformation?	0	0	0	0					
	Year 2 How many documents were issued?	13	6	2	113					
	How many applications were denied because of severe omissions or misinformation?	0	0	0	0					
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?  No No information									
5	If Yes, please give the reas	sons for this.								
6	Please give the reasons for rejection of CITES documents from other countries.  Tick if applicable									
	Rea	ason		Yes	No	No informa	tion			
	Technical violations									
	Suspected fraud									
	Insufficient basis for finding									
	Insufficient basis for finding									
	Other (specify)									
7	Are harvest and/or export of procedure for issuance of p		agement	tool in the		Yes No No information				
	Comments									
8	How many times has the Scientific Authority is con						? The			
9	Has the MA charged fees for permit issuance, registration or related CITES activities? <b>No</b> Tick if applicable									
	<ul> <li>Issuance of CITES</li> </ul>									
	<ul> <li>Licensing or registration of operations that produce CITES species:</li> </ul>									
	<ul><li>Harvesting of CITE</li></ul>									
	<ul> <li>Use of CITES-listed</li> </ul>									
	<ul> <li>Assignment of quot</li> </ul>									
	<ul> <li>Importing of CITES</li> </ul>		$\bar{\Box}$							

	– Other (specify):									
10	If Yes, please provide the amounts of such fees.									
11	Have revenues from fees been used f CITES or wildlife conservation?	Tick if applicable								
	– Entirely:									
	– Partly:									
	– Not at all:									
	– Not relevant:									
	Comments:									
12	Please provide details of any addition	nal mea	sures ta	aken:						
D6	Capacity building									
1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level? Yes									
	Increased budget for activities		Improvement of national networks							
	Hiring of more staff		Purchase of technical equipment for monitoring/enforcement							
	Development of implementation tools		Computerisation							
	- Other (specify)									
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?									
	Please tick boxes to indicate which target group and which activity.	ral or written vice/guidance	schnical assistance	nancial assistance	aining	ther (specify)	What were the external sources?			
	Target group	Ora	Tec	Fina	Тга	Oth				
	Staff of Management Authority						The Management Authority organised a training seminar, held in November 2004 with speakers from Britian (Customs, Management & Scientific Authorities & the EU Commission)			
	Staff of Scientific Authority									
	Staff of enforcement authorities									
	Traders									

	NGOs	Ш		Ш	Ш	Ш	
	Public						
	Other (specify)						
3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority						Internal training of our regional staff was carried out by Dr. Linda Patton (enforcement authority)
	Staff of Scientific Authority				$\boxtimes$		
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other parties/International meetings						
	Other (specify)						
4	Please provide details of any addition	onal me	asures	taken:			
<b>D7</b>	Collaboration/co-operative initiative.  Is there an inter-agency or inter-sect		mmitte	e on Cl	TES'		es 🔲
	No No information						
2	If Yes, which agencies are represented and how often does it meet?						

3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):								
		Daily	Wee kly	Mont hly	Annually	None	No informati on	Other (specify)	
	Meeting s							The Management and Scientific Authorities and Customs meet 2 – 3 times annually to discuss CITES. There is also regular contact via phone & email. Contacts with the Gardai are currently being arranged	
	Consulta tions								
4	At the national level have there been any efforts to collaborate with:					ick if licable	Details if available		
	Agencies	for dev	elopn	nent an	d trade				
		Provincial, state or territorial authorities							
	Local authorities or communities								
	Indigenous peoples								
	Trade or o		ivate	sector					
	NGOs								
	Other (spe	ecify)							
5	To date, h Understan arrangeme cooperation agreed bet following	nding of ents for on relat tween t	or other or instituted to the MA	r forma utional CITES	al been			Tick if applicable	
	SA								
	Customs								
	Police								
	Other bord	der aut	horitie	s (spec	cify)				
	Other gov	ernmer	nt agei	ncies					
	Private sec	ctor bo	dies						
	NGOs								
	Other (spe	ecify)							
6	Has your or regional a	-	-	-	-			Tick if applicable	
	Workshop	S							

	Meetings		$\boxtimes$
	Other (specify)		
7	Has your country encouraged any non-Party to accede to the	Yes	
	Convention?	No	$\boxtimes$
		No information	
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to	Yes	$\boxtimes$
	another country in relation to CITES?	No	
		No information	
10	If Yes, which country(ies) and what kind of assistance was prove contributed funding to a review of Appendix I species and to Dialogue meeting prior to CoP 14		
11	Has your country provided any data for inclusion in the CITES	Yes	
	Identification Manual?	No	$\boxtimes$
		No information	
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination	Yes	
	and reduce duplication of activities between the national authorities for CITES and other multilateral environmental	No	$\boxtimes$
	agreements (e.g. the biodiversity-related Conventions)?	No information	
	, , , , , , , , , , , , , , , , , , , ,		
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?							
	Activity	High	Medium	Lo				
				W				
	Increased budget for activities			Ш				
	Hiring of more staff							
	Development of implementation tools							
	Improvement of national networks							
	Purchase of new technical equipment for monitoring and enforcement							
	Computerisation							
	Other (specify)							
2	Has your country encountered any difficulties in implementing	Yes						
	specific Resolutions or Decisions adopted by the Conference of	No		$\boxtimes$				
	the Parties?	No inf	Cormation					
3	If Yes, which one(s) and what is the main difficulty?							
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes						

		No No	
		information	
5	If Yes, please describe the constraint and the type of attention or ass required. A shortage of staff available to work on CITES issues	istance that is	
6	Has your country identified any measures, procedures or	Yes	
	mechanisms within the Convention that would benefit from review	No	$\boxtimes$
	and/or simplification?	No	
		information	
7	If Yes, please give a brief description.		
8	Please provide details of any additional measures taken:		

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	$\boxtimes$
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	
		Not relevant	$\boxtimes$
C5	Details of specimens seized, confiscated or forfeited	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	$\square$
		Not available	
		Not relevant	
D4(10)	Details of nationally produced brochures or leaflets on CITES	Enclosed	
- ( )	produced for educational or public awareness purposes,	Not available	П
		Not relevant	$\boxtimes$
	Comments		

#### Annex - Proposed Biennial Report Format Part 2 - Supplementary Questions

Note: Questions in Part 2 are additional to those in Part 1, and relate to information on the provisions of the Regulation that fall outside the scope of CITES.

#### **DRAFT**

## ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:								
	Title and date:			Status:					
	Brief description of conte	nts:							
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>7</sup> ?  Tick all applicable categories below that these categories apply to.								
			The condit		T	he complete	prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information		
	Trade								
	Taking	$\boxtimes$							
	Possession	$\boxtimes$							
	Transport								
	Other (specify)								
	Additional comments	Purp	ose is to pr	otect vulnerable inc	ligenous I	rish and Euro	ppean species		
8b	Has there been any review relation to implementation			ollowing subjects in	1				
					Yes	No	No information		
	Introduction of live Regul Community that would th (in accordance with Artic	reaten the in	digenous f						
	Marking specimens to fac with Article 19, paragraph		fication (in	accordance					
	Please provide details if a	vailable,							
9b	Please provide details of rother additional measures (9). The maximum poimprisonment for a	taken in rela enalty on	ation to imp <b>convicti</b> o	plementation of the on is a fine not e	Regulatio exceedin	n not reporte	d on in question B		

<sup>&</sup>lt;sup>7</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

#### **DRAFT**

#### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken	Yes	
	for Regulation-related violations?	No	$\boxtimes$
		No information	
16b	Has there been any review or assessment of Regulation-related	Yes	$\boxtimes$
	enforcement, in addition to that reported under C (16) above?	No	
		No information	
	Comments: Review of trade in wild birds and in plants listed in Annex V of D	irective 92/43/EEC	
17	Have specimens been marked to establish whether they were born and bred	Yes	$\boxtimes$
new	in captivity or artificially propagated? (In accordance with Commission	No	
	Regulation (EC) No 1808/2001 Article 36),	No information	
	Comments:		
18	Have any monitoring activities been undertaken to ensure that the intended	Yes	$\boxtimes$
new	accommodation for a live specimen at the place of destination is adequately	No	
	equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c)).	No information	
	Comments:		
19	Have any monitoring activities been undertaken to ensure that live animals	Yes	
new	are transported in accordance with Article 9 paragraph 5?	No	
		No information	H
	Comments: Informal monitoring has taken place in a small number of cases.		
20	Have any captive breeding facilities for Regulation-listed species been	Yes	
new	registered?	No	
		No information	
	If yes, please provide details:		
<u> </u>			
	D. Administrative measures		
D1 Ma	nagement Authority (MA)		
8b	Have the MA(s) undertaken or supported any research activities in relation to	Yes	
	non CITES-listed species or technical issues (e.g. species identification) not	No	$\boxtimes$
	covered in D2 (8) and D2 (9)?	No information	
10	Has the Commission and the CITES Secretariat (if relevant) been informed of	Yes	
new	the outcomes of any investigations that the Commission has considered it	No	$\square$
	necessary be made? (In accordance with Article 14 paragraph 2),	No information	H

If yes, what were the outcomes?

11 new No information

D2	Scientific Author	rity (SA)						
8b	_	earch activities be n CITES listed sp		by the SA	(s) in	Yes No No informatio	n $\square$	
9b	If Yes, please g	ive the species na	me and provide de	etails of the	e kind of researc	h involved.		
	Species name	Illegal trade	Other (specify)					
	1	1						
	2							
	3							
	etc.							
	Distribution a	and ecology of v	arious indigeno	us specie	es	No information	on 🗌	
11 new	How many Sc	ientific Review (	Group meetings	have the	SA attended?	Number 7		
						H	# #	
D4	Communication,	information man	nagement and exc	hange		<del>.</del>		
1b	Is Regulation-related information in your country computerized on?  Tick if applic							
	- Annex D listed species							
	- Other m	atters not reported	l on in question D	4 (1) (plea	ase specify)			
3b	Do you have an Regulation-liste	Yes No	×					
	UNEP-WCMC	No inform	ation $\Box$					
<b>D5</b> 9b	Has the Manage	egistration procedement Authority conjugation D5 (9)?		y Regulati	on-related matte	ers Yes No		
		I				No inform	nation 🗌	
D8	Areas for futu	re work						
2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6))						nation	
4b		raints to implement, arisen in your co				Yes No No inform	nation	
							_	

# **ITALY**

#### **Part 1 - CITES Questions**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13 <sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004
* Document as discussed and agreed at COM 34 held on 14 June 2005.

#### A. General information

Party	
Period covered in this report: 1 January 2005 to 31 December 2006	
Details of agency preparing this report	Ministry of Environment, Land and Sea Protection (MA, SA)
Contributing agencies, organizations or individuals	State Forestry Corps (EA)

#### B. Legislative and regulatory measures

1	Has information on CITES- under the CITES National L	egislation F		eady been provided	Yes (	Yes (fully) Yes (partly)		
	If yes, ignore questions 2, 3	and 4.				No No information/unknown		
2	If your country has planned, details:	drafted or	enacted any	y CITES-relevant le	gislation, p	lease pro	vide the follow	ing
	Title and date:		S	Status:				
	Brief description of contents	S:						
3	Is enacted legislation availal	ble in one o	f the worki	ng languages of the	Yes			
	Convention?				No			
					No in	formation	ı	
4	If yes, please attach a copy of provisions that were gazette		egislative te	ext or key legislative	_	tion attac led previo		
					not av	ailable, w	rill send later	
5	Which of the following issu				neasures th	at Tick	all applicable	
	your country has adopted fo							
	(in accordance with Article			/				
			The condit		The	The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No informa	tion
	Trade	$\boxtimes$						
	Taking	$\square$						
	Possession							
	Transport	$\boxtimes$						
	Other (specify)							
	Additional comments							

6 What were the results of any review or assessment of the effectiveness of CITES Tick all application, with regard to the following items?									
	Item	Adequate	Partially Inadequate	Inadequate	No information				
	Powers of CITES authorities								
	Clarity of legal obligations	$\boxtimes$							
	Control over CITES trade	$\square$							
	Consistency with existing policy on wildlife management and use								
	Coverage of law for all types of offences	$\boxtimes$							
	Coverage of law for all types of penalties	$\boxtimes$							
	Implementing regulations								
	Coherence within legislation	$\boxtimes$							
	Other (please specify):								
	Please provide details if available:								
7	If no review or assessment has taken pl reporting period?	ace, is one planne	d for the next	Yes No No	_				
	Please provide details if available:								
8	Has there been any review of legislation relation to implementation of the Conve		g subjects in	Tick a	ll applicable				
	Subject		Yes	No	No information				
	Access to or ownership of natural resou	irces		$\boxtimes$					
	Harvesting			$\square$					
	Transporting of live specimens								
	Handling and housing of live specimen								
	Please provide details if available: Art.	18, art. 30 and art	a. 37 new Reg(CE)	865/06					
9	Please provide details of any additional	measures taken:							

#### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been un	ndertaken?		
	Review of reports and other information provided by traders and producers:			
	Inspections of traders, producers, markets			
	Border controls			
	Other (specify)			
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?			
3	If Yes, please indicate how many and for what types of violations? If Annex. See Annex I	f available, pl	ease attacl	h details as
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?			

5	If information available:		Numb	er	
	☐ Significant seizures/confiscations ☐ Total seizures/confiscations		□ 590		
	If possible, please specify per group of species or attach details on annex.		390		
6	Have there been any criminal prosecutions of significant CITES-related violations?	$\boxtimes$			
7	If Yes, how many and for what types of violations? If available, please at I, not available number of violations	tach det	ails as Ann	ex. See	Annex
8	Have there been any other court actions of CITES-related violations?	$\boxtimes$			
9	If Yes, what were the violations involved and what were the results? Please atta	ch detai	ls as Annex.	See Ar	nnex I
10	How were the confiscated specimens generally disposed of?		T	ick if a	pplicable
	Return to country of export				
	Public zoos or botanical gardens				$\boxtimes$
	Designated rescue centres				$\boxtimes$
	<ul> <li>Approved, private facilities</li> </ul>				$\boxtimes$
	– Euthanasia				
	- Other (specify)				
	Comments:	<u> </u>			
11	Has your country provided to the Secretariat detailed information on		Yes		
	significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offende		No		$\boxtimes$
	incuits), or information on convicted inegal traders and persistent oriende	15.	Not applied		
	Comments:		No informa	ation	
12		<u>.</u>	Yes		
12	Has your country been involved in cooperative enforcement activities wit other countries	.11	No		
	(e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?		No informa	ation	
13	If Yes, please give a brief description: Cooperation with Austria EA (200	5), Geri	nania EA (2	2006)	
14	Has your country offered any incentives to local communities to assist in	the	Yes		
	enforcement of CITES legislation, e.g. leading to the arrest and conviction	n of	No		
	offenders?		No informa	ation	$\boxtimes$
15	If Yes, please describe:				
16	Has there been any review or assessment of CITES-related enforcement?		Yes		$\boxtimes$
			No		
			Not applica		
			No informa	ation	
	Comments:				
17	Please provide details of any additional measures taken:				
D1 M-	D. Administrative measures				
D1 Ma	nagement Authority (MA)				
1	Have there been any changes in the designation of or contact information		Yes		
	for the MA(s) in your country which are not yet reflected in the CITES Directory?		No No informa	tion	$\boxtimes$
2	-	-	ino iliforma	uon	Ш
	If Yes, please use the opportunity to provide those changes here.				

3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	
4	If Yes, please name that MA and indicate whether it is identified as the lead M Ministry of Environmente Land and Sea Protection (lead MA)  State Forestry Corps (Management and Issuing Authority)  Ministry of International Trade (Management and Issuing Authority)	- 100	tory.
5	How many staff work in each MA?  Ministry of Environmente Land and Sea Protection 5  State Forestry Corps 250  Ministry of International Trade 8		
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes No No information	
	If yes, please give estimation		
	Ministry of Environmente Land and Sea Protection 100%		
	State Forestry Corps 100%		
	Ministry of International Trade 5 -100% and 3 –30%		
7	What are the skills/expertise of staff within the MA(s)?	Tick if ap	plicable
	<ul> <li>Administration</li> </ul>		$\boxtimes$
	- Biology		$\boxtimes$
	- Economics/trade		$\boxtimes$
	<ul> <li>Law/policy</li> </ul>		$\boxtimes$
	- Other (specify)Agronomy, Forestry Management		$\boxtimes$
	<ul> <li>No information</li> </ul>		
8	Have the MA(s) undertaken or supported any research activities in relation to	Yes	
	CITES species or technical issues (e.g. labelling, tagging, species	No	
	identification) not covered in D2(8) and D2(9)?	No information	
9	If Yes, please give the species name and provide details of the kind of research	involved.	
	Timber measurement and Electronic Permitting		
10	Please provide details of any additional measures taken		

#### D2 Scientific Authority (SA)

1			he designation of ich are not yet refl			Yes No No information	n $\square$
2	If Yes, please u	se the opportunity	y to provide those	changes he	ere.		
3	Has your count Management A		cientific Authority	independe	ent from the	Yes No No information	n 🗆
4	What is the stru	cture of the SA(s	) in your country?			Tic	k if applicable
	- Governi	ment institution					
	- Academ	nic or research ins	titution				
	– Permano	ent committee					
	- Pool of	individuals with o	certain expertise				
	- Other (s	specify)					
5	How many staff	f work in each SA	on CITES issues	? 6 Staff u	nit supporting 1	9 SA members	
6		te the percentage	of time they spend	d on CITES	S related	Yes	
	matters?					No	
	If yes, please gi	va actimation				No information	n 📙
	6 Staff unit sup						
	19 SA members						
7	What are the sk	ills/expertise of s	taff within the SA	(s)?		Tic	k if applicable
	- Botany						
	- Ecology	7					$\boxtimes$
	– Fisherie	es					
	<ul><li>Forestry</li></ul>	7					
	– Welfare	:					
	- Zoology	7					$\boxtimes$
		specify) Law					$\boxtimes$
	– No info						
8	Have any resear	rch activities beer	undertaken by the	e SA(s) in	relation to	Yes	
	CITES species?		, and the second	. ,		No	$\overline{\boxtimes}$
						No information	n 🗌
9	If Yes, please g	ive the species na	me and provide de	etails of the	e kind of research	ch involved.	
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3	=					
	etc.						
						No information	on 🗌
10			cientific research b	een submi	itted to the	Yes	
	Secretariat unde	er Resolution Con	ıf. 12.2?			No	
						No information	on 📙
11	Please provide	details of any add	itional measures ta	aken:			

#### D3 Enforcement Authorities

Authority

3

Enforcement Authority

Scientific Authority

1	To date, has your cou authorities that have be enforcement informat	oeen desi	gnated for t	he receipt o			Yes No No information	
2	If No, please designat	te them h	ere (with ac	ddress, phoi	ne, fax	and emai	1).	
3	Has your country esta related enforcement ( police, public prosecu	e.g. with	in the wildl					
4	If Yes, please state w	hich is th	e lead agen	cy for enfo	rcemen	t: State F	orestry Corps	
5	Please provide details	s of any a	dditional m	easures tak	en:			
<b>D4</b>	Communication, information To what extent is CIT					utorizod?	Tick if applica	phla
1	<ul><li>Monitoring an</li></ul>					iterizeu:	т ск п аррпса	
	<ul><li>Monitoring an</li><li>Monitoring an</li></ul>	-	-	-				
	<ul><li>Permit issuance</li></ul>	-	ng or uata c	ni inegai ua	iue			
	<ul><li>Permit issuand</li><li>Not at all</li></ul>	Je						
	<b>:</b>	.)						
2	<ul><li>Other (specify</li><li>Do the following auth</li></ul>		ava aggass t	o the Intern	at?		Tick if applica	blo.
	Do the following auth	IOTHICS III			1011		тек п аррпса	
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	
	Management							

 $\boxtimes$ 

Yes

No information

Do you have an electronic information system providing information on CITES species?

 $\boxtimes$ 

 $\boxtimes$ 

4	If Yes, does it provide information on:	·	Tick if appli	icable	
	Legislation (national, regional or internation	nal)?			$\boxtimes$
	<ul> <li>Conservation status (national, regional, inte</li> </ul>	ernational)?			
	<ul> <li>Other (please specify)? Electronic permittir</li> </ul>	ng/Customs check			$\boxtimes$
5	Is it available through the Internet:	<u> </u>	Yes	 S	
	Access to Internet is about to be established till Sep	otember 2007	No		
	•		Not	applicable	
			No	information	
	Please provide URL:				
	www.minambiente.it				
	www.corpoforestale.it				
	www.mincomes.it				
6	Do the following authorities have access to the foll			Tick if applica	
	Publication	Management Authority	Scientific Authority	Enforceme Authority	
	2003 Checklist of CITES Species (book)			$\boxtimes$	
	2003 Checklist of CITES Species and Annotated	$\boxtimes$			
	Appendices (CD-ROM)	<b>N</b>	<u> </u>		
	Identification Manual				
	CITES Handbook				
7	If not, what problems have been encountered to acc	cess to the mentioned	d information?		
8	Have enforcement authorities reported to the Mana	gement Authority or	1;	Tick if appli	icable
	– Mortality in transport?				$\bowtie$
	<ul><li>Seizures and confiscations?</li></ul>				$\boxtimes$
	Discrepancy in number of items in permit and r	number of items actu	ally traded?		
	Comments:				<u></u>
9	Is there a government website with information on	CITES and its	Yes		$\boxtimes$
	requirements?		No		
			No in	ıformation	
	If Yes, please give the URL:				
	www.minambiente.it				
	www.corpoforestale.it				
- 10	www.mincomes.it	0.11			
10	Have CITES authorities been involved in any of the bring about better accessibility to and understandin requirements to the wider public?			Tick if appli	icable
	- Press releases/conferences				
	Newspaper articles, radio/television appears	ances			
	Brochures, leaflets	unces			
	Displays  Information at harder erassing points.				
	Information at border crossing points  Talanhara butling				
	- Telephone hotline				
	Please attach copies of any items as Annex.				
11	Please provide details of any additional measures to	aken:			

#### D5 Permitting and registration procedures

1	Have any changes in permit for empowered to sign CITES perm Secretariat?	nits/certificates bee				Yes No No Not applicable No information
	If no, please provide details of a	ıny:				_
	Changes in permit format:					
	Changes in designation or si					
2	To date, has your country devel following?	oped written permi	t procedur	es for any of	`the	Tick if applicable
				Yes	No	No information
	Permit issuance/acceptance					
	Registration of traders					
	Registration of producers					
3	Please indicate how many CITE (Note that actual trade is normal documents).					
	2005	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	7.882	125	52.870	46541	
	How many applications were denied because of severe ommissions or misinformation?	8	0			
	2006 How many documents were	7.985	141	46.334	7966	
	issued?					
	How many applications were denied because of severe ommissions or misinformation?	5	2			
4	Were any CITES documents that of severe ommissions or mis-in-		cancelled	and replaced	because	Yes  No  No information
5	If Yes, please give the reasons f	or this				Tvo information
6	Please give the reasons for reject		uments fro	om other cour	ntries.	Tick if applicable
		ason		Yes	No	No information
	Technical violations					
	Suspected fraud					
	Insufficient basis for finding of	non-detriment				
	Insufficient basis for finding of	legal acquisition				
	Other (specify)					
7	Are harvest and/or export quota issuance of permits?	s as a management	tool in the	e procedure f	or	Yes No No Information
	Comments:					

	8	How many times has the Scientific Authorit	y been re	equested	to provid	de opi	nions?	1750
	9	Has the Management Authority charged fees related CITES activities?	s for perr	nit issua	nce, regi	stratio	n or	Tick if applicable
		<ul> <li>Issuance of CITES documents:</li> </ul>						
		<ul> <li>Licensing or registration of operatio</li> </ul>	ns that p	roduce C	CITES sp	ecies:		$\boxtimes$
		<ul> <li>Harvesting of CITES-listed species</li> </ul>	:		_			
		<ul> <li>Use of CITES-listed species:</li> </ul>						
		<ul> <li>Assignment of quotas for CITES-lis</li> </ul>	ted spec	ies:				
		<ul> <li>Importing of CITES-listed species:</li> </ul>						
		- Other (specify):						
	10	If Yes, please provide the amounts of such f - Issuance of CITES documents €16,87 - Licensing or registration of operations t - Importing of CITES-listed species €16,9	hat prod	uce CITI	ES specie	es		
	11	Have revenues from fees been used for the is wildlife conservation?	mplemer	ntation of	f CITES	or		Tick if applicable
		– Entirely:						$\boxtimes$
		– Partly:						
		– Not at all:						
		– Not relevant:						
		Comments:						
I	12	Please provide details of any additional mea	sures tak	en:				
1	D6	Capacity building						
	1	Have any of the following activities been u CITES implementation at the national level		n to enha	ance effe	ctiven	ess of	Tick if applicable
		Increased budget for activities	$\boxtimes$	Impro netwo	vement o	of natio	onal	$\boxtimes$
		Hiring of more staff			ase of tec oring/enf			oment for
		Development of implementation tools		Comp	uterisatio	n		
		- Other (specify)						
	2	Have the CITES authorities in your country	, been th	e racinia	ent of any	of the	e follo	wing canacity building
	2	activities provided by external sources?	/ occir tii	C recipie	m or any	or un		wing capacity building
		Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
		Target group						
		Staff of Management Authority		# 11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				International University of Andalucia (Baeza, Jaen – Spain)
		Staff of Scientific Authority	ΙП	ΪП	İП	İП		
		Staff of enforcement authorities						Finsiel S.p.a. (I.T.)
								1 mster 5.p.a. (1.1.)
		Traders					Ш	
		NGOs				Ш	Ш	
		Public						
		Other (specify)						

3	Have the CITES a activities?	uthoritie	s in your c	ountry	been th	e provid	ers of an	y of th	ne foll	owing capacity building
	Please tick boxes group and which a		te which ta	nrget	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Managem	ent Auth	ority					П	П	- Workshop on
	Staff of Manageri	ient Auti	ionty				AND THE CONTRACT OF THE CONTRA	AND THE RESTRICT OF THE PROPERTY OF THE PROPER		Management and Enforcement of CITES Timber Trade in the European Region 11-13 april 2005 Perugia, Italy
	Staff of Scientific			***************************************						- European Regional CITES Plants Meeting 18-21 October 2006 Perugia, Italy
	Staff of enforcem	Staff of enforcement authorities								
	Traders									
	NGOs									
	Public									
	Other parties/International meetings				$\boxtimes$					- Polish EA in 2005
	Other (specify) Italian Botanical Society			iety						- Meeting on the Application of the new Reg (CE) 865 on plant's trade, 31 may- 1 june 2006 Perugia, Italy
4	Please provide de	tails of a	ny addition	nal meas	sures ta	ıken	1			
D7 Coli	laboration/co-opera	tive initic	utives							
1	Is there an inter-a	gency or	inter-secto	oral con	nmittee	on CITE	ES?			Yes
										No 🗵
	1037			1 11		1 .				No information
2	If Yes, which age							1.1	.1 3.4	TA 1
3	among CITES au									A to ensure co-ordination
	_	Daily	Weekly	Month	ly A	nnually	None	info	No rmation	Other (specify)
	Meetings									<u> </u>
	Consultations									
4	At the national level have there been any efficillaborate with:				orts to		Tick i	f appl	icable	Details if available
	Agencies for deve	elopment	and trade							
	Provincial, state of	r territor	ial authorit	ties						
	Local authorities	or comm	unities							
	Indigenous people	es								
	Trade or other pri	vate sect	or associat	ions						
	NGOs						ĺ	$\square$		

	Other (specify)			
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if ap	plicable
	SA			
	Customs			$\boxtimes$
	Police			$\boxtimes$
	Other border authorities (specify)			
	Other government agencies			$\boxtimes$
	Private sector bodies			$\boxtimes$
	NGOs			
	Other (specify)			
6	Has your country participated in any regional activities related to CITES?		Tick if ap	plicable
	Workshops			$\boxtimes$
	Meetings			$\boxtimes$
	Other (specify)			
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information		
8	If Yes, which one(s) and in what way? San Marino			
9	Has your country provided technical or financial assist country in relation to CITES?	ance to another	Yes No No information	
10	If Yes, which country(ies) and what kind of assistance was  - San Marino, direct collaboration  - Croatia  - Hungary (Technical assistance)  - Cyprus	provided?		
11	Has your country provided any data for inclusion in the CIT Manual?	ES Identification	Yes No No information	
12	If Yes, please give a brief description.			
13	Has your country taken measures to achieve co-ordination a duplication of activities between the national authorities for multilateral environmental agreements (e.g. the biodiversity Conventions)?	CITES and other	Yes No No information	
14	If Yes, please give a brief description.			
15	Please provide details of any additional measures taken:			

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implevel and what is the respective level of priority?	olementat	ion at the na	itional
	Activity	High	Medium	Low
	Increased budget for activities			
	Hiring of more staff		$\boxtimes$	
	Development of implementation tools			
	Improvement of national networks			
	Purchase of new technical equipment for monitoring and enforcement			
	Computerisation			
	Other (specify)			
2	Has your country encountered any difficulties in implementing specific	Yes		
	Resolutions or Decisions adopted by the Conference of the Parties?	No		$\boxtimes$
		No info	rmation	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country	Yes		
	requiring attention or assistance?	No		$\boxtimes$
			ormation	
5	If Yes, please describe the constraint and the type of attention or assistance that is	required		
6	Has your country identified any measures, procedures or mechanisms within the	Yes		
	Convention that would benefit from review and/or simplification?	No		$\boxtimes$
		No inf	ormation	
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	$\boxtimes$
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
С9	Details of violations and results of court actions	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for	Enclosed	$\boxtimes$
	educational or public awareness purposes,	Not available	
		Not relevant	
	Comments		

### ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

2b	reported under question B (2), please provide the following details:							
	Title and date:			Status:				
	Brief description of conte	nts:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>8</sup> ?  Tick all applicable categories below that these categories apply to.							
			The condi	ions for:		The complete	prohibition of:	
	Issue	Yes	No	No information	ı Ye	es No	No information	
	Trade Taking							
	Possession							
	Transport							
	Other (specify)							
	Additional comments							
8b	Has there been any review relation to implementation			ollowing subjects				
					Yes	No	No information	
	Introduction of live Regulation-listed species into the  Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).							
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii))							
	Please provide details if a	vailable,						
9b	Please provide details of maximum penalties that may be imposed for Regulation-related violations, or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9)  - Administrative sanction: about 10300 €							
	- Legl sanction: impris - Seizures	sonement						

<sup>&</sup>lt;sup>8</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No No information	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes No No information	
17	Comments:	V	
17 new	Have specimens been marked to establish whether they were born and bred in captivity or artificially propagated? (In accordance with Commission Regulation (EC) No 1808/2001 Article 36),	Yes No No information	
	Comments: Marking of Annex A specimens		
18 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c))	Yes No No information	
	Comments:		
1 Ma	D. Administrative measures		
8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	
10 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2),	Yes No No information	

Have any research activities been undertaken by the SA(s) in relation to CITES listed species?					Yes		
CITES listed sp	ecies?						
					No information	n 🖂	
If Yes, please gi	ve the species na	me and provide de	etails of th	e kind of researc	h involved.		
Species name	D1-4:	D:-4-:14:	Off	Legal trade	T111 4 1-	Other	
	Populations	Distribution	take	_	megai trade	(specify)	
1							
2							
3							
etc.							
	No information	on 🗌					
How many Scie attended?	ntific Review Gro	oup (SRG) meetin	gs have th	e SA	Number	8	
Indicate any difficulties that rendered attendance to the SRG difficult:							
	If Yes, please gi Species name  1 2 3 etc.  How many Scie attended?	CITES listed species?  If Yes, please give the species nare Species name Populations  1 2 3 etc.  How many Scientific Review Greattended?	CITES listed species?  If Yes, please give the species name and provide de Species name Populations Distribution  2 3 etc.  How many Scientific Review Group (SRG) meetin attended?	CITES listed species?  If Yes, please give the species name and provide details of the Species name Populations Distribution Off take  1 2 3 etc.  How many Scientific Review Group (SRG) meetings have the attended?	CITES listed species?  If Yes, please give the species name and provide details of the kind of research Species name Populations Distribution Off take  1	CITES listed species?  No No information  If Yes, please give the species name and provide details of the kind of research involved.  Species name Populations Distribution Off take Illegal trade Illegal trade  2 3 etc.  No information  No information  No information  No information  No information  No information  No information  No information  No information  No information  No information	

6 new	Has a liaison officer/focal point for CITES been nominated within each releval your country?  Yes	nt enforcement authority	y in
		No	
		Under consideration	
		No information	
1b	Is Regulation-related information in your country computerized on?	Tick if appl	icable
1b		Tick if appl	icable
	Annex D listed species		$\boxtimes$
	- Other matters not reported on in question D4 (1) (please specify)		
3b	Do you have an electronic information system providing information on	Yes	$\boxtimes$
	Regulation-listed species?	No	
		No information	
D5 Per	mitting and registration procedures		
9b	Has the Management Authority charged fees for any Regulation-related matter	rs Yes	
	not covered in question D5 (9)?	No	$\boxtimes$
		No information	

# D8 Areas for future work 2b Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).. 4b Have any constraints to implementation of the Regulation, not reported under

Yes	Ш
No	$\boxtimes$
No information	
Yes	

7 bis	Can you indicate the percentage of permits/certificates issued that are returned to
new	the MA after endorsement by customs?

question D8(4), arisen in your country requiring attention or assistance?

Number: XX

No information

No

## LATVIA

#### **Part 1 - CITES Questions**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13 <sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.					
* Document as discussed and agreed at COM 34 held on 14 June 2005.					

#### A. General information

Party	Latvia
Period covered in this report: 1 January 2005 to 31 December 2006	
Details of agency preparing this report	Ministry of Environment Nature Protection Board Eksporta Street 5, Riga, LV-1010 Fax: +371 7509544, Ph.: +371 7509541 www.dap.gov.lv
Contributing agencies, organizations or individuals	

#### B. Legislative and regulatory measures

1	Has information on CITES-			eady been provided	`	- /				
	under the CITES National L	-	roject?			partly)		X		
	If yes, ignore questions 2, 3	and 4.	and 4.			No				
					No in	formation	/unknown			
2	If your country has planned details:	, drafted or e	afted or enacted any CITES-relevant legislation, please provide the							
	Title and date: Law C Species and Habitats (16		Protection of Status: In force 3.2000.)							
	preservation, registration,	description of contents: Article 4 lays down that Cabinet of Ministers set down Rules of evation, registration, keeping in captivity, marking, issue of permits and regulations of trade of nens that are endangered by international trade till the end of year 2007								
3		ble in one of	le in one of the working languages of the Yes				X			
	Convention?				No			X		
							No information			
4	If yes, please attach a copy	of the full legislative text or key legislative legislation attached								
	provisions that were gazette	d.			provid	ed previo	usly	$\mathbf{X}$		
					not ava	ailable, w	ill send later			
5	Which of the following issu your country has adopted fo (in accordance with Article	r CITES-list	ted species	•	neasures th	at Tick	all applicable			
	(III accordance with Afficie		The conditi	/	Tho	nomploto	prohibition of:			
	Issue	Yes	No	No information	Yes	No informat				
	Trade	X			X	No 🗆		1011		
					Λ Π	_				
	Taking	X				X				
	Possession	X	Ш			X				
	Transport	X				X				
	Other (specify)									
	Additional comments	available	CITES have been implemented in Latvia through national legislation (not available in working language of Convention) and EU Regulations. There is prohibition of comercial trade for Ann. I or II (EU Ann.A) specimens that are taken from wild							
		l	taken nom who							

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?						
	Item	Adequate	Partially Inadequate	Inadequate	No information		
	Powers of CITES authorities \( \square X \)						
	Clarity of legal obligations	X					
	Control over CITES trade	X					
	Consistency with existing policy on wildlife management and use		X				
	Coverage of law for all types of offences	Coverage of law for all types of X					
	Coverage of law for all types of penalties						
	Implementing regulations						
	Coherence within legislation	nce within legislation X					
	Other (please specify):						
	Please provide details if available:						
7	If no review or assessment has taken pl reporting period?	lace, is one planne	d for the next	Yes No No			
8	Please provide details if available:  Has there been any review of legislatio	n on the fellowing	r guhi agta in	Ti al. al	l amplicable		
0	relation to implementation of the Conv		subjects iii	TICK at	l applicable		
	Subject		Yes	No	No information		
	Access to or ownership of natural resou	urces		X			
	Harvesting			X			
	Transporting of live specimens		X				
	Handling and housing of live specimen	ıs	X				
	Please provide details if available:		-				
9	Please provide details of any additional	l measures taken:					

#### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been ur	ndertaken?		
	Review of reports and other information provided by traders and producers:	X		
	Inspections of traders, producers, markets	X		
	Border controls	X		
	Other (specify) – monitoring of risk groups (traders, breeders, species, countries etc.)	X		
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	X		
3	If Yes, please indicate how many and for what types of violations? If Annex.	available, pl	lease attacl	h details as

4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	x 🗆 🗆			
5	If information available:  Significant seizures/confiscations  Total seizures/confiscations  If possible, please specify per group of species or attach details on annex. Please find Annex I.				
6	Have there been any criminal prosecutions of significant CITES-related violations?		X		
7	If Yes, how many and for what types of violations? If available, please a	attach det	ails as Anne	ex.	
8	Have there been any other court actions of CITES-related violations?	X			
9	If Yes, what were the violations involved and what were the results? Please at	tach detai			
10	How were the confiscated specimens generally disposed of?		Ti	ck if ap	plicable
	<ul> <li>Return to country of export</li> </ul>				
	<ul> <li>Public zoos or botanical gardens</li> </ul>				X
	<ul> <li>Designated rescue centres</li> </ul>				
	<ul> <li>Approved, private facilities</li> </ul>				
	- Euthanasia				
	<ul> <li>Other (specify) – dead specimens and products – vitrines,</li> <li>museum, material for education of customs and school children</li> </ul>				X
	Comments:	·			
11	Has your country provided to the Secretariat detailed information		Yes		
	significant cases of illegal trade (e.g. through an ECOMESSAGE of other means), or information on convicted illegal traders and		No		X
	persistent offenders?		Not applie		片
	•		No inform	ation	
	Comments:				
12	Has your country been involved in cooperative enforcement activities we other countries		Yes		X
	(e.g. exchange of intelligence, technical support, investigative assistance		No informa		닏ㅣ
	joint operation, etc.)?	,	No informa	шоп	
13	If Yes, please give a brief description: There were business trips of CI institutions of Estonia (2005) and Slovenia (2006), cooperation with material for workshops about CITES				
14	Has your country offered any incentives to local communities to assist in	C	Yes		
	enforcement of CITES legislation, e.g. leading to the arrest and convicti offenders?		No		X
	onenders:		No informa	ition	
15	If Yes, please describe:				
16	Has there been any review or assessment of CITES-related enforcement	?	Yes		X
			No		
			Not applic		
			No informa	ition	Ш
	Comments: Mainly reviews of Traffic and EU				
17	Please provide details of any additional measures taken:				

#### D. Administrative measures

#### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information	Yes						
	for the MA(s) in your country which are not yet reflected in the CITES	No	X					
	Directory?	No information						
2	If Yes, please use the opportunity to provide those changes here.							
3	If there is more than one MA in your country, has a lead MA been	Yes						
	designated?	No	X					
		No information						
4	If Yes, please name that MA and indicate whether it is identified as the lead M	AA in the CITES Dire	ctory.					
5	How many staff work in each MA? There are 2 civil servants that deal wit	h CITES						
6	Can you estimate the percentage of time they spend on CITES related	Yes	X					
	matters?	No						
		No information	ī					
	If yes, please give estimation – 40%							
7	hat are the skills/expertise of staff within the MA(s)?  Tick if applicable							
	- Administration							
	- Biology		X					
	- Economics/trade							
	– Law/policy							
	- Other (specify)							
	<ul><li>No information</li></ul>							
8	Have the MA(s) undertaken or supported any research activities in relation to	Yes						
	CITES species or technical issues (e.g. labelling, tagging, species	No	X					
	identification) not covered in D2(8) and D2(9)?	No information						
9	If Yes, please give the species name and provide details of the kind of research	h involved.						
10	Please provide details of any additional measures taken							

#### D2 Scientific Authority (SA)

1		e there been any changes in the designation of or contact information ne SA(s) in your country which are not yet reflected in the CITES ectory?					X n	
2	If Yes, please u	s, please use the opportunity to provide those changes here.						
3	Has your count Management A		cientific Authority	Yes No No informatio	X			
4	What is the stru	cture of the SA(s	) in your country?			Tic	k if applicable	
	– Governi	ment institution					X	
	– Academ	nic or research ins	titution				X	
	– Perman	ent committee						
		individuals with o	certain expertise					
	– Other (s		1					
5	· ·		on CITES issues	?				
			tvia – 2 part time					
			f Biology – 1 part					
			Biology – 1 part					
6	matters?	te the percentage	of time they spend	on CITE	S related	Yes No	X	
	matters:					No informatio	n □	
	If yes, please gi	ve estimation – le	ess than 20%			110 11110111110011	⊔	
7	What are the sk	ills/expertise of s	taff within the SA	(s)?		Tic	k if applicable	
	- Botany						X	
	<ul><li>Ecology</li></ul>	7					X	
	– Fisherie	es.					П	
	<ul><li>Forestry</li></ul>							
	- Welfare							
	<ul><li>Zoology</li></ul>						X	
	- Other (s							
		ormation						
8			een undertaken	hv the SA	(e) in	Yes	<u>-</u> _	
O	relation to CIT		ceri dildertaken	by the 571	(5) 111	No	X	
		1				No informatio		
9	If Yes, please g	ive the species na	me and provide de	etails of the	e kind of researc	ch involved.		
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	1							
	2							
	3							
	etc.							
			No information	on $\square$				
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes		
						No	X	
						No information	on	
11	Please provide details of any additional measures taken:							

#### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No No information	X 	
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-	Yes		
	related enforcement (e.g. within the wildlife department, Customs, the	No		
	police, public prosecutor's office)?	Under consideration		
		No information		
4	If Yes, please state which is the lead agency for enforcement: Nature Probetween all CITES institutions in Latvia	tection Board is a coordinato	r	
5	Please provide details of any additional measures taken:			

#### D4 Communication, information management and exchange

1	To what extent is CIT	Tick if applicable							
	<ul> <li>Monitoring an</li> </ul>	X							
	<ul> <li>Monitoring an</li> </ul>	d reporti	X						
	<ul> <li>Permit issuance</li> </ul>	- Permit issuance							
	– Not at all								
	- Other (specify								
2	Do the following auth	Tick if applicable							
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate		
	Management Authority	X							
	Scientific Authority	X							
	Enforcement Authority	X							
3	Do you have an electr species?	onic info	ormation sy	stem provid	ling info	ormation	on CITES Yes X No		

4	If Yes, does it provide information on:	•	Tick if applicable					
	Legislation (national, regional or internation)		X					
	Conservation status (national, regional, inte		X					
	- Other (please specify)?							
5	Is it available through the Internet:	No	applicable information					
6	Please provide URL: <a href="www.dap.gov.lv">www.dap.gov.lv</a> ; <a href="www.dap.gov.lv">www.vid.gov.lv</a> Do the following authorities have access to the following publications? Tick if ap							
0	Publication	Scientific Authority						
	2003 Checklist of CITES Species (book)	X	X	X				
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)	X	X	X				
	Identification Manual	X	X	X				
	CITES Handbook	X	X	X				
7	If not, what problems have been encountered to acc	cess to the mentione	d information?					
8	Have enforcement authorities reported to the Management Authority on:  - Mortality in transport?  - Seizures and confiscations?  - Discrepancy in number of items in permit and number of items actually traded?  X							
	Comments:		·					
9	Is there a government website with information on requirements?	No	Yes X No  No information					
	If Yes, please give the URL: www.dap.gov.lv; www.www.vid.gov.lv	v.dabasmuzejs.gov.l	<u>v</u> ;					
10	Tick if applicable							
	- Press releases/conferences		X					
	Newspaper articles, radio/television appearances							
	- Brochures, leaflets		X					
	- Presentations		X					
	– Displays			X				
	Information at border crossing points		X					
	- Telephone hotline			X				
	- Other (specify) – TV Advert		X					
	Please attach copies of any items as Annex. Please							
11	Please provide details of any additional measures taken:							

#### D5 Permitting and registration procedures

1	Have any changes in permit for empowered to sign CITES perm Secretariat?	Yes X No Not applicable No information							
	If no, please provide details of a	any:				- TO INTOTHICATION			
	Changes in permit format:								
	Changes in designation or s	-							
2	To date, has your country devel following?	oped written permi	it procedur	es for any of	the	Tick if applicable			
				Yes	No	No informati	No information		
	Permit issuance/acceptance			X					
	Registration of traders				X				
	Registration of producers				X				
3	Please indicate how many CITE	ES documents were	issued or	denied in the	two year	period?			
	(Note that actual trade is norma documents).						ıed		
	Year 1	Export	Re-export	Other	Comments				
	How many documents were issued?	88	8	8	14				
	How many applications were denied because of severe ommissions or misinformation?	0	0	0	0				
	Year 2 How many documents were issued?	159	4	2	17				
	How many applications were denied because of severe ommissions or misinformation?	0	0	0	0				
4	Were any CITES documents the of severe ommissions or mis-in	Yes No No information	<b>X</b>						
5	If Yes, please give the reasons to because of end of permit valid	_		_	ced				
6	Please give the reasons for rejection of CITES documents from other countries.								
	Re	ason	Yes	No	No informati	on			
	Technical violations		X						
	Suspected fraud				X				
	Insufficient basis for finding of non-detriment  Insufficient basis for finding of legal acquisition				X				
					X				
	Other (specify)								
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?					Yes No No information	X		
	Comments								
8	How many times has the Scient	ific Authority been	requested	to provide o	pinions?				
	Less than 10 times per year								
9	Has the Management Authority	charged fees for p	ermit issua	ince, registra	tion or	Tick if app	blicable		

	_						<del></del>		
	related CITES activities?						_		
	Issuance of CITES documents:								
	Licensing or registration of operation								
	- Harvesting of CITES-listed species								
	- Use of CITES-listed species:								
	- Assignment of quotas for CITES-listed species:								
	- Importing of CITES-listed species:								
1.0	- Other (specify):								
10	If Yes, please provide the amounts of such for			COLTEG			T' 1 'C 1' 11		
11	Have revenues from fees been used for the in wildlife conservation?	mpiemei	ntation of	CHES	or		Tick if applicable		
	– Entirely:								
	– Partly:								
	– Not at all:								
	– Not relevant:								
	Comments:								
12	Please provide details of any additional mea								
	Fees for permit issuance are charged start	ting fror	n 01.01.	2007. Th	ese				
	rules were accepted on 19.12.2006.								
D6	Capacity building								
1	Have any of the following activities been un CITES implementation at the national level		n to enha	ance effe	ctiven	ess of	f Tick if applicable		
	Increased budget for activities	X	Impro	vement o	f natio	onal	X		
	Hiring of more staff			nse of tec oring/enf			pment for		
	Development of implementation tools	X		uterisatio			X		
	- Other (specify)								
2	Have the CITES authorities in your country activities provided by external sources?	been th	e <i>recipie</i>	nt of any	of the	e follo	owing capacity building		
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?		
	Staff of Management Authority	П	П	П	П	П			
	Staff of Scientific Authority						Scanagri Denmark AS		
	Staff of Enforcement authorities				X		project		
	Traders				П				
	}								
	NGOs								
	Public	<u>L</u>	<u>L</u>			Ш			
	Other (specify)								

3	Have the CITES	authoritie	es in vour coun	trv been	ı the	provide	ers of an	v of th	ne foll	owing capacity building
	activities?		.o			P				5
	Please tick boxes group and which  Target group		te which targe	Oral or written	advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Managen	nent Auth	nority		]			X		Please find Annex III
	Staff of Scientific		•	X			$\overline{\mathbf{X}}$	X		
	Staff of enforcem	ent autho	orities	X				X		
	Traders			X						
	NGOs			X						
	Public			X						
	Other parties/Inte	rnational	meetings		]					
	Other (specify)									
4	Please provide de	etails of a	ny additional r	neasures	s tak	ken				
	llaboration/co-op								<del>.</del>	
1	Is there an inter-a	agency or	inter-sectoral	commit	tee	on CITE	ES?			Yes
										No $\mathbf{X}$ No information $\square$
2	If Yes, which age	encies are	represented a	nd how	ofte	n does i	t meet?		·	
3	If No, please indamong CITES au									IA to ensure co-ordination
		Daily	Weekly M	onthly	An	nnually	None	i	No rmation	Other (specify)
	Meetings			X						
	Consultations	X	X							There are several consultations per week

Tick if applicable

 $\mathbf{X}$ 

X

 $\mathbf{X}$ 

 $\mathbf{X}$ 

X

X

X

Details if available

At the national level have there been any efforts to

Agencies for development and trade

Local authorities or communities

Provincial, state or territorial authorities

Trade or other private sector associations

collaborate with:

Indigenous peoples

Other (specify) Schools

NGOs

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if app	olicable
	SA			X
	Customs			
	Police			
	Other border authorities (specify)			
	Other government agencies			
	Private sector bodies			
	NGOs			
	Other (specify) Agreement between Nature Protection Board and Riga Zoo			X
6	Has your country participated in any regional activities related to CITES?		Tick if app	olicable
	Workshops			X
	Meetings			X
	Other (specify)			
7	Has your country encouraged any non-Party to Y	es		
	accede to the Convention?			X
		lo information		Ш
8	If Yes, which one(s) and in what way?			
9	Has your country provided technical or financial assistant country in relation to CITES?	ce to another	Yes	<u></u>
	Country in relation to CITES:		No Information	X
10	IGW	: 1 - 10	140 information	
10	If Yes, which country(ies) and what kind of assistance was prov		V	
11	Has your country provided any data for inclusion in the CITES Manual?	Identification	Yes No	$\mathbf{X}$
			No information	
12	If Yes, please give a brief description.			
13	Has your country taken measures to achieve co-ordination and I	reduce	Yes	
	duplication of activities between the national authorities for CIT	TES and other	No	
	multilateral environmental agreements (e.g. the biodiversity-relations)?	ated	No information	X
14	If Yes, please give a brief description.			
15	Please provide details of any additional measures taken:			

### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implevel and what is the respective level of priority?	olementat	ion at the na	tional
	Activity	High	Medium	Low
	Increased budget for activities	X		
	Hiring of more staff	X		
	Development of implementation tools		X	
	Improvement of national networks			X
	Purchase of new technical equipment for monitoring and enforcement		X	
	Computerisation			X
	Other (specify)			
2	Has your country encountered any difficulties in implementing specific	Yes		
	Resolutions or Decisions adopted by the Conference of the Parties?	No		X
		No info	rmation	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country	Yes		
	requiring attention or assistance?	No		X
		No inf	ormation	
5	If Yes, please describe the constraint and the type of attention or assistance that is	required		
6	Has your country identified any measures, procedures or mechanisms within the	Yes		X
	Convention that would benefit from review and/or simplification?	No		
		No inf	ormation	
7	If Yes, please give a brief description. Birocratical procedures for import in ca (mainly LPS)	se of par	ts and deriv	vates
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed Not available Not relevant	X
C3	Details of violations and administrative measures imposed	Enclosed Not available Not relevant	X
C5	Details of specimens seized, confiscated or forfeited	Enclosed Not available Not relevant	<b>X</b> □ □
C7	Details of violations and results of prosecutions	Enclosed Not available Not relevant	□ □ X
С9	Details of violations and results of court actions	Enclosed Not available Not relevant	<b>X</b>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed Not available Not relevant	X

# ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:							
	Title and date: Status:							
	Brief description of contents:							
5b								
	Tick an applicable catego		The condi		The	complete	prohibition of:	
	Issue	Yes	No	No information	Yes	No	No information	
	Trade		X			X		
	Taking	X				X		
	Possession		X		X			
	Transport	X				X		
	Other (specify)							
	Additional comments							
8b	Has there been any review relation to implementation			following subjects in				
					Yes	No	No information	
	Introduction of live Regul Community that would th (in accordance with Articl	reaten the in	digenous f	o the auna and flora		X		
	Marking specimens to fac with Article 19, paragraph		fication (in	accordance		X		
	Please provide details if a	vailable,						
9b	Please provide details of rother additional measures (9)							

<sup>&</sup>lt;sup>9</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

# C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken	Yes	
	for Regulation-related violations?	No	X
		No information	
16b	Has there been any review or assessment of Regulation-related	Yes	
	enforcement, in addition to that reported under C (16) above?	No	$\mathbf{X}$
		No information	
	Comments:		
17	Have specimens been marked to establish whether they were born and bred	Yes	
new	in captivity or artificially propagated? (In accordance with Commission	No	
	Regulation (EC) No 1808/2001 Article 36),	No information	X
	Comments:		
18	Have any monitoring activities been undertaken to ensure that the intended	Yes	
new	accommodation for a live specimen at the place of destination is adequately	No	$\mathbf{X}$
	equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c))	No information	
	Comments:		

### D. Administrative measures

# D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	□ X □
10 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2),	Yes No No information	□ X □

# D2 Scientific Authority (SA)

8b	,	arch activities b	(s) in	Yes			
	relation to non	CITES listed sp		No			
						No information	$\mathbf{X}$
9b	If Yes, please gi	ve the species na	me and provide de	etails of th	e kind of researc	h involved.	
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
			d			No information	on 🗌
11 new	How many Scientific Review Group (SRG) meetings have the SA attended?						7
	Indicate any diff	ficulties that rend	ered attendance to	the SRG	difficult:		

D3	<b>Fnfo</b>	rcem	ent A	lutho	rities

6	Has a liaison officer/focal point for CITES been nominated within each relevant	t enforcement author	ity in
new	your country? Yes	$\mathbf{X}$	
	No		
	Uno	der consideration	
	No	information	
D4 Co	mmunication, information management and exchange		
1b	Is Regulation-related information in your country computerized on?	Tick if app	licable
	Annex D listed species		
	Other matters not reported on in question D4 (1) (animal collections, wild animal keeping and breeding in captivity)	1	X
3b	Do you have an electronic information system providing information on	Yes	X
	Regulation-listed species?	No	
	8		
		No information	
	rmitting and registration procedures		
<b>05 Pe</b> i 9b	rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matters	Yes	
	rmitting and registration procedures		
	rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matters	Yes No	
	rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matters	Yes No	X
9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific	Yes No	
9b <b>D8</b>	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In	Yes No No information	
9b <b>D8</b>	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific	Yes No No information	
9b <b>D8</b>	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6))  Have any constraints to implementation of the Regulation, not reported under	Yes No No information Yes No	
9b  D8  2b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6))	Yes No No information  Yes No No information	
9b  D8  2b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6))  Have any constraints to implementation of the Regulation, not reported under	Yes No No information  Yes No No information  Yes	X
9b  D8  2b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6))  Have any constraints to implementation of the Regulation, not reported under	Yes No No information  Yes No No information  Yes No	X 

# LITHUANIA

# **PART 1 - CITES QUESTIONS**



# A. General information

Party	LITHUANIA
Period covered in this report:	1 January 2005 to 31 December 2006
Details of agency preparing this report	Ministry of Environment Republic of Lithuania, Jakšto str. 4/9, LT-01105 Vilnius
Contributing agencies, organizations or individuals	State Environmental Protection Inspectorate, The Customs Department under the Ministry of Finance of the Republic of Lithuania

# B. Legislative and regulatory measures

2	Has information on CITES- under the CITES National L If yes, ignore questions 2, 3	egislation P and 4.	roject?		Yes (p No No in	partly) formation	n/unknown	ring
	Title and date: Rules Protected Wild Flora 25-05-2006	Species.			1	4 - 4 - 1	January 1	
3	•	rief description of contents: <b>Established order regulates trade in protected flor</b> enacted legislation available in one of the working languages of the onvention?  No No information						
4	If yes, please attach a copy of provisions that were gazette		gislative te	xt or key legislative	provid	tion attac ed previo ailable, w		
5	Which of the following issu your country has adopted fo (in accordance with Article	r CITES-list XIV of the 0	ted species	1)?			all applicable prohibition of	
	Issue	Yes	No	No information	Yes	No	No informa	
	Trade	$\boxtimes$						
	Taking	$\boxtimes$						
	Possession	$\boxtimes$						
	Transport							
	Other (specify)							

Rules on Trade in Protected Wild Flora Species it is prohibited to use any Appendix A species for commercial purposes.  It is prohibited to trade in wild animal and plant species, their parts or derivatives listed in Lithuanian Red Data Book, CITES Annexes and in Appendices of the Commission Regulation No 338/1997 without permit. These permits are issued by the Regional Environmental Protection Departments.		Additional comments	purposes. It is prohibited to trade in wild animal and plant species, their parts or derivatives listed in Lithuanian Red Data Book, CITES Annexes and in Appendices of the Commission Regulation No 338/1997 without permit. These permits are issued by the Regional Environmental Protection Departments.  Permits are needed for all imported and exported wild
--	--	---------------------	---

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?						
-	Item	Adequate	Partially Inadequa		equate	No information	
	Powers of CITES authorities	$\boxtimes$					
	Clarity of legal obligations	$\boxtimes$					
	Control over CITES trade	$\boxtimes$					
	Consistency with existing policy on wildlife management and use						
	Coverage of law for all types of offences						
	Coverage of law for all types of penalties						
	Implementing regulations	$\boxtimes$					
	Coherence within legislation	$\boxtimes$					
	Other (please specify):						
	during the PHARE project "Protheir habitats through implement and the related EU legislation" Lithuanian legislation was review Central and Eastern Europe 2006.	entation of CIT (2005-2006). ewed in A TR	TES and the	he Bern a Europe Re	nd Bonn port "W	Conventions	
7	If no review or assessment has taken pl reporting period?  Please provide details if available:	ace, is one planned	for the next	t	Yes No No in	formation	
8	Has there been any review of legislation relation to implementation of the Conve		subjects in	-	Tick all a	applicable	
	Subject				No	No information	
	Access to or ownership of natural resou	rces		$\boxtimes$			
	Harvesting					$\boxtimes$	
	Transporting of live specimens					$\boxtimes$	
	Handling and housing of live specimen	S		$\boxtimes$ [			
	Please provide details if available:						
9	Please provide details of any additional	measures taken:					
	C. Complian	ce and enforc	ement me	asures Yes	No	No information	
1	Have any of the following compliance	monitoring operat	ions been ur	ndertaken?			
	Review of reports and other information producers:				$\boxtimes$		

Inspections of traders, producers, markets

Border controls

	Other (specify)			$\boxtimes$
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	$\boxtimes$		
3	If Yes, please indicate how many and for what types of violations? If Annex.	available, p	lease attacl	n details as
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made? <b>See Annex</b>			
5	If information available:  Significant seizures/confiscations  Total seizures/confiscations  If possible, please specify per group of species or attach details on annex.		Num	ber
6	Have there been any criminal prosecutions of significant CITES-related violations?			
7	If Yes, how many and for what types of violations? Lithuanian cit imported 3 parrots (Amazona amazonica) from Guyana were presented fake documents. This fact was verified by was commenced a criminal action, court imposed 1000 Lt and birds were confiscated.	vithout an Guyana N	y docum AA. For t	ents. Later his violation
8	Have there been any other court actions of CITES-related violations?			
9	If Yes, what were the violations involved and what were the results?			l
10	How were the confiscated specimens generally disposed of?		F	Fick if applicable
	Return to country of export	•		
	Public zoos or botanical gardens			$\boxtimes$
	Designated rescue centres			
	Approved, private facilities			
	– Euthanasia			H
	- Other (specify)			
	Comments: Ministry of Environment and Lithuanian Zoo (November 2006) on placing of confiscated live animals		_	reement
11	Has your country provided to the Secretariat detailed informati		Yes	
	significant cases of illegal trade (e.g. through an ECOMESSAGE	E or	No	$\square$
	other means), or information on convicted illegal traders and persistent offenders?		Not appli	cable 🗍
	persistent offenders:		No inform	nation 🔲
	Comments:			
12	Has your country been involved in cooperative enforcement activities	with	Yes	
	other countries		No	
	(e.g. exchange of intelligence, technical support, investigative assistar joint operation, etc.)?	ice,	No inform	ation
13	If Yes, please give a brief description: Information was request			
	by phone or e-mail from MA in Bulgaria, Latavia, Hur			
	and etc. regarding authenticity of export permits, or re exporters company. No answer from Russia, Zimbabw	•	-	ters /
1.4				
14	Has your country offered any incentives to local communities to assis enforcement of CITES legislation, e.g. leading to the arrest and convident of the convincence o	· · · ·	Yes	
	offenders?	V.1011 U1	No	$\bowtie$

		No information	
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes No Not applicable No information	
	Comments:		
17	Please provide details of any additional measures taken: MA continually lectures on CITES requirements for Custom officers, for ins protection.	0	

### D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	
4	If Yes, please name that MA and indicate whether it is identified as the lead M Ministry of Environment is the lead MA and State Environm Inspectorate was designated as permits issuing MA.		etory.
5	How many staff work in each MA? One in each.		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation <b>About 70 % of work time.</b>	Yes No No information	
7	What are the skills/expertise of staff within the MA(s)?	Tiels if e	muli aabla
/	Administration  Administration	1 ICK II a	pplicable
	<ul><li>Biology</li><li>Economics/trade</li></ul>		
	<ul><li>Economics/trade</li><li>Law/policy</li></ul>		
	- Caw/poncy - Other (specify)	<del>.</del>	
	No information		
8	Have the MA(s) undertaken or supported any research activities in relation to	Yes	
	CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	No No information	
9	If Yes, please give the species name and provide details of the kind of research	h involved.	
10	Please provide details of any additional measures taken		

# D2 Scientific Authority (SA)

1		n any changes in t your country wh		Yes No No informatio	n $\square$					
2	If Yes, please use the opportunity to provide those changes here.									
3	Has your count Management A	Yes No No informatio	n $\square$							
4	What is the stru	icture of the SA(s	) in your country?			Tie	ck if applicable			
	- Governi	ment institution								
	- Academ	nic or research ins	titution							
	– Perman	ent committee								
		individuals with o	certain expertise							
	– Other (s	specify)								
5	How many staf	f work in each SA	on CITES issues	? Directly	y responsible	e - one in each	1.			
6	-	te the percentage	of time they spend	d on CITES	S related	Yes				
	matters?					No No informatio				
	If yes, please gi	ive estimation				No illiorillatio				
7	What are the sk	rills/expertise of s	taff within the SA	(s)?		Tie	ck if applicable			
	- Botany									
	- Ecology	/								
	– Fisherie	es								
	– Forestry	/					$\overline{\Box}$			
	– Welfare	;								
	– Zoology	7								
	– Other (s									
	!	ormation								
8			een undertaken	by the SA	(s) in	Yes				
	relation to CIT		corr urrecer urrecer	2 9 1210 01 1	(6) 111	No	H			
						No informatio	n 📈			
9	If Yes, please g	ive the species na	me and provide de	etails of the	e kind of researc	ch involved.				
	Species name	Populations	Distribution	Off	Legal trade	Illegal trade	Other			
		Fopulations	Distribution	take		megai trade	(specify)			
	1									
	2									
	3									
	etc.					No information	on $\square$			
10	I I avec a mare muse	instrumentals fo		م مده المسا	ما له ما ده	Yes				
10		t under Resoluti	r scientific resea on Conf. 12.2?	ren been s	submitted to	No				
						No information	on $\square$			
11	Please provide	details of any add	itional measures ta	aken:						
11		actuing or unity add								

)3	Enforcement Authorit	ies						
1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?				Yes No No information			
2	If No, please designat	If No, please designate them here (with address, phone, fax and email).						
3	Has your country esta related enforcement (o police, public prosecu	e.g. with	in the wildl					
4	If Yes, please state wl	nich is th	e lead agen	cy for enfo	rcement	t:		
5	Please provide details	of any a	dditional m	easures tak	en:			
04	Communication, infor	mation n	nanagemen	nt and exch	ange			
1	To what extent is CIT	ES infor	mation in y	our country	compu	terized?	Tick if applicable	
	<ul> <li>Monitoring an</li> </ul>	d reporti	ng of data o	n legal trac	le			
	<ul> <li>Monitoring an</li> </ul>	d reporti	ng of data o	on illegal tra	ade		$\overline{\boxtimes}$	
	<ul> <li>Permit issuance</li> </ul>	ee						
	– Not at all							
	- Other (specify	)						
2	Do the following auth	,	ave access t	o the Intern	et?		Tick if applicable	
	Authority	Yes, conti nuou s and unre strict ed acce ss	Yes, but only through a dial- up connect ion	Yes, but only through a differe nt office	So me offi ces onl y	Not at all	Please provide details where appropriate	
	Management Authority	$\boxtimes$						
	Scientific Authority	$\boxtimes$						
	Enforcement Authority	$\boxtimes$						
3	Do you have an electr species?	onic info	ormation sys	stem provid	ling info	ormation	on CITES Yes No	

No information

4	If Yes, does it provide information on:			Tick if applicable
	Legislation (national, regional or internation			
	Conservation status (national, regional, integration)			
	Other (please specify)?			
5	Is it available through the Internet:		Yes	s 🖂
	CITES REPORTS		No	
				t applicable information
			110	
	Please provide URL: <a href="http://www.am.lt/VI/rub">http://www.am.lt/VI/rub</a>	oric.php3?rubric_	<u>id=675</u>	
6	Do the following authorities have access to the following			Tick if applicable
	Publication	Management Authority	Scientific Authority	Enforcement Authority
	2003 Checklist of CITES Species (book)	$\boxtimes$	$\boxtimes$	$\boxtimes$
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)			$\boxtimes$
	Identification Manual	$\boxtimes$		$\boxtimes$
	CITES Handbook	$\boxtimes$		$\boxtimes$
7	If not, what problems have been encountered to account			
	To date, Lithuanian MA have received of CITES Secretariat	only one copy of	Identificatio	n Manual from
8	Have enforcement authorities reported to the Mana	gament Authority or	··	Tick if applicable
0	Mortality in transport?	gement Authority of	1.	
	Seizures and confiscations?			
	Discrepancy in number of items in permit and numbers.	number of items actu	ally traded?	
	Comments: Each case is reported to MA of		•	
	requested.			
9	Is there a government website with information on	CITES and its	Yes	$\boxtimes$
	requirements?		No	
			No in	nformation
	If Yes, please give the URL: www.am.lt; www	.cust.lt; www.ced	<u>cilia.lt</u>	
10	Have CITES authorities been involved in any of the bring about better accessibility to and understandin requirements to the wider public?			Tick if applicable
	- Press releases/conferences			$\boxtimes$
	Newspaper articles, radio/television appeara	ances		
	- Brochures, leaflets			
	- Presentations			$\boxtimes$
	– Displays			
	<ul> <li>Information at border crossing points</li> </ul>			
	- Telephone hotline			
	- Other (specify) Info stands in Nature			
	Kaunas Zoomuseum, Klaipėda Marinen Aquarium.	nuseum -		

	Please attach copies of any items as Annex. <b>See Annex</b> .								
11	Please provide details of any additional measures taken:								
05	Permitting and registration procedures								
1	Have any changes in permit for empowered to sign CITES perm Secretariat?  If no, please provide details of a Changes in permit format: In t	nits/certificates bee any: he meantime M	n reported  A starte	previously to	o the	Yes No Not applicable No information			
	permits as established in			on (EC) 18	08/2001				
2	following?								
	Permit issuance/acceptance			Yes	No	No information	1		
	Registration of traders								
2	Registration of producers	20.1	. :	1	<u> </u>				
3	Please indicate how many CITI (Note that actual trade is normal documents).						i		
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments			
	How many documents were issued?	58	5	3					
	How many applications were denied because of severe omissions or misinformation?	1							
	Year 2 How many documents were	67	2	7					
	How many applications were denied because of severe omissions or misinformation?								
4	Were any CITES documents the of severe omissions or mis-info		cancelled	and replaced	because	Yes No No information			
5	If Yes, please give the reasons	for this. <b>Technica</b>	al omissi	ons					
6	Please give the reasons for recountries.	ejection of CITES	documen	ts from othe	er 	Tick if applicable			
		ason		Yes	No	No information	ı		
	Technical violations								
	Suspected fraud				$\perp \Box$				
	Insufficient basis for finding of								
	Insufficient basis for finding of	legal acquisition							
	Other (specify)								
7	Are harvest and/or export quota issuance of permits?	s as a management	t tool in the	e procedure f	or	Yes No No information			

	Comments							
8	How many times has the Scientific Authority been requested to provide opinions?							
	5-10 times each year.							
9	Has the Management Authority charged fees	nit issuance, registration or	Tick if applicable					
	related CITES activities? NO							
	Issuance of CITES documents:							
	<ul> <li>Licensing or registration of operatio</li> </ul>		roduce CITES species:					
	<ul> <li>Harvesting of CITES-listed species</li> </ul>	:						
	– Use of CITES-listed species:							
	<ul> <li>Assignment of quotas for CITES-lis</li> </ul>	ted speci	ies:					
	<ul><li>Importing of CITES-listed species:</li></ul>							
	- Other (specify):							
10	If Yes, please provide the amounts of such for							
11	Have revenues from fees been used for the in wildlife conservation?	Tick if applicable						
	– Entirely:							
	– Partly:							
	– Not at all:							
	– Not relevant:							
	Comments:							
12	Please provide details of any additional measure	sures tak	en:					
D6	Capacity building							
1	Have any of the following activities been us CITES implementation at the national level		n to enhance effectiveness of	Tick if applicable				
	Increased budget for activities		Improvement of national networks					
	Hiring of more staff							
	Development of implementation tools	$\boxtimes$	Computerisation					
	- Other (specify)							
L	1							

2	Have the CITES authorities in your country activities provided by external sources?  Please tick boxes to indicate which target group and which activity.  Target group	Oral or writt en advi ce/g uida nce	Tech nical assis tanc e	Fina ncial assis tanc e	T ra in in g	O th er (s p e ci fy)	What were the external sources?  the PHARE project "Protection of endangered species of flora and fauna and their habitats through implementation of CITES and the Bern and Bonn Conventions and the related EU legislation" (2005-2006).
	Staff of Management Authority	$\boxtimes$	$\boxtimes$		$\boxtimes$		
	Staff of Scientific Authority	$\boxtimes$			$\boxtimes$		
	Staff of enforcement authorities	$\boxtimes$			$\boxtimes$		
	Traders						
	NGOs						
	Public	$\boxtimes$			$\boxtimes$		
	Other (specify)						

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?							
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or writt en advi ce/g uida nce	Tech nical assis tanc e	Fina ncial assis tanc e	T ra in in g	O th er (s p e ci fy )	Details	
	Staff of Management Authority	$\boxtimes$			$\boxtimes$			
	Staff of Scientific Authority							
	Staff of enforcement authorities				$\boxtimes$			
	Traders							
	NGOs							
	Public							
	Other parties/International meetings							
	Other (specify)							
4	Please provide details of any additional me	easures ta	ken					
<b>D7 Co</b>	Ilaboration/co-operative initiatives  Is there an inter-agency or inter-sectoral c	ammittaa	on CITI	CO2			∕es □	
1	is there an inter-agency of inter-sectoral c	ommittee	on CITI	23!		1	No So Information	
2	If Yes, which agencies are represented and	d how oft	en does i	t meet?				
3	If No, please indicated the frequency of mamong CITES authorities (e.g. other MAs						to ensure co-ordination	
	Daily Weekly Mon	nthly A	nnually	None		No mation	Other (specify)	
	Meetings							
	Consultations							
4	At the national level have there been any collaborate with:	efforts to		Tick if applicable Detail			Details if available	
	Agencies for development and trade							
	Provincial, state or territorial authorities				$\boxtimes$			
	Local authorities or communities							
	Indigenous peoples							
	Trade or other private sector associations							
	Trade or other private sector associations							
	Trade or other private sector associations NGOs							

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if app	plicable
	SA			
	Customs			
	Police			
	Other border authorities (specify)			
	Other government agencies			
	Private sector bodies			
	NGOs			
	Other (specify) Agreement between the Ministry of Environment and Lithuanian Zoo on placing of confiscated live animals in zoo, concluded in 2006			
6	Has your country participated in any regional activities related to CITES?		Tick if app	plicable
	Workshops			
	Meetings			$\boxtimes$
	Other (specify) Training tour to Denmark			
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information		
8	If Yes, which one(s) and in what way?			
9	Has your country provided technical or financial a another country in relation to CITES?	assistance to	Yes No No information	
10	If Yes, which country(ies) and what kind of assistance was pro-	rovided?		
11	Has your country provided any data for inclusion in the CITE Manual?	ES Identification	Yes No No information	
12	If Yes, please give a brief description.			
13	Has your country taken measures to achieve co-ordination and uplication of activities between the national authorities for Complete and the multilateral environmental agreements (e.g. the biodiversity-noventions)?	CITES and other	Yes No No information	
14	If Yes, please give a brief description.			
15	Please provide details of any additional measures taken:			

### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the nation level and what is the respective level of priority?				
	Activity	High	Medium	Low	
	Increased budget for activities		$\boxtimes$		
	Hiring of more staff	$\boxtimes$			
	Development of implementation tools		$\boxtimes$		
	Improvement of national networks				
	Purchase of new technical equipment for monitoring and enforcement		$\boxtimes$		
	Computerisation			$\boxtimes$	
	Other (specify)				
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes No No info	rmation		
3	If Yes, which one(s) and what is the main difficulty?				
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes No No inf	ormation		
5	If Yes, please describe the constraint and the type of attention or assistance that is	required			
6	Has your country identified any measures, procedures or mechanisms within the	Yes			
	Convention that would benefit from review and/or simplification?	No		$\boxtimes$	
		No inf	ormation		
7	If Yes, please give a brief description.				
8	Please provide details of any additional measures taken:				

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
В4	Copy of full text of CITES-relevant legislation	Enclosed Not available Not relevant	
С3	Details of violations and administrative measures imposed	Enclosed Not available Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed Not available Not relevant	
C7	Details of violations and results of prosecutions	Enclosed Not available Not relevant	
С9	Details of violations and results of court actions	Enclosed Not available Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed Not available Not relevant	

# ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

Title and date:	tion, other than that
Has your country adopted any stricter domestic measures, other than those reported under specifically for non CITES-listed species <sup>10</sup> ?  Tick all applicable categories below that these categories apply to.  The conditions for:  The complete  Issue  Yes  No  No information  Yes  No  Trade  Taking  Possession  Transport	
specifically for non CITES-listed species 10?  Tick all applicable categories below that these categories apply to.  The conditions for:  The complete  Issue  Yes  No  No information  Yes  No  Trade  Taking  Possession  Transport  Transport	
Issue Yes No No information Yes No Trade	question B(5),
Trade	prohibition of:
Taking	No information
Possession	
Transport	
Other (specify)	
omer (speed)	
Additional comments	
8b Has there been any review of legislation on the following subjects in relation to implementation of the Regulation?	
Yes No	No information
Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	
Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii))	
Please provide details if available,	
9b Please provide details of maximum penalties that may be imposed for Regulation-related vother additional measures taken in relation to implementation of the Regulation not reported (9)	

In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

# C. Compliance and enforcement measures

2b		ns, in addition to t related violations?	hose reported in C	C (2-9) abo	ve, been taken	Yes No No information	n 🖂
16b			essment of Regula eported under C (1			Yes No No informatio	n 🖂
	Comments:						
17 new	in captivity or a		establish whether ated? (In accordan article 36),			Yes No No informatio	ın 🖂
	Comments:						
18 new	accommodation	toring activities be a for a live specim aserve and care for (c))	is adequately	Yes No No informatio	n		
	Comments:	<i>,,</i>					
	1						
	nnagement Auth	ority (MA)	). Administrat				
8b		ed species or tech	apported any resea nical issues (e.g. s			Yes No No informa	ation
10 new	the outcomes of	f any investigatio	ES Secretariat (if ns that the Commice with Article 14	ission has	considered it	Yes No No informa	ation $\square$
D2 Sc	ientific Authorit	ty (SA)					
8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?					Yes No No information	n 🖂
9b	If Yes, please g	ive the species na	me and provide de	etails of the	e kind of researc	h involved.	
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
						No informati	on 🔀
11 new	How many Sc attended?	ientific Review (	Group (SRG) me	etings hav	ve the SA	Number	0
	Indicate any difficulties that rendered attendance to the SRG difficult:  Financial and administrative difficulties.						

### D3 Enforcement Authorities

6	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?				
new	your country:				
		dan aanaidanatian	$\vdash$		
		der consideration			
	No	information	Ш		
D4 Co	mmunication, information management and exchange				
1b	Is Regulation-related information in your country computerized on?	Tick if app	olicable		
	- Annex D listed species				
	- Other matters not reported on in question D4 (1) (please specify)				
3b	Do you have an electronic information system providing information on	Yes			
	Regulation-listed species?	No			
		No information			
D5 Pe	rmitting and registration procedures				
9b	Has the Management Authority charged fees for any Regulation-related matters	Yes			
	not covered in question D5 (9)?	No	$\boxtimes$		
		No information			
D8	Areas for future work				
2b	Has your country encountered any difficulties in implementing specific	Yes			
	suspensions or negative opinions adopted by the European Commission? (In	No	$\overline{\boxtimes}$		
	accordance with Article 4 (6))	No information			
4b	Have any constraints to implementation of the Regulation, not reported under	Yes			
	question D8(4), arisen in your country requiring attention or assistance?	No	$\boxtimes$		
		No information			
7 1.:-					
7 bis new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Number : <b>90 %</b>			

# LUXEMBOURG

# BIENNIAL REPORT LUXEMBOURG

# **Part 1 - CITES Questions**

# A. Informations générales

Partie	Luxembourg
Période couverte par ce rapport:	1 <sup>er</sup> janvier 2005 au 31 décembre 2006
Service préparant le rapport	Ministère de l'Environnement
Services, organisations ou personnes y ayant contribué	Service vétérinaire, Service phytosanitaire, Direction des Douanes

# B. Mesures législatives et réglementaires

1		la législation CITES ont-elles déjà été fournies dans le s législations nationales? estion 5.				Oui (complètement) Oui (partiellement) Non Pas d'information		
2	Si une législation CIT	ES a été plar	nifiée, prép	arée ou adoptée, veuillez	indique	r:		
	le titre et la date de la	législation:		où elle en est				
	Brève description du c	ontenu:						
3	Existe-t-il une législat travail de la Convention	ne législation adoptée, disponible dans une des langues de Convention?					ormation	
4		une copie du texte législatif complet ou des s législatives publiées au journal officiel.					le, sera envoyée	
5	Quelles sont parmi les questions suivantes celles qui sont traitées par des mesures internes plus strictes adoptées pour des espèces CITES (conformément à l'Article XIV de la Convention)? Cochez les cases applicables  Sous conditions:  Interdiction complète:							
	Question	Oui	Non	Pas d'information	Oui	Non	Pas d'informat	tion
	Commerce	$\boxtimes$						
	Prélèvement	$\boxtimes$						
	Possession	$\boxtimes$						
	Transport							
	Autre (à préciser)							
	Autres commentaires : Loi du 21 avril 1989 sur le commerce des espèces de faune et de flore sauvages Interdiction des spécimens de l'annexe I de la convention ou du règlement communautaire, à l'exception des objets personnels.							des

6	Quels sont les résultats de tout examen ou évaluation de l'efficacité de la législation CITES pour les éléments suivants? Cochez les cases applicables					
	Eléments	Adéquate	En partie inadéquate	Inadéquate	Pas d'information	
	Compétences des organes CITES					
	Clarté des obligations légales					
	Maîtrise du commerce CITES					
	Cohérence avec la politique de gestion et d'utilisation des espèces sauvages					
	Couverture par la loi de tous les types d'infraction					
	Couverture par la loi de tous les types de sanction					
	Application des réglementations					
	Cohérence interne de la législation					
	Autre (veuillez préciser)					
	couverte par le rapport.  Etant donné l'envergure extrêmement luxembourgeoises, un monitoring for direction de la douane se concertent d CITES.	mel n'est pas effe	ectué. Les agents de	es autorités de g	estion de la	
7	Si aucun examen ou évaluation n'a eu lieu, y en a-t-il un planifié pour la prochaine période de rapport?  Non Pas d'information  Veuillez donner les précisions disponibles:					
8	Y a-t-il eu un examen de la législation s de l'application de la Convention? Coc					
	Sujet		Ou		Pas d'information	
	Accès aux ressources naturelles ou prop	priété des ressour	ces 📗			
	Prélèvement					
	Transport des spécimens vivants					
	Manutention des spécimens vivants et i		britant		Ш	
	Veuillez fournir les détails disponibles:					
9	Veuillez fournir des indications sur tou	te mesure supplé	mentaire prise:			

# C. Mesures pour le respect de la Convention et la lutte contre la fraude

		Oui	Non	Pas d'in	formation	
1	Y a-t-il eu des opérations telles que celles indiquées ci-dessous?					
	Examen des rapports et d'autres informations fournies par les négociants et les producteurs		$\boxtimes$			
	Inspections de négociants, de producteurs, de marchés					
	Contrôles aux frontières					
	Autres (veuillez préciser) :					
2	Des mesures administratives (amendes, interdictions, suspensions, etc.) ont-elles été imposées pour des violations de la CITES?					
3	Si oui, veuillez indiquer combien et pour quels types de violations et je	oindre les	détails o	lisponibl	es.	
4	Y a-t-il eu des saisies, confiscations ou séquestres importants de spécimens CITES?					
5	Si des informations sont disponibles:  Saisies/confiscations importantes Total des saisies/confiscations  Veuillez les indiquer si possible par groupe d'espèces ou joindre des indications.		N	ombre		
6	Y a-t-il eu des poursuites pénales pour violation importante de la CITES?					
7	Si oui, combien et pour quels types de violation? Veuillez joindre les c	détails en	annexe.			
8	Y a-t-il eu d'autres actions en justice pour violation de la CITES?					
9	Si oui, pour quelles violations et avec quels résultats? Veuillez joindre les d	létails en	annexe.			
10	Que deviennent habituellement les spécimens confisqués?			Cochez s	i applicable	e
	<ul> <li>Retour au pays d'exportation</li> </ul>				$\boxtimes$	
	<ul> <li>Zoos ou jardins botaniques publics</li> </ul>					
	<ul> <li>Centres de sauvetage désignés</li> </ul>					
	<ul> <li>Installations privées approuvées</li> </ul>					
	– Euthanasie					
	- Autre (veuillez spécifier) : Musée national d'histoire naturelle					
	Commentaires:					
11	Des informations détaillées ont-elles été communiquées au Secrétariat concernant des affaires importantes de commerce illicite (par ECOMESSAGE ou un autre moyen), ou des informations sur des négociants illicites reconnus coupables et sur des récidivistes?	No No				
	Commentaires:					-
	Suite à la demande du Secrétariat, le Luxembourg a fourni des informations concernant une enquête sur le caviar.					
12	Y a-t-il eu des activités de lutte contre la fraude menées en coopération					
	avec d'autres pays?  (échange de renseignements, appui technique, assistance pour enquête opération conjointe, etc.)?	No Pas	n s d'infori	mation		
13	Si oui, veuillez les indiquer brièvement:			-		
	L'UE entretient un groupe "Enforcement" lequel se réunit au moins une fois par an afin d'échanger des informations et de discuter de situations pouvant être problématiques.					

14	Des incitations ont-elles été proposées aux populations locales pour qu'elles contribuent à l'application de la législation CITES, avec pour effet d'entraîner, par exemple, des arrestations et la condamnation des contrevenants?	Oui Non Pas d'information	
15	Si oui, veuillez indiquer lesquelles:		
16	Y a-t-il eu un examen ou une évaluation de la lutte contre la fraude liée à la CITES?	Oui Non Non applicable Pas d'information	
	Commentaires:  Etant donné l'envergure extrêmement réduite du commerce des espèces exotiques aux frontières luxembourgeoises, un monitoring formel n'est pas effectué. Les agents des autorités de gestion de la direction de la douane se concertent de façon régulière sur les améliorations possibles dans le domaine de la CITES.		
17	Veuillez fournir des indications sur toute mesure supplémentaire prise:		

# D. Mesures administratives

# D1 Organe de gestion (OG)

1	Y a-t-il eu des changements dans l'OG désigné ou dans ses coordonnées, n'ayant pas encore été consignés dans le Répertoire CITES?	Oui Non Pas d'information	
2	Si oui, veuillez indiquer ici ces changements:		
3	S'il y a plus d'un OG dans votre pays, un OG principal a-t-il été désigné?	Oui Non Pas d'information	
4	Si oui, veuillez indiquer son nom et s'il figure comme principal OG dans le Comme indiqué dans le répertoire CITES, l'organe de gestion principal Département de la protection de la nature Ministère de l'Environnement 18, montée de la Pétrusse L-2918 LUXEMBOURG  Tel: +352 247 86820 Fax: +352 247 86835	-	
5	Quel est l'effectif de chaque OG?  Organe de gestion principal : 1  Organe de gestion en charge pour la Faune : 1  Organe de gestion en charge pour la Flore : 1		

6	Pouvez-vous estimer le pourcentage de temps qu'il consacre aux questions CITES?	Oui Non Pas d'information				
	Si oui, veuillez faire une estimation:	ras d information	Ш			
7	Quelles sont les compétences du personnel des OG?	Cochez si applicable				
	- Administration		$\boxtimes$			
	- Biologie		$\boxtimes$			
	- Economie/commerce					
	– Loi/politiques		$\boxtimes$			
	Autre (veuillez spécifier) : vétérinaire		$\boxtimes$			
	Pas d'information					
8	Les OG ont-ils entrepris ou appuyé des activités de recherche sur des	Oui				
	espèces ou des questions techniques CITES (étiquetage, marquage,	Non	$\boxtimes$			
	identification d'espèces, etc.) non couvertes par D2 (8) et D2 (9)?	Pas d'information				
9	Si oui, veuillez indiquer les espèces et le type de recherche.					
10	Veuillez fournir des indications sur toute mesure supplémentaire prise:					

# D2 Autorité scientifique (AS)

1	Y a-t-il eu des changements dans l'AS désignée ou dans ses coordonnées, n'ayant pas encore été consignés dans le Répertoire CITES?					Oui Non		
ii ayant pas encore ete et			margnes dans le ree	perione CITES:	Pas d'information			
2	Si oui, veuillez indiquer ici ces changements:							
_	of our, ventuez marquer for ces enangements.							
3	L'autorité	scientifique dé	Oui	$\boxtimes$				
	gestion?	•				Non		
			Pas d'information	n 🗌				
4	Quelle est la structure des AS? Cochez si							
	– In:	stitution gouve						
	– In:	stitution acadéi						
	- Co	ommission peri	nanente					
	– G1	roupe d'individ	lus ayant certaines c	connaissances				
	– Au	utre (veuillez sj	pécifier):					
5	-		que AS pour les que				·	
			fique est constituée	sous forme d'un co	omité de 4			
	pe	rsonnes.						
6	Dougas v	ous astimar la r	ourcentage de temp	as au'il consocra ou	v	Oui		
0	questions		ourcentage de temp	os qu ii consacte au	Х	Non	片	
	1					Pas d'information	n 🖺	
	Si oui, vei	uillez faire une	estimation				_	
7	Quelles so	ont les compéte	ences du personnel d	des AS?		Cochez	si applicable	
	- Bo	otanique					$\boxtimes$	
	– Ec	cologie					$\boxtimes$	
	– Pê	cheries						
	- Foresterie							
	<ul> <li>Protection animale</li> </ul>							
	- Zoologie							
	- Autre (veuillez préciser)							
	– Pa	s d'information	n					
8		tés de recherch	ne ont-elles été men	ées par les AS sur d	Oui			
	CITES?					Non		
			Pas d'information	1 <u> </u>				
9	Si oui, vei	uillez indiquer	les espèces et le typ	e de recherche			I	
	Espèce	Population	Répartition géographique	Prélèvement	Commerc licite	e Commerce illicite	Autre (préciser)	
	1							
	2							
	3							
	etc.							
	Pas d'informa							
10	Des propositions de projets de recherche scientifique ont-elles été					Oui		
	soumises au Secrétariat au titre de la résolution Conf. 12.2?							
			Pas d'information	on $\square$				
11	Veuillez fournir des indications sur toute mesure supplémentaire prise:							

# D3 Autorités chargées de la lutte contre la fraude

1	Les autorités désignées pour recevoir des informations confidentielles sur la lutte contre la fraude dans le cadre de la CITES ont-elles été indiquées au Secrétariat?	Oui Non Pas d'information	
2	Si non, veuillez les désigner ici (avec l'adresse, le téléphone, le fax et le courriel):  Pour rappel:  M. Daniel Koener  Direction de l'Administration des Douanes et Accises  B.P. 1605  L-1016 Luxembourg  Tél.: +352 290191 – 226  Fax: +352 292289  Courriel: daniel.koener@do.etat.lu		
3	Y a-il un service spécialisé dans la lutte contre la fraude CITES (dans le département chargé des espèces sauvages, les douanes, la police, l'appareil judiciaire, etc.)?	Oui Non A l'étude Pas d'information	
4	Si oui, veuillez indiquer le principal organisme chargé de la lutte contre la fraude:		
5	Veuillez fournir des indications sur toute mesure supplémentaire prise:		

# D4 Communication; gestion et échange des informations

1	Quelles sont les informations CITES ayant été informatisées?					Cochez si applica	ble	
	Suivi et rappo	ort sur les données sur le commerce licite						
	Suivi et rappo:	rt sur les	données su	r le commerce il	licite			
	<ul> <li>Délivrance des</li> </ul>	s permis						
	- Aucune							
	- Autres (veuillez préciser):							
2	Les autorités suivante	s ont-elle	es accès à Ir	nternet?			Cochez si applica	ble
	Autorité	Oui, accès continu et sans restriction	Oui, mais seulement par téléphone	Oui, mais seulement par l'intermédiaire d'un autre service	Seulement certains services	Non, aucune	Veuillez, s'il y a lieu, donner des précisions	
	Organe de gestion							
	Autorité scientifique							
	Autorité de lutte contre la fraude							
3	Y a-t-il un système d' les espèces CITES?	informat	ion électror	ique donnant de	s informa	ations sur	Oui Non Pas d'information	

4	Si oui, donne-il des informations sur:		Cochez si app	licable	
	– la législation (nationale, régionale ou inter			$\boxtimes$	
	– la conservation (nationale, régionale ou in			$\boxtimes$	
	– autre (veuillez préciser)?				
5	Est-il disponible par Internet?		_	plicable nformation	
	Veuillez indiquer l'URL: www.legilux.lu				
	http://europa.eu.int/comm/environment/cites/home	e_en.htm			
	http://www.eu-wildlifetrade.org/				
	etc	1	201 :		
6	Les autorités mentionnées ont-elles accès aux pub applicable			<del>-</del>	
	Publications	Organe de gestion	Autorité scientifique	Autorité de contre la fr	
	Liste des espèces CITES 2003 (livre)	$\square$	$\boxtimes$		
	Liste des espèces CITES 2003 et Annexes et réserves CITES annotées (CD-ROM)				
	Manuel d'identification	$\boxtimes$	$\boxtimes$		
	Guide CITES		$\boxtimes$		
7	Si non, quels ont été les problèmes rencontrés pou	ir accéder à ces int	formations?		
8	Des autorités chargées de la lutte contre la fraude gestion des rapports sur:	ont-elles soumis à	l'organe de	Cochez si ap	plicable
	– la mortalité pendant le transport?				$\boxtimes$
	<ul><li>les saisies et les confiscations?</li></ul>				$\boxtimes$
	<ul> <li>des écarts entre le nombre d'articles figura nombre d'articles effectivement commerci</li> </ul>		et le		
	Commentaires:				
9	Y a-t-il un site Internet du gouvernement donnant CITES et les obligations qui en découlent?	des informations	Non	d'information	
	Si oui, veuillez indiquer l'URL:				
	http://www.do.etat.lu/protection/cites.htm				
10	Des autorités CITES ont-elles participé aux activit large accès du public à la Convention et une meille obligations qui en découlent?			Cochez si app	plicable
	Communiqués de presse /conférences de p	presse			
	Articles de journaux, interventions à la rac	lio/à la télévision			
	- Brochures, fascicules				$\boxtimes$
	- Présentations		,		
	– Expositions				$\boxtimes$
	<ul> <li>Information aux frontières</li> </ul>				$\boxtimes$
	Ligne téléphonique spéciale				
	Autre (veuillez préciser)				
	Veuillez joindre une copie de ces éléments.				

Ī	Exposition permanente à l'aéroport
	Exposition itinérante (à partir de 2001)
	Dépliant : La Cites au Luxembourg (2001)— distributeurs
11	Veuillez fournir des indications sur toute mesure supplémentaire prise:

## D5 Procédures en matière de permis et d'enregistrement

	•						
1	Des changements dans le formu signer les permis/certificats C au Secrétariat?  Si non, veuillez donner des préc		Oui Non Non applicable Pas d'information	X			
	les changements dans le for		ic.				
	les changements dans les ca	<u>_</u>		e. V DITHB			
2	Votre pays a-t-il élaboré des pro		ies signature			Cochez si applicable	
2	pour ce qui suit?	securies certies				Coeffez si applicable	
				Oui	Non	Pas d'informatio	n
	Délivrance/acceptation des perr	nis			$\boxtimes$		
	Enregistrement des négociants				$\boxtimes$		
	Enregistrement des producteurs	}					
3	Combien de documents CITES	ont-ils été délivi	<u>rés</u> et rejetés	dans la pério	de de de	ux ans?	
	(Notez que dans les rapports an réfère aux documents délivrés).		Parties enreg	istrent le con	nmerce e	ffectif. Cette question s	se
	Année 1	Importation	Expor- tation	Réexpor- tation	Autre	Commentaires	
	Nombre de documents délivrés:	45	10	13			
	Nombre de demandes rejetées en raison de graves omissions ou d'informations erronées?	0	0	0			
	Année 2 Nombre de documents délivrés:	54	0	12			
	Nombre de demandes rejetées en raison de graves omissions ou d'informations erronées?	0	0	0			
4	Des documents CITES délivrés raison de graves omissions ou c			és et remplacé	és en	Oui Non Pas d'information	
5	Si oui, veuillez en indiquer les i	motifs.					
6	Veuillez indiquer les motifs du pays.	rejet de documer	nts CITES ér	nanant d'autr	es	Cochez si applicable	
	Mo	otif		Oui	Non	Pas d'informatio	n
	Violation technique				$\boxtimes$		
	Suspicion de fraude						
	Base insuffisante pour établir l' préjudiciable	sante pour établir l'avis de commerce non					
	Base insuffisante pour établir la	légalité de l'acq	uisition				
	Autre (veuillez préciser)						
7	Les quotas de prélèvement/d'ex procédure de délivrance des per		s des outils d	le gestion dar	ıs la	Oui Non Pas d'information	
	Commentaires:					- wo d information	_

	8	Combien de fois l'autorité scientifique a-t-elle été priée de donner son opinion? 0								
	9	L'OG perçoit-il des droits pour la délivrance des permis, l'enregistrement ou d'autres activités touchant à la CITES? <b>NON</b>							Cochez si appl	licable
		<ul> <li>Délivrance de documents CITES</li> </ul>								
		<ul> <li>Octroi de licences ou enregistremen espèces CITES</li> </ul>	t des é	tablissem	ents pro	oduisan	it des			
		<ul> <li>Prélèvement d'espèces CITES</li> </ul>								П
		<ul> <li>Utilisation d'espèces CITES</li> </ul>								
		<ul> <li>Affectation de quotas à des espe</li> </ul>	èces CI	TES						
		<ul> <li>Importation d'espèces CITES</li> </ul>								
		<ul> <li>Autre (veuillez préciser)</li> </ul>								
	10	Si oui, veuillez en indiquer le montant.								·
	11	Les recettes des droits sont-elles utilisée pour la conservation des espèces sauvag		l'applicat	tion de	la CITI	ES ou		Cochez si applicable	2
		– Entièrement								
		<ul><li>Partiellement</li></ul>								
		– Pas du tout								
		– Non pertinent								$\square$
		Commentaires:								
ŀ	12	Veuillez fournir des indications sur tout	e mesu	re supplé	mentair	re prise	:			
L										
1	D6	Renforcement des capacités								
	1	Les activités suivantes ont-elles été ent l'application de la CITES au niveau na			ıéliorer	l'effic	acité (	de	Cochez si appli	icable
		Augmentation du budget des activités		Amélio	oration o	des rése	eaux 1	nation	aux	
		Engagement de personnel		Achat o					pour la surveillance	
		Elaboration d'outils d'application		Informa	atisatio	n				
		- Autres (veuillez préciser)		<u>.</u>						
ŀ	2	Les autorités CITES ont-elles bénéficié	des ac	tivités sui	vantes	de renf	orcen	nent d	es capacités assurées p	ar des
		sources externes?							P	
				nc						
		Veuillez cocher les cases pour indiquer	les	on ent o				fier		
		groupes ciblés et les activités.		Avis/orientation fourni oralement ou par écrit				Autre (à spécifier)	Quelles étaient les so externes?	ources
				rien oral it	Assistance technique	Assistance financière	Formation	a si	externes?	
				Avis/ori fourni or par écrit	Assistance technique	sista	тта	tre		
		Groupes ciblés		Av fou par	As	As	F01	Au		
		Personnel de l'OG								
		Personnel de l'AS								
		Personnel d'autorités de lutte contre la	fraude							
ļ		Négociants								
J		ONG								
ı		Public Autres (veuillez préciser)					Ц.	<u> </u>		

3	Les autorités CITE	es ont-ell	les assuré les	activités de	renforcem	ent des	capa	cités suivantes?	
	Veuillez cocher les groupes ciblés et le			es iu.moj	écrit que	ière		м)	Détails
	Groupes ciblés			Avis/orientation fourni	oralement ou par écrit Assistance technique	Assistance financière	Formation	Autres (à spécifier)	
	Personnel de l'OG								
	Personnel de l'AS								
	Personnel d'autori	tés de lut	te contre la	fraude 📗					
	Négociants								
	ONG								
	Public								
	Autres parties/réur	nions inte	ernationales						
	Autres (veuillez pr								
4	Veuillez fournir de	es indicat	ions sur tout	te mesure su	pplémenta	ire prise	e:		
D7 Co	llaboration/ initi	iatives	concertée	es					
1	Existe-t-il un com	ité sur la	CITES inte	ragences ou	intersector	iel?		Oui	$\boxtimes$
								N.T.	
								Non	Ш
								Pas d'informa	ution $\Box$
2	Si oui, quelles sor réunions ont-elles		nces représe	ntées et à qu	elle fréque	ence les	<u> </u>		ation
2		lieu? idiquer à	quelle fréqu	ence l'organ	e de gestic	on tient	des ré	Pas d'informa	
	réunions ont-elles Si non, veuillez in	lieu? idiquer à	quelle fréqu	ence l'organ	e de gestic	AS, do	des ré	Pas d'informa	
	réunions ont-elles Si non, veuillez in	lieu? diquer à ation ent	quelle fréqu re les autorit Par	ence l'organ és CITES [a	e de gestic utres OG,	AS, do	des ré	Pas d'informa cunions ou des con police, autres)]: Pas	nsultations pour
	réunions ont-elles Si non, veuillez in assurer la coordin	lieu? diquer à ation ent	quelle fréqu re les autorit Par	ence l'organ és CITES [a	e de gestic utres OG,	AS, do	des ré	Pas d'informa cunions ou des con police, autres)]: Pas	Autres (spécifier) lorsque
	réunions ont-elles Si non, veuillez in assurer la coordina Réunions	lieu?  diquer à ation ent  Par  jour	quelle fréqu re les autorit Par semaine	ence l'organ és CITES [a Par mois	e de gestic utres OG, Par an	Aud [	des réuanes,	Pas d'informa cunions ou des con police, autres)]: Pas	Autres (spécifier) lorsque nécessaire lorsque
3	réunions ont-elles Si non, veuillez in assurer la coordina Réunions Consultations Y a-t-il au niveau	lieu?  diquer à ation ent  Par  jour  national	quelle fréqu re les autorit Par semaine	ence l'organ és CITES [a Par mois	e de gestic utres OG, Par an	Aud [	des réuanes,	Pas d'informa  eunions ou des con police, autres)]:  Pas d'information	Autres (spécifier) lorsque nécessaire lorsque nécessaire Détails, si
3	réunions ont-elles Si non, veuillez in assurer la coordina Réunions Consultations Y a-t-il au niveau Les agences pour	diquer à ation ent Par jour national	quelle fréquere les autorit  Par semaine  Unu effort pour poppement et	ence l'organ és CITES [a  Par mois  U  ur collaborer	e de gestic utres OG, Par an	Aud [	des réuanes,	Pas d'informa  eunions ou des con police, autres)]:  Pas d'information	Autres (spécifier) lorsque nécessaire lorsque nécessaire Détails, si
3	réunions ont-elles Si non, veuillez in assurer la coordina Réunions Consultations Y a-t-il au niveau Les agences pour Les autorités prov	diquer à ation ent Par jour IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	quelle fréquere les autorit  Par semaine  U  un effort por pepement et d'Etat ou te	ence l'organ és CITES [a Par mois  ur collaborer le commerce	e de gestic utres OG, Par an	Aud [	des réuanes,	Pas d'informa  eunions ou des con police, autres)]:  Pas d'information	Autres (spécifier) lorsque nécessaire lorsque nécessaire Détails, si
3	réunions ont-elles Si non, veuillez in assurer la coordina Réunions Consultations Y a-t-il au niveau Les agences pour Les autorités prov Les autorités local	ndiquer à ation ent Par jour national le dévelorinciales, les ou les	quelle fréquere les autorit  Par semaine  U  un effort por pepement et d'Etat ou te	ence l'organ és CITES [a Par mois  ur collaborer le commerce	e de gestic utres OG, Par an	Aud [	des réuanes,	Pas d'informa  eunions ou des con police, autres)]:  Pas d'information	Autres (spécifier) lorsque nécessaire lorsque nécessaire Détails, si
3	réunions ont-elles Si non, veuillez in assurer la coordin. Réunions Consultations Y a-t-il au niveau Les agences pour Les autorités prov Les autorités local Les populations in	diquer à ation ent Par jour national le dévelorinciales, les ou les ndigènes	quelle fréquere les autorit  Par semaine  Unum effort pour propenent et d'Etat ou te se collectivité	ence l'organ és CITES [a Par mois  ur collaborer le commerce rritoriales	e de gestic utres OG, Par an	Aud [	des réuanes,	Pas d'informa  eunions ou des con police, autres)]:  Pas d'information	Autres (spécifier) lorsque nécessaire lorsque nécessaire Détails, si
3	réunions ont-elles Si non, veuillez in assurer la coordina Réunions Consultations Y a-t-il au niveau Les agences pour Les autorités prov Les autorités local	diquer à ation ent Par jour national le dévelorinciales, les ou les ndigènes	quelle fréquere les autorit  Par semaine  Unum effort pour propenent et d'Etat ou te se collectivité	ence l'organ és CITES [a Par mois  ur collaborer le commerce rritoriales	e de gestic utres OG, Par an	Aud [	des réuanes,	Pas d'informa  eunions ou des con police, autres)]:  Pas d'information	Autres (spécifier) lorsque nécessaire lorsque nécessaire Détails, si
3	réunions ont-elles Si non, veuillez in assurer la coordin. Réunions Consultations Y a-t-il au niveau Les agences pour Les autorités prov Les autorités local Les populations in	diquer à ation ent Par jour national le dévelorinciales, les ou les ndigènes	quelle fréquere les autorit  Par semaine  Unum effort pour propenent et d'Etat ou te se collectivité	ence l'organ és CITES [a Par mois  ur collaborer le commerce rritoriales	e de gestic utres OG, Par an	Aud [	des réuanes,	Pas d'informa  eunions ou des con police, autres)]:  Pas d'information	Autres (spécifier) lorsque nécessaire lorsque nécessaire Détails, si

5	Existe-t-il des protocoles d'accord ou autres arrangements formels entre l'organe de gestion et les agences suivantes en vue d'une coopération institutionnelle touchant à la CITES? Cochez si applicable		
•	Autorités scientifiques		$\boxtimes$
	Douanes		$\boxtimes$
	Police		
	Autres autorités aux frontières (veuillez préciser)		
	Autres agences gouvernementales		$\boxtimes$
	Organismes du secteur privé		
	ONG		
	Autres (veuillez préciser)		
6	Des fonctionnaires ont-ils participé à des activités régionales touchant à la CITES?	Cochez si a	pplicable
	Ateliers		
	Réunions		$\boxtimes$
	Autres (veuillez préciser)		
7	Une action a-t-elle été menée pour encourager des non-Parties à adhérer à la Convention?	Oui	
	la Convention?	Non Pas d'information	
8	Si oui, lesquels et comment?	r as a miormation	
9	Une assistance technique ou financière a-t-elle été fournie à un autre pays	Oui	
	concernant la CITES?	Non	
		Pas d'information	
10	Si oui, quel type d'assistance a-t-elle été fournie et à quels pays?		
11	Des données à inclure dans le Manuel d'identification CITES ont-elles été	Oui	
	fournies?	Non	$\boxtimes$
- 10		Pas d'information	Ш
12	Si oui, veuillez en faire une brève description.	0 :	
13	Des mesures ont-elles été prises pour coordonner les activités des autorités CITES nationales et celles d'autres accords multilatéraux sur	Oui Non	
	l'environnement (conventions liées à la biodiversité, etc.) et pour réduire	Pas d'information	H
	les doubles-emplois?		_
14	Si oui, veuillez en faire une brève description."		
17	Vu le nombre de personnes limité travaillant au ministère de		
	l'Environnement, la communication entre personnes est facile et permet		
	de réduire à un minimum d'éventuels doubles emplois.		
15	Veuillez fournir des indications sur toute mesure supplémentaire prise:		
	. Same a supplementante prise.		

## D8 Domaines pour une activité future

1	Les activités suivantes sont-elles nécessaires pour améliorer l'efficacité de l'application de la CITES au niveau national et quel est leur rang de priorité?					
	Activité à rang de priorité:	élevé	moyen	faible		
	Augmentation du budget des activités					
	Engagement de personnel					
	Elaboration d'outils d'application					
	Amélioration des réseaux nationaux					
	Achat d'équipements techniques pour la surveillance continue/ la lutte contre la fraude					
	Informatisation					
l	Autres (veuillez préciser) : Adaptation législation					
2	Des difficultés ont-elles été rencontrées dans l'application de certaine résolutions ou décisions adoptées par la Conférence des Parties?	Oui Non				
			Pas d'information			
3	Si oui, lesquelles et quelle est la principale difficulté?					
4	Des contraintes au niveau de l'application de la Convention nécessita	ant une	Oui			
	attention ou une assistance ont-elles surgi dans votre pays?		Non			
<u> </u>			Pas d'information	<u> </u>		
5	Si oui, veuillez indiquer lesquelles et le type d'attention ou d'assistar requise.	ıce				
6	Des mesures, des procédures ou des mécanismes qui bénéficieraient		Oui			
	examen et/ou d'une simplification ont-ils été décelés dans la Conven	ition?	Non			
			Pas d'information			
7	Si oui, veuillez en faire une brève description.					
8	Veuillez fournir des indications sur toute mesure supplémentaire pris	se:				

#### E. Commentaires généraux

Veuillez joindre tous autres commentaires, y compris au sujet de cette présentation.

Merci d'avoir rempli ce formulaire. Veuillez inclure toutes les annexes demandées dans ce document. Elles sont rappelées ci-après:

Partie	A fournir		
B4	Copie du texte complet de la législation CITES	Jointe	$\boxtimes$
		Non disponible	
		Non applicable	
C3	Détail des violations et des mesures administratives imposées	Joint	
		Non disponible	
		Non applicable	$\boxtimes$
C5	Détail des spécimens saisis, confisqués ou mis sous séquestre	Joint	
		Non disponible	
		Non applicable	$\boxtimes$
C7	Détail des violations et résultat des poursuites	Joint	
		Non disponible	
		Non applicable	$\boxtimes$
С9	Détail des violations et résultat des actions en justice	Joint	
		Non disponible	
		Non applicable	$\boxtimes$
D4(10)	Détails sur les brochures ou fascicules sur la CITES produits au plan	Joint	
	national à des fins d'éducation ou de sensibilisation du public	Non disponible	
		Non applicable	$\boxtimes$
	Commentaires		

## ANNEX - REGULATION BIENNIAL REPORT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:						
	Title and date: Status:						
	Brief description of conte	nts:					
5b	Has your country adopted specifically for non CITE  Tick all applicable catego	S-listed spec	ies <sup>11</sup> ?		those repor	ted under o	question B(5),
			The condit	ions for:	The	complete j	prohibition of:
	Issue	Yes	No	No information	Yes	No	No information
	Trade	$\boxtimes$					
	Taking	$\boxtimes$					
	Possession	$\boxtimes$					
	Transport						
	Other (specify)						
	Additional comments						
8b	Has there been any review relation to implementation			ollowing subjects in			
					Yes	No	No information
	Introduction of live Regul Community that would the (in accordance with Artical	reaten the in	digenous fa				
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii))						
	Please provide details if available,						
9b	Please provide details of r other additional measures (9) maximum emprisonment	taken in rela	ntion to imp	plementation of the	Regulation 1	not reporte	

<sup>&</sup>lt;sup>11</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

## C. Compliance and enforcement measures

2b	Have any action for Regulation-	Yes No						
			No informatio	n				
16b	Has there been a enforcement, in	Yes No						
	Comments:					No informatio	n 	
17		1 1 1,	. 11: 1 1 .1	.1	1 11 1		<del> </del>	
17			establish whether atted? (In accordan			Yes No		
		) No 1808/2001 A				No informatio	n	$\Box$
	Comments:							
18		toring activities be	een undertaken to	ensure tha	t the intended	Yes	·	$\overline{\Box}$
	accommodation	for a live specim	en at the place of	destination	n is adequately	No		$\boxtimes$
	equipped to con 4 paragraph 1 (c		r it properly? (In a	accordance	e with Article	No informatio	n	
	Comments:							
		D	. Administrati	ve meas	sures			
D1 Ma	nagement Auth	ority (MA)						
8b			pported any resea			Yes	ii	
	non CITES-liste covered in D2 (		nical issues (e.g. s	pecies ide	ntification) not	No		
10	· ·		TEG G	1 0.1		No informa	tion	
10			ES Secretariat (if as that the Commis			Yes No		
			ce with Article 14			No informa	tion	$\exists$
	<u> </u>							
D2 Sci	ientific Authorit	y (SA)						
8b	Have any rese	arch activities b	een undertaken l	by the SA	(s) in	Yes		
	relation to nor	n CITES listed sp	ecies?			No		$\boxtimes$
						No informatio	n	Ш
9b		ive the species na	me and provide de	T		h involved.		
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Oth (spec	
	1		<u> </u>				(врее	
	2							
	3			= 0 				
	etc.							
						No information	on	
11	How many Scattended?	ientific Review (	Group (SRG) me	etings ha	ve the SA	Number		
	Luxembourg do	es not practice re	ered attendance to search on the degree lping the SRG to	ee of vuln	erability of wild			Vе

#### D3 Enforcement Authorities

6		S	y in
D4 Co	mmunication, information management and exchange		
1b	Is Regulation-related information in your country computerized on?	Tick if app	plicable
	<ul> <li>Annex D listed species</li> </ul>		
·	<ul> <li>Other matters not reported on in question D4 (1) (please specify)</li> </ul>		
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes No	
	No special system, but available via Internet	No information	
D5 Per	rmitting and registration procedures		
9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes No No information	
D8	Areas for future work		
2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6))	Yes No No information	
4b	Have any constraints to implementation of the Regulation, not reported under question D8(4), arisen in your country requiring attention or assistance?	Yes No No information	
7 bis new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs? 75%	Number:	

# **MALTA**

## Malta Biennial Report - Part 1

#### A. General information

Party	Malta
Period covered in this report: 1 January 2003 to 31 December 2004	1 January 2005 – 31 December 2006
Details of agency preparing this report	CITES Management Authority Nature Protection Unit Environment Protection Directorate Malta Environment and Planning Authority
Contributing agencies, organizations or individuals	CITES Management Authority Customs Department

#### B. Legislative and regulatory measures

1	Has information on CI been provided under t Project? If yes, ignore question	he CITES	National		Yes (fu Yes (p No No info	artly)	n/unknown	
2	If any CITES-relevant the following details:	legislatior	n has bee	en planned, dra	fted or e	nacted,	please prov	ide
	Title and date:		S	Status:				
	Brief description of con	ntents:						
3	Is enacted legislation a		n one of	the working	Yes			
	languages of the Conv	ention?			No			
						ormation	า	Ш
4	If yes, please attach a					ation at		$\boxtimes$
	key legislative provision	ons that w	ere gaze	tted.	provi	ded pre	viously	닏
					not a later	vailable	, will send	Ш
5	Which of the following domestic measures ad accordance with Article	opted for	CITES-li	sted species (in		Tio	ck all applica	able
		Th	e conditi	ons for:	The co	mplete	prohibition (	of:
	Issue	Yes	No	No	Yes	No	No	
				information			informatio	on
	Trade	$\boxtimes$						
	Taking							
	Possession	$\boxtimes$				$\boxtimes$		
	Transport							
	Other (specify)							

	Additional comments				
	The Trade in Species of Fauna a Authority and the Management to prohibit the trade (import, ex of flora and fauna if in their opin possession would endanger the flora and fauna.	Authority shalk port and re-ention, or in the	II advise the M xport) and the opinion of any	inister for the possession of them, su	e Environment of any species uch trade or
	It also provides that it is the resin possession of any live specimes Management Authority.				
	Any person who would like to in species) from outside the Europ licence that is issued by the Tra if the Management Authority, fo does not have any objection for	pean Communi de Services D bllowing the co the importati	ity requires the irectorate. This onsultation with on.	e prior grant s import lice h the Scienti	of an import nce is granted fic Authority,
6	What were the results of any re effectiveness of CITES legislatio items?		d to the followi	ng	ck all applicable
	Item	Adequate	Partially Inadequate	Inadequat e	No information
	Powers of CITES authorities				
	Clarity of legal obligations				
	Control over CITES trade	$\boxtimes$			
	Consistency with existing policy on wildlife management and use				
	Coverage of law for all types of offences				
	Coverage of law for all types of penalties				
	Implementing regulations				
	Coherence within legislation				
	Other (please specify):				
	Please provide details if available				
7	If no review or assessment has planned for the next reporting policy Not applicable	period?	s one	Yes No No inf	formation
0	Please provide details if available		- following ou	hiooto Ti	al applicable
8	Has there been any review of le in relation to implementation of			bjects in	ck all applicable
	Subject		Yes	No	No information
	Access to or ownership of natur	al resources			
	Harvesting of live engainment				
	Transporting of live specimens	oolmono			
	Handling and housing of live spe				
0	Please provide details if available		uros takon		

## C. Compliance and enforcement measures

		Yes	No	info	No rmation
1	Have any of the following compliance monitoring opera	tions been	undert		
	Review of reports and other information provided by traders and producers:				
	Inspections of traders, producers, markets	$\boxtimes$			
	Border controls	$\boxtimes$			
	Other (specify)				
2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?	$\boxtimes$		]	
3	If Yes, please indicate how many and for what types of attach details.  Details as per attached document	violations?	' If ava	ilable,	please
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?				
5	If information available:  Significant seizures/confiscations  Total seizures/confiscations  If possible, please specify per group of species or attach details.	Detail	Num s as pe docum	er atta	iched
6	Have there been any criminal prosecutions of significant CITES-related violations?				
7	If Yes, how many and for what types of violations? If a as Annex.	vailable, pl	ease at	tach o	details
8	Have there been any other court actions of CITES-related violations?				
9	If Yes, what were the violations involved and what were thas Annex.	e results? P	lease a	ttach (	details
10	How were the confiscated specimens usually disposed c  Return to country of export	of?	Ticl	c if ap	plicable
	<ul> <li>Public zoos or botanical gardens</li> </ul>				$\boxtimes$
	<ul> <li>Designated rescue centres</li> </ul>				$\boxtimes$
	<ul> <li>Approved, private facilities</li> </ul>				
	– Euthanasia				
	Other (specify)  Comments:				
11		iet Ve			
11	Has detailed information been provided to the Secretar on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convillegal traders and persistent offenders?	No icted No			
	Comments:				
12	Have there been any cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support,	s Ye:			

	investigative assistance	, joint operation, etc.)?	No information	
13	If Yes, please give a bri	ef description:		
	Exchange of intelligence:			
		CITES Secretariat		
		Member States of the European Cor	nmunity	
	Table to the constant	EU Commission		
	Technical support: CITES Secretariat			
	CITES Secretarial	AAD Construery (Assistance in the die	anacal of live encoim	
		AAP Sanctuary (Assistance in the dis in rescue centres)	sposai of live specin	nens
		Budapest Zoological and Botanical G	Sarden	
14		en offered to local communities to	Yes	
		nt of CITES legislation, e.g. leading	No	$\boxtimes$
	to the arrest and convid	ction of offenders?	No information	
15	If Yes, please describe:			
16	Has there been any rev	iew or assessment of CITES-related	Yes	$\boxtimes$
	enforcement?		No	
			Not applicable	
			No information	
	Comments:			
17	Please provide details o	f any additional measures taken:		
		_		
		nation meetings are being held regula		
		and Customs Enforcement Unit to rev	iew and assess	
	enforcement of wildlife	trade.		

#### D. Administrative measures

## D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) which are not yet reflected in the CITES Directory?	Yes No No information	
2	If Yes, please use the opportunity to provide those changes h  Not applicable	ere.	
3	If there is more than one MA in your country, has a lead MA been designated?  There is only one CITES Management Authority	Yes No No information	
4	If Yes, please name that MA and indicate whether it is identific CITES Directory. Refer to point 3 above	ed as the lead MA ii	n the
5	How many staff work in each MA? 4 people		

6	Can you estimate the percentage of time they spend on	Yes	
	CITES-related matters?  If yes, please give estimation-	No No information	$\vdash$
	1 person 100%	No information	Ш
	2 persons 50%		
	1 Assistant Director		
7	What are the skills/expertise of staff within the MA(s)?	Tick if app	licable
	<ul><li>Administration</li></ul>		$\boxtimes$
	– Biology		
	<ul><li>Economics/trade</li></ul>		
	– Law/policy		$\boxtimes$
	- Other (specify)		$\boxtimes$
	Information Technology		
	<ul><li>No information</li></ul>		
8	Have the MA(s) undertaken or supported any research	Yes	
	activities in relation to CITES species or technical issues	No	$\bowtie$
	(e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	No information	Ш
9	If Yes, please give the species name and provide details of th	ne kind of research	
	involved.		
10	Please provide details of any additional measures taken:		
10	Please provide details of any additional measures taken:		
D	22 Scientific Authority (SA)		
1	Have there been any changes in the designation of or	Yes	
	contact information for the SA(s) which are not yet reflected in the CITES Directory?	No	$\bowtie$
		No information	Ш
2	If Yes, please use the opportunity to provide those changes h	iere.	
2	Not applicable	Vac	$\square$
3	Is the designated Scientific Authority independent from the Management Authority?	Yes No	
	management natherity.	No information	H
4	What is the structure of the SA(s)?	Tick if appl	<u> </u>
	<ul><li>Government institution</li></ul>		
	Academic or research institution		$\Box$
	<ul> <li>Permanent committee</li> </ul>		$\exists$
	<ul> <li>Pool of individuals with certain expertise</li> </ul>		$\square$
	- Other (specify)		
5	How many staff work in each SA on CITES issues?		
3	Two persons in one SA		
6	Can you estimate the percentage of time they spend on	Yes	
	CITES-related matters	No	$\boxtimes$
	If yes, please give estimation	No information	
7	What are the skills/expertise of staff within the SA(s)?	Tick if appl	licable
	– Botany		$\boxtimes$
	– Ecology		$\boxtimes$
	– Fisheries		

Forestry

	– Wel	fare						
	– Zoo	logy						$\boxtimes$
	– Othe	er (specify)						
	– No i	nformation						
8			ties been undert	aken by th	ne SA(s)	Yes		
	in relation	to CITES spec	ies?			No		
						No informa		<u> Ш</u>
9	If Yes, ple involved.	ase give the sp	ecies name and	provide d	etails of th	ne kind of rese	earch	
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Oth (spec	
	1							
	2							
	3							
	etc.							
						No informa	tion	
10			als for scientific			Yes		
	submitted	to the Secreta	riat under Resol	ution Conf	12.2?	No		
11	Diagon man				alram.	No informa	tion	Ш
11	Please pro	ovide details of	any additional n	neasures t	акеп:			
D	) F. C	4 4 41 *4*						
D	5 Enforc	cement Authoritie	<u> </u>					
1			informed of any			Yes		$\boxtimes$
			en designated fo information rela		•	No		닏
						No informatio	n	
2	If No, plea Not applic	=	nem here (with	address, p	hone, fax	and email).		
3	Is there a	specialized uni	t responsible for	CITES-re	lated	Yes		$\boxtimes$
			the wildlife dep			No		
	Customs,	the police, pub	lic prosecutor's	office)?		Under conside	eration	
	The CITES	Management A	Authority			No informatio	n	Ш
		Department, En						
4	If Yes, ple	ase state which	n is the lead age	ncy for en	forcemen	t:		
	Customs [	Department, Er	forcement Unit					
5	Please pro	ovide details of	any additional r	neasures t	aken:			
L	04 Comn	nunication, info	ormation manag	ement and	exchange	!		
1	To what e	xtent is CITES	information com	puterized?	?	Tick	if applic	able
	– Mon	itoring and rep	orting of data o	n legal trad	de			$\boxtimes$
	– Mon	itoring and rep	orting of data or	n illegal tra	ade			$\boxtimes$
	– Perr	nit issuance						$\boxtimes$
	– Not	at all						
	! I	er (specify)						

2	Do the following a	authori	ties have	access t	o the	Interne	t?	Tick if application	able
	Authority	Yes, continuous and unrestricted	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all		ovide details who	ere
	Management Authority								
	Scientific Authority								
	Enforcement Authority								
3	Is there an electr on CITES species		formation	system	provid	ling info	N	es lo lo information	
4	If Yes, does it pro	vide in	ıformatioı	n on:				Tick if application	able
	<ul> <li>Legislation</li> </ul>	(nation	nal, regior	nal or int	ernatio	onal)?			$\boxtimes$
	<ul> <li>Conservation</li> </ul>	on statu	us (natior	nal, regio	nal, in	ternatio	onal)?		
	<ul><li>Other (plea</li></ul>				nistrat	ive Pro	· · ·		
5	Is it available thro	-					N	es lo lot applicable lo information	
6	Do the authorities publications?	s indica	ited have	access t	o the	followin	ng	Tick if applical	ole
	Puk	olication	า		Auth	gement nority	Scientific Authority		
	2003 Checklist of (book)	CITES	Species						
	2003 Checklist of Annotated Appen		•						
	Identification Mai	nual							
	CITES Handbook			1		$\boxtimes$			
7	If not, what probl Not applicable	ems ha	ave been	encount	ered to	acces	s this informa	ation?	
8	Have enforcement Authority on:	t autho	orities rep	oorted to	the M	anagen	nent	Tick if appli	cable
	– Mortality in	transp	ort?						
	<ul> <li>Seizures ar</li> </ul>	ıd confi	scations?	>					
	Discrepancies items actually			ms in pe	rmits	and nur	mber of		
	Comments:								
9	Is there a govern	ment v	vebsite w	ith inforr	nation	on CIT	ES and Ye	S	$\boxtimes$

	its requirements?			No No information	
	If Yes, please give the URL: www.mepa.org.mt				
10	Have CITES authorities been involved in any of	the followi	<u> </u>	Tick if appli	cable
10	activities to bring about better accessibility to ar understanding of the Convention's requirements public?	nd	Ü	Hok ii app	
	<ul><li>Press releases/conferences</li></ul>				
	Newspaper articles, radio/television application	ppearance	es		$\boxtimes$
	- Brochures, leaflets	•			
	<ul><li>Presentations</li></ul>				
	– Displays				
	<ul> <li>Information at border crossing points</li> </ul>				
	Telephone hotline				
	Other (specify):				$\boxtimes$
	The CITES Management Authority office is o				
	provide information to the public on CITES.				
	Please attach copies of any items.				
11	Please provide details of any additional measure	es taken:			
L	O5 Permitting and registration procedures				_
1	Have any changes in permit format or the design	nation and		Yes	
	signatures of officials empowered to sign CITES permits/certificates been reported previously to	the Secret	ariat?	No Not applicable	
	pormits/sociamoutes boom reported provides.	1110 000101	uriat.	No information	H
	If no, please provide details of any:				
	Changes in permit format:				
	Changes in designation or signatures of releva			- <del></del>	
2	To date, has your country developed written perifor any of the following?	mit proced	lures	Tick if applicable	;
	for any or the following:	Yes	No	No information	
	Permit issuance/acceptance				
	Registration of traders		$\overline{\boxtimes}$		
	Registration of producers				

3	Please indicate how many period? (Note that actual question refers to issued	l trade is repor						
	Year 1	Import or introduction from the sea	Export		Re- oport	Othe r	Comments	
	How many documents were issued?	47	28	7		71		
	How many applications were denied because of serious omissions or misinformation?	0	О	0		0		
	Year 2 How many documents were issued?	46	26	1		20		
	How many applications were denied because of serious omissions or misinformation?	0	0	O		0		
4	Were any CITES documents that were issued later cancelled and Yes replaced because of serious omissions or misinformation?  No No informati							
5	If Yes, please give the re							
6	Please give the reasons f other countries.	or rejection of	CITES do	cun	nents	from	Tick if applic	cable
	Re	ason			Yes	No	No information	n
	Technical violations							
	Suspected fraud							
	Insufficient basis for find							
	Insufficient basis for find	ng of legal acq	uisition		Щ			
	Other (specify)				Ш			
7	Are harvest and/or export procedure for issuance of Comments		nanagem	nent	tool ir	n the	Yes No No information	
8	How many times has the	Scientific Auth	ority bee	n re	equest	ed to p	provide opinions?	
	20 times							
9	Has the MA charged fees related CITES activities?	•	ance, re	gistr	ation	or	Tick if applic	
	<ul> <li>Issuance of CITES</li> </ul>	documents:						
	<ul><li>Licensing or registrati species:</li></ul>	on of operation	is that pr	odu	ce CIT	ΓES		
	<ul><li>Harvesting of CITE</li></ul>	S-listed specie	s:					
	<ul> <li>Use of CITES-lister</li> </ul>	•						
	– Assignment of quo	•	isted spe	cies	s:			
	<ul> <li>Importing of CITES</li> </ul>							
	– Other (specify):							
10	If Yes, please provide the							
	Lm5 (€11.64) per applic							
11	Have revenues from fees CITES or wildlife conserv		the impl	eme	entatio	n of	Tick if applic	cable

	<ul><li>Entirely:</li><li>Partly:</li><li>Not at all:</li><li>Not relevant:</li><li>Comments:</li></ul>							
12	Please provide details of any addition	onal me	easur	es	taken	:		
L	O6 Capacity building							
1	Have any of the following activities effectiveness of CITES implementa							Tick if applicable
	Increased budget for activities		Imp netv		/emen	t of ı	natio	onal 🔲
	Hiring of more staff				se of toring/e			equipment for
	Development of implementation tools		Com	ıpu	ıteriza	tion		
	<ul><li>Other (specify)</li></ul>							
2	Have the CITES authorities received building activities provided by exte				from	any	of th	ne following capacity
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidanc	E Technical	assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Target group	<u> </u>		]	a I		0	1
	Staff of Management Authority		Σ				Ш	EU Commission
	Staff of Scientific Authority		L	_				CITES Secretariat
	Staff of enforcement authorities							Twinning project with Austria and Germany
	Traders		L	]				
	NGOs			]				
	Public		L	]				
	Other (specify)			] [				

3	Have the CITES activities?	author	ities been	the <i>prov</i>	<i>iders</i> o	f any o	of the	follo	owing capa	city building
	Please tick boxe which target groactivity.			Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	De	etails
	Target group			ă O T	a —	E &	F			
	Staff of Manage		•							
	Staff of Scientifi		•							
	Staff of enforcer	ment au	uthorities					Ш		
	Traders							Ш		
	NGOs									
	Public							Ш		
	Other parties/In meetings	iternatio	onal							
	Other (specify)									
4	Please provide of	details c	of any addi	itional m	easure	s takeı	n:		•	
D	07 Collaborati	on/co-o	perative in	iitiatives						
1	Is there an inte	r-ageno	cy or inter-	-sectoral	comm	ittee o	n		Yes	$\boxtimes$
	CITES?	J	,						No	
	If Yes, which agencies are represented and how often does it meet?  Meetings between Customs Department, Enforcement Unit and the CITES  Management Authority, held approximately once every three months depending on necessity.									
2	Meetings betwee Management Au	en Cus	toms Depa	artment,	Enforc	ement	Unit	and	eet?	
2	Meetings betwee Management Au	een Cus uthority ations a	toms Depa , held app are held be	artment, roximate etween S	Enforcely once	ement e ever	Unit y thre	and e m and	eet? the CITES onths depe	ending on
3	Meetings betwee Management Au necessity.  Regular consult	een Cus uthority ations a ings are dicated uthority	toms Deparent held appare held at least the frequent to ensure	etween Seast once	Enforcely once scientifies a year	ement e ever ic Auth ir or m gs or co	Unit y thre nority ore a	and ee m and s ne tanc	eet? the CITES onths depe	ending on ent
	Meetings betwee Management Au necessity.  Regular consult Authority. Meet  If No, please in Management Au	een Cus uthority ations a ings are dicated uthority	toms Deparent held appare held at least the frequent to ensure	etween Seast once	Enforcely once scientifies a year	ement e every ic Auth ir or m gs or co among	Unit y thre nority ore a	and and s ne- tanci	eet? the CITES onths depe	ending on ent
	Meetings betwee Management Au necessity.  Regular consult Authority. Meet  If No, please in Management Au	een Custuthority stations actings are dicated uthority oms, po	toms Deparent Held be the frequent to ensure blice, other	etween Seast once ency of ne co-ordings):	Enforcely once	ement e every ic Auth ir or m gs or co among	Unit y three nority ore a onsuli	and and s ne- tanci	eet? the CITES onths depe  Manageme cessary. ies used by uthorities (e	ending on ent the e.g. other
	Meetings betwee Management Au necessity.  Regular consult Authority. Meet  If No, please in Management Au MAs, SAs, Custo	een Custuthority stations actings are dicated uthority oms, po	toms Deparent Held be the frequent to ensure blice, other	etween Seast once ency of ne co-ordings):	Enforcely once	ement e every ic Auth ir or m gs or co among	Unit y three nority ore a onsuli	and and s ne- tanci	eet? the CITES onths depe  Manageme cessary. ies used by uthorities (e	ending on ent the e.g. other
	Meetings betwee Management Au necessity.  Regular consult Authority. Meet  If No, please in Management Au MAs, SAs, Custo  Meetings	een Custuthority  ations a cings are dicated uthority oms, po	toms Deparent Held been held at least the frequent to ensure blice, other weekly	etween Seast once ency of ne co-ordings):  Monthly	Enforcely once Scientiffe a year neeting nation Annu	ement e every ic Authur or m gs or co among	Unit y three nority nore a onsult y CITE None	and and and s ne tanci	eet? the CITES onths depe  Manageme cessary. ies used by uthorities (e	ending on ent the e.g. other
3	Meetings betwee Management Au necessity.  Regular consult Authority. Meet  If No, please in Management Au MAs, SAs, Custo  Meetings  Consultations  At the national	een Cusuthority  ations a ings are dicated uthority oms, po	toms Deparent Held been held at least the frequency to ensure blice, other Weekly	etween Seast once ency of ne co-ordings):  Monthly  Deen any	Enforcely once Scientiffe a year neeting nation Annu	ement e every ic Authur or m gs or co among	Unit y three nority nore a onsult y CITE None	and and and s ne tanci	the CITES on the CITES on the CITES on the dependence of the cessary. The cessary of the cessary	ending on  ent  the e.g. other  Other (specify)
3	Meetings betwee Management Au necessity.  Regular consult Authority. Meet  If No, please in Management Au MAs, SAs, Custo  Meetings  Consultations  At the national collaborate with	een Custuthority tations actings are dicated uthority oms, po	toms Deparent Held be the held at less the frequency to ensure blice, other Weekly  Weekly  ave there to the there is the frequency to ensure blice, other there is the the there is the th	etween Seast once ency of ne co-ordings):  Monthly  Deen any	Enforcely once Scientiffe a year neeting nation Annu	ement e every ic Authur or m gs or co among	Unit y three nority nore a onsult y CITE None	and and and s ne tanci	the CITES on the CITES on the CITES on the dependence of the cessary. The cessary of the cessary	ending on  ent  the e.g. other  Other (specify)
3	Meetings betwee Management Au necessity.  Regular consult Authority. Meet If No, please in Management Au MAs, SAs, Custo Meetings  Consultations  At the national collaborate with Agencies for de	een Cusulthority  ations a lings are dicated uthority oms, po  Dail y  level han: evelopme	toms Deparent Held been held at least the frequency to ensure blice, other Weekly  Weekly  ave there to the there are the trand transitionial automatical automati	etween Seast once ency of ne co-ordings):  Monthly  Deen any ade horities	Enforcely once Scientiff e a year neeting nation a	ement e every ic Authur or m gs or co among	Unit y three nority nore a onsult y CITE None	and and and s ne tanci	the CITES on the CITES on the CITES on the dependence of the cessary. The cessary of the cessary	ending on  ent  the e.g. other  Other (specify)
3	Meetings betwee Management Au necessity.  Regular consult Authority. Meet If No, please in Management Au MAs, SAs, Custo Meetings  Consultations  At the national collaborate with Agencies for de Provincial, state	een Cusulthority  ations a cings are dicated uthority oms, po  Dail y  level ha n: evelopm e or term s or cor	toms Deparent Held been held at least the frequency to ensure blice, other Weekly  Weekly  ave there to the there are the trand transitionial automatical automati	etween Seast once ency of ne co-ordings):  Monthly  Deen any ade horities	Enforcely once Scientiff e a year neeting nation a	ement e every ic Authur or m gs or co among	Unit y three nority nore a onsult y CITE None	and and and s ne tanci	the CITES on the CITES on the CITES on the dependence of the cessary. The cessary of the cessary	ending on  ent  the e.g. other  Other (specify)

	NGOs			
	Other (specify)			
5	To date, have any Memoranda of Understanding or of arrangements for institutional cooperation related to agreed between the Management Authority and the foragencies?	CITES been	Tick if appli	cable
	Scientific Authority			
	Customs			
	Police			
	Other border authorities (specify)			
	Other government agencies			
	Private sector bodies			
	NGOs			
	Other (specify)			
6	Have Government staff participated in any regional activities related to CITES?		Tick if appli	cable
	Workshops			$\boxtimes$
	Meetings			$\boxtimes$
	Other (specify)			
7	Has there been any effort to encourage any non- to accede to the Convention?	r a. cy	Yes No No information	
8	If Yes, which one(s) and in what way?			
9	Has technical or financial assistance been provid another country in relation to CITES?	1	Yes No No information	
10	If Yes, which country(ies) and what kind of assistance	e was provid	led?	
11	Has any data been provided for inclusion in the CITES	S \	Yes	
	Identification Manual?		No	$\boxtimes$
10	If Ver along this a built description		No information	
12	If Yes, please give a brief description.	and \	/aa	$\square$
13	Have measures been taken to achieve co-ordination a reduce duplication of activities between the national authorities for CITES and other multilateral environments (e.g. the biodiversity-related Conventions)	ا ental ا	Yes No No information	
14	If Yes, please give a brief description.			
	The CITES Management Authority is part of the large			
	Coordination of work is achieved among different focation for the relative multilateral environmental agreement			
15	Please provide details of any additional measures take	en:		

## D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?					
	Activity	High	Medium	Low		
	Increased budget for activities					
	Hiring of more staff					
	Development of implementation tools	$\boxtimes$				
	Improvement of national networks					
	Purchase of new technical equipment for monitoring and enforcement					
	Computerization			$\boxtimes$		
	Other (specify)					
2	Were any difficulties encountered in implementing specific	Yes		$\boxtimes$		
	Resolutions or Decisions adopted by the Conference of the	No				
	Parties?	No info	rmation			
3	If Yes, which one(s) and what is the main difficulty? Resolution Conf. 13.7 on control of trade in personal and house	sehold ef	fects.			
4	Have any constraints to implementation of the Convention	Yes				
	arisen in your country requiring attention or assistance?	No		$\boxtimes$		
			rmation			
5	If Yes, please describe the constraint and the type of attention required.	n or assi	stance tha	t is		
6	Have any measures, procedures or mechanisms been	Yes		$\boxtimes$		
	identified within the Convention that would benefit from	No				
	review and/or simplification?	No info	rmation			
7	If Yes, please give a brief description.  The procedure for the registration of captive operations for Appenefit from review and simplification.	pendix I	species c	ould		
8	Please provide details of any additional measures taken:					

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
В4	Copy of full text of CITES-relevant legislation	Enclosed Not available Not relevant	$\boxtimes \Box \Box$
С3	Details of violations and administrative measures imposed	Enclosed Not available Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed Not available Not relevant	
С7	Details of violations and results of prosecutions	Enclosed Not available Not relevant	
С9	Details of violations and results of court actions	Enclosed Not available Not relevant	
D4(10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes	Enclosed Not available Not relevant	$\square$
	Comments		

## ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

- 01	lic i i	<del></del>			1 1111		1 11	
2b	If your country has plegislation, other that details:							
	Title and date:			Status:				
	Brief description of o	ontents:						
5b	Has your country ad	opted any	stricter	domestic meas	ures,	other	than t	hose reported
	under question B (5)	, specifica	ally for n	on CITES-listed	speci	es <sup>12</sup> ?		·
	Tick all applicable ca							
		<u>T</u>	he condi	tions for:			mplete	prohibition of:
	Issue	Yes	No	No information	n Y	es	No	No information
	Trade	$\boxtimes$					$\boxtimes$	
	Taking							
	Possession	$\boxtimes$					$\boxtimes$	
	Transport							
	Other (specify)							
	Additional							
	comments							
				6.11				
8b	Has there been any subjects in relation t							
					Yes		No	No
								information
	Introduction of live F						$\boxtimes$	
	the Community that							10 mm
	fauna and flora (in a paragraph 2 (d)).	ccordance	e with Ar	ticle 3,				
	Marking specimens t	o facilitat	e identifi	cation (in		1		
	accordance with Arti							
	Please provide detai	ls if availa	ble,					
9b	Please provide detai	ls of maxi	mum pei	nalties that may	y be ir	npose	ed for R	Regulation-
	related violations, or							_
	implementation of the	ne Regulat	tion not i	reported on in o	questic	on B	(9)	
	Any paragraphs	ita an affa		at the Description	on or:	vietie:	n ha list	do to o fine of met
	Any person who comm less than Lm 200 (€465							
	not less than one mont							
I	1							

<sup>&</sup>lt;sup>12</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

#### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No No information	
16b	Has there been any review or assessment of Regulation- related enforcement, in addition to that reported under C (16) above?	Yes No No information	
	Comments:		
17	Have specimens been marked to establish whether they were born and bred in captivity or artificially propagated? (In accordance with Commission Regulation (EC) No 1808/2001 Article 36),	Yes No No information	
	Comments:		
18	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c))	Yes No No information	
	Comments:		

#### D. Administrative measures

#### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	
10 ne w	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2),	Yes No No information	

## D2 Scientific Authority (SA)

8b		search activitie o non-CITES lis		taken by	the SA(s)	Yes No No informa	tion $\square$
9b	If Yes, pleas involved.	se give the spe	cies name and	l provide	details of th		
	Species name	Population s	Distributio n	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2		N				
	3						
	etc.						
						No informatio	n
11	How many S the SA atter	Scientific Revie nded?	w Group (SRG	) meeting	gs have	Number n	one 🗌

	Indicate any difficulties that rendered attendance to the SRG difficulties. The Scientific Authority is made up of persons having a full-time of the University of Malta and thus, time constraints make it difficult to attend.	employment with	
D3 Ent	forcement Authorities		
6	Has a liaison officer/focal point for CITES been nominated within enforcement authority in your country? Yes	each relevant	$\boxtimes$
	No		
	Under consideration		
	No information		
D4 Coi	mmunication, information management and exchange		
1b	Is Regulation-related information in your country computerized on?	Tick if applica	able
	<ul> <li>Annex D listed species</li> </ul>		$\boxtimes$
	<ul> <li>Other matters not reported on in question D4 (1) (please specify)</li> </ul>		
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes No No information	
D5 Pei	rmitting and registration procedures		
9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes No No information	
D8	Areas for future work		
2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6))	Yes No No information	
4b	Have any constraints to implementation of the Regulation, not reported under question D8(4), arisen in your country requiring attention or assistance?	Yes No No information	
7 bis new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Number: 100%	

## THE NETHERLANDS

## A. General information

Party	The Netherlands
Period covered in this report:	
1 January 2005 to 31 December 2006	
Details of agency preparing this report	CITES Management Authority of the
	National Service for the Implementation
	of Regulations
	P.O. Box 1191
	3300 BD DORDRECHT
	The Netherlands
Contributing agencies, organizations or individuals	Department of Nature of the Ministry
	of Agriculture, Nature and Food
	Quality
	General Inspection Service
	Plant Health Service
	• Team IBG (Seizure of CITES-goods)
	of the National Service for the
	Implementation of Regulations
	CITES Scientific Authority
	Customs Service of the Ministry of
	Finance
	Office of the Public Prosecutor of the
	Ministry of Justice
	Platform on Environmental Crime
	(DNR) of the National Police Agency
	• The translators of the Ministry

## B. Legislative and regulatory measures

1						Yes (fully)			
	already been	een provided under the CITES National				Yes (partly)	)	X	
	•	roject?	, , , , , , , , , , , , , , , , , , , ,						
	4.	No inforr y has planned, drafted or enacted any CITES-relev						tion/unknown	
2		has p	lanned	d, drafted or e	ITES-relevan	t legislation, p	lease		
	provide the								
following details:									
	Title and date	e: Statu							
	Brief descript	ion of o	conten	its:					
3	Is enacted leg	gislatio	n avai	lable in one o	f the w	orking/	Yes		
	languages	_				_	No		
	of the Conver	ntion?					No informat	tion	
4	If yes, please	attach	a cop	y of the full le	gislati	ve text			
	or key								
	legislative pro								
5								stic measures	
			d for C	ITES-listed sp	pecies	(in acc		Article XIV of	
	Convention)?						Т	ick all applical	ble
								7	
		The c	onditio	ons for:		complet	e prohibition		
				TN1=	of:	ı	NIa	-	
	Issue	Yes	No	No	Yes	No	No		
	Trodo	~		information		V	information	-	
	Trade Taking	X				X		-	
	Possession	X				X		-	
	Transport	X				X		-	
	Other	^				X		-	
	(specify)								
		mmen	ts: Str	icter measure	s are :	annlica	hle for all	-	
primates and felidae, wild specimens of The European Bird and Habitat Directive and Rhino horns and Tiger bones. Commercial									
				Taking and p					
				prohibitions.					
				38/07) are no					
				on on posses		·			
		•		<u>.</u>					

Item	Adequate	Partially Inadequate	Inadeq	uate	No information
Powers of CITES authorities	Χ				
Clarity of legal obligations	Х				
Control over CITES trade	Х	X			
Consistency with existing					
policy on wildlife management and	X				
Coverage of law for all types of	Х				
offences					
Coverage of law for all types					
of penalties	X				
Implementing regulations Coherence within legislation	X				
Other (please specify):					
If no review or assessment has		Yes			
If no review or assessment has is one planned for the next rep		Yes			X
	orting period?		ation		X
is one planned for the next rep	orting period? ble: legislation on the	No No informa		relation	
Please provide details if availa Has there been any review of implementation of the Convention	orting period? ble: legislation on the	No No informa			to
Please provide details if availar Has there been any review of implementation of the Conventage applicable	orting period? ble: legislation on thi	No No information of following s	Subjects in I		to Tick all
Please provide details if availa Has there been any review of implementation of the Conventapplicable  Subject Access to or ownership of nat Harvesting	borting period? ble: legislation on the	No No information of following s	No X X		to Tick all
Please provide details if availa Has there been any review of implementation of the Conventapplicable  Subject Access to or ownership of nat Harvesting Transporting of live specimen	ble: legislation on the	No No information of following s	No X X X		to Tick all
Please provide details if availa Has there been any review of implementation of the Conventapplicable  Subject Access to or ownership of nat Harvesting	ble: legislation on the	No No information of following s	No X X		to Tick all
Please provide details if availa Has there been any review of implementation of the Conventapplicable  Subject Access to or ownership of nat Harvesting Transporting of live specimen	ble: legislation on the tion? cural resources s specimens	No No information of following s	No X X X		to Tick all

## C. Compliance and enforcement measures

		Yes	No	No information
1				
	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information			
	provided by	X		
	traders and producers:			
	Inspections of traders, producers, markets	X		
	Border controls	X		
	Other (specify) Information gathering and	X		
	exchanging regarding offences of Cites			
	legislation.			
2	Have any administrative measures (e.g.,			
	fines, bans,	X		
	suspensions) been imposed for CITES-			
	related violations?			
3	If Yes, please indicate how many and for what type	s of violation	ns? If availa	able, please
	attach			
	details as <b>Annex</b>			
1	See Annex 2.	1		1
4	Have any significant seizures, confiscations	X		
	and forfeitures of CITES specimens been made?	^		
5				
3	If information available:			
_	See Annex 1	•	T	
6	Have there been any criminal prosecutions of	X		
	significant CITES-related violations?	na Olf avail		
7	If Yes, how many and for what types of violatio	ns? if avail	abie, piea	se attacn
8	details as Annex. See Annex 2.	1		1
ð	Have there been any other court actions of CITES-related violations?	X		
9	If Yes, what were the violations involved and w	hat ware th	o roculto?	Please
7	attach details as	nai were ii	ie resuits?	riease
	Annex See Annex 2.			
1	How were the confiscated specimens		Tick if ap	nlicable
0	generally disposed of?		riok ii aş	phiodolo
U	Return to country of export			
	Public zoos or botanical gardens		>	(
	Designated rescue centres		· /	
	Approved, private facilities			<u>`</u>
	Euthanasia			`
	Other (specify)			
	Comments:			
1	Has your country provided to the Secretariat	Yes		X
1	detailed information on significant cases of illeg			^
1	trade (e.g. through an ECOMESSAGE or other		pplicable	
	LUBAG 15.4. UHVAAH BH EGGIVIEGGAGE ULUHUK	INCIA	JUNGAUIH .	1

	means), or information on convicted illegal traders	No information		
	and persistent offenders?			
	Comments:			
1	Has your country been involved in cooperative	Yes	Х	
2	enforcement	No		
	activities with other countries			
	(e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	No information		
1 3	If Yes, please give a brief description: Good informaturopean countries (UK-Belgium-Germany).	ation exchange with	n several	
1	Has your country offered any incentives to local	Yes		
4	communities to assist in the enforcement of	No		
	CITES legislation, e.g. leading to the arrest and conviction of offenders?	No information	Х	
1 5	If Yes, please describe:			
1	Has there been any review or assessment of	Yes	Х	
6	CITES-related	No		
	enforcement?	Not applicable		
		No information		
	Comments:			
	Following a so called 'contact day' in 2003, where enforcement officers in the field of CITES expressed their concerns about the state of CITES enforcement, a working group was asked to write a plan with recommendations to improve CITES enforcement, especially the exchange of information and inter agency cooperation. This temporary working group finished an action plan in 2005, which resulted in the establishment of a permanent Working Group for CITES Enforcement in march 2006. The permanent working group consists of members of all the agencies involved in CITES enforcement and convenes regularly to exchange information and discuss measures to be taken.			
	It is probable that the working group will be integrated	ed into a new struc	ture for the	

It is probable that the working group will be integrated into a new structure for the co-ordination of wildlife crime enforcement which is planned for the end of 2007. This new structure is part of a project for the evaluation and programmatic enforcement of Dutch nature legislation, conducted in the year 2007 by the Ministry of Agriculture, Nature conservation and Food Quality.

Please provide details of any additional measures taken:

7

#### D. Administrative measures

D1 Management Authority (MA)

of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?  2  If Yes, please use the opportunity to provide those changes here  3  If there is more than one MA in your country, has a lead MA been designated?  4  If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.  The CITES Management Authority of the Netherlands consists of 3 sections:  Policy section Ministry of Agriculture, Nature and Food Quality Department of Nature Ir. S.J.D. Verbunt P.O. Box 20401 2500 EK The Hague s.i.d.verbunt@minlnv.nl  Permit section: Ministry of Agriculture, Nature and Food Quality Dienst Regelingen P.O. Box 1191 3300 BD Dordrecht e-mail: cites@minlnv.nl  Ministry of Agriculture, Nature and Food Quality Plant Health Service P.O. Box 9102 6700 HC Wageningen (for phytosanitary certificates)  5  How many staff work in each MA?  6  Can you estimate the percentage of time they spend on CITES related matters?  No No information  No No information  X  No information  X  No information  No information  Yes X  No No information  A vest in provide those changes in provide those changes in provide those changes in provide those changes in provide the provide in provide those changes in provide those changes in provide those changes in provide the provide provide the provide provide the provide provide the provide provide the provide provi	-	Have there been any changes in the designation	Yes	
country which are not yet reflected in the CITES Directory?  2 If Yes, please use the opportunity to provide those changes here  3 If there is more than one MA in your country, has a lead MA been designated?  4 If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.  The CITES Management Authority of the Netherlands consists of 3 sections:  Policy section Ministry of Agriculture, Nature and Food Quality Department of Nature Ir. S.J.D. Verbunt P.O. Box 20401 2500 EK The Hague s.j.d.verbunt@minlnv.nl  Permit section: Ministry of Agriculture, Nature and Food Quality Dienst Regelingen P.O. Box 1191 3300 BD Dordrecht e-mail: cites@minlnv.nl  Ministry of Agriculture, Nature and Food Quality Plant Health Service P.O. Box 9102 6700 HC Wageningen (for phytosanitary certificates)  5 How many staff work in each MA?  6 Can you estimate the percentage of time they  Yes X		•		X
Directory?  If Yes, please use the opportunity to provide those changes here  If there is more than one MA in your country, has a lead MA been designated?  If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.  The CITES Management Authority of the Netherlands consists of 3 sections:  Policy section Ministry of Agriculture, Nature and Food Quality Department of Nature Ir. S.J.D. Verbunt P.O. Box 20401 2500 EK The Hague s.j.d.verbunt@minlv.nl  Permit section: Ministry of Agriculture, Nature and Food Quality Dienst Regelingen P.O. Box 1191 3300 BD Dordrecht e-mail: cites@minlnv.nl  Ministry of Agriculture, Nature and Food Quality Plant Health Service P.O. Box 9102 6700 HC Wageningen (for phytosanitary certificates)  How many staff work in each MA?  O,7 at the policy section 10 at the permit section est. 350 at the Plant Health Service  6 Can you estimate the percentage of time they Yes X				^
changes here  If there is more than one MA in your country, has a lead MA been designated?  If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.  The CITES Management Authority of the Netherlands consists of 3 sections:  Policy section Ministry of Agriculture, Nature and Food Quality Department of Nature Ir. S.J.D. Verbunt P.O. Box 20401 2500 EK The Hague s.j.d.verbunt@minlnv.nl  Permit section: Ministry of Agriculture, Nature and Food Quality Dienst Regelingen P.O. Box 1191 3300 BD Dordrecht e-mail: cites@minlnv.nl  Ministry of Agriculture, Nature and Food Quality Plant Health Service P.O. Box 9102 6700 HC Wageningen (for phytosanitary certificates)  How many staff work in each MA?  0,7 at the policy section 10 at the permit section est. 350 at the Plant Health Service  Can you estimate the percentage of time they  Yes X		· ·	No information	
changes here  If there is more than one MA in your country, has a lead MA been designated?  If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.  The CITES Management Authority of the Netherlands consists of 3 sections:  Policy section Ministry of Agriculture, Nature and Food Quality Department of Nature Ir. S.J.D. Verbunt P.O. Box 20401 2500 EK The Hague s.j.d.verbunt@minlnv.nl  Permit section: Ministry of Agriculture, Nature and Food Quality Dienst Regelingen P.O. Box 1191 3300 BD Dordrecht e-mail: cites@minlnv.nl  Ministry of Agriculture, Nature and Food Quality Plant Health Service P.O. Box 9102 6700 HC Wageningen (for phytosanitary certificates)  How many staff work in each MA?  0,7 at the policy section 10 at the permit section est. 350 at the Plant Health Service  Can you estimate the percentage of time they  Yes X	2			
a lead MA been designated?  All If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.  The CITES Management Authority of the Netherlands consists of 3 sections:  Policy section Ministry of Agriculture, Nature and Food Quality Department of Nature Ir. S.J.D. Verbunt P.O. Box 20401 2500 EK The Hague s.j.d.verbunt@minlnv.nl  Permit section: Ministry of Agriculture, Nature and Food Quality Dienst Regelingen P.O. Box 1191 3300 BD Dordrecht e-mail: cites@minlnv.nl  Ministry of Agriculture, Nature and Food Quality Plant Health Service P.O. Box 9102 6700 HC Wageningen (for phytosanitary certificates)  How many staff work in each MA?  0,7 at the policy section 10 at the permit section est. 350 at the Plant Health Service Can you estimate the percentage of time they Yes X		• • • • • • • • • • • • • • • • • • • •		
No information	3	If there is more than one MA in your country, has	Yes	X
If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.    The CITES Management Authority of the Netherlands consists of 3 sections:   Policy section   Ministry of Agriculture, Nature and Food Quality Department of Nature   Ir. S.J.D. Verbunt   P.O. Box 20401   2500 EK The Hague   s.j.d.verbunt@minlnv.nl     Permit section:   Ministry of Agriculture, Nature and Food Quality   Dienst Regelingen   P.O. Box 1191   3300 BD Dordrecht   e-mail: cites@minlnv.nl     Ministry of Agriculture, Nature and Food Quality   Plant Health Service   P.O. Box 9102   6700 HC Wageningen (for phytosanitary certificates)     5		a lead MA been designated?	No	
it is identified as the lead MA in the CITES Directory.  The CITES Management Authority of the Netherlands consists of 3 sections:  Policy section Ministry of Agriculture, Nature and Food Quality Department of Nature Ir. S.J.D. Verbunt P.O. Box 20401 2500 EK The Hague s.i.d.verbunt@minlnv.nl  Permit section: Ministry of Agriculture, Nature and Food Quality Dienst Regelingen P.O. Box 1191 3300 BD Dordrecht e-mail: cites@minlnv.nl  Ministry of Agriculture, Nature and Food Quality Plant Health Service P.O. Box 9102 6700 HC Wageningen (for phytosanitary certificates)  5 How many staff work in each MA?  0,7 at the policy section 10 at the permit section est. 350 at the Plant Health Service 6 Can you estimate the percentage of time they Yes X			No information	
Policy section Ministry of Agriculture, Nature and Food Quality Department of Nature Ir. S.J.D. Verbunt P.O. Box 20401 2500 EK The Hague s.j.d.verbunt@minlnv.nl  Permit section: Ministry of Agriculture, Nature and Food Quality Dienst Regelingen P.O. Box 1191 3300 BD Dordrecht e-mail: cites@minlnv.nl  Ministry of Agriculture, Nature and Food Quality Plant Health Service P.O. Box 9102 6700 HC Wageningen (for phytosanitary certificates)  5 How many staff work in each MA?  0,7 at the policy section 10 at the permit section est. 350 at the Plant Health Service 6 Can you estimate the percentage of time they Yes X	4	it is identified as the lead MA in the CITES Directory.		
Permit section: Ministry of Agriculture, Nature and Food Quality Dienst Regelingen P.O. Box 1191 3300 BD Dordrecht e-mail: cites@minlnv.nl  Ministry of Agriculture, Nature and Food Quality Plant Health Service P.O. Box 9102 6700 HC Wageningen (for phytosanitary certificates)  5 How many staff work in each MA?  0,7 at the policy section 10 at the permit section est. 350 at the Plant Health Service  6 Can you estimate the percentage of time they Yes X		Netherlands consists of 3 sections:  Policy section  Ministry of Agriculture, Nature and Food Quality Department of Nature Ir. S.J.D. Verbunt P.O. Box 20401		
Plant Health Service P.O. Box 9102 6700 HC Wageningen (for phytosanitary certificates)  5 How many staff work in each MA?  6 Can you estimate the percentage of time they  9 O,7 at the policy section 10 at the permit section est. 350 at the Plant Health Service		Permit section: Ministry of Agriculture, Nature and Food Quality Dienst Regelingen P.O. Box 1191 3300 BD Dordrecht e-mail: cites@minlnv.nl		
section 10 at the permit section est. 350 at the Plant Health Service 6 Can you estimate the percentage of time they  Yes  X		Plant Health Service P.O. Box 9102 6700 HC Wageningen (for phytosanitary certificates)		
	5	How many staff work in each MA?	section 10 at the permit section est. 350 at the Plant Health	
spend on CITES related matters?	6	Can you estimate the percentage of time they	Yes	X
		spend on CITES related matters?	No	

	If yes, please give estimation Permit section: 100% Policy section: 100% Plant Health Service: 0,1%	No information	
7	What are the skills/expertise of staff within the MA(s	s)? Tick if applicable	
	<ul><li>Administration</li></ul>	X	
	– Biology	X	
	<ul><li>Economics/trade</li></ul>	X	
	<ul><li>Law/policy</li></ul>	X	
	<ul><li>Other (specify)</li></ul>		
	<ul><li>No information</li></ul>		
8	Have the MA(s) undertaken or supported any		
	research activities in relation to CITES species or		
	technical issues (e.g. labelling, tagging, species		
	identification) not covered in D2(8) and D2(9)?		
9	If Yes, please give the species name and provide	Yes	
	details of the kind of research involved.	No	Х
		No information	
1	Please provide details of any additional measures		
0	taken:		

D2 Scientific Authority (SA)

1	Have there	been any cha	anges in the	designation	า	Yes		
	of or contact	ct information	for the SA(s)	) in your		No		Х
	country whi	ch are not ye	t reflected in	the CITES		No info	rm otion	
	Directory?					No info	rmation	
2	changes he							
3	Has your co	ountry design	ated a Scien	tific Author	ity	Yes		X
	independer	nt from the Ma	anagement A	uthority?		No		
						No info	rmation	
4	What is the applicable	structure of t	he SA(s) in y	our country	y?			Tick if
	<ul><li>Governm</li></ul>	nent institutior	า					
	<ul><li>Academi</li></ul>	c or research	institution					
	- Permane	ent committee	;				Х	
	<ul><li>Pool of ir</li></ul>	ndividuals with	h certain exp	ertise				
	- Other (sp	pecify)						
5	How many	staff work in e	each SA on C	CITES issu	es?	One full	time co-o	rdinator
6	Can you es	timate the pe	rcentage of t	ime they		Yes		X
	spend on C	ITES related	matters?	•		No		
		se give estima						
		dinator spend						
		eight membe				No info	rmation	
	•	een 5% and	20% of their	time on				
	CITES							
7		ne skills/exper	tise of staff v	vithin the S	SA(s	s)?		Tick if
	applicable					1		
	<ul><li>Botany</li></ul>						X	
	– Ecology						Χ	
	– Fisheries	3						
	- Forestry							
	- Welfare						X	
	- Zoology	.( ) D		<del> </del>			X	
	` .	pecify) Breedi	ng programn	nes, anıma	ı		X	
	health							
	– No inform			ا د دادها د		\/		<u> </u>
8		esearch activi			y	Yes		
	the SA(S) if	relation to C	TIES species	5 ?		No No info		V
0	If Voc. place	aa aiya tha a	naciaa nama	and provid	ام ما	No info		X
9	involved.	se give the sp	becies name	and provid	ie u	etalis oi	trie kiria di	research
	Species	Populations	Distribution	Off	1.0	vaal	Illogal	Other
	name	Fopulations	Distribution	take		egal ade	Illegal trade	(specify)
	1.			lane	11	aue	liaue	(Specify)
	2.				-			
	3.							
	Etc.							
10		roject propos	als for scient	ific recess	<u></u>	Yes		<u> </u>
10	• •	roject propos itted to the Se			11	No		
		Conf. 12.2?	or ciarial uff	J <del>C</del> I		No information X		
11		vide details of	any addition	al magazir	00 t		mallUH	_ ^
11	i icase piol	viue ueialis Ol	arry audition	iai iiitabult	ວວ ເ	antii.		

#### D3 Enforcement Authorities

	1	To date, has your country advised the Secretariat	Yes	Х				
		of any enforcement authorities that have been designated for the receipt of confidential	No					
		enforcement information related to CITES?	No information					
	2	If No, please designate them here (with address, ph	one, fax and email	l).				
	3	Has your country established a specialized unit	Yes	X				
		responsible for CITES-related enforcement (e.g.	No					
		within the wildlife department, Customs, the	Under					
		police, public prosecutor's office)?	consideration					
			No information					
	4	If Yes, please state which is the lead agency for enf		General				
ļ		Inspection Service, secondly Customs and the Police						
	5	Please provide details of any additional measures to	aken:					
		Since 1 October 2005, the prosecution of environmental crime is centralised in one national organisation, the Functional Public Prosecutors Office. This FPPO, which consists of 4 regional units and 1 head office in The Hague, is specialised in prosecuting environmental crime (including CITES crime). The head office has a policy advisor who is responsible for advising on prosecution of wildlife crime.						

D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized? Tick if									
	applicable							1		
	<ul><li>– Monitoring</li></ul>								Χ	
	<ul><li>– Monitoring</li></ul>	g and	reportir	ng of c	data d	n illega	al trade		Χ	
	<ul><li>Permit iss</li></ul>	uance	)						Χ	
	<ul><li>Not at all</li></ul>									
	- Other (spe	ecify)								
2	Do the follow	ving a	uthoritie	es hav	e ac	cess to	the Inte	rnet?		Tick if
	applicable									
	Authority						Please	provide deta	ails who	ere
		ss		±	_		approp	riate		
		ons s	dn-	erer	onl					
		inuc ed a	only dia	only diff	ces					
		cont	but ogh a	but o	) off	t all				
		Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all				
		> □	> ± 0	> ± 0	S	Z				
	Managem									
	ent	X								
	Authority									
	Scientific	Х								
	Authority									
	Enforceme	.,								
	nt	Х								
•	Authority	<u> </u>	<u> </u>			<u> </u>				
3	Do you have						em	Yes		X
	providing inf	ormati	ion on (	CHES	spe	cies?		No		
4	If Van dage	!4	المام المان	4:				No informa	tion	Tick if
4	If Yes, does applicable	it prov	/ide into	ormati	on or	1:				I ICK II
	- Legislatio	n (nati	ional r	agions	al or i	ntornati	ional\2			
	_						orial):		Х	
	<ul><li>Conserva</li></ul>		atus (n	ationa	al, reg	jional,			Χ	
	internationa									
	<ul><li>Other (ple</li></ul>	ase s	pecify)'	?						
5	Is it available	e throu	ugh the	Interr	net:			Yes		X
								No		
	Please provi	de UR	RL: <u>ww</u> v	<u>w.minl</u>	nv.nl	<u>/loket</u>		Not applica		
								No informa		
6	Do the follow	ving a	uthoritie	es hav	e ac	cess to	the follo	wing publica	ations?	Tick if
	applicable			_						
	Publication					ement		cientific		orcement
					Autho	ority	A	uthority	A	uthority
	2005 Checklist of			Х		No ir	nformation		Χ	
	CITES Spe						110 "	nomation		
	2003 Check				Х		No ir	nformation		
	CITES Species and				1.40 "					
	Annotated Appendices X No information									
	(CD-ROM)			1						.,
	Identificatio			1	X			nformation		X
	CITES Han	dhook	•	1	X		I N∩ ir	nformation	l	

7	If not, what problems have been encountered to account	cess to the mentior	ned
	information?		
8	Have enforcement authorities reported to the Mana	gement Authority o	n: Tick
	if applicable		
	– Mortality in transport?	X	
	– Seizures and confiscations?	X	
	<ul> <li>Discrepancy in number of items in permit and</li> </ul>	X	
	number of items actually traded?	^	
	Comments:		
9	Is there a government website with information on	Yes	X
	CITES and its requirements?	No	
	If Yes, please give the URL: <a href="https://www.minlnv.nl/Loket">www.minlnv.nl/Loket</a>	No information	
1	Have CITES authorities been involved in any of the		
0	activities to bring about better accessibility to and u	nderstanding of	
	the Convention's requirements to the wider public?		Tick if
	applicable		
	<ul> <li>Press releases/conferences</li> </ul>		
	<ul> <li>Newspaper articles, radio/television</li> </ul>	X	
	appearances		
	- Brochures, leaflets		
	<ul><li>Presentations</li></ul>		
	- Displays	X	
	<ul> <li>Information at border crossing points</li> </ul>		
	<ul> <li>Telephone hotline</li> </ul>	X	
	- Other (specify)	Various web	osites
	Please attach copies of any items as Annex. See a		
1	Please provide details of any additional measures to		
1	Four national public meetings have been organised		
	(commercial and non-commercial), results have been		
	Annex 3 gives the results of one of these meetings.		
	information letters through internet and mail, an exa	ampie is given in an	inex 4.
	1		

# D5 Permitting and registration procedures

1	Have any changes in	•			Yes					Χ	
	designation and sign empowered to sign (			tes	No	)					
	been reported previo	•			No	t applic	cabl	е			
	If no, please provide	details of a	nv.		No	inform	atio	on .			
	Changes in permit for		•	rmat							
	Changes in designat				ffici	als: we	info	ormed	VO	u at the tin	ne
	about changes in de								, -		. •
2	To date, has your co				t pro	ocedur	es f	or any	of	the	
	following? Tick if	applicable			1						7
					Ye	s	No		No		
	D 't ' /								ini	formation	_
	Permit issuance/acc					X		<u> </u>			1
	Registration of trade Registration of prod							X			1
3	Please indicate how		S documen	ts war	ice	ued or	dar	<i>.</i> .	the	two year	
3	period?	many Cit	3 documen	its were	100	ueu oi	uei	iieu iii	uie	two year	
	(Note that actual trac	de is normal	lv reported	in the A	Annı	ual Rer	ort	by Pa	rtie	s. This	
	question refers	20 10 11011110	ily roportou			aa		<i>2</i> ,		01 11110	
	to issued documents	s).									
		•									
	Year 1	Import or introduction	Export	Re-expor	t	EG- certificate		Other exp Phytosani		Commen	ts
	How many	from the sea				corumous		certificate	S		
	documents		5510			.=		Appro	X.		
	were issued?		ich 1529 is:			3783	3	11.00			
	Haw many	for s	several spec	cies							
	How many applications										
	were denied										
	because of		99			136					
	severe		00			100					
	ommissions or										
	misinformation?										
	Year 2		4347								
	How many	of wl	nich 818 iss	ued		3639	)	Appro			
	documents	_	several spec					10.00	00		
	were issued?										
	How many										
	applications were denied					128					
	because of		97			120					
	severe		91								
	ommissions or										
	misinformation?										
4	Were any CITES do	cuments that	at were issu	ed	Ye	s	<u> </u>				J
	later cancelled and r				No						
	omissions or mis-info					inform	atic	n		Х	
5	If Yes, please give th	ne reasons f	or this								

6	Please give the reasons for rejection of CITES do	cuments fr	om other co	ountries.
	Tick if applicable			No
	Reason	Yes	No	information
	Technical violations	Х		
	Suspected fraud	Х		
	Insufficient basis for finding of non-detriment	X		
	Insufficient basis for finding of legal acquisition	Χ		
	Other (specify)			
7	Are harvest and/or export quotas as a	Yes		X
	management tool in the procedure for issuance	No		
	of permits?	No inform		
8	How many times has the Scientific Authority been information.	requested	to provide	opinions? No
9	Has the MA charged fees for permit issuance, reg	istration or	related CIT	TES activities?
				Tick
	if applicable			
	- Issuance of CITES documents:		Х	
	<ul> <li>Licensing or registration of operations that</li> </ul>			
	produce CITES species:			
	– Harvesting of CITES-listed species :			
	– Use of CITES-listed species:			
	<ul> <li>Assignment of quotas for CITES-listed</li> </ul>			
	species:			
	– Importing of CITES-listed species:			
	- Other (specify): EU-certificates and exemption		Х	
	of the prohibition on possession.			
10	If Yes, please provide the amounts of such fees. 6			
	euro for EU-certificates and exemptions of the pro			
11	Have revenues from fees been used for the imple	mentation	of CITES of	r wildlife
	conservation? Tick if applicable	1		
	– Entirely:		X	
	- Partly:			
	- Not at all:			
	- Not relevant:	1		
12	Comments:	tokoni		
12	Please provide details of any additional measures	ıaken:		

$D_{0}$	Capacity building								
1	Have any of the following ac CITES implementation at th Tick if applicable					en to er	nhance	effectivene	ss of
	Increased budget for activit	ties	Х		mprovei networks		nationa	al	Х
	Hiring of more staff		Х	fo	Purchase or nonitorin			equipment t	
	Development of implement tools	ation		C	Compute	erisation	า		Х
	<ul><li>Other (specify)</li></ul>								
2	following capacity building activities provided by external sources? Please tick boxes to indicate which target group and which activity.								
	Target group	Oral or written	Technical assistance	assistance	Financial assistance	Training	Other (specify)	What were external sources?	e the
	Staff of Management Authority Staff of Scientific Authority Staff of enforcement authorities Traders	X							
	NGOs Public								
	Other (specify)								

3	3 Have the CITES authorities in your country been the <i>providers</i> of any of the								
	following capacity								
	building activities?								
	Please tick boxes to indicate	e which	target (	group a	nd whic	h activi	ty.		
	Target group		Technical assistance	Financial assistance	Training	Other (specify)	DETAILS		
	Staff of Management Authority Staff of Scientific Authority Staff of enforcement authorities	X					The MA regularly provides advice/guidance for enforcement authorities and other European MA's.		
	Traders NGOs Public	X					The MA provides oral presentations on demand for traders and the public		
	Other parties/International meetings Other (specify)								
4	Please provide details of an	y additi	onal me	easures	taken:				

D7 Collaboration/co-operative initiatives

1	Is there an inte	r-agency	or inter-se	ectoral		Yes			X
	committee on C	CITES?				No			
						No informa	ation		
2	If Yes, which age CITES Enforcem Nature Conserva	ent. Repre	esented in ood Quali	this workin ty, General	g grou Insped	p are the Mi ction Service	nistry of A	gri MA	culture, , Police,
	Customs and the								
3	If No, please in MA to ensure co-ordination at others):		•	•					•
		Daily	Weekly	Monthly	Annua	lly None	No information	วท	Other (specify)
	Meetings			2 x Plant Health Service 5 x SA			moment.	511	(оросиу)
	Consultations		SA					1	
4	At the national to collaborate with		e there be	een any ef	forts	Tick if ap	plicable		Details if available
	Provincial, state Local authoritie	Agencies for development and trade Provincial, state or territorial authorities Local authorities or communities				X			
	Indigenous peo Trade or other   NGOs	•	ector asso	ciations		X X			
	Other (specify)								
5	To date, have a arrangements f agreed between	or institut	ional coo	peration re	elated	to CITES b			ick if oplicable
agreed between the MA and the following agencies?  S A Customs Police Other border authorities (specify) Other government agencies Private sector bodies NGOs									X X X X
6	Other (specify) Has your count	ry particir	nated in a	ny regions	al			T	ick if
U	activities related	d to CITE	S?	rry regiona	A I				oplicable
	Workshops Meetings Other (specify)								X X
7	Has your count	ry encour	aged anv	non-Party	/ to	Yes			
	accede to the	,	J		,	No			Χ
	Convention?					No informa	ation		
8	If Yes, which or	ne(s) and	in what w	vay?					

9	Has your country provided technical or financial	Yes	X				
	assistance to another country in relation to CITES?	No					
		No information					
10	If Yes, which country(ies) and what kind of assistance for Estonia and Czech Republic. Enforcement training		ty building				
11	Has your country provided any data for inclusion	Yes					
	in the CITES Identification Manual?	No					
		No information	X				
12	If Yes, please give a brief description.						
13	Has your country taken measures to achieve co- ordination and reduce duplication of activities	Yes					
	between the national authorities for CITES and	No					
	other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	No information	Х				
14	If Yes, please give a brief description.						
15	Please provide details of any additional measures taken:						

# D8 Areas for future work

1	implementation at						
	the national level and what is the respective level	of pri	ority?				
	1.1.1.1 Activity		High Med m		Low		
	Increased budget for activities		Χ	X			
	Hiring of more staff			Х			
	Development of implementation tools			Х			
	Improvement of national networks		X	X			
	Purchase of new technical equipment for monitoring a enforcement	and		Х			
	Computerisation		X				
	Other (specify)						
2	Has your country encountered any difficulties in	untered any difficulties in Yes					
	implementing specific Resolutions or Decisions	No					
	adopted by the Conference of the Parties?	No i	nformati	on	Χ		
3	If Yes, which one(s) and what is the main difficulty	<i>i</i> ?					
4	Have any constraints to implementation of the	Yes					
	Convention arisen in your country requiring	No					
	attention or assistance?		nformati		X		
5	If Yes, please describe the constraint and the type is required.	of at	ttention o	or assista	nce that		
6	Has your country identified any measures,	Yes					
	procedures or mechanisms within the	No					
	Convention that would benefit from review and/or simplification?	No information			Х		
7	If Yes, please give a brief description.			•			
8	Please provide details of any additional measures	take	n:				

#### E. General feedback

F.

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed Not available Not relevant	X
С3	Details of violations and administrative measures imposed	Enclosed Not available Not relevant	Х
C5	Details of specimens seized, confiscated or forfeited	Enclosed Not available Not relevant	X
C7	Details of violations and results of prosecutions	Enclosed Not available Not relevant	X
C9	Details of violations and results of court actions	Enclosed Not available Not relevant	X
D4(10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed Not available Not relevant	X

Question	Annex No.	Title
C. 5	1	Seizures in 2005 and 2006
C. 7	2	Details of violations and results
D. 4	3	Results public CITES meeting
	4	Information letter

# ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

2b	2b If your country has planned, drafted or enacted any additional Regulation -relevant							
20	legislation, other that							
	following details:							
	Title and date:			Status:				
	Brief description of							
5b		our country adopted any stricter domestic measures, other than those ted under question B(5), specifically for non CITES-listed species <sup>13</sup> ?						
	Tick all applicable of	ategories	s below t	hat these cate	gories	apply to.		
		T	he condi	tions for:	The	complete	prohibition of:	
	Issue	Yes	No	No	Ye	s No	No	
				information			information	
	Trade		X			] X		
	Taking		X			X		
	Possession		X			X		
	Transport		X			i x		
	Other (specify)		X			i x		
	Additional			_	L			
	comments							
8b	Has there been any				•			
	following subjects in	n relation	to imple	mentation of the	he			
·	Regulation?							
					Yes	No	No information	
	Introduction of live I					Χ		
	the Community that				: : : : :			
	indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).							
	Marking specimens to facilitate identification (in X accordance with Article 19, paragraph 1 (iii))							
	Please provide deta	· •		` ''				

<sup>&</sup>lt;sup>13</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

Please provide details of maximum penalties that may be imposed for Regulation-related violations, or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9)..

A maximum of 6 years imprisonment and a maximum fine of EUR 45,000 (private individuals) or EUR 450,000 (businesses) apply for offences.

# C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No No information	<ul><li>□</li><li>X</li><li>□</li></ul>			
16 b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes No No information	X □			
	Comments:					
17 ne w	Have specimens been marked to establish whether they were born and bred in captivity or artificially propagated? (In accordance with Commission Regulation (EC) No 1808/2001 Article 36),	Yes No No information	X   			
	Comments:					
18 ne w	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c))	Yes No No information	X			
	Comments: In general only for Annex A species of wild origin, both on applications for importpermits and on applications for EC-certificates.					

# D. Administrative measures

# D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	x
10 ne w	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2),	Yes No No information	X

#### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?					Yes No No informa	ation X
9b	If Yes, please give the species name and provide details of the kind of researc involved.						research
	Species name	Population s	Distributio n	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
						No informatio	n
11 ne	How many Scientific Review Group (SRG) meetings have the SA attended? No information						
Indicate any difficulties that rendered attendance to the SRG d						RG difficult:	

#### D3 Enforcement Authorities

6	Has a liaison officer/focal point for CITES been nominated within each relevant	
ne	enforcement authority in your country?	
W	Yes	X
		No□
	Under consideration	
		No
	information	

# D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable
	Annex D listed species	X
	<ul> <li>Other matters not reported on in question D4 (1) (please specify)</li> </ul>	
3b		Yes X No  No  Information

# D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes No No information	X
D8	Areas for future work		
2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6))	Yes No No information	X
4b	Have any constraints to implementation of the Regulation, not reported under question D8(4), arisen in your country requiring attention or assistance?	Yes No No information	X
7 bis new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Number : 80-90%	

# **POLAND**

# **Part 1 - CITES Questions**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

#### A. General information

Party	Poland
Period covered in this report:	1 <sup>st</sup> January 2005 – 31 <sup>st</sup> December 2006
Details of agency preparing this report:	Ministry of the Environment Department of Forestry, Nature Conservation and Landscape Protection
Contributing agencies, organizations or individuals:	Ministry of Finance, General Headquarters of Police, CITES Commission in the State Council for Nature Conservation (CITES SA), The Polish Society for Nature Protection "Salamandra", The Regional Environmental Center for Central and Eastern Europe (REC), WWF – Poland.

# B. Legislative and regulatory measures

1	Has information on CITES-			eady been provided		• /		
	under the CITES National I	-	Project?		Yes (	partly)		Ш
	If yes, ignore questions 2, 3	and 4.			No			
					No in	formation	n/unknown	
2	If your country has planned details: -	, drafted or 6	enacted any	CITES-relevant le	gislation, p	lease pro	vide the follow	ving
	Title and date: -		S	Status: -				
	Brief description of contents	s: -						
3	Is enacted legislation availa	ble in one of	f the worki	ng languages of the	Yes			
	Convention?				No			
					No in	No information		
4	If yes, please attach a copy		gislative te	ext or key legislative	e legisla	tion attac	hed	
	provisions that were gazette	d. <i>n/a</i>			provid	led previo	ously	
					not av	ailable, w	vill send later	
5	Which of the following issu				neasures th	at Tick	all applicable	÷
	your country has adopted fo							
	(in accordance with Article			<u>′</u>	T			
			The conditi	ions for:	The	complete	prohibition of	:
	Issue	Yes	No	No information	Yes	No	No informa	ition
	Trade	$\boxtimes$				$\boxtimes$		
	Taking		<b>*</b>					
	Possession	$\boxtimes$				$\boxtimes$		
	Transport		⊠*			$\boxtimes$		
	Other (specify)							

6	of live an covered be submit with appropriate obligation involved in shops, sel documents animal, or of CITES in captivity animal bir.  * - Prohibits purchase of Exemption the Environments of any review.	According to national law (Nature Conservation Act of 16th April 2004) holders of live animals including amphibians, reptiles, birds and mammals of species covered by EU regulations on wildlife trade (CITES species) are obliged to submit written declaration of possession concerning CITES listed species to appropriate District Authority, in order to register specimens they keep.  Obligation of registration does not apply to Zoological Gardens and persons involved in animal trade, carrying on an economic activity (e.g. owners of pet shops, sellers). The above-mentioned sellers are obliged however to possess documents stating legal origin of an animal they sell and to hand over with an animal, original or copy of aforementioned documents to the buyer (e.g. copy of CITES import permit, permit for acquisition from nature, and in case of birth in captivity — a document issued by district veterinary surgeon, confirming animal birth in captivity, or other document stating legality of origin).  *- Prohibitions referring to harvesting, possessing, transport, sale and purchase apply to all native protected species (including CITES species).  Exemption from mentioned prohibitions can be granted only by the Minister of the Environment, who issues certain permission.						
	CITES legislation, with regard to the ltem	e following item Adequate	Partially	Inadequate	No information			
	Powers of CITES authorities		Inadequate					
	Clarity of legal obligations Control over CITES trade							
	Consistency with existing policy on							
	wildlife management and use	<u> </u>						
	Coverage of law for all types of offences		Ш		Ш			
	Coverage of law for all types of penalties							
	Implementing regulations		⊠*					
	Coherence within legislation	$\boxtimes$						
	Other (please specify):							
	Please provide details if available: * Conference of the Parties is a result applying mentioned provisions.							
7	If no review or assessment has taken pl reporting period?	ace, is one planned	d for the next	Yes No No	=			
	Please provide details if available: -							
8	Has there been any review of legislation relation to implementation of the Conversio		subjects in	Tick al	l applicable			
	Subject		Yes	No	No information			
	Access to or ownership of natural resou	urces		$\boxtimes$				
	Harvesting							
	Transporting of live specimens							
	Handling and housing of live specimen	ıs						
	Please provide details if available:		<u> </u>		<u>. – – – – – – – – – – – – – – – – – – –</u>			
	During the proceedings of the CITES (possession) of live animals of CITES s							
9	Please provide details of any additional							

# C. Compliance and enforcement measures

		Yes	No	No information	
1	Have any of the following compliance monitoring operations been u	ndertaken?			
	Review of reports and other information provided by traders and producers:		$\boxtimes$		
	Inspections of traders, producers, markets				
	Border controls				
	Other (specify): Control of commercial trade (sale offers) in CITES specimens via Internet.	$\boxtimes$			
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?				
3	If Yes, please indicate how many and for what types of violations? I Annex.	f available, pl	ease attach	details as	
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	$\boxtimes$			
5	If information available:  Significant seizures/confiscations  Total seizures/confiscations  If possible, please specify per group of species or attach details on annex.	Total: 4	Number - - 137 – Custo	r: oms Service	
6	Have there been any criminal prosecutions of significant CITES-related violations?				
7	If Yes, how many and for what types of violations? If available, plea	se attach deta	ils as Ann	ex.	
8	Have there been any other court actions of CITES-related violations? <i>See Annex II – C6, C7, C9.</i>				
9	If Yes, what were the violations involved and what were the results? Pleas	se attach details	s as Annex.		
10	How were the confiscated specimens generally disposed of?		Т	ick if applicable	
	Return to country of export				
	Public zoos or botanical gardens				
	Designated rescue centres				
	Approved, private facilities  Forthermore				
	<ul><li>Euthanasia</li><li>Other (specify)</li></ul>				
	Comments: Dead CITES specimens are also distributed for training educational purposes to universities, schools or museums.	ng purposes to	o Customs,		
11	Has your country provided to the Secretariat detailed information significant cases of illegal trade (e.g. through an ECOMESSAC other means), or information on convicted illegal traders and persistent offenders?	GE or	Yes No / part Not appli No inforn	cable $\Box$	
	Comments:  CITES Management Authority of Poland informed the CITES Secent export permit from Guinea (referring to Alert No. 22).	eretariat abou	ıt receivinş	g invalid (false)	
12	Has your country been involved in cooperative enforcement activities other countries		Yes	$\boxtimes$	
	other countries  (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?  No No information				

13	If Yes, please give a brief description: Co-operation within trainings.  On 5 – 11 June 2005 CITES coordinators of several customs chambers v Guardia Forestale dello Stato (Italy) in order to exchange views and opin concerning wildlife trade. Mentioned visit was organized with the support of W	ions with Italian col	
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes No No information	
15	If Yes, please describe:  Thanks to numerous information campaigns and public awareness building properties trade in endangered species of Wild fauna and flora (including those carried society can become familiar with the current CITES provisions and learn most trade in wild fauna and flora.	out by means of mea	dia), our
16	Has there been any review or assessment of CITES-related enforcement?	Yes No Not applicable No information	
	Comments: Assessment and compilation of seizures of CITES specimens is p and Police. Aforementioned compilations are forwarded to the CITES MA on a		Service
17	Please provide details of any additional measures taken: -		
	D. Administrative measures		
D1 Ma	nagement Authority (MA)		
1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	
2	If Yes, please use the opportunity to provide those changes here		

#### If there is more than one MA in your country, has a lead MA been Yes designated? No n/a No information If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. 4 How many staff work in each MA? In Poland there is only one CITES Management Authority, which consists of 5 persons: Minister of the Environment and 3 persons from CITES Team with their superior. 6 Can you estimate the percentage of time they spend on CITES related Yes $\boxtimes$ matters? No If yes, please give estimation: 75 % No information What are the skills/expertise of staff within the MA(s)? Tick if applicable Administration $\boxtimes$ **Biology** $\boxtimes$ Economics/trade $\boxtimes$ Law/policy $\boxtimes$ Other (specify) - Environment Protection No information Have the MA(s) undertaken or supported any research activities in relation to Yes $\boxtimes$ CITES species or technical issues (e.g. labeling, tagging, species No identification) not covered in D2(8) and D2(9)? No information

9	If Yes, please give the species name and provide details of the kind of research involved.
	In 2006 the expertise on Synergy between the Convention on International Trade In Endangered Species of Wild Fauna and Flora (CITES) and the Convention on Biological Diversity (CBD) in Poland was carried out. Moreover, in 2005 – 2006 the following projects concerning native CITES species were carried out:
	- project on restoration and conservation of Falco peregrinus, Falco tinnunculusand and Tyto alba, - reintroduction of Bubo Bubo in Woliński National Park, Parnassius apollo in Pieniny National Park and
	Otis tarda in Wielkopolska province.
	- monitoring of distribution and number of Canis lupus and Lynx lynx.
	Moreover, studies on preparation of several National Species Management Programs have been launched,
	in relation to the following CITES native species: Cyprypedium calceolus, Phocoena phocoena and Lutra
	lutra.
10	Please provide details of any additional measures taken -

# D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information	Yes	
	for the SA(s) in your country which are not yet reflected in the CITES	No	$\boxtimes$
	Directory?	No information	
2	If Yes, please use the opportunity to provide those changes here		
3	Has your country designated a Scientific Authority independent from the	Yes	$\boxtimes$
	Management Authority?	No	
		No information	
4	What is the structure of the SA(s) in your country?	Tick if ap	plicable
	<ul> <li>Government institution</li> </ul>		
	Academic or research institution		
	<ul> <li>Permanent committee</li> </ul>		
	<ul> <li>Pool of individuals with certain expertise</li> </ul>		
	– Other:		$\boxtimes$
	The State Council for Nature Conservation (body providing opinion and		
	counseling for the Minister of the Environment) is the CITES Scientific		
	Authority in Poland. The CITES Commission (existing within above mentioned Council) deals directly with CITES issues.		
5	How many staff work in each SA on CITES issues?		
	The CITES Commission consists of 4 persons who work on a voluntary basis.		
6	Can you estimate the percentage of time they spend on CITES related	Yes	
	matters?	No	$\boxtimes$
		No information	
	If yes, please give estimation		
7	What are the skills/expertise of staff within the SA(s)?	Tick if ap	plicable
	– Botany		$\boxtimes$
	- Ecology		$\boxtimes$
	- Fisheries		
	– Forestry		
	– Welfare		
	- Zoology		$\boxtimes$
	- Other (specify)		
	- No information		
8	Have any research activities been undertaken by the SA(s) in relation	Yes	
	to CITES species?	No	$\boxtimes$
		No information	

9	If Yes, please give the species name and provide details of the kind of research involved.								
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)		
	1								
	2								
	3								
	etc.								
						No informati	on		
10		Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?  No No information							
11	_	details of any add	itional measures t	aken: -					
D3 En	forcement Auth	orities							
1		ur country advised				Yes	$\boxtimes$		
		have been designation related		t of confid		No			
						No information			
2	If No, please de	esignate them here	(with address, ph	none, fax a	nd email).				
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?  In the General Headquarters of Police the Environmental Crime Unit, dealing among the others with illegal trade in CITES species, was established. The Unit's main role is to coordinate and monitor activities of local and regional Police units concerning crimes against wildlife.								
4		tate which is the le							
5	Please provide of Enforcement As markets, for ex specimens in In was an increas	details of any add uthorities more of cample by inspect nternet. According te in detectability (in 2006 Police d	itional measures to den, than in previous ions of pet-shops to General Hea of crimes referri	aken: ous years, s, animal j dquarters ng to trad	monitor and c fairs as well as of Police, in the e in wild faund	monitoring of s he period of 200.	ales of CITES 5 – 2006 there		
D4 Co	mmunication, ii	nformation man	nagement and e	xchange					
1	To what extent	is CITES informa	tion in your count	try comput	erized?	Tio	k if applicable		
	– Monitor	ing and reporting	of data on legal tr	rade					
	– Monitor	ring and reporting	of data on illegal	trade					
	– Permit i	ssuance					$\boxtimes$		
	– Not at a	11							
	– Other (s	pecify)							
	1								

2	Do the following authorities have access to the Internet?							Tick if applicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all		vide details where propriate
	Management Authority							
	Scientific Authority						to Internet in where they ar	e SA have the access offices of Institutions e employed or they ir private access.
	Enforcement Authority				$\boxtimes$		Not all of enfo access to Intern	rcement officers have et.
3	Do you have an electronic information system providing information on CITES Yes species? Yes No No information							
4	If Yes, does it provide information on:  Tick if applicable							
	<ul> <li>Legislation (national, regional or international)?</li> <li>Conservation status (national, regional, international)?</li> <li>Other (please specify)?</li> <li>Management Authority of Poland runs an Internet Website devoted to CITES issues, which includes list of CITES species, information on existing CITES and related EU provisions and additional information materials and explanations.</li> <li>Moreover, on internet websites of Customs chambers and Police units one can find basic information on CITES and relevant seizures and confiscations.</li> <li>NGOs such as WWF Poland, Polish Society for Nature Protection "Salamandra" and Regional Environmental Center (REC) also provide important information on CITES matters and current projects concerning Washington Convention, as well as booklets, guides and manuals for</li> </ul>							
5	identification.  Is it available through the Internet:  Yes							
	Above mentioned information are available on the following websites:  CITES MA website:  www.mos.gov.pl/cites-ma  Customs website:  www.mf.gov.pl/dokument.php?const=2&dzial=529&id=47114  Websites of organizations dealing with CITES issues:  http://www.wwf.pl/projekty/cites.php  http://www.salamandra.org.pl/cites/index.php  http://www.rec.org.pl/cites/index.htm  Please provide URL:							
6	Do the following auth	orities h	ave access t	o the follo	owing pu	blications	s?	Tick if applicable
	Pul	blication				gement hority	Scientific Authority	Enforcement Authority
	2005 Checklist of CIT	ES Speci	ies (book)			$\boxtimes$	$\square$	
	2005 Checklist of CIT Appendices (CD-RON		ies and Ann	otated		<b>X</b>		
	Identification Manual					$\boxtimes$		

	OMBO II II I				
	CITES Handbook				
7	If not, what problems have been encountered to a	ccess to the mentione	ed information? -		
8	Have enforcement authorities reported to the Mar	nagement Authority of	on:	Tick if applicable	le
	– Mortality in transport?				$\leq$
	<ul><li>Seizures and confiscations?</li></ul>				$\leq$
	Discrepancy in number of items in permit and	number of items act	ually traded?		$\leq$
	Comments: In the period of 2005-2006 there we deaths during import or (re)export.	vere no reports on c	ases of animal		
9	Is there a government website with information o	n CITES and its	Yes		 ]
	requirements?		No		
	The government website was described above in p	p. 4.	No infe	formation	
	If Yes, please give the URL: www.mos.gov.pl/ci	tes-ma			
10	Have CITES authorities been involved in any of the bring about better accessibility to and understand requirements to the wider public?			Tick if applicable	le
	Press releases/conferences				<u></u>
	Newspaper articles, radio/television appear	arances			$\leq$
	- Brochures, leaflets				
	- Presentations			<u> </u>	<u> </u>
	– Displays				abla
	<ul> <li>Information at border crossing points</li> </ul>				abla
	- Telephone hotline				<u> </u>
	- Other (specify)				
	Please attach copies of any items as Annex: See	Annex <i>D4</i> – <i>10</i>			
11	Please provide details of any additional measures	taken:			
	Essential contribution to capacity building and p workshops, brochures, posters) is made by the p Nature Protection "Salamandra", Regional Envi	oropagation of CITE following organization	ons: WWF Poland		
)5 Pe	rmitting and registration procedures				
1	Have any changes in permit format or the designat empowered to sign CITES permits/certificates bee Secretariat?		y to the No Not	applicable [	]
	If no, please provide details of any:				
	Changes in permit format: -	CC -:-1-			
2	Changes in designation or signatures of releva  To date, has your country developed written perm		of the Tiel	x if applicable	
۷	following?	Ves	No.	No information	
	1	V AC	i No i	NO intormation	

Permit issuance/acceptance

Registration of traders
Registration of producers

3	Please indicate how many CITE						
	(Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued						
	documents).	7				· · · · · · · · · · · · · · · · · · ·	
	Year 1 – 2005	Import or introduction from the sea	Export	Re-export	Other*	Comments	
	How many documents were issued?	224	10	11	55	* EU Certificates is acc. to Council Reg (EC) No. 338/97	
	How many applications were denied because of severe ommissions or misinformation?	-	-	-	_		
	Year 2 – 2006 How many documents were issued?	278	11	9	19	* EU Certificates is acc. to Council Reg (EC) No. 338/97	
	How many applications were denied because of severe ommissions or misinformation?	1	-	-	-	Invalid (false) expo permit form Guined	
4	Were any CITES documents that of severe omissions or mis-info	because	Yes No No information				
5	If Yes, please give the reasons f						
6	Please give the reasons for rejection of CITES documents from other countries.						
	Re	ason		Yes	No	No information	n
	Technical violations						
	Suspected fraud						
	Insufficient basis for finding of	non-detriment					
	Insufficient basis for finding of	legal acquisition					
	Other (specify)						
7	Are harvest and/or export quota issuance of permits?	is as a management	t tool in the	procedure fo	or	Yes No No information	
	Comments: Wild specimens of exploited for purposes of intern	ational trade.					
8	How many times has the Scient						
	CITES Scientific Authority of Po		*		-	· ·	. 1.1.
9	Has the Management Authority related CITES activities?		ermit issua	ınce, registrat	non or	Tick if appli	icabie
	- Issuance of CITES doc		• .				$\boxtimes$
	Licensing or registratio	_	t produce (	CITES specie	es:		
	Harvesting of CITES-li      GUTTES-li      A second control of the second control o	•					
	- Use of CITES-listed sp						
	Assignment of quotas f      Assignment of QUIES lie	_	ecies:				
	Importing of CITES-lis  Other (greeify):	ted species:					
10	Other (specify):  If Yes, places provide the amount	unto of such food:					
10	If Yes, please provide the amou Fee for the issuance of CITES is		nermit was	s: 100 PLN C	26 EUR)		
	Fee for the issuance of Certifi was: 11 PLN (3 EUR).						
	Budgetary and government un scientific institutions are exemp			otanical gar	dens and		

11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable
	– Entirely:	
	– Partly:	$\boxtimes$
	– Not at all:	
	– Not relevant:	
	Comments: Money obtained from above mentioned fees is allocated for national budget and is not directly transferred for CITES related activities, however, it is partly used for nature protection purposes in accordance with the current budget scheme.	
12	Please provide details of any additional measures taken: -	

# D6 Capacity building

1	Have any of the following activities been un CITES implementation at the national level		n to enha	ince effe	ctiven	ess of	Tick if applicable
	Increased budget for activities		Improv networ	vement c	f natio	onal	
	Hiring of more staff			se of tec oring/enf			pment for
	Development of implementation tools	$\boxtimes$	Comp	uterisatio	n		$\boxtimes$
	Other (specify):						$\boxtimes$
	In the period covered with this report, man for enforcement authorities were carried ou				ps, es	specia	lly
2	Have the CITES authorities in your country activities provided by external sources?	been the	e recipie	<i>nt</i> of any	of the	e follo	owing capacity building
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority Staff of Scientific Authority Staff of enforcement authorities						From 5 to 11 June 2005 Polish Customs officers visited the educational center of Guardia Forestale dello Stato in Italy in order to exchange views and experience (visit was organized with support of WWF Poland)
	Traders						
	NGOs					$ \Box $	
	Public				$ \Box $	$ \Box $	
	Other (specify)						

3	Have the CITES authorities in your country activities?	been the	e <i>provid</i> e	ers of an	y of th	e follo	owing capacity building
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details See Annex III – D6-1
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public				Ш	Ш	
	Other parties/International meetings					Ш	
	Other (specify)	Ш	<u> </u>		Ш	Ш	
4	Please provide details of any additional mea	asures ta	ken				
D/ Co.	llaboration/co-operative initiatives					<u> </u>	·
1	Is there an inter-agency or inter-sectoral co	mmittee	on CITE	ES?			Yes No No Information
2	If Yes, which agencies are represented and	how ofte	en does i	t meet?		·	
	The CITES Working Group, which consists of representatives of Management Authority (as observers), Scientific Authority, Ministry of Finance, Customs Service, Police, Veterinary Inspection and NGOs: WWF Poland, "Salamandra", REC, Polish Society for the Protection of Animals (TOZ) and representatives of Zoological Gardens and prosecutors. Group meetings are organized several times a year.  Furthermore, there were started preparations concerning establishing of a new working group which would be consisting only of representatives of governmental administration, in order to assure better cooperation in implementing CITES regulations. Apart from CITES Working Group meetings, MA consults current matters with Police, Customs, Veterinary Inspection and SA.						
3	If No, please indicate the frequency of mee among CITES authorities (e.g. other MAs,						to ensure co-ordination
	Daily Weekly Mont		nnually	None	1	No rmation	Other (specify)
	Meetings	]					
	Consultations	]					
4	At the national level have there been any el collaborate with:	fforts to		Tick i	f appl	icable	Details if available
	Agencies for development and trade						
	Provincial, state or territorial authorities						Cooperation with Regional Authorities in the field of registration of CITES animals.
	Local authorities or communities				$\boxtimes$		Cooperation with

			Police and Prosecutors in relation to investigations w are carried out.	
	Indigenous peoples			
	Trade or other private sector associations			
	NGOs	$\boxtimes$	•	
	Other (specify)			
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if app	plicable
	SA			
	Customs			
	Police			
	Other border authorities (specify)			
	Other government agencies			
	Private sector bodies			
	NGOs			
	Other (specify)	_		
6	Has your country participated in any regional activities related to CITES?		Tick if app	plicable
	Workshops			$\boxtimes$
	Meetings			$\boxtimes$
	Other (specify): Participation in regular meetings of the Committee on Trade In Wild Fauna and Flora within the European Commission.			
7	Has your country encouraged any non-Party to accede to	Yes		
	the Convention?	No		
		No information		
8	If Yes, which one(s) and in what way? -		**	
9	Has your country provided technical or financial assistance country in relation to CITES?	to another	Yes No	
	Country in 15 and 10 51-25		No information	
10	If Yes, which country(ies) and what kind of assistance was	provided? -		
11	Has your country provided any data for inclusion in the CIT	<u> </u>	Yes	
	Manual?		No	$\boxtimes$
			No information	
12	If Yes, please give a brief description			<u>.</u>
13	Has your country taken measures to achieve co-ordination a duplication of activities between the national authorities for		Yes	$\boxtimes$
	multilateral environmental agreements (e.g. the biodiversity Conventions)?		No No information	
14	If Yes, please give a brief description.			
	Issues regarding CITES and other multilateral environmen (Ministry of the Environment). It ensures coordination of unnecessary duplication.			

15	Please provide details of any additional measures taken: -
----	--

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implevel and what is the respective level of priority?	olementat	ion at the na	itional
	Activity	High	Medium	Low
	Increased budget for activities		$\boxtimes$	
	Hiring of more staff	$\square$		
	Development of implementation tools		$\boxtimes$	
	Improvement of national networks		$\boxtimes$	
	Purchase of new technical equipment for monitoring and enforcement	$\boxtimes$		
	Computerisation		$\boxtimes$	
	Other (specify)			
2	Has your country encountered any difficulties in implementing specific	Yes		
	Resolutions or Decisions adopted by the Conference of the Parties?			$\boxtimes$
		No info	rmation	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country			
	requiring attention or assistance?	No		$\boxtimes$
		- 10	Cormation	Ш
5	If Yes, please describe the constraint and the type of attention or assistance that is	required		
6	Has your country identified any measures, procedures or mechanisms within the	Yes		$\boxtimes$
	Convention that would benefit from review and/or simplification?	No		
			ormation	Ш
7	If Yes, please give a brief description: Taking into consideration the effective provisions and verification of documents it would be advisable to create conference and patterns of permits and certificates being used by the Parties.			
8	Please provide details of any additional measures taken: -			

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	$\boxtimes$
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	
		Not relevant	$\boxtimes$
C5	Details of specimens seized, confiscated or forfeited	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for	Enclosed	$\boxtimes$
	educational or public awareness purposes,	Not available	
		Not relevant	
	Comments: -		

# ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

2b	If your country has planner reported under question E					elevant legisla	ation, other than that
	Title and date: -			Status: -			
	Brief description of conte	nts: -					
5b	Has your country adopted specifically for non CITE  Tick all applicable catego	S-listed spec	ies <sup>14</sup> ?			eported under	question B(5),
	Tiek all applicable catego		The condi			The complete	e prohibition of:
	Issue	Yes	No	No information			No information
	Trade						
	Taking						
	Possession						
	Transport						
	Other (specify)						
	Additional comments	-					
8b	Has there been any review relation to implementation			ollowing subjects	in		
					Yes	No	No information
	Introduction of live Regul Community that would the (in accordance with Artic	reaten the in	digenous f				
	Marking specimens to fac with Article 19, paragraph		fication (in	accordance			
	Please provide details if a	vailable,					
9b	Please provide details of other additional measures (9).	taken in rela	ation to im	plementation of th	ne Regula	tion not repor	ted on in question B
	The highest penalty whi concerning CITES is imported for 16 April 2004).						

<sup>&</sup>lt;sup>14</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

# C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No No information	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes No No information	
	Comments: -		
17	Have specimens been marked to establish whether they were born and bred	Yes	
new	in captivity or artificially propagated? (In accordance with Commission	No	
	Regulation (EC) No 1808/2001 Article 36),	No information	
	Comments: -		
18	Have any monitoring activities been undertaken to ensure that the intended	Yes	$\boxtimes$
new	accommodation for a live specimen at the place of destination is adequately	No	
	equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c)).	No information	
	Comments: According to art. 61 p. 3 of Nature Conservation Act of 16 Apr permit is dependant on a positive veterinary opinion stating that animals w will be kept in appropriate conditions, as far as their physiological and be Mentioned opinion should be attached to the application for issuance of an in	hich are going to be t havioral needs are co	imported

#### D. Administrative measures

# D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	
10 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2),	Yes No No information	

# D2 Scientific Authority (SA)

8b	Have any resea	arch activities be	een undertaken	by the SA	(s) in	Yes	
	relation to non	CITES listed sp	ecies?			No	$\boxtimes$
						No informatio	n 🗌
9b	If Yes, please gi	ve the species nar	me and provide de	etails of th	e kind of researc	h involved.	
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
						No information	on 🗌
11 new	How many Sci attended?	entific Review (	Group (SRG) me	etings ha	ve the SA	Number	8
	Indicate any diff	ficulties that rend	ered attendance to	the SRG	difficult: -		

D3	<b>Fnfo</b>	rcem	ent A	lutho	rities

6			
new	Has a liaison officer/focal point for CITES been nominated within each relevant er your country?  Yes	nforcement authorit	y in
new	No		
	Under consideration	1	
	No information	I	
	No information		
D4 Co	mmunication, information management and exchange		
1b	Is Regulation-related information in your country computerized on?	Tick if app	licable
	- Annex D listed species		
	Other matters not reported on in question D4 (1) (please specify)		$\boxtimes$
	Database gathering information on Certificates issued accordingly to art. 8 p. 3 of Council Regulation (EC) No. 338/97.		
3b	Do you have an electronic information system providing information on	Yes	$\boxtimes$
	Regulation-listed species?	No	
	Management Authority of Poland runs an Internet Website devoted to CITES issues, which includes list of CITES species, information on existing CITES and related EU provisions and additional information materials and explanations.	No information	Ш
	www.mos.gov.pl/cites-ma		
	www.mos.gov.pl/cites-ma  rmitting and registration procedures	Ves	
<b>D5 Pe</b> l	www.mos.gov.pl/cites-ma  rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matters	Yes No	
	www.mos.gov.pl/cites-ma  rmitting and registration procedures		
	www.mos.gov.pl/cites-ma  rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matters	No	
9b	www.mos.gov.pl/cites-ma  rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific	No	
9b <b>08</b>	www.mos.gov.pl/cites-ma  rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In	No No information	
9b <b>08</b>	www.mos.gov.pl/cites-ma  rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific	No No information	
9b <b>08</b>	www.mos.gov.pl/cites-ma  rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).  Have any constraints to implementation of the Regulation, not reported under	No No information  Yes No	
9b  D8  2b	www.mos.gov.pl/cites-ma  rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).  Have any constraints to implementation of the Regulation, not reported under question D8(4), arisen in your country requiring attention or assistance?	No No information  Yes No No information	
9b  D8  2b	rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).  Have any constraints to implementation of the Regulation, not reported under question D8(4), arisen in your country requiring attention or assistance?  Problem of proving legal origin of captive bred specimens originating from	No No information  Yes No No information Yes	
9b  D8  2b	www.mos.gov.pl/cites-ma  rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).  Have any constraints to implementation of the Regulation, not reported under question D8(4), arisen in your country requiring attention or assistance?	No No information  Yes No No information Yes No	
9b  D8  2b	rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).  Have any constraints to implementation of the Regulation, not reported under question D8(4), arisen in your country requiring attention or assistance?  Problem of proving legal origin of captive bred specimens originating from breeding operations across the EU, in the context of documents which should be regarded as sufficient proof of legality. It is advisable to take into consideration creation and introduction of standardized example of document which would be a	No No information  Yes No No information Yes No	
9b  D8  2b	rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).  Have any constraints to implementation of the Regulation, not reported under question D8(4), arisen in your country requiring attention or assistance?  Problem of proving legal origin of captive bred specimens originating from breeding operations across the EU, in the context of documents which should be regarded as sufficient proof of legality. It is advisable to take into consideration	No No information  Yes No No information Yes No	
9b  D8  2b	rmitting and registration procedures  Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?  Areas for future work  Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).  Have any constraints to implementation of the Regulation, not reported under question D8(4), arisen in your country requiring attention or assistance?  Problem of proving legal origin of captive bred specimens originating from breeding operations across the EU, in the context of documents which should be regarded as sufficient proof of legality. It is advisable to take into consideration creation and introduction of standardized example of document which would be a sufficient and respectable proof of legal origin of captive bred specimens within	No No information  Yes No No information Yes No	

# **PORTUGAL**

# **PART 1 - CITES QUESTIONS**

# A. General information

Party	PORTUGAL
Period covered in this report: 1 January 2005 to 31 December 2006	
Details of agency preparing this report	ICNB – M.A.
Contributing agencies, organizations or individuals	Customs; Policies; M. A. and S. A.

# B. Legislative and regulatory measures

1	Has information on CITES-			eady been provided	Yes (	fully)		
	under the CITES National I	•	roject?		Yes (	partly)		$\boxtimes$
	If yes, ignore questions 2, 3	and 4.			No			
					No in	formation	/unknown	
2	If your country has planned details:	, drafted or e	enacted any	/ CITES-relevant le	gislation, p	lease prov	vide the follow	ing
	Title and date: Decree La	w 114/90,	5 April S	Status:				
	(transposition of CITES C	Convention)						
300 300	Brief description of content (Carnivores; Primates; Ci	•		or detention of Ivo	ory and so	me Live /	Animals	
3	Is enacted legislation availa	ble in one of	f the worki	ng languages of the	Yes			
	Convention?				No			$\boxtimes$
					No in	formation	1	
4	If yes, please attach a copy	of the full le	gislative te	xt or key legislative	e Legisl	ation attac	ched	
	provisions that were gazette	ed.			provid	ed previo	usly	
					not av	ailable, w	ill send later	
5	Which of the following issu your country has adopted fo				neasures th	at Tick	all applicable	
	(in accordance with Article	XIV of the	Convention	1)?				
		,	The conditi	ions for:	The	complete	prohibition of:	
	Issue	Yes	No	No information	Yes	No	No informa	tion
	Trade							
	Taking							
	Possession				$\boxtimes$			
	Transport		Ì					
	Other (specify)							
	Additional comments	Need to r	egister in	digenous CITES	listed spe	cies whi	ch should alv	ways
		be of capt	ive breed	origin.				
				ntion of different			mals (Carnive	ores,
		Primates,	Crocodyli	a, big snakes and	l venomou	ıs ones)		

	Item	Adequate	Partially Inadequate	Inade	equate	No information
	Powers of CITES authorities		<b>□</b> *			
	Clarity of legal obligations		⊠*			
	Control over CITES trade		⊠*			
	Consistency with existing policy on wildlife management and use					
	Coverage of law for all types of offences					
	Coverage of law for all types of penalties			D	<b>∃</b> *	
	Implementing regulations					
	Coherence within legislation					
	Other (please specify):					
	Please provide details if available:					
	* New legislation is been studying		10 1		***	<u> </u>
7	If no review or assessment has taken pl reporting period?	ace, is one planne	d for the next		Yes No	$\boxtimes$
	reporting period:					nformation
	Please provide details if available:				110 11	
8	Has there been any review of legislatio relation to implementation of the Conve		g subjects in		Tick all	applicable
	Subject	•••••	Yes	]	No	No information
	Access to or ownership of natural resou	ırces			$\boxtimes$	
	Harvesting					
	Transporting of live specimens				$\boxtimes$	
	Handling and housing of live specimen	S			$\boxtimes$	
	Please provide details if available:					
9	Please provide details of any additional	measures taken:				
	C. Complian	ce and enforc	ement measu	res		
				Yes	No	No information
1	Have any of the following compliance	monitoring opera	tions been under	taken?		
	Review of reports and other information producers:	on provided by tra	ders and			
	Inspections of traders, producers, mark	kets				
	Border controls					
	Other (specify)					
2	Have any administrative measures (e.g		pensions)	$\boxtimes$		
	been imposed for CITES-related viola					
3	If Yes, please indicate how many and Annex. – Fines for illegal trade and de 29/08)					
4	Have any significant seizures, confisca CITES specimens been made?	ations and forfeitu	res of	$\boxtimes$		

What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?

Tick all applicable

5	If information available:		Numb	er	
	Significant seizures/confiscations				
	☐ Total seizures/confiscations				
	If possible, please specify per group of species or attach details on annex. Annex 1				
6	Have there been any criminal prosecutions of significant CITES-related violations?				
7	If Yes, how many and for what types of violations? If available, please at	tach det	ails as Anne	ex.	
8	Have there been any other court actions of CITES-related violations?				
9	If Yes, what were the violations involved and what were the results? Please att	ach detai	ls as Annex.		
10	How were the confiscated specimens generally disposed of?		T	ick if app	olicable
	Return to country of export				
	Public zoos or botanical gardens				$\boxtimes$
	Designated rescue centres  Approved private facilities				
	<ul><li>Approved, private facilities</li><li>Euthanasia</li></ul>				
	- Other (specify)				
	Comments: Dead specimens remain in ICNB (CITES M.A.)				
11			Yes	<del></del>	
11	Has your country provided to the Secretariat detailed information significant cases of illegal trade (e.g. through an ECOMESSAGE or		No		
	other means), or information on convicted illegal traders and	L		1-1 -	
	persistent offenders?		Not applie		
			No inform	nation	Z
	Comments:				
12	Has your country been involved in cooperative enforcement activities wi	th	Yes		$\boxtimes$
	other countries (e.g. exchange of intelligence, technical support, investigative assistance,		No		
	joint operation, etc.)?	•	No informa	ation	Ш
13	If Yes, please give a brief description: Cooperation with IBAMA from E	Brazil			
14	Has your country offered any incentives to local communities to assist in		Yes		
	enforcement of CITES legislation, e.g. leading to the arrest and conviction	on of	No		$\boxtimes$
	offenders?		No informa	ation	
15	If Yes, please describe:				
16	Has there been any review or assessment of CITES-related enforcement?		Yes		П
			No		$\boxtimes$
			Not applie	cable	
			No informa		
	Comments:			- '	
17	Please provide details of any additional measures taken:				
	1 reads provide details of any additional ineasures taxon.				
D1 11/10	D. Administrative measures				
ויט IVIA	nagement Authority (MA)				
1	Have there been any changes in the designation of or contact information		Yes		
	for the MA(s) in your country which are not yet reflected in the CITES Directory?		No		
	· · · · · · · · · · · · · · · · · · ·	]	No informat	tion	
2	If Yes, please use the opportunity to provide those changes here.				

3	If there is more than one MA in your country, has a lead MA been	Yes	$\boxtimes$
	designated?	No	Ш
		No information	
4	If Yes, please name that MA and indicate whether it is identified as the lead MICNB, yes and Regional M.A. (Azores and Madeira)	IA in the CITES Dire	ctory. –
5	How many staff works in each MA? - 5+1+1 (plus 2, after November 2006	6)	
6	Can you estimate the percentage of time they spend on CITES related	Yes	$\boxtimes$
	matters?	No	
		No information	
	If yes, please give estimation. Annex 2		
7	What are the skills/expertise of staff within the MA(s)?	Tick if a	pplicable
	<ul> <li>Administration</li> </ul>		
	- Biology		$\boxtimes$
	– Economics/trade		
	– Law/policy		
	Other (specify) – Forestry Engineer		$\boxtimes$
	– No information		
8	Have the MA(s) undertaken or supported any research activities in relation to	Yes	
	CITES species or technical issues (e.g. labelling, tagging, species	No	$\boxtimes$
	identification) not covered in D2(8) and D2(9)?	No information	
9	If Yes, please give the species name and provide details of the kind of research	n involved.	
10	Please provide details of any additional measures taken		

# D2 Scientific Authority (SA)

1			he designation of ich are not yet refl			Yes No No information	n
2	If Yes, please u	se the opportunity	to provide those	changes he	ere.		
3	Has your counts Management A		cientific Authority	independe	ent from the	Yes No No information	n 🖂
4	What is the stru	icture of the SA(s)	) in your country?			Tic	k if applicable
	- Governi	ment institution					
	- Academ	nic or research inst	titution				
	– Permane	ent committee					
	- Pool of	individuals with c	ertain expertise				
	- Other (s	specify)					
5	How many staff	f work in each SA	on CITES issues	? - (1+1)			
6	Can you estima matters?	te the percentage	of time they spend	d on CITE	S related	Yes No No information	n 🗆
	If yes, please gi	ive estimation (*	1+1) = 5%				
7	What are the sk	tills/expertise of st	taff within the SA	(s)?		Tic	k if applicable
	- Botany						
	- Ecology	/					
	- Fisherie	es					
	<ul><li>Forestry</li></ul>	/					
	– Welfare	;					
	- Zoology	<b>y</b>					$\boxtimes$
	- Other (s	specify)					
	- No info	ormation					
8			een undertaken	by the SA	ı(s) in	Yes	
	relation to CIT	TES species?				No	$\boxtimes$
					1: 1 0	No information	n 📙
9		ive the species na	me and provide de		1	h involved.	0.1
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1			take			(specify)
	2					1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
	3						
	etc.						
		i		į	1	No information	on 🗌
10	Have any proj	ect proposals fo	r scientific resea	rch been s	submitted to	Yes	
		under Resolutio				No No information	on $\square$
11	Please provide	details of any add	itional measures ta	aken:			

1	To date, has your cou authorities that have be enforcement informat	een desi	ignated for t	the receipt of			Yes No No information
2	If No, please designat		*		ne, fax	and emai	1).
3	Has your country esta related enforcement (o police, public prosecu	e.g. with	in the wildl				
4	If Yes, please state wl	hich is th	ne lead agen	cy for enfo	rcemen	t:	
5	Please provide details	of any a	additional m	neasures tak	ten:		
D4	Communication, infor						
1	To what extent is CIT					iterized?	Tick if applicable
	<ul> <li>Monitoring an</li> </ul>	d reporti	ing of data o	on legal trac	de		
	<ul> <li>Monitoring an</li> </ul>	d reporti	ing of data o	on illegal tr	ade		
	<ul> <li>Permit issuance</li> </ul>	ee					$\boxtimes$
	<ul><li>Not at all</li></ul>						
	- Other (specify	) - Ordir	nary Repor	rts			
2	Do the following auth	orities h	ave access t	to the Interr	net?		Tick if applicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority						
	Scientific Authority						
	Enforcement Authority						
3	Do you have an electr species?	onic info	ormation sy	stem provid	ling inf	ormation	on CITES Yes No

No information

4	If Yes, does it provide information on:			Tick if app	licable
	<ul> <li>Legislation (national, regional or internation</li> </ul>	nal)?			$\boxtimes$
	<ul> <li>Conservation status (national, regional, interest</li> </ul>	rnational)?			$\boxtimes$
	Other (please specify)?				
5	Is it available through the Internet:		Yes	S	$\boxtimes$
	-		No		
				t applicable	
			No	information	
	Please provide URL: - www.icn.pt	. 11: .: 0		T: 1 :C 1:	1.1
6	Do the following authorities have access to the following		G : .:«	Tick if applic	
	Publication	Management Authority	Scientific Authority	Enforcem Authorit	
	2003 Checklist of CITES Species (book)	$\boxtimes$			<u></u>
	2003 Checklist of CITES Species and Annotated	   			
	Appendices (CD-ROM)				
	Identification Manual	$\boxtimes$			
	CITES Handbook	$\boxtimes$		$\boxtimes$	
7	If not, what problems have been encountered to acc	ess to the mentioned	d information?		
				m: 1 :0	
8	Have enforcement authorities reported to the Manag	gement Authority or	1:	Tick if app	licable
	– Mortality in transport?				
	Seizures and confiscations?		11		
	Discrepancy in number of items in permit and n				$\boxtimes$
	Comments: - Enforcement Authorities are the N Policies)	ational M.A.( + Cu	stoms &		
9	Is there a government website with information on	CITES and its	Yes		$\boxtimes$
	requirements?		No No ir	nformation	님
	If Vos. places give the LIDI: where ion pt		NO II	поппаноп	Ш
10	If Yes, please give the URL: - www.icn.pt  Have CITES authorities been involved in any of the	fallowing activities	n to	Tick if app	liaabla
10	bring about better accessibility to and understanding requirements to the wider public?			тек п арр	iicabic
	- <u>Press releases</u> /conferences				
	<ul> <li>Newspaper articles, radio/television appeara</li> </ul>	ances			$\boxtimes$
	<ul> <li>Brochures, leaflets (under consideration)</li> </ul>				$\boxtimes$
	<ul><li>Presentations</li></ul>				
	– Displays				$\boxtimes$
	<ul> <li>Information at border crossing points</li> </ul>				
	Telephone hotline				
	- Other (specify)				
	Please attach copies of any items as Annex.				
11	Please provide details of any additional measures ta	ıken:			

# D5 Permitting and registration procedures

1	Have any changes in permit for empowered to sign CITES pern Secretariat?					Yes  No  Not applicable
	If no, please provide details of a	anv.				No information
	Changes in permit format:	any.				
	Changes in designation or s	ionatures of relevan	nt officials			
2	To date, has your country devel				`the	Tick if applicable
2	following?				1	· · · · · · · · · · · · · · · · · · ·
				Yes	No	No information
	Permit issuance/acceptance				<u> </u>	<u>L</u>
	Registration of traders			Щ	<u> </u>	<u> </u>
	Registration of producers					
3	Please indicate how many CITE (Note that actual trade is norma documents).					
	Year 1 (2005)	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	1370	51	74	2583*	* UE Certificates; Import Notifications; Documents saying that species are Non CITES Listed
	How many applications were denied because of severe ommissions or misinformation?				19	
	Year 2 (2006)  How many documents were issued?	1173	29	141	2636*	* UE Certificates; Import Notifications; Documents saying that species are Non CITES Listed
	How many applications were denied because of severe ommissions or misinformation?				1	
4	Were any CITES documents the of severe ommissions or mis-in		cancelled	and replaced	l because	Yes
5	If Yes, please give the reasons	for this.				
6	Please give the reasons for recountries.		documen	ts from othe	er	Tick if applicable
	Re	ason		Yes	No	No information
	Technical violations					
	Suspected fraud					
	Insufficient basis for finding of	non-detriment				
	Insufficient basis for finding of					
	Other (specify)					
7	Are harvest and/or export quota issuance of permits?	as as a management	tool in the	e procedure f	or —	Yes No No information
4	Comments					

8	How many times has the Scientific Authority new request for a new specie/country co			to provid	de opi	nions?	- Every time there is a
9	Has the Management Authority charged fees related CITES activities?	s for perr	nit issua	nce, regi	stratic	on or	Tick if applicable
	<ul><li>Issuance of CITES documents:</li></ul>						$\boxtimes$
	<ul> <li>Licensing or registration of operatio</li> </ul>	ns that p	roduce C	CITES sp	ecies:		
	<ul> <li>Harvesting of CITES-listed species</li> </ul>	:					
	Use of CITES-listed species:						
	Assignment of quotas for CITES-lis	ted speci	ies:				
	- Importing of CITES-listed species:						
10	Other (specify):  If Yes, please provide the amounts of such forms.	oog In	onort Do	ormit Ex	vnort.	Dormi	<u>L</u>
	& Re-export Permit: 25€ each EU Certif	icates: 2	20€ eac	h	•	r <del>c</del> iiiii	
11	Have revenues from fees been used for the in wildlife conservation?	mplemer	ntation of	f CITES	or		Tick if applicable
	– Entirely:						
	– Partly:						
	– Not at all:						
	– Not relevant:						$\boxtimes$
12	Comments:	assua a 4 a 1s					
12	Please provide details of any additional mea	sures tak	en.				
D6	Capacity building						
1	Have any of the following activities been un CITES implementation at the national level		n to enha	ance effe	ectiven	ness of	Tick if applicable
	Increased budget for activities		Improv networ	vement o	of nati	onal	
	Hiring of more staff	$\boxtimes$		ase of tec oring/enf			oment for
	Development of implementation tools		Comp	uterisatio	on		
	- Other (specify)						
2	Have the CITES authorities in your country activities provided by external sources? No		e recipie	ent of any	of th	e follo	wing capacity building
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Target group			— —		0	
	Staff of Management Authority	Ш		Ш	Ш	Ш	
	Staff of Scientific Authority					П	
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other (specify)						

3	Have the CITES authorities in your country activities?	y been th	e provide	ers of an	y of th	ne follo	wing capacity building
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders		$\boxtimes$				
	NGOs		$\boxtimes$		$\boxtimes$		
	Public						
	Other parties/International meetings						
	Other (specify) – DGV(Veterinary)+DGF(Forestry)						
4	Please provide details of any additional me	asures ta	ken:				
D7 Col	llaboration/co-operative initiatives						
1	Is there an inter-agency or inter-sectorial co	ommittee	on CIT	ES?			Yes
						:	No 🖂
2	If Yes, which agencies are represented and					:	No 🖂
2 3		how ofte	en does i	t meet?		the MA	No Solution Solution
	If Yes, which agencies are represented and If No, please indicated the frequency of me among CITES authorities (e.g. other MAs,	how ofte	en does i	t meet?	thers)	the MA	No information   A to ensure co-ordination  Other (specify)
	If Yes, which agencies are represented and If No, please indicated the frequency of me among CITES authorities (e.g. other MAs,	how often	en does i	t meet? ancies us police, o	thers)	the MA	No information   A to ensure co-ordination  Other (specify)
	If Yes, which agencies are represented and If No, please indicated the frequency of me among CITES authorities (e.g. other MAs,  Daily Weekly Mon	how often	en does i	t meet? ancies us police, o	thers)	the MA	No information   A to ensure co-ordination  Other (specify)
	If Yes, which agencies are represented and If No, please indicated the frequency of me among CITES authorities (e.g. other MAs,  Daily Weekly Mon  Meetings	how often	en does i	t meet? ancies us police, c	in	the MA	No Information Other (specify)  As needed  As needed
3	If Yes, which agencies are represented and  If No, please indicated the frequency of me among CITES authorities (e.g. other MAs,  Daily Weekly Mon  Meetings	how often	en does i	t meet? ancies us police, c	in	the MA	No Information Other (specify)  As needed  As needed
3	If Yes, which agencies are represented and  If No, please indicated the frequency of me among CITES authorities (e.g. other MAs,  Daily Weekly Mon  Meetings	how often	en does i	t meet? ancies us police, c	in in in in in in in in in in in in in i	the MA	No Information Other (specify)  As needed  As needed
3	If Yes, which agencies are represented and If No, please indicated the frequency of me among CITES authorities (e.g. other MAs,  Daily Weekly Mon Meetings	how often	en does i	t meet? ancies us police, c	in in in	the MA	No Information Other (specify)  As needed  As needed
3	If Yes, which agencies are represented and  If No, please indicated the frequency of me among CITES authorities (e.g. other MAs,  Daily Weekly Mon  Meetings	how often	en does i	t meet? ancies us police, c	in in in in in in in in in in in in in i	the MA	No Information Other (specify)  As needed  As needed
3	If Yes, which agencies are represented and If No, please indicated the frequency of me among CITES authorities (e.g. other MAs,    Daily   Weekly   Monorm	how often	en does i	t meet? ancies us police, c	in in in in in in in in in in in in in i	the MA	No Information Other (specify)  As needed  As needed
3	If Yes, which agencies are represented and If No, please indicated the frequency of me among CITES authorities (e.g. other MAs,    Daily   Weekly   More	how often	en does i	t meet? ancies us police, c	in in in in in in in in in in in in in i	the MA	No Information Other (specify)  As needed  As needed

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if app	olicable
	SA		
	Customs		
	Police		$\boxtimes$
	Other border authorities (specify)		
	Other government agencies		$\boxtimes$
	Private sector bodies		$\boxtimes$
	NGOs		
	Other (specify) Informal MoU with all the previous		
6	Has your country participated in any regional activities related to CITES?	Tick if app	olicable
	Workshops		
	Meetings		$\boxtimes$
	Other (specify)		
7	Has your country encouraged any non-Party to Yes		$\boxtimes$
	accede to the Convention?		
	No information		Ш
8	If Yes, which one(s) and in what way? – ANGOLA & EAST-TIMOR		
9	Has your country provided technical or financial assistance to	Yes	
	another country in relation to CITES?	No No information	$\square$
10	16X 1:1 ( ) 1 1 (1: 1 6 : ( ) 1 10	No information	
10	If Yes, which country(ies) and what kind of assistance was provided?	<b>3</b> 7	
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No	$\boxtimes$
		No information	
12	If Yes, please give a brief description.		<u> </u>
13	Has your country taken measures to achieve co-ordination and reduce	Yes	$\square$
	duplication of activities between the national authorities for CITES and other	No	
	multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	No information	
14	If Yes, please give a brief description. – Close relationship with CBD, CMS, Directives	IWC, Birds and Ha	bitat
15	Please provide details of any additional measures taken:		

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?					
	Activity	High	Medium	Low		
	Increased budget for activities					
	Hiring of more staff		$\boxtimes$			
	Development of implementation tools					
	Improvement of national networks	$\square$				
	Purchase of new technical equipment for monitoring and enforcement		$\boxtimes$			
	Computerisation					
	Other (specify)					
2	Has your country encountered any difficulties in implementing specific	Yes		$\boxtimes$		
	Resolutions or Decisions adopted by the Conference of the Parties?	No				
		No info	rmation			
3	If Yes, which one(s) and what is the main difficulty? Financial difficulties; Hum	an reso	urces			
4	Have any constraints to implementation of the Convention arisen in your country	Yes				
	requiring attention or assistance?	No		$\boxtimes$		
			formation			
5	If Yes, please describe the constraint and the type of attention or assistance that is	required				
6	Has your country identified any measures, procedures or mechanisms within the	Yes				
	Convention that would benefit from review and/or simplification?	No		$\boxtimes$		
		No inf	Cormation			
7	If Yes, please give a brief description.					
8	Please provide details of any additional measures taken:					

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
С3	Details of violations and administrative measures imposed	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
С9	Details of violations and results of court actions	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
	Details of nationally produced brochures or leaflets on CITES produced for	Enclosed	
	educational or public awareness purposes,	Not available	
		Not relevant	
	Comments		

# PART 2 - SUPPLEMENTARY QUESTIONS

# B. Legislative and regulatory measures

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:								
	Title and date: Portaria								
	Brief description of conte	ents:		· · · · · · · · · · · · · · · · · · ·					
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>15</sup> ?  Tick all applicable categories below that these categories apply to.								
	The conditions for:  The complete prohibition of:								
	Issue	Yes	No	No information	Yes	No	No information		
	Trade								
	Taking								
	Possession								
	Transport								
	Other (specify)								
	Additional comments		nly capti	species are no ve breed one an					
8b	Has there been any review relation to implementation			ollowing subjects in	l				
					Yes	No	No information		
	Introduction of live Regu Community that would th (in accordance with Artic	reaten the inc	digenous f			<b>*</b>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii))								
	Please provide details if available, only in 1999 (Decree-Law 565/99, 21 December)*								
9b	Please provide details of other additional measures (9).								

296

<sup>&</sup>lt;sup>15</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

# C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No	
		No information	
16b	Has there been any review or assessment of Regulation-related	Yes	
	enforcement, in addition to that reported under C (16) above?	No	$\boxtimes$
		No information	
	Comments:		
17	Have specimens been marked to establish whether they were born and bred	Yes	$\boxtimes$
	in captivity or artificially propagated? (In accordance with Commission	No	
	Regulation (EC) No 1808/2001 Article 36),	No information	
	Comments: Annex A specimens only (Microchip or close ring for birds)		
18	Have any monitoring activities been undertaken to ensure that the intended	Yes	$\boxtimes$
	accommodation for a live specimen at the place of destination is adequately	No	
	equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c))	No information	
	Comments: Always when occurs a request to detains Annex A species		
19	Have any monitoring activities been undertaken to ensure that live animals	Yes	
	are transported in accordance with Article 9 paragraph 5?	No	
		No information	
	Comments: Not for M.A. but possible to Veterinary bodies		
20	Have any captive breeding facilities for Regulation-listed species been	Yes	
	registered?	No	$\boxtimes$
		No information	
	If yes, please provide details: Our internal legislation didn't allow the dete species, however we are registering collections.	ntion of CITES listed	

#### D. Administrative measures

#### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	
10	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2),	Yes No No information	
11	If yes, what were the outcomes?		

D2	Scientific Author	rity (SA)					
8b		Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?					n $\square$
9b	If Yes, please g	ive the species na	me and provide de	etails of th	e kind of resear	ch involved.	
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
						No information	on $\square$
11	How many Sc	ientific Review (	Group meetings	have the	SA attended?	Number: 5	
D4	Communication	information may	agonument and one	hanas		No Under considera No information	ation 🖂
		•	nagement and exc				
1b	-		in your country c	omputeriz	ed on?	Tic	k if applicable
	– Annex Γ	D listed species					
	– Other m	atters not reported	d on in question D	4 (1) (ple	ase specify)		
3b	Do you have an Regulation-liste		nation system prov	riding info	rmation on	Yes No No informa	ation
D5	Permitting and re	egistration proced	dures				
9b	Has the Manage not covered in q		harged fees for an	y Regulat	ion-related matt	ters Yes No	

No information

#### D8 Areas for future work

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6))	Yes No No information	
4b	Have any constraints to implementation of the Regulation, not reported under question D8(4), arisen in your country requiring attention or assistance?	Yes No No information	
7	Can you indicate the percentage of permits/certificates issued that are return to the MA after endorsement by customs?	Number : ±70%	

# SLOVAKIA

# **Part 1 - CITES Questions**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

# A. General information

Party	Slovak Republic
Period covered in this report:	1 January 2005 to 31 December 2006
Details of agency preparing this report	Ministry of the Environment of the Slovak Republic, CITES Management Authority
Contributing agencies, organizations or individuals	CITES Scientific Authority, Slovak Environmental Inspection, Presidium of the Police Force, Customs Directorate of the Slovak Republic

# B. Legislative and regulatory measures

1	under the CITES National I	-				Yes (fully) Yes (partly)			
	If yes, ignore questions 2, 3	and 4.			No No information/unknown				
2	If your country has planned details:	d, drafted or enacted any CITES-relevant legislation, please provide the following							
	Title and date:		Status:						
	Brief description of content	s:							
3	_	ble in one o	le in one of the working languages of the Yes						
	Convention?	No							
					- 10	formation		<u> </u>	
4	If yes, please attach a copy provisions that were gazette		egislative te	ext or key legislative		tion attac		H	
	provisions that were gazette	u.				ed previo	ill send later	H	
5	Which of the following issu your country has adopted fo (in accordance with Article	r CITES-lis	ted species				all applicable		
		,	The condit	ions for:	The	complete	prohibition of:		
	Issue	Yes	No	No information	Yes	No	No informati	tion	
	Trade								
	Taking				$\boxtimes$				
	Possession				$\boxtimes$				
	Transport								
	Other (specify)								
	Additional comments	Complete		of possession of ration of significant of the formes and taking in				s and	

6					
Į	CITES legislation, with regard to the	e following item	s?		
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities				
	Clarity of legal obligations				
	Control over CITES trade				
	Consistency with existing policy on wildlife management and use				
	Coverage of law for all types of offences				
	Coverage of law for all types of penalties				
	Implementing regulations				
	Coherence within legislation				
	Other (please specify):				
	Please provide details if available:				
	No review or assessment has taken place	e			
7	If no review or assessment has taken pl	ace, is one planne	d for the next	Yes	S
	reporting period?			No	
	DI 1 1 2 2 2 2 2 1 1			No	information
0	Please provide details if available:	41 C-11:	1. 1 4 . 1	TP: 1 1	1 1: 1:1
8	Has there been any review of legislation relation to implementation of the Convolution		subjects in	lick al	l applicable
Į	Subject		Yes	No	No information
	Access to or ownership of natural resou	irces			
	Harvesting			$\square$	
	Transporting of live specimens			$\square$	
	Handling and housing of live specimen	S		$\boxtimes$	
	Please provide details if available:				
9	Please provide details of any additional	measures taken:			
	C. Complian	ce and enforc	ement measur	es	
				Yes No	No information

		Yes	No	No information
1	Have any of the following compliance monitoring operations been u	ndertaken?		
	Review of reports and other information provided by traders and producers:			
	Inspections of traders, producers, markets	$\boxtimes$		
	Border controls			
	Other (specify)			
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?			

3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.  Types of violations (imposed fine):  a) violations of export – import provisions: 0 (imposed only seizure/confiscation, not fine)  b) other (according to domestic law) violations: 50								
	Types of violations (completely): a) violations of provisions export – import: 14 b) other (according to domestic law) violations: 60								
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?								
5	If information available:  Significant seizures/confiscations  Total seizures/confiscations  If possible, please specify per group of species or attach details on annex. See Annex 1.		Numb 2/0 [ 13/15						
6	Have there been any criminal prosecutions of significant CITES-related violations?  2 cases (significant seizures) are still investigated by police; one will be soon forwarded to the prosecutor. MA and police have not information about other cases.								
7	If Yes, how many and for what types of violations? If available, please	attach de	tails as Anno	ex.					
8	Have there been any other court actions of CITES-related violations?			$\boxtimes$					
9	If Yes, what were the violations involved and what were the results? Please at	ttach deta	ils as Annex.						
10	How were the confiscated specimens generally disposed of?		T	ick if appl	icable				
	Return to country of export								
	Public zoos or botanical gardens								
	Designated rescue centres				$\boxtimes$				
	<ul> <li>Approved, private facilities</li> </ul>								
	– Euthanasia								
	- Other (specify)				Ш				
	Comments:								
11	Has your country provided to the Secretariat detailed information significant cases of illegal trade (e.g. through an ECOMESSAGE of the Secretariat detailed information significant cases of illegal trade (e.g. through an ECOMESSAGE of the Secretariat detailed information significant cases of illegal trade (e.g. through an ECOMESSAGE of the Secretariat detailed information significant cases of illegal trade (e.g. through an ECOMESSAGE of the Secretariat detailed information significant cases of illegal trade (e.g. through an ECOMESSAGE of the Secretariat detailed information significant cases of illegal trade (e.g. through an ECOMESSAGE of the Secretariat detailed information significant cases of illegal trade (e.g. through an ECOMESSAGE of the Secretariat detailed information significant cases of illegal trade (e.g. through an ECOMESSAGE of the Secretariat detailed information significant cases of the Secretariat detailed informat		Yes No						
	other means), or information on convicted illegal traders and	<i>J</i> 1	Not applie	cable					
	persistent offenders?		No inform						
	Comments: According to the domestic legislation personal data, which protected.	ECOME							
12	Has your country been involved in cooperative enforcement activities w	ith	Yes	-	$\boxtimes$				
	other countries		No						
	(e.g. exchange of intelligence, technical support, investigative assistance joint operation, etc.)?		No informa						
13	If Yes, please give a brief description: Cooperation with Hungary (exch Cooperation with Czech Republic (exchange of intelligence and knowledge)	-	- /						
14	Has your country offered any incentives to local communities to assist i		Yes						
	enforcement of CITES legislation, e.g. leading to the arrest and convicti	ion of	No		$\boxtimes$				
	offenders?		No informa	ation					

15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes No Not applicable No information	
	Comments: A part of the Annual Report of the Slovak Environmental Inspec	ction.	
17	Please provide details of any additional measures taken:		
D1 Ma	D. Administrative measures		
1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated? Only 1 MA	Yes No No information	
4	If Yes, please name that MA and indicate whether it is identified as the lead	MA in the CITES Direct	tory.
5	How many staff work in each MA?	4 persons in 1 MA	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation 90%	Yes No No information	
7	What are the skills/expertise of staff within the MA(s)?	Tick if app	plicable
	<ul> <li>Administration</li> <li>Biology</li> <li>Economics/trade</li> </ul>	3 persons	
	<ul><li>Law/policy</li><li>Other (specify)</li><li>No information</li></ul>	1 person	
8	Have the MA(s) undertaken or supported any research activities in relation t CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	o Yes No No information	
9	If Yes, please give the species name and provide details of the kind of resear	rch involved.	
10	Please provide details of any additional measures taken		
)2	Scientific Authority (SA)		
1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	

#### 305

If Yes, please use the opportunity to provide those changes here.

Has your country designated a Scientific Authority independent from the Management Authority?

No information

Yes No

2

3

4	What is the structure of the SA(s) in your country?  Tick if							
	- Governi	ment institution					$\boxtimes$	
	<ul><li>Academ</li></ul>	ic or research ins	titution					
	- Permane	ent committee						
	<ul><li>Pool of</li></ul>	individuals with c	ertain expertise					
	- Other (s	pecify)						
5	How many staff	ersons in 1 SA						
6	•	te the percentage	Yes	$\boxtimes$				
	matters?					No		
	If yes, please gi	ve estimation		No informatio	n 📙			
7			100% taff within the SA	(s)?		Tic	k if applicable	
,	- Botany	mis/expertise of st	uni within the 57 to	(3):		1 person		
	<ul><li>Ecology</li></ul>	,				1 person		
	<ul><li>Fisherie</li></ul>							
	<ul><li>Forestry</li></ul>							
	<ul><li>Welfare</li></ul>							
	<ul><li>Zoology</li></ul>					2 persons	$\square$	
-	- Other (s					<b>2</b> persons		
		ormation						
8	Have any rese	arch activities b	een undertaken	by the SA	(s) in	Yes		
	relation to CIT			,		No	$\boxtimes$	
						No informatio	n 🗌	
9		ive the species na	me and provide de	-	-	ch involved.		
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	1							
	2							
	3							
	etc.						<u></u>	
						No information	on 🗌	
10			r scientific resea	rch been s	submitted to	Yes		
	the Secretariat	under Resolution	on Conf. 12.2?			No		
11	Please provide	details of any add	itional measures to	aken:		No information	OII 📙	
	F	J						

	1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No No information
Γ	2	If No, please designate them here (with address, phone, fax and email).	

Has your country established a specialized unit responsible for CITESrelated enforcement (e.g. within the wildlife department, Customs, the
police, public prosecutor's office)?

Under consideration
No information

4 If Yes, please state which is the lead agency for enforcement: The lead agency is not established, competency of each authority is given by national legislation.

5 Please provide details of any additional measures taken:

D3

**Enforcement Authorities** 

#### D4 Communication, information management and exchange

1	To what extent is CIT	ES infor	mation in y	our country	compu	terized?		Tick if appl	icable	
	<ul> <li>Monitoring an</li> </ul>	d reporti	ng of data o	on legal trac	le				$\boxtimes$	
	<ul> <li>Monitoring an</li> </ul>	d reporti	ng of data o	on illegal tra	ade					
	<ul> <li>Permit issuance</li> </ul>	ee							$\boxtimes$	
	– Not at all									
	- Other (specify	)								
2	Do the following authorities have access to the Internet?							Tick if appl	icable	
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please	provide details wher appropriate	re	
	Management Authority									
	Scientific Authority									
	Enforcement Authority									
3	Do you have an electrospecies?	onic info	ormation sys	stem provid	ing info	ormation	on CITES	Yes No No information		

4	If Yes, does it provide information on:		Tick if applicable		
	Legislation (national, regional or internation)				
	Conservation status (national, regional, integration)			$\boxtimes$	
	- Other (please specify)?	,			$\boxtimes$
	Alphabetical list of species covered by CITI	ES (and EC Regulation	ons).		
5	Is it available through the Internet:		Ye	S	$\boxtimes$
			No		
				t applicable information	
	Please provide URL: www.cites.sk		NO	mormation	
6	Do the following authorities have access to the following	Tick if applic	abla		
U		Scientific	Enforcem		
	Publication	Management Authority	Authority	Authorit	
	2005 Checklist of CITES Species (book)	$\boxtimes$			
	2005 Checklist of CITES Species and Annotated Appendices (CD-ROM)		$\boxtimes$		
	Identification Manual	$\boxtimes$	$\boxtimes$	$\boxtimes$	
	CITES Handbook	$\boxtimes$	$\boxtimes$		
7	If not, what problems have been encountered to acc Enforcement authorities have alphabetical list of Cl mentioned materials (Checklists).			es) instead of 2	first
8	Have enforcement authorities reported to the Mana	gement Authority on	:	Tick if app	licable
	– Mortality in transport?				$\boxtimes$
	Seizures and confiscations? (reported by cu district offices)	stoms offices, inspec	tion and		$\boxtimes$
	Discrepancy in number of items in permit and n	umber of items actua	ally traded?		$\boxtimes$
	Comments:				
9	Is there a government website with information on	CITES and its	Yes		$\boxtimes$
	requirements?		No	0	
			No ii	nformation	Ш
10	If Yes, please give the URL: www.enviro.gov.sk	C 11	4	T: 1 :C	ı: 11
10	Have CITES authorities been involved in any of the bring about better accessibility to and understanding requirements to the wider public?			Tick if app	iicabie
	- Press releases/conferences				
	Newspaper articles, radio/television appeara	ances			$\boxtimes$
	- Brochures, leaflets				$\boxtimes$
	<ul><li>Presentations</li></ul>				
	– Displays				
	<ul> <li>Information at border crossing points</li> </ul>				
	- Telephone hotline				
	Other (specify) web sites: <u>www.cites.sk</u> <u>ww</u>	ww.enviro.gov.sk,			
	Please attach copies of any items as Annex.				
11	Please provide details of any additional measures ta	nken:			-

#### D5 Permitting and registration procedures

1	Have any changes in permit for empowered to sign CITES pern Secretariat?		Yes			
	If no, please provide details of a	any:				
	Changes in permit format:	_				
	Changes in designation or s					
2	To date, has your country devel following?	the	Tick if applicable			
				Yes	No	No information
	Permit issuance/acceptance				$\square$	
	Registration of traders					
	Registration of producers					
3	Please indicate how many CITH	ES documents were	issued or	denied in the	two year	period?
	(Note that actual trade is norma documents).	es. This qu	uestion refers to issued			
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	31	32	0	0	
	How many applications were denied because of severe omissions or misinformation?	0	0	0	0	- tree applications were rejected (regarding issuing import permits retrospectively for dead specimens of Ursus arctos)  - one application was rejected on the basis of negative opinion of Scientific Authority of Slovakia (regarding issuing export permit for 1 live specimen of Aquila chrysaetos, the application has not fulfilled the conditions set down by the CITES Regulation and Slovak domestic legislation)
	Year 2 How many documents were issued?	40	14	5	0	
	How many applications were denied because of severe omissions or misinformation?	0	0	0	0	
4	Were any CITES documents the of severe omissions or mis-info		cancelled	and replaced	because	Yes
5	If Yes, please give the reasons	for this.				110 miormation

	6	Please give the reasons for rejection of C	Tic	k if applicab	le					
		countries.			<b></b>	N	NI . C			
		Reason			Yes	No	No informa	tion		
		Technical violations								
		Suspected fraud								
		Insufficient basis for finding of non-detrimer								
		Insufficient basis for finding of legal acquisit	tion				<u>L</u>			
		Other (specify)					<u> </u>			
	7	Are harvest and/or export quotas as a manag	ement to	ool in the proc	edure for	Yes	}			
		issuance of permits?				No		$\bowtie$		
						No	information	Ш		
		Comments: Export quotas of exporting coun	tries are	a managemen	nt tool in					
	0	procedure of issuance of import permits.	1	, 1,	., .	. 0				
	8	How many times has the Scientific Authority	been r	equested to pr	ovide opii	nions?				
	0	Each time (more than 100 times).	C	:4:	:.44:		T: -1- :6	1:1-1 -		
	9	Has the Management Authority charged fees related CITES activities?	ior per	mit issuance, i	registratio	n or	Tick if ap	opiicable		
		Issuance of CITES documents:						$\square$		
		<ul> <li>Licensing or registration of operation</li> </ul>	na that r	roduce CITE	C anagiga:					
			_	noduce CITE	s species.					
		- Harvesting of CITES-listed species :								
		- Use of CITES-listed species:								
		- Assignment of quotas for CITES-list	ted spec	ies:						
		- Importing of CITES-listed species:								
		Other (specify): trade in specimens of		es listed in Ap	pendix I			$\boxtimes$		
	10	If Yes, please provide the amounts of such fe	ees.							
					01 1.	. =0				
		The fee for <b>application</b> for CITES permit								
		€ for entrepreneur and legal entity and 5								
		that the fee must be paied also in the case not issued. Museums of natural sciences								
		zoological gardens.	need no	n pay any ie	es as wei	1 45				
	11	Have revenues from fees been used for the ir	nnleme	ntation of CIT	FS or		Tick if a	nlicable		
	11	wildlife conservation?	присти	ntation of C11	LS OI		TICK II a	ppiicable		
		– Entirely:								
		Partly:								
		Not at all:								
		Not at an:  Not relevant:						H		
		Comments: Fees are income of State budget.						Ш		
	12			ron:		-				
ı	12	Please provide details of any additional meas	sures tar	Ken.						
1	D <b>6</b>	Capacity building								
	1	Have any of the following activities been un	ndertake	n to enhance	effectiven	ess of	Tick if ap	plicable		
		CITES implementation at the national level					· · · · · · · · · · · · · · · · · · ·	r		
		Improved by deat for activities		T	nt of notice	1				
		Increased budget for activities	Ш	Improveme networks	ent of natio	onai				
		11		i	C. 1			_		
		Hiring of more staff	Ш			equipment f	or			
			_	monitoring		CIII				
		Development of implementation tools	Ш	Computeris	sation					
		- Other (specify)								

2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?									
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?			
	Staff of Management Authority		П	П		П				
	Staff of Scientific Authority				lп					
	Staff of enforcement authorities									
	Traders									
	NGOs									
	Public									
	Other (specify)									
3	Have the CITES authorities in your country activities?	been th	e <i>provid</i>	ers of an	y of th	ne foll	owing capacity building			
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details			
	Staff of Management Authority									
	Staff of Scientific Authority									
	Staff of enforcement authorities									
	Traders									
	NGOs									
	Public									
	Other parties/International meetings									
	Other (specify) district offices									
4	Please provide details of any additional me	asures ta	ken							
D7 Co	llaboration/co-operative initiatives									
1	Is there an inter-agency or inter-sectoral co	mmittee	on CITE	ES?			Yes 🖂			
							No $\square$			
	TOXY 1:1	1 0	1 .				No information			
2	If Yes, which agencies are represented and				?)					
	Presidium of the Police Force, CITES Management Authority (MoE), Slovak Environmental Inspection, Customs Directorate of the Slovak Republic, General Public Prosecutor's Office, Veterinary Authority, Slovak Information Service, Tax Directorate; average 3 x per year									

3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):									
		Daily	Weekly	Monthly	Annually	None	No information	Other (spec	ify)	
	Meetings							SA - in case	of need	
	Consultations	SA 🖂								
4	At the national le collaborate with:		there been	any efforts	s to	Tick i	f applicable	Details if ava	ailable	
	Agencies for dev	elopment	and trade							
	Provincial, state	or territor	rial authori	ties			$\boxtimes$			
	Local authorities	or comm	unities			ļ				
	Indigenous peopl	les							· · · · · · · · · · · · · · · · · · ·	
	Trade or other pr	ivate sect	or associa	tions			$\boxtimes$			
	NGOs									
	Other (specify)									
5	To date, have any formal arrangement to CITES been as agencies?	ents for ir	nstitutional	l cooperation	on related			Tick if ap	plicable	
	SA									
	Customs									
	Police					· · · · · · · · · · · · · · · · · · ·				
	Other border auth									
	Other governmer	nt agencie	es							
	Private sector boo	dies								
	NGOs									
	Other (specify)									
6	Has your country related to CITES		ated in any	regional a	ctivities			Tick if ap	plicable	
	Workshops								$\boxtimes$	
	Meetings								$\boxtimes$	
	Other (specify)									
7	Has your count accede to the Co			y non-Par	ty to	Yes				
	accede to the Co	Uliveino	A1:			No No info	ormation			
8	If Yes, which one	e(s) and i	n what wa	v?			311141101			
9	Has your count				ancial assis	stance to	another	Yes		
	country in relat							No		
	<u> </u>							No information		
10	If Yes, which cou	• • • •								
11	Has your country Manual?	/ provided	l any data	for inclusion	on in the CIT	fES Ident		Yes		
	Ivianuai:							No No information		
12	If Yes, please giv	ve a brief	description	n Photo fo	r Green Parr	not.		TTO IIIIOIIIIMIOI		
13	Has your country						e	Yes		

	duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	No No information	
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?							
	Activity	High	Medium	Low				
	Increased budget for activities	$\boxtimes$						
	Hiring of more staff							
	Development of implementation tools							
	Improvement of national networks		$\boxtimes$					
	Purchase of new technical equipment for monitoring and enforcement							
	Computerisation							
	Other (specify)							
2	Has your country encountered any difficulties in implementing specific	Yes						
	Resolutions or Decisions adopted by the Conference of the Parties?	No		$\boxtimes$				
		No info	rmation					
3	If Yes, which one(s) and what is the main difficulty?							
4	Have any constraints to implementation of the Convention arisen in your country	Yes						
	requiring attention or assistance?	No		$\boxtimes$				
		No information						
5	If Yes, please describe the constraint and the type of attention or assistance that is	required						
6	Has your country identified any measures, procedures or mechanisms within the	Yes						
	Convention that would benefit from review and/or simplification?	No		$\boxtimes$				
		No inf	ormation					
7	If Yes, please give a brief description.							
8	Please provide details of any additional measures taken:			· <u> </u>				

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed Not available	
C3	Details of violations and administrative measures imposed	Not relevant  Enclosed  Not available  Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed Not available Not relevant	
C7	Details of violations and results of prosecutions	Enclosed Not available Not relevant	
C9	Details of violations and results of court actions	Enclosed Not available Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes, (1 brochure	Enclosed Not available Not relevant	

# ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

2b If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other reported under question B (2), please provide the following details:							tion, other than that		
	Title and date: Status:								
	Brief description of contents:								
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>16</sup> ?  Tick all applicable categories below that these categories apply to.								
			The condi	tions for:		The complete prohibition of:			
	Issue	Issue Yes No No informat		No information	n Y	es No	No information		
	Trade								
	Taking								
	Possession								
	Transport								
	Other (specify)								
	Additional comments Complete prohibition of possession of subspecies of Trachemys scripta elegans.								
8b	Has there been any review of legislation on the following subjects in relation to implementation of the Regulation?  Yes No No information								
	Community that would th	ntroduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora in accordance with Article 3, paragraph 2 (d)).  Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii))				$\boxtimes$			
						$\boxtimes$			
	Please provide details if available,								
9b	Please provide details of maximum penalties that may be imposed for Regulation-related violations, or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).  Penalties: Up to 1 000 000 SKK (app. 30 000 Euro) – for legal persons (companies, businessmen)								
		\ A.		iro) - for persons					
	Fine can be doubled in ca			n (the same kind	of violatic	on).			
	The specimen can be sized and confiscated.								
	Imprisonment - maximum 8 years.								

<sup>&</sup>lt;sup>16</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

# C. Compliance and enforcement measures

	•		
2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?  See Annex 2.	Yes No	
	See Annex 2.	No information	
16b	Has there been any review or assessment of Regulation-related	Yes	
	enforcement, in addition to that reported under C (16) above?	No	$\boxtimes$
		No information	
	Comments:		
17	Have specimens been marked to establish whether they were born and bred	Yes	
new	in captivity or artificially propagated? (In accordance with Commission	No	
	Regulation (EC) No 1808/2001 Article 36)	No information	
	Comments:		
18	Have any monitoring activities been undertaken to ensure that the intended	Yes	
new	accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article	No	$\boxtimes$
	4 paragraph 1 (c))	No information	Ш
	Comments: SA checks the accommodation case-by-case.		
19	Have any monitoring activities been undertaken to ensure that live animals	Yes	
new	are transported in accordance with Article 9 paragraph 5?	No	
		No information	$\boxtimes$
	Comments:		
20	Have any captive breeding facilities for Regulation-listed species been	Yes	
new	registered?	No	$\boxtimes$
		No information	
	If yes, please provide details:		
	D. Administrative measures		

#### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	
10 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2) not relevant	Yes No No information	
11	If yes, what were the outcomes?		
new			

8b	Have any rese	arch activities be	een undertaken l	by the SA	(s) in	Yes		_
	relation to non	CITES listed sp	ecies?	,		No		$\boxtimes$
						No information	ı	
9b	If Yes, please give the species name and provide details of the kind of research involved.							
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	1							
	2							
	3							
	etc.							
						No informatio	n L	
11 new	How many Sci	ientific Review (	Group meetings	have the	SA attended?	Number 8	L	
new								
D4	Communication,	information man	agement and exc	hange				
1b	Is Regulation-related information in your country computerized on?						if applicab	le
	Annex D listed species							X
	– Other ma			$\overline{X}$				
		egistration of bree	ders, species and			y —	-	
3b	Do you have ele	Yes		$\times$				
	Regulation-liste	No						
		No information		$\exists$				
D5	Permitting and re	egistration proced	lures					
9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?					ers Yes		Ī
						No	_	$\boxtimes$
						No informa	ation _	╛
D8	Areas for futur	re work						
2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).					Yes		Ī
						No		$\times$
						No informa	_	╝
4b	Have any constraints to implementation of the Regulation, not reported under				Yes		$\boxtimes$	
	Lauestion DX (4) arisen in vour country requiring attention or assistance?						$\neg$	

No information

Control of legal origin of Annex A species is problematic in cases that they are

Control of trade in Annex B species within EU is problematic. These specimens are in many MS not marked and they are without any documents, which can

presented or lent inside EU (they need not certificate - exemption from

commercial prohibition).

prove their legal origin and source.

# SLOVENIA

# **Part 1 - CITES Questions**

Note: Part 1 is composed exclusively of the questions included in format, approved at the 13 <sup>th</sup> meeting of the Conference of the Part	ties to CITES, October 2004
* Document as discussed and agreed at COM 34 held on 14 Jun	ne 2005.

#### A. General information

Party	SLOVENIA
Period covered in this report:	1 January 2005 to 31 December 2006
Details of agency preparing this report	Ministry of the Environment and Spatial Planning Dunajska 48, SI-1000 Ljubljana
Contributing agencies, organizations or individuals	Environmental Agency of the Republic of Slovenia Vojkova 1b, SI-1000 Ljubljana Institute of the Republic of Slovenia for Nature Conservation Dunajska 22, SI-1000 Ljubljana
	Customs Administration of the Republic of Slovenia General Customs Directorate Šmartinska 55, SI-1000 Ljubljana

# B. Legislative and regulatory measures

1	Has information on CITES-relevant le	gislation already be	en provided	Yes (fully)		$\boxtimes$
	under the CITES National Legislation	Project?		Yes (partly)		
	If yes, ignore questions 2, 3 and 4.			No		
				No information	n/unknown	
2	If your country has planned, drafted o details:	enacted any CITES	S-relevant le	gislation, please pro	vide the follow	ing
	Title and date:	Status:				
	Ordinance on breeding of species animal species for which a perm required				ette of the	
	Title and date:	Status:				
	Decree on the course of conduct and period measures in the trade in animal aspecies			adopted by the Gove	ernment by 30	
	Brief description of contents:					
	The new <i>Decree on the course of con</i> will replace the existing regulation fro Commission Regulation (EC) No. 865 etc.). The current Decree lays down th animal and plant species protected ur species, the conditions for breeding specimens of wild plant species, disscientists and scientific institutions, existing solutions will be improved.	m 2004 with certain /2006 (e.g. registrate course of conduct der the regulations specimens of will posal of seized an	n updates in tion of cavia and protection governing to d animal specification	accordance with the ar producers, travell on measures in the t the protection of w pecies and for arti- ed specimens, regis	e requirements ing exhibitions rade in specime ild animal and afficially propagates stration of bre	of the s, pets ens of plan gating
3	Is enacted legislation available in one Convention?	of the working lang	uages of the	Yes No No information	1	
4	If yes, please attach a copy of the full provisions that were gazetted.	legislative text or ke	ey legislative		ched ously	
5	Which of the following issues are add your country has adopted for CITES-I (in accordance with Article XIV of the	isted species	er domestic n	neasures that Tick	all applicable	
		The conditions for	:	The complete	prohibition of:	

	Issue	Yes	No	No infor	mation	Yes	No	No information	on
	Trade	$\boxtimes$							
	Taking	$\square$		F	1	$\boxtimes$		$\overline{\Box}$	
	Possession	$\boxtimes$		<u> </u>	1			H	
				<u> </u>					
	Transport	$\boxtimes$					Ш	Ш	
	Other (specify)								
	Additional comments	A	summary o	f stricter de	omestic n	neasures is	provided	in Annex I	
6	What were the results of any legislation, with regard to the			of the effe	ctiveness	of CITES	Tic	k all applicable	i
	Item		Adequa	nte l	Partially Inadequat		dequate	No information	on
	Powers of CITES authorities		$\boxtimes$						
	Clarity of legal obligations								
	Control over CITES trade								
	Consistency with existing powildlife management and use							$\boxtimes$	
	Coverage of law for all types offences	···							
	Coverage of law for all types penalties	of							
	Implementing regulations						$\neg$		
	Coherence within legislation								
	Other (please specify): Please provide details if avai	1 1 1							
	Garstecki, T (2006)     Member States of th     Brussels, Belgium <a href="http://ec.europa.eu/enviro">http://ec.europa.eu/enviro</a> Study on Enforceme Belgium <a href="http://ec.europa.eu/enviro">http://ec.europa.eu/enviro</a> Kecse Nagy, K., Pap Europe. A Revew of Hungary <a href="http://www.traffic.org/co">http://www.traffic.org/co</a>	onment/cite ent of the E onment/cite up, D.; Knap f CITES In	n Union. A es/pdf/studio EU Wildlife es/pdf/studio pp, A.; Vor nplementat	TRAFFICE Trade Regress/enforcer	E Europe  S wildlift  gulations  ment_trad  S. (2006)	Report for trade.pd in the EU le.pdf  D. Wildlife	the Europe f 25 (2006) Trade in (	pean Commiss ), Milleu, Bruss Central and Eas	sion, sels,
7	If no review or assessment h reporting period?	as taken pla	ace, is one p	olanned for	the next		Yes No		
	Please provide details if avai	lable <sup>.</sup>						information	
8	Has there been any review of		on the foll	owing suh	iects in		Tick al	l applicable	
3	relation to implementation of Subject			owing suo		es	No No	No informati	ion
		turol ross	roos			7 7		r	
	Access to or ownership of na	uurai resou	ices		I L	<u> </u>			
	Harvesting					4	<u> </u>		
	Transporting of live specime				L		Ц		
	Handling and housing of live	specimens	3		į [	I i			

	Please provide details if available:
	Several reports and studies related to the implementation of CITES and certain CITES listed species have been carried out during the reporting period:
	<ul> <li>Kecse Nagy, K., Papp, D.; Knapp, A., Von Melborn, S. (2006). Wildlife Trade in Central and Eastern Europe. A Revew of CITES Implementation in 15 Countries, TRAFFIC Europe Report, Budapest, Hungary</li> <li><a href="http://www.traffic.org/content/801.pdf">http://www.traffic.org/content/801.pdf</a></li> </ul>
	<ul> <li>Knap, A. (2006): Bear Necessities. An Analasys of Bear Management and Trade in Selected States of the European Union's Role in the Trophy Trade, TRAFFIC Europe Report for the European Commission, Brussels Belgium <a href="http://www.traffic.org/content/289.pdf">http://www.traffic.org/content/289.pdf</a></li> </ul>
9	Please provide details of any additional measures taken:

# C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been un	ndertaken?		
	Review of reports and other information provided by traders and producers:			
	Inspections of traders, producers, markets			
	Border controls			
	Other (specify)			
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?			
3	If Yes, please indicate how many and for what types of violations? If Annex.	available, p	lease attach	details as
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	$\boxtimes$		

5	If information available:			Number
	⊠ Significant seizures/confiscations			
	☐ Total seizures/confiscations			
	If possible, please specify per group of species or attach details on annex	х.	2005	5: 45 cases
	If possible, please specify per group of species or attach details on annex In 2005 and 2006 customs officers confiscated skeletons of more the corals (Scleractinia spp., <i>Tubipora musica, Heliopora coerulea, Act</i> shells of Giant clam ( <i>Tridacna</i> spp.) and Queen conch ( <i>Strombus g</i> shipments of Date mussel ( <i>Lithophaga lithophaga</i> ) were also detected. customs reported on illegal import of caviar ( <i>Huso huso, Acipenser gue</i> In 2006 the customs officers detected several cases of illegal import ( <i>Testudo hermanni, T. graeca</i> ) at several road border ports with Croa Petrina, Jelšane, Starod). Customs officers at Ljubljana International A and confiscated a number of parts and derivatives of CITES appendix species (small reptilian leather products, bottles containing snakes in al-A number of illegal imports of traditional Chinese medicine (TCM) were the Brnik Airport and at Customs post office. Those shipments contain specimens of CITES listed species ( <i>Panthera pardus, Moschus</i> spp., <i>Saussurea costus, Pterocarpus santalinus, Echinopsis spp., Panax qualso</i> detected hunting trophies and parts of mammals ( <i>L. Elephantidae spp.</i> ). At the Customs post office several illegal parc specimens of orchids ( <i>Vanda spp., Cattleya spp.</i> ) were seized.  In all cases, the border customs offices asked the Management Authority seized specimens belong to CITES listed species. If the Management established, on the basis of the opinion of the Scientific Authority, that the question did not belong to CITES species, the shipment was release.	an 500 st ropora sprigas). Illed In two case and the standard of torto atia (Obreatia (Obreatia (Obreatia (Obreatia (Obreatia (Obreatia (Obreatia (Obreatia (Obreatia (Obreatia (Obreatia (Obreatia (Obreatia (Obreatia (Obreatia))) and the standard parts are suit in the standard pa	ony pp.), egal ases fiti). sises žje, zed III ns.). d at s of tos, us). tos, live	6: 45 cases 6: 30 cases
	violation of the Convention had been approved, customs officers seized			
	and the owners renounced them to the benefit of the State. In some ca			
	procedure has been instigated against the offender.			
	Please see attached document of summary of seizures/confiscations in An	nnex II.		
6	Have there been any criminal prosecutions of significant CITES-related violations?			
7	If Yes, how many and for what types of violations? If available, please a	attach deta	ils as Anne	X.
	During the reporting period, 5 criminal reports were imposed against 13	offenders	S.	
	In 2005:			
		oal imnor	t of traditio	nal Chinese
	In 2005:  one criminal procedure was imposed against the offender for ille medicine which contained parts of CITES species (leopard bones)			nal Chinese
	<ul> <li>one criminal procedure was imposed against the offender for ille medicine which contained parts of CITES species (leopard bone.</li> <li>In 2006 following criminal procedures were imposed against the offender</li> </ul>	s and mus		nal Chinese
	<ul> <li>one criminal procedure was imposed against the offender for ille medicine which contained parts of CITES species (leopard bones</li> <li>In 2006 following criminal procedures were imposed against the offender</li> <li>one for illegal import of brown bear skull (<i>Ursus arctos</i>)</li> </ul>	s and mus		nal Chinese
	<ul> <li>one criminal procedure was imposed against the offender for ille medicine which contained parts of CITES species (leopard bone.</li> <li>In 2006 following criminal procedures were imposed against the offender</li> </ul>	s and mus rs: manni)	k)	
	<ul> <li>one criminal procedure was imposed against the offender for ille medicine which contained parts of CITES species (leopard bones.</li> <li>In 2006 following criminal procedures were imposed against the offender</li> <li>one for illegal import of brown bear skull (<i>Ursus arctos</i>)</li> <li>one for illegal import of 18 live herman's tortoises (<i>Testudo herm</i></li> </ul>	s and mus rs: manni)	k)	
8	<ul> <li>one criminal procedure was imposed against the offender for ille medicine which contained parts of CITES species (leopard bones.</li> <li>In 2006 following criminal procedures were imposed against the offender</li> <li>one for illegal import of brown bear skull (<i>Ursus arctos</i>)</li> <li>one for illegal import of 18 live herman's tortoises (<i>Testudo herm</i></li> </ul>	s and mus rs: manni)	k)	
8	<ul> <li>one criminal procedure was imposed against the offender for ille medicine which contained parts of CITES species (leopard bone).</li> <li>In 2006 following criminal procedures were imposed against the offender</li> <li>one for illegal import of brown bear skull (<i>Ursus arctos</i>)</li> <li>one for illegal import of 18 live herman's tortoises (<i>Testudo hern</i></li> <li>one against eight offenders for smuggling and illegal trade in Da</li> </ul> Have there been any other court actions of CITES-related	s and muses:  manni)  te mussel	k) (Lithophag	
	<ul> <li>one criminal procedure was imposed against the offender for ille medicine which contained parts of CITES species (leopard bone).</li> <li>In 2006 following criminal procedures were imposed against the offender one for illegal import of brown bear skull (<i>Ursus arctos</i>)</li> <li>one for illegal import of 18 live herman's tortoises (<i>Testudo hern</i> one against eight offenders for smuggling and illegal trade in Da</li> <li>Have there been any other court actions of CITES-related violations?</li> <li>If Yes, what were the violations involved and what were the results? Please at A criminal procedure has been instigated against one offender for keepinumber of CITES Appendix II specimens of reptiles (Annex A and Criminal Police and competent inspections was carried out in 2006. The</li> </ul>	rs:  manni)  tte mussel  ttach detail ng in capt B EC 338	(Lithophag  s as Annex. ivity and of 8/97). Hous	fering for sale a e search by the
	<ul> <li>one criminal procedure was imposed against the offender for ille medicine which contained parts of CITES species (leopard bone).</li> <li>In 2006 following criminal procedures were imposed against the offender         <ul> <li>one for illegal import of brown bear skull (<i>Ursus arctos</i>)</li> <li>one for illegal import of 18 live herman's tortoises (<i>Testudo hern</i></li> <li>one against eight offenders for smuggling and illegal trade in Da</li> </ul> </li> <li>Have there been any other court actions of CITES-related violations?</li> <li>If Yes, what were the violations involved and what were the results? Please at A criminal procedure has been instigated against one offender for keepinumber of CITES Appendix II specimens of reptiles (Annex A and Criminal Police and competent inspections was carried out in 2006. The How were the confiscated specimens generally disposed of?</li> </ul>	rs:  manni)  tte mussel  ttach detail ng in capt B EC 338	(Lithophag  s as Annex. ivity and of 8/97). House of yet concluded	ifering for sale a e search by the uded.
9	<ul> <li>one criminal procedure was imposed against the offender for ille medicine which contained parts of CITES species (leopard bone).</li> <li>In 2006 following criminal procedures were imposed against the offender one for illegal import of brown bear skull (<i>Ursus arctos</i>)</li> <li>one for illegal import of 18 live herman's tortoises (<i>Testudo hern</i> one against eight offenders for smuggling and illegal trade in Da</li> <li>Have there been any other court actions of CITES-related violations?</li> <li>If Yes, what were the violations involved and what were the results? Please at A criminal procedure has been instigated against one offender for keepinumber of CITES Appendix II specimens of reptiles (Annex A and Criminal Police and competent inspections was carried out in 2006. The</li> </ul>	rs:  manni)  tte mussel  ttach detail ng in capt B EC 338	(Lithophag  s as Annex. ivity and of 8/97). House of yet concluded	fering for sale a e search by the uded.
9	<ul> <li>one criminal procedure was imposed against the offender for ille medicine which contained parts of CITES species (leopard bone).</li> <li>In 2006 following criminal procedures were imposed against the offender         <ul> <li>one for illegal import of brown bear skull (<i>Ursus arctos</i>)</li> <li>one for illegal import of 18 live herman's tortoises (<i>Testudo hern</i></li> <li>one against eight offenders for smuggling and illegal trade in Da</li> </ul> </li> <li>Have there been any other court actions of CITES-related violations?</li> <li>If Yes, what were the violations involved and what were the results? Please at A criminal procedure has been instigated against one offender for keepinumber of CITES Appendix II specimens of reptiles (Annex A and Criminal Police and competent inspections was carried out in 2006. The How were the confiscated specimens generally disposed of?</li> </ul>	rs:  manni)  tte mussel  ttach detail ng in capt B EC 338	(Lithophag  s as Annex. ivity and of 8/97). House of yet concluded	ifering for sale a e search by the uded.
9	<ul> <li>one criminal procedure was imposed against the offender for ille medicine which contained parts of CITES species (leopard bone).</li> <li>In 2006 following criminal procedures were imposed against the offender one for illegal import of brown bear skull (<i>Ursus arctos</i>)</li> <li>one for illegal import of 18 live herman's tortoises (<i>Testudo hern</i> one against eight offenders for smuggling and illegal trade in Da</li> <li>Have there been any other court actions of CITES-related violations?</li> <li>If Yes, what were the violations involved and what were the results? Please at A criminal procedure has been instigated against one offender for keepin number of CITES Appendix II specimens of reptiles (Annex A and Criminal Police and competent inspections was carried out in 2006. The How were the confiscated specimens generally disposed of?</li> <li>Return to country of export</li> </ul>	rs:  manni)  tte mussel  ttach detail ng in capt B EC 338	(Lithophag  s as Annex. ivity and of 8/97). House of yet concluded	fering for sale a e search by the uded.

	<ul><li>Euthanasia</li><li>Other (specify)</li></ul>		
	Comments: In most cases dead specimens (parts or derivatives) were seized. Such specime Customs. After the case is concluded, the goods can be used by the Mar information (exhibitions etc.) or educational purposes (training of enforceme criminal procedure against the offender was imposed, the Management Aut about the final court decisions on disposal of specimens. After the conclusion normally given to the Nature History Museum.  In few cases where live tortoises have been seized at the border with Croatic returned to the wild in the country of origin (Croatia).	nagement Authority from officials). In the cathority usually is not on of the case, the go	for public ase where informed bods were
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes No Not applicable No information	
	Comments:	,	
12	Has your country been involved in cooperative enforcement activities with other countries	Yes No	$\square$
	(e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	No information	
13	If Yes, please give a brief description:		
	Slovenia – Germany / illegal trade in Chameleons:		
	In 2006, the Management Authority was informed by the Criminal Police (In key suspect in theft of larger number of chameleons ( <i>Phelsuma</i> spp.) in Gerr on the Prevention of Illegal Trade in Wildlife decided about the action to be out a search of suspect's property at several different locations. A high numl of chameleons, tortoises, snakes etc.) were found at the property. The case ha is in process.	many. The National C taken. Criminal Polic ber of reptiles (severa	ommittee ce carried l hundred
	Slovenia – Italy – Croatia / illegal trade in Date mussel:		
	In 2005, the Criminal Police and Customs Intelligence Service carried out with Italian and Croatian Authorities against an international criminal netwo Date mussel ( <i>Lithophaga lithophaga</i> ). 12 persons were under investigation ar imposed against them. The case is in process.	ork dealing with illega	l trade in
	Slovenia – Croatia – Germany		
	In 2006, Slovene customs seized 18 live Herman's tortoises that were smugg Germany. Slovenian MA and Police informed German authorities about the cast two suspects were caught on their return. They were sentenced by Slovenian and a fine.	se. By exchange of int	elligence,
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes No No information	
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes No Not applicable	
		No information	Ш

I		Comments:
I		Several studies on enforcement of CITES and related legislation covering Slovenia have been carried out during the reporting period:
I		<ul> <li>Study on Enforcement of the EU Wildlife Trade Regulations in the EU 25 (2006), Milleu, Brussels, Belgium</li> </ul>
I		http://ec.europa.eu/environment/cites/pdf/studies/enforcement_trade.pdf
		• Kecse Nagy, K., Papp, D.; Knapp, A., Von Melborn, S. (2006). Wildlife Trade in Central and Eastern Europe. A Review of CITES Implementation in 15 Countries, TRAFFIC Europe Report, Budapest, Hungary
I		http://www.traffic.org/content/801.pdf
		<ul> <li>Parry-Jones, R., Knapp, A. (eds.) (2005): Country profiles compiled for the EU Wildlife Trade Enforcement Co-ordination Workshop, 25-27 October 2005, UK. Defra, London, UK <a href="https://www.defra.gov.uk">www.defra.gov.uk</a></li> </ul>
۱	17	Please provide details of any additional measures taken:

# D. Administrative measures

# D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	
2	If Yes, please use the opportunity to provide those changes here.	Tto information	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	
4	If Yes, please name that MA and indicate whether it is identified as the lead Ministry of the Environment and Physical Planning Dunajska cesta 48 P.O. Box 653 SI-1000 LJUBLJANA Tel: +386 (1) 478 74 00 Fax: +386 (1) 478 74 22 Email: gp.mop@gov.si Lead MA – does not issue CITES documents.	MA in the CITES Direc	ctory.
5	How many staff work in each MA?  1 person at the Ministry of the Environment and Physical Planning 2 persons at the Environmental Agency of the Republic of Slovenia		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation  App. 75 %	Yes No No information	
7	What are the skills/expertise of staff within the MA(s)?  - Administration - Biology - Economics/trade - Law/policy	Tick if a	pplicable

	<ol> <li>Other (specify)</li> <li>International Conservation Law, Organisations and Policy (Specialisation)</li> <li>Conservation, Management and Control of Species in International Trade (Master Degree)</li> </ol>	
	3. Biology - Ecology and Systematics (Master Degree)	
8	- No information  Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?  No information	
9	If Yes, please give the species name and provide details of the kind of research involved.	
9	1.  Species: Ursus arctos Project title: Conservation genetics of Brown bear, Lynx and Red deer in Slovenia Amount: 2,355 EURO Project duration: 18.10.2006 – 31.01.2007 Objectives: The aim of the project is to gain information on brown bear's diet in the whabituation to anthropogenous food sources.  Species: Ursus arctos, Lynx lynx Project title: Conservation genetics of Brown bear, Lynx and Red deer in Slovenia Amount: 162,974 EUR (Ministry of the Environment and Spatial Planning, Min Agriculture, Forestry and Food and Ministry for Education, Science and Sports) Project duration: Februar 2004 – January 2007 Objectives: Aplicative research project – use of molecular biology in manage populations of large mammals in Slovenia.  Species: Ursus arctos, Lynx lynx, Canis lupus Project title. Public awareness on large carnivores in Slovenia Amount: 8.896 EUR Project duration: December 2006 Objectives: Public information campaign on large carnivores  Species: Lynx lynx Project title: Study of lynx behaviour by tracing of specimens Amount: 18,302 EUR Project duration: 07.10.2005 – 21.11.2005 Objectives: The aimof the project is to gain information on the status of lynx population as its conservation  Species: Bubo bubo, Falco peregrinus, Gyps fulvus, Otus scops, Strix uralensis Project title: Monitoring of populations of certain bird species Amount: 125,000 EURO Project duration: 2004-2005 Objectives: Census of certain bird species at SPA in IBA areas in Slovenia  Species: CITES listed animals Project title: Reseuc centre for wild animals Amount: 70,000 EURO Project duration: 5-year contract, currently 2006 - 2011	ild and its
10	<ul> <li>Objectives: Rescue centre for temporary care of seized and confiscated animals due to keeping in captivity, illegal trade, export or import or other reasons specified by law is provided to contract with the Mangement Authority.</li> </ul> Please provide details of any additional measures taken	
10	i rease provide detains of any additional incasures taken	

# D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	
2	If Yes, please use the opportunity to provide those changes here.  Institute of the Republic of Slovenia for Nature Conservation  Dunajska 22 1000 Ljubljana Slovenia ph. + 386 1 2309 542 fax. +386 1 2309 540  Nika Debeljak Sabec nika.debeljak@zrsvn.si  Damjan Vrcek damjan.vrcek@zrsvn.si Vesna Cafuta vesna.cafuta@zrsvn.si		
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	
4	What is the structure of the SA(s) in your country?  - Government institution  - Academic or research institution  - Permanent committee  - Pool of individuals with certain expertise  - Other (specify)	Tick if ap	
5	How many staff work in each SA on CITES issues? 3		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation: 2 persons 50 %	Yes No No information	
7	What are the skills/expertise of staff within the SA(s)?  - Botany - Ecology - Fisheries - Forestry - Welfare - Zoology - Other (specify) - No information	Tick if ap	pplicable
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	

	Species	Populations	Distribution	Off take	Legal trade	Illegal	Other (spe	ecify)
	name Ursus	X	X	X	X	trade	geneti	00
	arctos	Λ	A	Λ	Λ		geneu	
	Lynx lynx	X	X	X	X		genetional st	
	Caretta caretta	X	X	by catch			public awar	renes
	Aquilla pomarina, Strix uralensis, Utus scops	X	x				coordinatio	
10	Have ony pro	iaat nranagala fa	ur gaiantifia ragaa	rah haan suh	mittad to the		information	
10		der Resolution (	or scientific reseat Conf. 12.2?	irch been sub	mitted to the	Yes No No	information	<u> </u>
11	Please provide	e details of any	additional measu	res taken:				
2	If No, please		nere (with addres			No informa	ation	
3	related enforc		a specialized uni nin the wildlife de fice)?			Yes No Under cons No informa		
4	If Yes, please	state which is the	he lead agency for	or enforceme	nt:			
	Regarding CIT		ement Authority				the activities	of tl
	"Inter-sectoral	Committee for t	he Prevention of	Illegal Wild	life Trade" (see	D7/2).		
5	"Inter-sectoral		he Prevention of additional measu		life Trade" (see	D //2).		
	"Inter-sectoral Please provide	e details of any		res taken:	life Trade" (see	D//2).		
	"Inter-sectoral Please provide  Communication	e details of any	additional measu	d exchange		D//2).	Tick if app	licab
,	"Inter-sectoral Please provide  Communication To what exten	n, information in	additional measu	d exchange		D//2).	Tick if app	
,	"Inter-sectoral Please provide  Communication  To what exten  Monite	n, information in the is CITES information and report	additional measumanagement and	d exchange country comp		D//2).	Tick if app	
!	"Inter-sectoral Please provide  Communication  To what extern  Monite  Monite	n, information in the is CITES information and report	management and rmation in your o	d exchange		D//2).	Tick if app	
1	"Inter-sectoral Please provide  Communication  To what extern  Monite  Monite	n, information in the is CITES information and report oring and report the issuance	management and rmation in your o	d exchange		D//2).	Tick if app	

Registration of marking of wild animals kept in captivity is fully computerised. The MA's computer application on marking also allows control of supply of marks

and suppliers of marks and issuance of certificates on marking.

2	Do the following authorities have access to the Internet?  Tick if applicable								licable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all		ovide details whe appropriate	re
	Management Authority								
	Scientific Authority								
	Enforcement Authority								
3	Do you have an electr species?	onic info	ormation sys	stem provi	ding info	ormation	N	es No No information	
4	If Yes, does it provide	e informa	ition on:					Tick if appl	licable
	– Legislation (na	ational, r	egional or i	nternation	al)?				$\boxtimes$
	- Conservation	status (na	ational, regi	onal, inter	national)	)?			$\boxtimes$
	- Other (please s								$\boxtimes$
	Application forms for CITES documents, fees, registration of CITES specimens etc.								
5	Is it available through	the Inter	rnet:					'es	
							N	No Not applicable No information	
	Please provide URL:								
	http://www.arso.gov.s								
	http://www.mop.gov.s								
6	Do the following auth		ave access t	o the follo	wing nu	blication	s?	Tick if applic	able
						gement	Scientific	Enforcem	
	Pul	blication				nority	Authority	Authorit	
	2003 Checklist of CIT	ES Spec	ies (book)						
	2003 Checklist of CIT Appendices (CD-RON		ies and Ann	otated		<b>⊠</b>			
	Identification Manual	!				$\boxtimes$			
	CITES Handbook							$\boxtimes$	
7	If not, what problems	have bee	en encounte	red to acce	ess to the	ementior	ned information	?	
8	Have enforcement aut	thorities	reported to	the Manag	gement A	uthority	on:	Tick if appl	licable
	<ul> <li>Mortality in tr</li> </ul>	ansport?							
	<ul> <li>Seizures and c</li> </ul>	confiscati	ions?						$\boxtimes$
	<ul> <li>Discrepancy in nu</li> </ul>	mber of	items in per	mit and nu	umber of	items ac	tually traded?		$\boxtimes$
	Comments:								
9	Is there a government	website	with inforn	nation on C	CITES ar	nd its	Ye		
	requirements?						No No	information	
	İ						110	miomiation	_

	If Yes, please give the URL:	
	http://www.arso.gov.si/narava/konvencija%20CITES/	
	http://www.arso.gov.si/narava/poro%c4%8dila%20in%20publikacije/	
	http://www.arso.gov.si/narava/vpra%c5%a1anja%20in%20odgovori/	
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable
	<ul> <li>Press releases/conferences</li> </ul>	$\boxtimes$
	Newspaper articles, radio/television appearances	$\boxtimes$
	- Brochures, leaflets	$\boxtimes$
	- Presentations	$\boxtimes$
	– Displays	$\boxtimes$
	<ul> <li>Information at border crossing points</li> </ul>	$\boxtimes$
	- Telephone hotline	$\boxtimes$
	- Other (specify)	
	Please attach copies of any items as Annex.	
	See the CITES World – Official Newsletter of the Parties, Issue Nr. 17, July 2006	
11	Please provide details of any additional measures taken:	
	In 2005 and 2006 the Management Authority carried out a number of presentations for a (school children, students, associations etc.). A representative of the Management Autlessons to Students of Masters degree programme »Nature Heritage Protection« at the U and Masters programme "Conservation, Management and Control of Species in International Framework" at the International University of Andalusia/Spain.	hority regularly gives niversity of Ljubljana

# D5 Permitting and registration procedures

1	Have any changes in permit format or the designation and sig empowered to sign CITES permits/certificates been reported Secretariat?  If no, please provide details of any:	Yes No Not applicable No information			
	Changes in permit format:				
	Slovenia uses European Union CITES permit/certificate the Commission Regulation (EC) No. 865/2006	forms as spe	ecified by		
	Format and content of Annexes to CITES permits prescribed in Article 18, Annex 1, of the Decree on the c protection measures in the trade in animal and plant specie RS, No. 52/04).	nduct and			
	Changes in designation or signatures of relevant officials: On 18 October 2005, the Management Authority inform changes in designation persons authorised to issue and sign Slovenia. At the same time an impression of the stamp us documents was submitted to the Secretariat.	uments in			
2	To date, has your country developed written permit procedure following?	es for any of	the	Tick if applicable	
		Yes	No	No information	on
	Permit issuance/acceptance				
	Registration of traders				
	Registration of producers				

3	Please indicate how many CITES documents were issued or denied in the two year period?  (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).								
	2005	Import or introduction from the sea	Export	Re-expo	ort	Other	Comments	3	
	How many documents were issued?	73	37	39					
	How many applications were denied because of severe ommissions or misinformation?								
	2006 How many documents were issued?	77	36	59					
	How many applications were denied because of severe ommissions or misinformation?	1					Testudo horsfield	lii	
4	Were any CITES documents the of severe ommissions or mis-in-		r cancelled	and repla	ced be	ecause	Yes No No information		
5	If Yes, please give the reasons for this.  Import permits issued for hunting trophies of CITES App. I species ( <i>Panthera pardus</i> ) were not accepted by German Customs because boxes No 16 and 17 on documents were not completed.  Some re-export certificates were cancelled and replaced because the original documents have been lost on their way to the country of final destination (USA).								
6	Please give the reasons for reject	-	-				Tick if applicable	;	
	Re	ason		Y	es	No	No informat	on	
	Technical violations								
	Suspected fraud				]				
	Insufficient basis for finding of								
	Insufficient basis for finding of	legal acquisition			<u> </u>				
	Other (specify) Intended import contrary to CIT – non-compliance with condition CITES Parties			P13)					
7	Are harvest and/or export quota issuance of permits?	s as a managemen	t tool in the	e procedu	re for		Yes No No information		
	Comments Hunting quotas for certain spec		ctos or Lyn	ıx lynx) aı	e con	sidered			
8	in the procedure for issuance of		n raguastad	to provid	a onir	vione?			
0	How many times has the Scientific Authority been requested to provide opinions?  The Management Authority asks for scientific opinion for all applications of import or export of Appendix I or II species, except for the imports of skins (SKI) and small leather products (LPS) of some App II/Annex B listed reptiles ( <i>Alligator mississippiensis, Caiman crocodilus fuscus, Varanus niloticus, V. salvator</i> ) from Switzerland in accordance with Article 4 par. 2 (a) of the Council Regulation (EC) No 338/97.								
9	Has the Management Authority related CITES activities?	* '					Tick if app	olicable	
	<ul> <li>Issuance of CITES doc</li> </ul>	uments:						$\boxtimes$	
	<ul> <li>Licensing or registratio</li> </ul>	n of operations tha	at produce (	CITES sp	ecies:			$\boxtimes$	
	<ul> <li>Harvesting of CITES-li</li> </ul>			1					
	<ul> <li>Use of CITES-listed sp</li> </ul>	_						$\boxtimes$	
I	- Assignment of quotas f		recies.						

	- Importing of CITES-listed species:						
- 10	Other (specify):						
10	If Yes, please provide the amounts of such for 17, 73 EURO	ees.					
11	Have revenues from fees been used for the in wildlife conservation?	Tick if applicable					
	– Entirely:						
	– Partly:	$\boxtimes$					
	– Not at all:						
	– Not relevant:						
	Comments:			1	1 11:0		
	The revenues from fees are transmitted to conservation is mainly financed through that		state buc	iget. Wi	ldlife		
12	Please provide details of any additional mea		en:				<del> </del>
	·						
D6	Capacity building						
1	Have any of the following activities been un CITES implementation at the national level		n to enha	ance effe	ctiven	ess of	Tick if applicable
	Increased budget for activities		Impro netwo	vement o	f natio	onal	
	Hiring of more staff			ase of tec oring/enf			oment for
	Development of implementation tools		Comp	uterisatio	n		$\boxtimes$
	- Other (specify)	<del></del>					
2	Have the CITES authorities in your country activities provided by external sources?	been the	e <i>recipie</i>	ent of any	of th	e follo	wing capacity building
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Target group						
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other (specify)						

3	Have the CITES authorities in your country activities?	been the	e <i>provid</i> e	ers of an	y of th	ne foll	owing capacity buildi	ng
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details	
	Staff of Management Authority		П	П				
	Staff of Scientific Authority							
	Staff of enforcement authorities							
	Traders							
	NGOs							
	Public							
	Other parties/International meetings							
	Other (specify)							
4	Please provide details of any additional me	asures ta	ken					
	Is there an inter-agency or inter-sectoral committee on CITES?  Yes  No							
1	Is there an inter-agency or inter-sectoral co	mmittee	on CITE	ES?			No	
2	If Yes, which agencies are represented and Since 2002, a special inter-sectoral Comm Wildlife Trade has been operating in Sloven of the ministers for the environment and spand for finance, pursuant to Article 49 (Official Gazette of RS Nrs. 67/94, 20/95, Committee consists of permanent mem Directorate (Interpol Central Bureau for Directorate (Investigation Division) and the Physical planning/Environmental Agency. In the prevention and control of illegal trade species. The duties of the Committee as information on illegal activities related to prepare administrative and other measures, the detection of illegal activities and investigations. The chair of the Committee work. For the realization of certain tas ministries may be appointed. The Commit ministries for assistance in particular task. To the relevant ministers on its activities even	how often ittee for ita. It was patial plate of the F 29/95, 8 bers from Slovenia e Ministre Its object e in endare to contrade it to organito offer is responses other itee may The Com	en does i the Pre s establis anning, f Public A 80/99, 5. 60m the a), the ey of the tive is co angered ollect da n endan nise joint technic nsible for officia ask rele mittee is	vention shed by a corrected animal acta and gered spat actions call assister coordills from evant division of the corrected animal acta and gered spat actions call assister coordills from evant divisions call assister coordills from evant divisions call assister coordills from evant divisions call assister coordills from evant divisions call assister coordills from evant divisions call assister coordills from evant divisions call assister coordills from evant divisions call assister coordills from evant divisions call assister coordills from evant divisions call assister coordills from evant divisions call assister coordills from evant divisions call assister coordills from evant divisions call assister coordills from evant divisions call assister coordills.	a decisial affiation (702). The Customent action action action action action action are contained at a containe	ion airs Act Γhe lice lice oms and n in lant nge to d at in g its vant		

3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):								
		Dail y	Weekl	Month ly	Annuall	Non e	No information	Other (speci	fy)
	Meetings								
	Consultations								
4	At the national lecollaborate with:	vel have	there been	any effort	s to	Tick i	f applicable	Details if avail	lable
	Agencies for deve	elopment	and trade						
	Provincial, state of	or territor	rial authori	ties					
	Local authorities	or comm	nunities						
	Indigenous people	es							
	Trade or other pri	ivate sect	tor associat	tions			$\boxtimes$		
	NGOs								
	Other (specify)								
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?								
	SA								
	Customs								$\boxtimes$
	Police								$\boxtimes$
	Other border auth	norities (s							
	Other governmen	ıt agencie	es						
	Private sector boo	dies							
	NGOs								
	Other (specify)								
6	Has your country related to CITES?		ated in any	regional a	ctivities			Tick if app	licable
	Workshops								$\boxtimes$
	Meetings								$\boxtimes$
	Other (specify)								
7	Has your country	encoura	ged any no	n-Party to	accede to	Yes	<del></del>		$\boxtimes$
	the Convention?					No			
						No into	ormation		
8		egovina.	MA's effor	rts to estab				a and Herzegovina w ne European Union.	vas not
9	Has your country			or financia	al assistance	to anothe	er	Yes	$\boxtimes$
	country in relation	n to CITI	ES?					No	
					<u> </u>			No information	
10	If Yes, which cou Training assistant	•				•		enegro and Macedon	ıia
11	Has your country	provided	d any data	for inclusion	on in the CIT	ΓES Ident	tification	Yes	$\boxtimes$
	Manual?							No	

		No information	
12	If Yes, please give a brief description.  Slovenia and Italy prepared a proposal for listing of Date mussel ( <i>Lithophaga</i> of CITES on behalf of the EU Member States. The proposal was adopted at the in October 2004. The Scientific Authority prepared the identification sheet for from the Italian SA it will be submitted to the Secretariat for inclusion in the IE.	13th Conference of I that species. After re-	Parties
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

# D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?							
	Activity	High	Medium	Low				
	Increased budget for activities		$\boxtimes$					
	Hiring of more staff							
	Development of implementation tools							
	Improvement of national networks		$\boxtimes$					
	Purchase of new technical equipment for monitoring and enforcement		$\boxtimes$					
	Computerisation							
	Other (specify)							
2	Has your country encountered any difficulties in implementing specific	Yes						
	Resolutions or Decisions adopted by the Conference of the Parties?	No		$\boxtimes$				
		No info	rmation					
3	If Yes, which one(s) and what is the main difficulty?							
4	Have any constraints to implementation of the Convention arisen in your country	Yes						
	requiring attention or assistance?	No		$\boxtimes$				
		No inf	ormation					
5	If Yes, please describe the constraint and the type of attention or assistance that is	required						
6	Has your country identified any measures, procedures or mechanisms within the	Yes						
	Convention that would benefit from review and/or simplification?	No		$\boxtimes$				
		No inf	ormation					
7	If Yes, please give a brief description.							
8	Please provide details of any additional measures taken:							

# E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	$\boxtimes$
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	
		Not available	
		Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for	Enclosed	$\boxtimes$
	educational or public awareness purposes,	Not available	
		Not relevant	
	Comments.		
	Details on national public awareness activities and publications are available in CITES World James No. 17, July 2006		
	in CITES World, Issue No. 17, July 2006		

# ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:								
	Title and date:			Status:					
	Brief description of conte	nts:							
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>17</sup> ?  Tick all applicable categories below that these categories apply to.								
			The condit	ions for:		The complete	e prohibition of:		
	Issue	Yes	No	No information	Ye	s No	No information		
	Trade	$\boxtimes$							
	Taking	$\boxtimes$							
	Possession	$\boxtimes$							
	Transport								
	Other (specify)	Commerc ial activities, breeding							
	Additional comments					i	i		
8b	Has there been any review relation to implementation			ollowing subjects in	n Yes	No	No information		
	Introduction of live Regu Community that would th (in accordance with Artic	reaten the in	digenous f						
	Marking specimens to fac with Article 19, paragraph		fication (in	accordance					
	with Article 19, paragraph 1 (iii))  Please provide details if available,  • Kecse Nagy, K., Papp, D.; Knapp, A., Von Melborn, S. (2006). Wildlife Trade in Central and Eas Europe. A Revew of CITES Implementation in 15 Countries, TRAFFIC Europe Report, Budapest Hungary <a href="http://www.traffic.org/content/801.pdf">http://www.traffic.org/content/801.pdf</a>								

<sup>&</sup>lt;sup>17</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

9b Please provide details of maximum penalties that may be imposed for Regulation-related violations, or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9)..

#### Penal provisions

#### PENAL CODE OF THE REPUBLIC OF SLOVENIA

The *Penal Code* stipulates an imprisonment of up to three years for an import or export of endangered plant or animal species contrary to international law. The same applies to illegal hunting of wild animals. In exceptional cases the perpetrator may be sentenced to imprisonment of up to five years. A fine and an imprisonment of up to five years are stipulated for a person or criminal organisation avoiding customs control while moving goods across the customs line. Art. 255 lays down that the goods involved in such offence are confiscated.

Table 1: Penalties for violations related to wildlife trade and related activities according to Penal Code of the Republic of Slovenia.

Criminal offence	Sanction	Artic le
Whoever, without the permission of the agency responsible, exports animal or plant of endangered species to a foreign country or imports it contrary to the provisions of international law	A fine or imprisonment of 3 years (5 in exceptional cases) and the confiscation of goods	222
Whoever is engaged in the transportation of goods across the customs line, thereby avoiding customs control measures	A fine or imprisonment of up to 1 year and the confiscation of goods	255
Cruelty to animals	A fine or imprisonment of up to 3 months	2.42
Whoever without permission or other authorisation hunts or kills a wild animal or traps it alive	A fine or imprisonment of 6 months up to 1 year	342

#### NATURE CONSERVATION ACT

Violations of provisions of the NCA related to trade in protected species of wild fauna and flora, breeding, acquisition of specimens, keeping in captivity and introduction or repopulation of animals or plants into the natural environment are treated as offences. The NCA prescribes the amounts of fines for such violations. A fine in accordance with Art 160 is imposed on a legal person or individual who:

- exterminates a plant or animal species;
- reduces the number of plants or animals of individual populations, reduces their habitats or worsens their living conditions to such an extent that the species becomes endangered;
- intentionally, without a justifiable cause, destroys or damages habitats of plant or animal species populations;
- does not use plants or animals in compliance with the prescribed conditions;
- uses plants or animals whose use is prohibited or uses them contrary to the prescribed restriction of use:
- introduces plants or animals of non-indigenous species without permission;
- breeds animals of indigenous or non-indigenous species without a permit;
- acts contrary to the protection regime prescribed by the Government for plant or animal species protected pursuant to the ratified international treaties;
- acts contrary to the prescribed guidelines for maintaining a species at a favourable status.

Table 2. The fines for minor offences according to Article 161 of the Nature Conservation Act

Fine (in EURO)	Imposed on
4,160 – 41,600	legal person
1,000 - 20,800	individual
200 - 2,000	responsible person of a legal entity

A fine in accordance of Art 161 is imposed on a legal person or individual who:

 does not notify the ministry of the intended repopulation of plants or animals of nonindigenous species;

# C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No No information	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?  Comments:	Yes No No information	
17 new	Have specimens been marked to establish whether they were born and bred in captivity or artificially propagated? (In accordance with Commission Regulation (EC) No 1808/2001 Article 36),  Comments:	Yes No No information	
18 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c))	Yes No No information	
	Comments:		

# D. Administrative measures

# D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	
10 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2),	Yes No No information	

# D2 Scientific Authority (SA)

8b	Have any resear CITES listed sp		n undertaken by th	ne SA(s) in	relation to non	Yes No No informatio	
9b	If Yes, please g	give the species na	ame and provide d	etails of th	e kind of researc		,11 <u> </u>
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	Ursus arctos	X	X	X	X		Genetics
	Lynx lynx	X	X				Genetics, national conservation and management strategy
	Caretta caretta	X	X	By - catch			Public awareness
	Aquilla pomarina, Strix uralensis, Utus scops	X	X				Coordinatio n of monitoring
	1					No informati	on $\square$

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	7
	Indicate any difficulties that rendered attendance to the SRG difficult:		
D3 Enj	forcement Authorities		
6	Has a liaison officer/focal point for CITES been nominated within each releva	nt enforcement authori	
new		Yes	
		No	Ļ
		Under consideration  No information	
		140 Information	
<u> </u>			
D4 Coi	nmunication, information management and exchange		
1b	Is Regulation-related information in your country computerized on?	Tick if ap	plicable
	- Annex D listed species		$\boxtimes$
	Other matters not reported on in question D4 (1) (please specify)		
3b	Do you have an electronic information system providing information on	Yes	$\boxtimes$
	Regulation-listed species?	No	
		No information	
D5 Per	mitting and registration procedures		
9b	Has the Management Authority charged fees for any Regulation-related matter	rs Yes	
90	not covered in question D5 (9)?	No	H
		No information	
D0	A many Confedence and I		
D8	Areas for future work		
2b	Has your country encountered any difficulties in implementing specific	Yes	
	suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6))	No	
4b	Have any constraints to implementation of the Regulation, not reported under	No information Yes	<u> </u>
40	question D8(4), arisen in your country requiring attention or assistance?	No	
	, , , , , , , , , , , , , , , , , , ,	No information	
7 bis	Can you indicate the percentage of permits/certificates issued that are returned	to	
	the MA after endorsement by customs?		

# **SPAIN**



# **INFORME BIENAL CITES ESPAÑA 2005 – 2006**

# PARTE 1.

Cuestionario cumplimentado según el modelo distribuido mediante Notificación a las Partes de la Secretaría CITES  $n^{\rm o}$  2005/035 de 6 de julio de 2005

# A. Información general

Parte	ESPAÑA
Periodo comprendido en este informe:  1 de enero de 2005 al 31 de diciembre de 2006	
Información del organismo encargado de preparar este informe	Ministerio de Industria, Turismo y Comercio.
	Secretaría General de Comercio Exterior AUTORIDAD ADMINISTRATIVA CITES – ESPAÑA
	Órgano de Gestión Principal con arreglo a la letra a) del apartado 1 del articulo 13 del Reglamento (CE) nº 338/97
	Subdirección General de Inspección, Certificación y Asistencia Técnica de Comercio Exterior
	Paseo de la Castellana, nº 162, 6ª planta
	28071 Madrid
	España
	Teléfono: + 34 91 349 37 71 Telefax: + 34 91 349 37 77
	Correo electrónico: cites.sscc@mcx.es
Organismos, organizaciones o individuos que	1. Ministerio de Economía y Hacienda
aportan contribuciones	Departamento de Aduanas e Impuestos
	Especiales
	AUTORIDAD ADMINISTRATIVA CITES – ESPAÑA
	ÓRGANO DE GESTIÓN ADICIONAL con arreglo a la letra b) del apartado 1 del artículo 13 del Reglamento (CE) nº 338/97
	Subdirección General de Gestión Aduanera
	Avda. de Llano Castellano, nº 17
	28071 Madrid
	España
	Teléfono: + 34 91 728 94 50 Telefax: + 34 91 358 47 21
	Correo electrónico: <u>gesadu@aeat.es</u> y
	aduanas-ie@aeat.es
	2. Ministerio de Medio Ambiente
	Dirección General para la Biodiversidad
	AUTORIDAD CIENTÍFICA CITES – ESPAÑA
	Subdirección General de Vida Silvestre
	Gran Vía de San Francisco, nº 4
	28071 Madrid

España
Teléfono: + 34 91 596 48 29
Telefax: + 34 91 596 48 71
3. Jefatura del Servicio de Protección de la
Naturaleza (SEPRONA).
AUTORIDAD DE OBSERVANCIA.
Dirección General de la Guardia Civil
C/Batalla del Salado, nº 32
28071 Madrid
España
Teléfono: + 34 91 514 24 20 / 23
Telefax: + 34 91 514 24 26
Correo electrónico: dg-seprona-
jefatura@guardiacivil.org

# B. Medidas legislativas y de fiscalización

1	¿Se ha proporcionado ya información sobre la legislación CITES en el marco del Proyecto de legislación nacional CITES? En caso afirmativo, ignore las preguntas 2, 3 y 4.	Sí (completamente) Sí (parcialmente) No Sin información/se desconoce		
2	Si se ha previsto, redactado o sancionado alguna legislación i proporcione la siguiente información:			
	Título: Real Decreto 1333/2006, de 21 de Estado: Aprobado y noviembre, por el que se regula el destino de los especímenes decomisados de las especies amenazadas de fauna y flora silvestres protegidas mediante el control de su comercio.	publicado.		
	Descripción somera del contenido: Regula el destino de los especímenes decomisados por sentencia judicial firme o resolución administrativa con imposición de sanción, crea el registro de especímenes CITES intervenidos, regula el depósito de especímenes CITES en caso de aprehensión, la cesión de especímenes CITES y su enajenación.			
3	¿Está la legislación promulgada disponible en uno de los idiomas de trabajo de la Convención?	Sí No Sin información		
4	En caso afirmativo, adjunte una copia del texto completo de la legislación o de las principales disposiciones legislativas que hayan sido publicadas en el boletín oficial.	Legislación adjunta Presentada previamente No disponible, se remitirá ulteriormente		
5	¿Cuáles de las siguientes cuestiones se abordan mediante me internas más estrictas adoptadas para las especies incluidas en CITES (en virtud del Artículo XIV de la Convención)?			
	Las condiciones para:	La completa prohibición de	e:	

	Cuestión	Sí	No	Sin informa	ción	Sí	No	Sin información
	Comercio						$\square$	
	Captura				-			
	Posesión				-			
	Transporte				•			
	Otro (especifique)							
	Comentarios adicionale				L			
	El comercio (importaci el ámbito de la Unión E algunas especies autóci específicas más estricta	ón y expo Turopea m Tonas, las s en cuan	nediante la Comunida to a su ca	o dispuesto ades Autón aptura y po	en el Re comas po sesión.	eglame oseen	ento (CE regulaci	i) 338/97. Para iones
6	¿Cuáles han sido los res la eficacia de la legislac							farque todas las e correspondan
	O			Daroi	almente			Sin
	Punto		Adecua	do inad	ecuado	Ina	decuado	información
	Poderes de las autoridad CITES	des						
	Claridad de las obligaci legales	ones						
	Control del comercio C	ITES						
	Compatibilidad con la pexistente sobre la gestió uso de la vida silvestre							
	Todos los tipos de delit	os están						
	previstos en la ley							
	Todos los tipos de sanc están previstas en la ley							
	Reglamentaciones de ej	ecución						
	Coherencia en la legisla	ición						
	Otro (especifique):							
	Proporcione informació	n, según j	proceda:					
7	Si no se ha efectuado un	n examen	o evaluac	ción, ¿se ha	,			Sí 🗌
	previsto para el próximo informes?	o periodo	de presen	ntación de			Sin i	No ☐ nformación ⊠
	Proporcione informació	n, según j	proceda:					
8	¿Se ha realizado un exa legislación en relación o					_	ue todas spondar	s las que
	Aspecto				Sí	1	No	Sin información
	Acceso a los recursos n	aturales o	posesión	de ellos			$\boxtimes$	
	Recolección						$\boxtimes$	
	Transporte de especíme	nes vivos					$\boxtimes$	
	Acondicionamiento y a vivos	lojamient	o de espec	címenes				

	Proporcione información, según proceda:
9	Proporcione información sobre cualquier medida adicional que se haya adoptado
	Aprobación del Real Decreto 1333/2006(mencionado en el punto B2) que regula la
	disposición de los especímenes decomisados.

# C. Medidas de cumplimiento y observancia

		Sí	No	Sin información		
1	¿Se ha efectuado alguna de las siguientes operaciones de co	iones de control del cumplimiento?				
	Examen de los informes u otra información proporcionada por los comerciantes y productores					
	Inspecciones de los comerciantes, productores, mercados					
	Controles fronterizos					
	Otro (especifique):	$\boxtimes$				
	Investigación de sectores concretos.					
2	¿Se ha impuesto alguna medida administrativa (p.ej., multas, prohibiciones, suspensiones) para las violaciones relacionadas con la CITES?					
3	En caso afirmativo, ¿Cuántas y para qué tipo de violacione pormenores.	s? Si es p	osible,	adjunte		
	Se han producido varias sanciones, la mayoría infraccione contrabando, algunas tramitadas como delito de contrabanen anexo adjunto.					
4	¿Se ha efectuado alguna incautación, confiscación o decomiso importante de especímenes CITES?					
5	Si se dispone de información:  Decomisos/confiscaciones importantes		Núr	mero		
	☐ Total de decomisos/confiscaciones	Total				
	En la medida de lo posible, especifique por grupo de		-	fiscaciones:		
	especies o adjunte pormenores.		nfraccio strativa			
	Se facilitan detalles en anexo adjunto.		tos pena			
6	¿Se ha entablado alguna acción penal por violaciones importantes relacionadas con la CITES?					
7	En caso afirmativo, ¿Cuántas y por qué tipo de violaciones pormenores en Anexo.	? Si es p	osible, a	ndjunte		
	Se han entablado 46 acciones por delitos penales, corresponses con valor superior a $18.000 \in$ , cuyos detalles los datos de las infracciones administrativas de contrabando	se facilit				
8	¿Se ha tomado alguna otra medida judicial por violaciones relacionadas con la CITES?					
9	En caso afirmativo, ¿Qué tipo de violaciones y cuáles fueron le adjunte pormenores en Anexo.	os resulta	dos? Si	es posible,		

10	¿De qué modo se dispuso normalmente de los especímenes Marque si se a confiscados?				
	<ul> <li>Devolución al país de exportación</li> </ul>				
	<ul> <li>Zoos públicos o jardines botánicos</li> </ul>				
	<ul> <li>Centros de rescate elegidos</li> </ul>				
	<ul> <li>Instalaciones privadas aprobadas</li> </ul>				
	– Eutanasia				
	- Otro (especifique)				
	Comentarios:				
11	¿Se ha proporcionado información detallada a la Secretaría sobre casos significativos de comercio ilegal (p.ej., mediante un ECOMENSAJE u otros medios), o información sobre comerciantes ilegales condenados o delincuentes reincidentes?	Sí No No se aplica Sin información			
	Comentarios: Se han enviado formularios ETIS cumplimentados.				
12	¿Se han realizado actividades conjuntas de observancia con otros países (p.ej., intercambio de información confidencial, apoyo técnico, asistencia en la investigación, operación mixta, etc.)?	Sí No Sin información			
13	En caso afirmativo, describa someramente:				
	Información entre Autoridades Administrativas CITES sobre incautaciones a ciudadanos o empresas de otros países.				
14	¿Se ha ofrecido algún incentivo a las comunidades locales para que participen en la observancia de la legislación CITES y que haya resultado en la detención y condena de los culpables?	Sí No Sin información			
15	En caso afirmativo, describa:				
16	¿Se ha realizado algún examen o evaluación de la observancia relacionada con la CITES?	Sí No No se aplica Sin información			
	Comentarios:				
17	Proporcione información sobre cualquier medida adicional que se	e haya adoptado			
	D. Medidas administrativas				
D1 Au	toridad Administrativa (AA)				
1	¿Ha habido algún cambio en la designación o información relativa a la AA que aún no se ha reflejado en la Guía CITES?	Sí No Sin información			
2	En caso afirmativo, comunique esos cambios en este apartado.  Cambios en las direcciones de los centros CITES y nueva dirección de la página web CITES España: www.cites.es. Estos cambios ya se han comunicado a la Secretaría CITES pero aún no se han reflejado en la Guía CITES.				

3	¿Hay más de una AA en su país y se ha designado una AA principal?	Sí No Sin información	
4	En caso afirmativo, indique el nombre de esa AA y si se deja co Guía CITES.  La Autoridad Administrativa CITES principal es: Ministerio de Industria, Turismo y Comercio. Secretaría General de Comercio Exterior Órgano de Gestión Principal con arreglo a la letra a) del aparte Reglamento (CE) nº 338/97 Subdirección General de Inspección, Certificación y Asistencia Exterior Paseo de la Castellana, nº 162, 6ª planta 28071 Madrid España  La Autoridad Administrativa CITES adicional es: Ministerio de Economía y Hacienda Departamento de Aduanas e Impuestos Especiales AUTORIDAD ADMINISTRATIVA CITES – ESPAÑA Órgano de Gestión adicional con arreglo a la letra b) del aparte Reglamento (CE) nº 338/97 Avda de Llano Castellano, nº 17 28071 Madrid España  En la Guía no se especifica cuál es la Autoridad Administrativa la adicional.	onstancia de ello en la ado 1 del articulo 13 Técnica de Comercio	del del
5	¿Cuántas personas trabajan en la AA? 30 personas: 10 en los servicios centrales de la Autoridad Administrativa CITES Principal (Subdirección) y 20 en las oficinas periféricas (otras autoridades administrativas competentes para conceder permisos).		

6	¿Puede estimar el porcentaje de tiempo que han dedicado a cuestiones relacionadas con la CITES?	Sí No Sin información	
	En caso afirmativo, haga una estimación.		_
	En los servicios centrales de la Autoridad Administrativa CITES principal, se dedica el 100% del tiempo.		
	En los servicios periféricos el porcentaje es variable.		
7	¿Cuáles son los conocimientos técnicos del personal de la AA?	Marque si se	aplica
	<ul> <li>Administración</li> </ul>		
	– Biología		$\boxtimes$
	- Economía/comercio		
	- Legislación/política		
	<ul> <li>Otro (especifique): Ingeniería Agrónoma, Ingeniería</li> <li>Técnica Agrícola y Veterinaria.</li> </ul>		
	<ul> <li>Sin información</li> </ul>		
8	¿Las AA(s) han emprendido o apoyado actividades de	Sí	$\boxtimes$
	investigación relacionadas con especies CITES o cuestiones	No	
	técnicas (p.ej. etiquetas, precintos, identificación de especies) distintas a las enunciadas en D2(8) y D2(9)?	Sin información	
9	En caso afirmativo, indique el nombre de las especies y proporci tipo de investigación.	ione pormenores sol	ore el
	Se mantiene la colaboración con museos y universidades para in mediante análisis de ADN y microscopía electrónica, especialmente maderables y caviar.	-	ecies
10	Proporcione información sobre cualquier medida adicional que s	se haya adoptado.	
D2	Autoridad Científica (AC)		
1	¿Ha habido algún cambio en la información relativa a la AC	Sí	
	que aún no se ha reflejado en la Guía CITES?	No	
		Sin información	
2	En caso afirmativo, comunique esos cambios en este apartado.		
3	¿Se ha designado una AC independiente de la AA?	Sí	$\boxtimes$
		No	
		Sin información	
4	¿Cuál es la estructura de la AC?	Marque si se	aplica
	<ul> <li>Institución gubernamental</li> </ul>		$\boxtimes$
	<ul> <li>Institución académica o de investigación</li> </ul>		
	<ul> <li>Comité permanente</li> </ul>		
	Grupo de individuos con cierta experiencia		
	- Otro (especifique)		
5	¿Cuántas personas en la AC trabajan en cuestiones relacionadas	con la CITES?	
	9 personas (3 técnicos superiores, 5 asesores externos, 1 secreta	aria)	

6	¿Puede estimar el porcentaje de tiempo que ha dedicado a cuestiones relacionadas con la CITES?						Sí No Sin información		
	Técnicos su	mativo, haga u periores: un 85 %, un 50%, un	5%, un 7'5%, ı						
7	¿Cuáles son	los conocimie	entos técnicos o	del personal d	e la AC?	Marque si se apli			
	– Botár	nica						$\boxtimes$	
	– Ecolo	ogía						$\boxtimes$	
	– Pesca	ι							
	– Bosq	ues							
	- Prote	cción							
	- Zoolo	ogía							
		(especifique)							
	- Sin in	ıformación							
8		ndido su AC al		d de investiga	ición en	Sí			
	relacion cor	ı las especies C	IIES?			No Sin inform			
9	En caso afirmativo, indique el nombre de la especie y el tipo de in								
9	Nombre de la			e la especie y	Comercio	Comercio	ı. Otı		
	especie	Poblaciones	Distribución	Extracción	legal	ilegal	(especi		
	Prunus africana	Guinea Ecuatorial		No perjudicial					
	etc.	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1							
						Sin inform	nación		
10	-	entado algún p		-		Sí			
	Resolución	on científica a la Conf. 12.2?	a Secretaria co:	n arregio a la		No Sin inform	nación		
11	Proporcione	e información s	sobre cualquier	medida adici	ional que so	e haya adop	tado		

# D3 Autoridades de observancia

1	¿Se ha informado a la Secretaría acerca de autoridades de observancia que hayan sido designadas para recibir información confidencial sobre observancia relacionada con la CITES?	Sí No Sin información	
2	En caso negativo, desígnelas en este apartado (con la dirección, teléfono, fax y correo electrónico).		
3	¿Hay una unidad especializada responsable de la observancia relacionada con la CITES (p.ej. en el departamento de vida silvestre, aduanas, policía, oficina del fiscal)?	Sí No En estudio Sin información	
4	En caso afirmativo, indique el nombre del organismo principal de Servicio de Protección de la Naturaleza (SEPRONA) de la Guard		
5	Proporcione información sobre cualquier medida adicional que se	haya adoptado	

# D4 Comunicación, información, gestión e intercambio

1	¿En qué medida está informatizada la información CITES? Marque si se aplic						
1	Control y presentación de datos sobre el comercio legal						
	- Control y pres	egai					
	<ul> <li>Expedición de</li> </ul>		SOS				<u> </u>
	– En modo algu	no					
	- Otro (especific grados de protección					ries, si	
2	¿Tienen las autorida	des sigu	iientes acc	eso a Inte	rnet?		Marque si se aplica
	Autoridad	Sí, acceso continuo y sin restricciones	Sí, pero solo mediante una conexión conmutada	Sí, pero solo a través de una oficina diferente	Solo algunas oficinas	En modo alguno	Proporcione información, según proceda
	Autoridad Administrativa						
	Autoridad Científica						
	Autoridad de observancia	$\boxtimes$					
3	¿Hay un sistema de i			-	e le pr	roporc	iona Sí 🖂
	información sobre la	s espec	ies CITES	?			No
		Sin información					

4	En caso afirmativo, proporciona informac	ión sobre:	Marc	que si se aplica				
	Legislación (nacional, regional o int							
	- Situación de la conservación (nacional,	cional)						
	<ul> <li>Otro (especifique): áreas de distribución, nivel de protección, restricciones de importación adoptadas por la Unión Europea (apartado 6 del artículo 4 del Reglamento (CE) nº 338/97), informes negativos o positivos del Grupo de Revisión Científica y de la Autoridad Científica CITES española (artículos 4.1.a)i) y 4.2.a) del Reglamento (CE) nº 338/97), etc.</li> </ul>							
5		50/9/), etc.	Sí					
3	¿Está disponible a través de Internet?  Proporcione el URL:		No No s	e aplica				
6	¿Tienen las autoridades mencionadas a con	ntinuación	Marc	que si se aplica				
O	acceso a las siguientes publicaciones?							
	Publicación	Autoridad Administrativ a	Autoridad Científica	Autoridad de observancia				
	Lista de Especies CITES 2003 (libro)							
	Lista de Especies CITES y Apéndices Anotados 2003 (CD-ROM)							
	Manual de Identificación			$\boxtimes$				
1111 111 1111	Manual CITES							
7	En caso negativo, ¿qué problemas se han e	encontrado para a	cceder a esta	información?				
8	¿Las autoridades de observancia han comu Autoridad Administrativa información sob		Ma	rque si se aplica				
	Mortalidad en el transporte							
	Decomisos y confiscaciones							
	Discrepancias entre el número de artícu permisos y los realmente comercializad		en los					
30 SOL SOL SOL	Comentarios: la información sobre la mor los intercambios con países terceros es pr Autoridad Administrativa CITES en sus in	oporcionada por	•					
9	¿Dispone el Gobierno de un Sitio web con CITES y sus requisitos?	información sob	No	nformación				
	En caso afirmativo, indique el URL:							
	http://www.cites.es							
10	¿Han participado las autoridades CITES en siguientes actividades para lograr que el pú un acceso más fácil y una mayor comprens la Convención?	iblico en general	tenga	Marque si se aplica				
	<ul> <li>Comunicados de prensa/conferenci</li> </ul>	as		$\boxtimes$				

1	1							
	<ul> <li>Artículos en periódicos, entrevistas de radio/te</li> </ul>	levisiór	1		$\boxtimes$			
	<ul><li>Folletos, prospectos</li></ul>							
	<ul><li>Presentaciones</li><li>Exhibiciones</li></ul>							
	<ul> <li>Información en los puestos fronterizos</li> </ul>							
	<ul> <li>Teléfono rojo</li> </ul>							
	- Otro (especifique)							
	Adjunte copias sobre cualquier punto.							
11	Proporcione información sobre cualquier medida adio	cional q	ue se h	aya adoptado				
 D5	Procedimientos en materia de permisos y registro							
1	¿Ha comunicado previamente a la Secretaría algún ca	mbio er	ı el	Sí	$\boxtimes$			
	formato de los permisos o la designación o la firma de los oficiales No							
	autorizados para firmar los permisos/certificados CIT	ES?		No se aplica				
	Sin información							
	En caso negativo, proporcione información:			,				
	Cambios en el formato del permiso:							
	Cambios en la designación o firmas de los oficiales		ntes:	,				
2	¿Ha desarrollado su país procedimientos por escrito para lo Marque si se aplica siguiente?							
		Sí	No	Sin informació	'n			
	Expedición/aceptación de permisos							
	Registro de comerciantes							
	Registro de procedimientos							
	Nota aclaratoria: en el Reglamento (CE) nº 338/97							
	del Consejo, de 9 de diciembre de 1996, relativo a la							
	protección de especies de la fauna y flora silvestres							
	mediante el control de su comercio y en el Reglamento (CE) nº 865/2006 de la Comisión, de 4							
	de mayo de 2006, por el que se establecen							
	disposiciones de aplicación del Reglamento							
	anterior, están recogidos los procedimientos para la							
	expedición y aceptación de permisos. Además,							
	hemos desarrollado un procedimiento escrito							
	interno para el control de la cría en cautividad y la							
	reproducción artificial.							

3	Indique cuantos document (Observe que algunas Part cuestión se refiere a los do	es comunican s	u comerc				
	Año 2005	Importación o introducción procedente del mar	Expor- tación	Reexpor- tación	Otro	Comentarios	8
	¿Cuántos documentos se expidieron?	4.719	145	2.483	3.340		
	¿Cuántas solicitudes se denegaron debido a omisiones graves o información errónea?	20	A CARACTER I TRANSPORTA DE LA TRANSPORTA	1			
	Año 2006 ¿Cuántos documentos se expidieron?	4.449	115	2.789	3.955		
	¿Cuántas solicitudes se denegaron debido a omisiones graves o información errónea?	21	MATERIA (MATERIA (MATERIA (MATERIA (MATERIA (MATERIA) (MATERIA (MATERIA) (MATERIA) (MATERIA) (MATERIA (MATERIA)	2			
4	¿Se canceló o reemplazó a previamente expedido deb errónea?	Sí No Sin información					
5	En caso afirmativo, indique las razones.						

Razón	Sí	No	Sin informa
Violaciones técnicas			
Sospecha de fraude			
Base insuficiente para justificar que las extracciones	$\overline{\boxtimes}$		
no eran perjudiciales	~_3		
Base insuficiente para dictaminar la adquisición legal			П
Otro (especifique):			
<ul> <li>Restricciones de importación adoptadas por la Unión Europea, especialmente dictámenes desfavorables emitidos en relación con el artículo 4.2.a del Reglamento (CE) nº 338/97, restricciones de importación adoptados en aplicación de lo dispuesto en el apartado 6 del artículo 4 del Reglamento citado.</li> <li>Caducidad del permiso CITES extranjero.</li> <li>Empleo de cupos de exportación del año anterior, sin que el país de origen haya comunicado a Secretaría CITES que en ese año natural van a emplear remanentes de cupo del año anterior</li> <li>Falta de reconocimiento de la especie por no haberse empleado un nombre normalizado conforme a las obras de referencia</li> <li>No aceptación del permiso de exportación por no reflejarse en él los datos relativos al uso de la cuota de exportación</li> <li>No aceptación de un permiso de exportación que ha sido emitido después de que se exportara la mercancía.</li> <li>Aplicación de una suspensión comercial con el país de origen de los especímenes</li> <li>No aceptación del permiso por figurar en él un país de destino distinto a España</li> <li>No aceptación del permiso de exportación por figurar en él una validez superior a 6 meses.</li> <li>No aceptación de un permiso de exportación de uso múltiple</li> <li>No aceptación de un certificado de origen aportado para especies incluidas en el anexo C del Reglamento (CE) nº 338/97, por no haber</li> </ul>			
sido expedido por la Autoridad Administrativa CITES.			
¿Se considera la captura y/o los cupos de exportación o instrumento de gestión en el procedimiento de expedic permisos?			Sí No Sin información

	comerciales.									
8	¿Cuántas veces se ha solicitado ases 318 consultas.	oramie	nto a la Autoridad Científic	ca?						
9	¿Ha cobrado derechos la AA por la registro o actividades relacionadas c			Marque si se ap	lica					
	<ul> <li>La expedición de documentos CITES</li> </ul>									
	<ul> <li>La concesión de licencias o el registro de establecimientos que producen especies CITES</li> </ul>									
	La captura de especies incluie	das en 1	a CITES							
	<ul> <li>La utilización de las especies</li> </ul>									
	<ul> <li>La asignación de cupos para l CITES</li> </ul>	las espe	cies incluidas en la							
	<ul> <li>La importación de las especie</li> </ul>	es inclu	idas en la CITES							
	- Otro (especifique)									
10	En caso afirmativo, indique el monte									
11	¿Se han utilizado los ingresos para aplicar la CITES o en pro de la Marque si se aplica conservación de la vida silvestre?									
	– Completamente:									
	– Parcialmente:			Ĺ	╛					
	– En modo alguno:									
	– Sin importancia:									
10	Comentarios:		1.1 1 1	1 . 1						
12	Proporcione información sobre cual-	quier m	edida adicional que se hay	a adoptado						
D6	Fomento de capacidad									
1	¿Se ha realizado alguna de estas ac eficacia de la aplicación de la CITE			Marque si se apl	lica					
	Aumentar el presupuesto para realizar actividades		Mejorar las redes nacionales							
	Contratar más personal		Adquirir equipo técnico control/observancia	para el						
	Diseñar instrumentos de aplicación.		Informatizar la informac	ión						
	- Otro (especifique)									

2	¿Han recibido las autoridades CITES alguna de las siguientes actividades de fomento de capacidad impartidas por fuentes externas o se han beneficiado de ellas?						
	Marque las casillas para indicar el grupo beneficiado y la actividad.  Grupo elegido	Asesoramiento u orientación oral o escrita	Asistencia técnica	Asistencia financiera	Formación	Otro (especifique)	¿Cuáles fueron las fuentes externas?
	Personal de la AA						Autoridades de diversos países colaborando con el V Master sobre Gestión, Conservación y Control de Especies sometidas a Comercio Internacional organizado por la Universidad Internacional Antonio Machado, celebrado en Baeza en el año 2005.  Asesoramiento por parte del Consejo Superior de Investigaciones Científicas.
	Personal de la AC						
	Personal de las autoridades de observancia						
	Comerciantes						
	ONG						
	Público						
	Otro (especifique)						

3	¿Han ofrecido las autoridades CITES alguna de las actividades de fomento de capacidad siguientes?								
	Marque las casillas para indicar el grupo beneficiado y la actividad.  Grupo elegido	Asesoramiento u orientación oral o escrita	Asistencia técnica	Asistencia financiera	Formación	Otro (especifique)	Detalles		
	Personal de la AA			J 					
	Personal de la AC					lΗ			
	Personal de las autoridades de observancia								
	Comerciantes								
	ONG								
	Público						77.16		
	Otras partes o reuniones internacionales						V Master sobre Gestión, Conservación y Control de Especies sometidas a Comercio Internacional organizado por la Universidad Internacional Antonio Machado, celebrado en Baeza en el año 2005. Cursos especiales para miembros del SEPRONA (autoridad de observancia).		
	Otro (especifique)								
4	Proporcione información sobre cu	alquier mo	edida	adicio	onal q	ue se	haya adoptado		
D7 Inic	ciativas de colaboración								
1	¿Hay un comité interorganismos o CITES?	intersecto	orial	sobre l	a		Sí Sí Sin información		
2	En caso afirmativo, ¿qué organism cuántas veces se reúnen?	nos están i	epres	sentado	os y				

3	En caso negativo, indique la frecuencia de las reuniones o consultas celebradas por la Autoridad Administrativa para garantizar la coordinación entre las autoridades CITES (p.ej. otras AA, AC, aduanas, policía, otras):													
	(p.eg. seras 1	Diarias	Seman		N	Ien- iales	Anu	ales	Ni gu			Sin mación	(esp	Otro ecifíquelo)
	Reuniones										[			euniones nuales
i I	Consultas													
4	Se han despl colaborar co	_	fuerzos	a es	cala	nacio	nal pa	ara				ue si so olica	si se	ormación, e dispone de ella
	Organismos	de desa	rrollo y	com	ercio	)					[			
	Autoridades	provinci	ales, es	stata	les o	territe	oriale	S			[			
	Autoridades	o comur	nidades	loca	les						[			
	Poblaciones	indígena	as								[			
	Asociacione	s comerc	ciales o	de o	tro s	ector j	privac	do			[	$\boxtimes$	info	niones rmativas y
													de	boración
	ONG										1	abla	Iden	
	Otro (especi	figue)									<u>.</u> [		14611	<u> </u>
5	¿Se ha conce	<u> </u>	pún Me	mora	ando	de Eı	ntendi	imie	nto 1	1	L	 M	arque s	i se aplica
	otros acuerd									-			arque s	r se uprieu
	institucional							Auto	rida	d				
	Administrati		siguien	tes o	rgan	ismos	s?							
	Autoridad C	ientífica												
	Aduanas													
	Policía										,			
	Otras autorio				-	ique)								
	Otros organi	•			les									
	Organismos	del secto	or priva	do										
	ONG	<b>~</b> \												
	Otro (especi		1	1	1.	:	1					N 4		: 1:
6	¿Ha particip actividad reg							guna	l			IVI	arque s	i se aplica
	Cursillos													$\boxtimes$
	Reuniones													$\boxtimes$
	Otro (especi Científica en	- /	-		ón re	giona	l de l	a Au	torio	dad	_			
7	¿Se han des							lgún			Sí			
	Estado no P	arte a ac	herirse	a la	Cor	ivenci	on?				No			
	Г		. /1		,	. 1: 1 /	<u> </u>				Sin in	ıforma	ción	
8	En caso afiri	_						<u> </u>	wa :-	-1-	- C'			
9	¿Se ha pres en relación o			tecn	ica c	inan	ıcıera	a ot	ro p	ais	Sí No			
		IU OI	0 :								No Sin ir	ıforma	ción	
10	En caso afiri	mativo :	cuál v	aué t	ipo o	le asis	stenci	a?			УШ П.	iioiiia	V1011	Ш

11	¿Se han proporcionado datos para incluirlos en el Manual de Identificación de la CITES?	Sí No Sin información	
12	En caso afirmativo, describa someramente.		
13	¿Se han tomado medidas para lograr la coordinación y reducir la duplicación de actividades entre las autoridades nacionales CITES y otros acuerdos ambientales multilaterales (p.ej., las convenciones relacionadas con la diversidad biológica)?	Sí No Sin información	
14	En caso afirmativo, describa someramente.		
	Consultas ocasionales entre los respectivos puntos focales.		
15	Proporcione información sobre cualquier medida adicional q La Autoridad científica realizó la traducción al español, con convenio, del Análisis de la IUCN para las propuestas de la Partes CITES.	no idioma oficial del	

## D8 Esferas de actividad futura

1	¿Se necesita alguna de las actividades siguientes para reforzar la eficacia de la aplicación de la CITES a escala nacional y cuál es el respectivo nivel de prioridad?							
	Actividad	Alta	Media	Baja				
	Aumentar el presupuesto para realizar actividades							
	Contratar más personal							
	Diseñar instrumentos de aplicación							
	Mejorar las redes nacionales							
	Adquirir equipo técnico para el control/observancia							
	Informatizar la información			$\boxtimes$				
	Otro (especifique)							
2	¿Se ha encontrado alguna dificultad para aplicar determinador resoluciones o decisiones aprobadas por la Conferencia de la Partes?	Sí No Sin informa	□ ⊠ ación □					
3	En caso afirmativo, ¿cuáles y cuál ha sido la principal dificu	ltad?		•				
4	¿Se plantea alguna dificultad en su país para aplicar la Conv	ención	Sí	$\boxtimes$				
	que requiera atención o asistencia?	No						
			Sin informa	ación 🔝				
5	En caso afirmativo, describa la dificultad y el tipo de atenció asistencia necesaria.	on o						
	Sería necesaria una mayor formación en especies maderabl			<u> </u>				
6	¿Se han identificado medidas, procedimientos o mecanismos	s en la	Sí					
	Convención que deberían revisarse o simplificarse?		No					
<u> </u>			Sin informa	acion 🔀				
7	En caso afirmativo, describa someramente.							

8	Proporcione información sobre cualquier medida adicional que se haya adoptado

## E. Intercambio de información general

Formule comentarios adicionales, inclusive sobre este formato.

Muchas gracias por completar este formulario. No olvide incluir los anexos relevantes a que se hace referencia en el informe. Para facilitar su labor, se repiten a continuación:

Cuestión	Punto		
B4	Copia del texto completo de la legislación CITES relevante	Se adjunta No disponible No es relevante	
C3	Detalles sobre las violaciones y las medidas administrativas impuestas	Se adjunta No disponible No es relevante	
C5	Detalles sobre los especímenes incautados, decomisados o confiscados	Se adjunta No disponible No es relevante	
C7	Detalles sobre las violaciones y los resultados de las acciones judiciales	Se adjunta No disponible No es relevante	
С9	Información sobre las violaciones y los resultados de las acciones judiciales	Se adjunta No disponible Sin relevancia	
D4(10)	Información sobre folletos o prospectos acerca de la CITES producidos nacionalmente con fines educativos o de sensibilización del público  Comentarios	Se adjunta No disponible Sin relevancia	

## INFORME BIENAL ESPAÑA 2005 - 2006

## PARTE 2.

Cuestionario cumplimentado según el modelo aprobado en el 34º Comité CITES de la Unión Europea celebrado el 14 de junio de 2005, relativo a las disposiciones del Reglamento (CE) nº 338/97 aplicables a especies distintas de las incluidas en los apéndices del Convenio CITES.

B. Medidas legislativas y de fiscalización Si se ha previsto, redactado o sancionado alguna legislación relevante para la CITES, 2b proporcione la siguiente información: Título: Real Decreto 1333/2006, de 21 de Estado: Aprobado y publicado noviembre, por el que se regula el destino de los especímenes decomisados de las especies amenazadas de fauna y flora silvestres protegidas mediante el control de su comercio. Descripción somera del contenido: Regula el destino de los especímenes decomisados por sentencia judicial firme o resolución administrativa con imposición de sanción, crea el registro de especímenes CITES intervenidos, regula el depósito de especímenes CITES en caso de aprehensión, la cesión de especímenes CITES y su enajenación. 5b ¿Ha adoptado su país alguna medida nacional más estricta, aparte de aquellas que se han informado en la pregunta B(5), específicamente para especies no incluidas en los apéndices de CITES<sup>18</sup>? Marque todas las que categorías más abajo indicadas que se apliquen a esta categoría Las condiciones para: La completa prohibición de: Sin Sin Cuestión Sí Sí No No información información  $\boxtimes$  $\boxtimes$ Comercio X $\boxtimes$ Captura Posesión  $\boxtimes$  $\boxtimes$  $\times$  $\boxtimes$ Transporte Otro (especifique) Comentarios adicionales ¿Se ha realizado un examen de algunos aspectos de la 8b legislación en relación con la aplicación del Reglamento? Sin Sí No información Introducción en la Comunidad de especímenes vivos  $\boxtimes$ 

18 En este cuestionario, "especies no incluidas en los apéndices de CITES" se refiere a especies que están incluidas en los anexos del Reglamento, pero no en los apéndices de CITES. Incluye algunas especies en los anexos A y B y todas aquellas incluidas en el anexo D.

 $\boxtimes$ 

de especies incluidas en el Reglamento que podrían amenazar la fauna y flora autóctona (de acuerdo con

identificación (de acuerdo con la letra iii) del apartado

la letra (d) del apartado 2 del artículo 3)
Marcado de especímenes para facilitar la

Proporcione información, según proceda:

1 del artículo 19)

Proporcione detalles de las penas máximas que pueden ser impuestas por infracciones al Reglamento, o de cualquiera otra medida adoptada en relación con la implementación del Reglamento de la que no se haya informado en la pregunta B (9).

En infracciones administrativas, hasta tres veces el valor de la mercancía. En delitos penales, hasta cuatro veces el valor de la mercancía, estando prevista pena de prisión por sentencia judicial.

## C. Medidas de cumplimiento y observancia

2b	¿Se ha tomado alguna medida, además de aquellas acerca de las cuales se ha informado más arriba en C (2-9), para infracciones al Reglamento?	Sí No Sin información	
16b	¿Se ha realizado algún examen o evaluación de la observancia relacionada con el Reglamento, además de aquellas acerca de las cuales se ha informado más arriba en C (16)?  Comentarios:	Sí No Sin información	
17	¿Se han marcado los especímenes para establecer si han sido criados en cautividad o reproducidos artificialmente? (De acuerdo con el artículo 36 del Reglamento (CE) nº 1808/2001 de la Comisión)  Comentarios:	Sí No Sin información	
18	¿Se ha realizado algún control para asegurar que el lugar de alojamiento previsto en el lugar de destino de un espécimen vivo está equipado de manera adecuada para conservarlo y cuidarlo? (De acuerdo con la letra c) del apartado 1 del artículo 4).	Sí No Sin información	
	Comentarios		

## D. Medidas administrativas

## D1 Autoridad Administrativa (AA)

8b	investigació o cuestiones	han emprendion relacionadas s técnicas (p.ej. adas en D2(8)	Sí No Sin infor	mación				
10	¿Ha sido inf procediera) Comisión ha	formada la Cor de los resultad aya considerad ado 2 del artícu	on que la	Sí No Sin inforn	nación			
D2	Autoridad Ci	entífica (AC)						
8	-		lguna actividad o incluidas en		ación en	Sí No Sin inforn	nación	
9	En caso afir	mativo, indiqu	ie el nombre de	la especie y	el tipo de i	nvestigació	n.	
	Nombre de la especie	Poblaciones	Distribución	Extracción	Comercio legal	Comercio ilegal	Otr (especi	
	1							
	2							
	3							
	etc.							
						Sin inform	mación	
11	¿A cuantas i A todas	reuniones del (	Grupo de Revis	sión Científic	ea (SRG) ha		AC?	
	Indique cual SRG.	lquier dificulta	nd que haya imp	posibilitado l	a asistencia	a las reunio	ones del	
D3	Autoridades	de observancio	a					
6	¿En su país	hay un lugar o	punto de conta	acto reconoci	ido u	Sí		
			es competentes	encargadas c	le la	No		
	observancia	?				En estud		
						Sin infor	mación	
D4	Comunicació	ón, informació	ín, gestión e ini	tercambio				
1b	¿Está inform país?	natizada la info	ormación relati	va al Reglam	iento en su	Marq	ue si se a	aplica
	– Espec	cies incluidas e	n el Anexo D		***			
		materias acerc	ca de las cuales r, especifique)	no se ha info	ormado en l	a		

l í			
3b	¿Hay un sistema de información electrónico que le proporciona	Sí	$\boxtimes$
	información sobre las especies no incluidas en CITES?	No	
		Sin información	
			ı
D5	Procedimientos en materia de permisos y registro		
9b	¿Ha cobrado derechos la Autoridad Administrativa por cualquier	Sí	
	asunto relacionado con el Reglamento no contemplado en la	No	$\boxtimes$
	pregunta D5 (9)?	Sin información	
D8	Esferas de actividad futura		
2b	¿Ha encontrado su país alguna dificultad para aplicar determinadas	Sí	
	suspensiones u opiniones negativas adoptadas por la Unión	No	$\boxtimes$
	Europea? (De acuerdo con el apartado 6 del artículo 4).	Sin información	
4b	¿Se plantea alguna dificultad en su país para aplicar el Reglamento,	Sí	$\boxtimes$
	de la que no se haya informado en la pregunta D8 (4), que requiera	No	
	atención o asistencia?	Sin información	
	Comercio intracomunitario y cría en cautividad del Anexo B.		
7 bis	¿Puede indicar el porcentaje de permisos/certificados expedidos que		
	devueltos a la AA después de que hayan sido diligenciados por la A	duana?	
	En 2005: 82'15%		
	En 2006: 93'82 %		
	NI/		
	Número:		

# **SWEDEN**

## CITES BIENNIAL REPORT FOR 2005-2006 Part 1 - CITES Questions

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

## A. General information

Party	Sweden
Period covered in this report: 1 January 2005 to 31 December 2006	
Details of agency preparing this report	Swedish Board of Agriculture CITES- and Animal Health Division SE-551 82 Jönköping
Contributing agencies, organizations or individuals	Swedish Environmental Protection Agency- CITES SA Swedish Customs National Police Board having consulted the Swedish Prosecution Authority

## B. Legislative and regulatory measures

1	Has information on CITES- under the CITES National I If yes, ignore questions 2, 3					Yes (fully) Yes (partly) No No information/unknown				
2	If your country has planned details:	, drafted or e	enacted any	CITES-relevant le	gislation, p	lease prov	vide the follow	ving		
	Title and date:		S	Status:						
	Brief description of contents	s:								
3							Yes			
	Convention?				No	c		닏		
4	TC 1 1	0.1 0.11.1	. 1	. 1 1 1 1		formation		<u> </u>		
4	If yes, please attach a copy of provisions that were gazette		gislative te	ext or key legislative	legislation attached provided previously			X		
	Updated copy of Species P		rdinance	enclosed			ill send later			
	- Panna sapy sa apasasa a				1100 0011		50114 14101			
5	Which of the following issu				neasures th	at Tick	all applicable	;		
	your country has adopted fo									
	(in accordance with Article			<i>'</i>	Tr!	The complete prohibition of:				
	т		The condit	T						
	Issue	Yes	No	No information	Yes	No	No informa	tion		
	Trade	X			X					
	Taking	X			X					
	Possession	X			X					
	Transport	X	X		X					
	Other (specify)		<u> </u>	1 6 4 6 1 1						
	Additional comments			ember States of the I						
				ousehold effects, tra						
		1		append			•			

6	What were the results of any review or assessment of the effectiveness of   Tick all applicable					
	CITES legislation, with regard to the					
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	X`				
	Clarity of legal obligations	X`				
	Control over CITES trade		X`			
	Consistency with existing policy on wildlife management and use	X`				
	Coverage of law for all types of offences	X`				
	Coverage of law for all types of penalties	X`				
	Implementing regulations	X`				
	Coherence within legislation	X`				
	Other (please specify):					
7	There has been no review or assessme apply EU legislation it seems as most of trade which seems to be partially inaded.	of the items are ad quate since CITES	equate with the ex S is no priority are	ception of the c	ontrol over CITES control.	
,	If no review or assessment has taken pla reporting period?	ace, is one planne	d for the next	No	information	
0	Please provide details if available:		1:4-:-	m: 1 1	1 1 11	
8	Has there been any review of legislation relation to implementation of the Conve		·	l ick al	l applicable	
	Subject		Yes	No	No information	
	Access to or ownership of natural resou	rces		X		
	Harvesting		<u> </u>	X		
	Transporting of live specimens			X*		
	Handling and housing of live specimens	5		X*		
	Please provide details if available:					
9	Please provide details of any additional * No review in the context of CITES bu importance of fullfilment of IATA- and been stressed to Customs and border ve	t in the context of CITES Transpor	t requirements for	approving CITI	ES permits have	

## C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been ur	ndertaken?		
	Review of reports and other information provided by traders and producers:	X* *Accord ing to SA		
	Inspections of traders, producers, markets	X		
	Border controls	X		
	Other (specify)			
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?		X	
3	If Yes, please indicate how many and for what types of violations? If Annex.	available, p	lease attach	n details as
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	X Police	X* *Custo ms	
5	If information available:  Significant seizures/confiscations  X Total seizures/confiscations  If possible, please specify per group of species or attach details on annex.	2005-2 Seizures	res reported 2006- see a reported by	
6	Have there been any criminal prosecutions of significant CITES-related violations?		X	
7	If Yes, how many and for what types of violations? If available, pleas	se attach det	l ails as Ann	ex
8	Have there been any other court actions of CITES-related violations?	X Police	X* *Custo ms	
9	If Yes, what were the violations involved and what were the results? Please	e attach detail	ls as Annex.	
10	How were the confiscated specimens generally disposed of?  Return to country of export			ick if applicable
	<ul> <li>Public zoos or botanical gardens</li> <li>Designated rescue centres</li> <li>Approved, private facilities</li> <li>Euthanasia</li> <li>Other (specify)</li> </ul>			x x □ □ x
	Comments: Most specimens seized by the Police are dead/mounted./vitems. Se also note in previous report conc. role of SA.	Goods-muse	um, destruc	ction of dead

11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes No Not applicable No information	∏ X# ∏ X*			
	Comments: # Police. * Customs					
12	Has your country been involved in cooperative enforcement activities with other countries  (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes No No information	X □ X*			
13	If Yes, please give a brief description: Exchange of intelligence-Police. *Custo	ms				
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes No No information	X			
15	If Yes, please describe: National cooperation with various authorities-Police. *	Customs				
16	Has there been any review or assessment of CITES-related enforcement?	Yes No Not applicable No information	□ X □ X*			
	Comments: X* Customs	•				
17	Please provide details of any additional measures taken: Planning of project to supervise TAM and medicinal plants in trade in Sweden. Also meant to be reported to other EU member states.					

## D. Administrative measures

## D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	x 🗆			
2	If Yes, please use the opportunity to provide those changes here. Since May 1, 2006 the CITES Plant issues including Insecta and Arachnida have been transferred from the Plant Protection Division to the CITES-and Animal Health Division in the Swedish Board of Agriculture. From mentioned date there are no longer issued CITES phytosanitary certificates for CITES plant exports. Only CITES export permits or re-export certificates are issued for export of plants.					
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information				
4	If Yes, please name that MA and indicate whether it is identified as the least	d MA in the CITES Dire	ctory.			
5	How many staff work in each MA? MA Plants-until May 1, 2006- 1 staff; CITES –and Animal Health Division	on- 6 staff				

6	Can you estimate the percentage of time they spend on CITES related matters?	Yes No No information	X
	If yes, please give estimation MA Plants –Plant protection Division until May 1, 2006- ca 12% CITES-and Animal Health Division – One biologist/zoologist (tot. 80%-thereof ca. 50% CITES); one veterinarian 100% CITES; four assistans (non biologists) tot.100-, 90-, 90- and 80 % employment- thereof all four ca. 85 % CITES.	No information	
7	What are the skills/expertise of staff within the MA(s)?	Tick if ap	plicable
	- Administration		X
	- Biology		X
	- Economics/trade		
	– Law/policy		
	- Other (specify)		
	– No information		
8	Have the MA(s) undertaken or supported any research activities in relation to	Yes	
	CITES species or technical issues (e.g. labelling, tagging, species	No	X
	identification) not covered in D2(8) and D2(9)?	No information	
9	If Yes, please give the species name and provide details of the kind of research	n involved.	
10	Please provide details of any additional measures taken		

## D2 Scientific Authority (SA)

1			he designation of ch are not yet refl			Yes No No informatio	□ X n □
2	If Yes, please u	se the opportunity	to provide those	changes he	ere.		
3	Has your count Management A		ientific Authority	independe	ent from the	Yes No No informatio	X
4	What is the stru	icture of the SA(s)	Tic	k if applicable			
	- Governi	ment institution		X			
	- Academ	nic or research inst	titution				X
	– Perman	ent committee					
	- Pool of	individuals with c	ertain expertise				
	– Other (s	specify)					
5	How many staf	f work in each SA	on CITES issues	? 2+1			
6		te the percentage	of time they spend	d on CITE	S related	Yes	
	matters?					No	X
	If yes, please gi	ive estimation				No informatio	n 📙
7			aff within the SA	(s)?		Tic	k if applicable
	- Botany	<u>r</u>		(-)-			X
	- Ecology	7					
	– Fisherie						X
	– Forestry	7					П
	– Welfare						
	– Zoology	V					X
	– Other (s						
		ormation					
8	Have any rese	earch activities b	een undertaken	by the SA	(s) in	Yes	
	relation to CIT			J	· /	No	X
						No informatio	n 🗌
9		ive the species na	me and provide de	etails of th	-	h involved.	
	Species name	Populations	Distribution	Off	Legal trade	Illegal trade	Other
	1			take	<u> </u> 		(specify)
	2						
	3						
	etc.				<u> </u>		
	Cic.	<u> </u>		<u> </u>		No information	on $\square$
10	Have any proj	iect proposals fo	r scientific resea	rch heen (	submitted to	Yes	
		under Resolutio		ren been	sabilitied to	No	X
						No information	
11	Please provide	details of any add					

#### D3**Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement	Yes	X
	authorities that have been designated for the receipt of confidential	No	X
	enforcement information related to CITES?  Information from previos report still valid—but instead of Mr Sultan	No information	
	note Mr Johan Rehnquist, phone: +46 46 31 63 37 77; email: johan.rehnquist@tullverketse		
2	If No, please designate them here (with address, phone, fax and email).		ê
	The Customs and Police are prepared to receive confidential information report (2003-2004).	as noted in this box in the pr	evious
3	Has your country established a specialized unit responsible for CITES-	Yes	X
5	related enforcement (e.g. within the wildlife department, Customs, the		
3		No	
3	police, public prosecutor's office)?	No Under consideration	
3			
4	police, public prosecutor's office)?	Under consideration No information	
_	police, public prosecutor's office)? See information in previous report (2003-2004)	Under consideration No information	

1	To what extent is CIT	Tick if applicable					
	<ul> <li>Monitoring an</li> </ul>	d reporti	ng of data o	on legal trac	le		X
	<ul> <li>Monitoring an</li> </ul>	X					
	<ul> <li>Permit issuance</li> </ul>	X					
	– Not at all						
	- Other (specify	)					
2	Do the following auth	orities h	ave access t	o the Intern	et?		Tick if applicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	X					
	Scientific Authority	X					
	Enforcement Authority	X					
3	Do you have an electr species?	onic info	ormation sy	stem provid	ling info	ormation	on CITES Yes X No

4	If Yes, does it provide information on:			Tick if applie	
	Legislation (national, regional or internation				X
	Conservation status (national, regional, inter-	rnational)?			X
	- Other (please specify)?				
5	Is it available through the Internet:		Yes		X
			No Not	applicable	
				information	
	Please provide URL:		••		
6	Do the following authorities have access to the following	owing publications?		Tick if applica	ble
	Publication	Management	Scientific	Enforcemen	
		Authority	Authority	Authority	
	2003 Checklist of CITES Species (book)	X	X		
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)	X	X		
	Identification Manual	X	X		
	CITES Handbook	X			
7	If not, what problems have been encountered to acc No information from the Enforcement Authorities	ess to the mentioned	I information?		
8	Have enforcement authorities reported to the Manag	gement Authority on	1;	Tick if applie	cable
	– Mortality in transport?				
	– Seizures and confiscations?				X
	Discrepancy in number of items in permit and n	number of items actua	ally traded?		
	Comments: The comments in previous report is stil	ıl valid			
9	Is there a government website with information on	CITES and its	Yes		X
	requirements?		No	<b>a</b>	
			No ini	formation	
	If Yes, please give the URL: URL still valid from previous report				
10	Have CITES authorities been involved in any of the	e following activities	e to	Tick if applic	cable
10	bring about better accessibility to and understanding requirements to the wider public?			тик п арри	caoic
	Press releases/conferences				X
ļ	Newspaper articles, radio/television appeara	ances			X
	- Brochures, leaflets				X
	– Presentations				X
	– Displays				X
ļ	<ul> <li>Information at border crossing points</li> </ul>				X
	Telephone hotline				
į	- Other (specify)				
	Please attach copies of any items as Annex.				
11	Please provide details of any additional measures ta	aken:			

## D5 Permitting and registration procedures

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?						Yes X No  Not applicable No information	]
	If no, please provide details of a	any:						
	Changes in permit format:							
	Changes in designation or s							
2	To date, has your country devel following?	oped written permi	it procedur	es for an	y of th	ne	Tick if applicable	
				Yes		No	No information	
	Permit issuance/acceptance			X				
	Registration of traders							
	Registration of producers							
3	Please indicate how many CITI							
	(Note that actual trade is norma documents).		Annual Re	port by I	Parties	. This qu	nestion refers to issued	
	Year 1	Import or introduction from the sea	Export	Re-exp	ort	Other	Comments	
	How many documents were issued?	224+15	133+1	Includ in exp		-	The first figure indicated documents issued by the CITES-and Animal Health Division and the second by Plant Protection Division und May 1, 2006	he
	How many applications were denied because of severe ommissions or misinformation?						Only a few ( less than ten) were denied because of <i>severe</i> omissions or missinformation	
	Year 2 How many documents were issued?	277+7	122+0	Includ n expo		-	***************************************	
	How many applications were denied because of severe ommissions or misinformation?						Only a few (less than ten) applications were denied because of severe omissions ormissinformation	
4	Were any CITES documents the	at were issued later	cancelled	and repl	aced b	ecause	Yes	]
	of severe ommissions or mis-in						No X	
		No information	]					
5	If Yes, please give the reasons							
6	Please give the reasons for recountries.	ejection of CITES	documen	ts from	other		Tick if applicable	
	Re	ason			Yes	No	No information	
	Technical violations				X			
	Suspected fraud							
	Insufficient basis for finding of	non-detriment			X			
	Insufficient basis for finding of				X			
	Other (specify)							
7	Are harvest and/or export quota issuance of permits?	-		-			Yes X No  No information	]
	Comments We follow recomme	endations to reques	t notation of	of quotas	in exp	port		

	permits and state the quota in import permits own in cases of export.	s issued.	We have	no quot	as of	our	
8	How many times has the Scientific Authority been requested to provide opinions? 640 times  As mentioned in previous report we do not any longer have a possibility to get the figures for asked transaction from our database but with an estimation of 70% requests out of ca 800 CITES import/export documents we arrive at above mentioned figure. Opinions for EU CITES certificates are not included here.						
9	Has the Management Authority charged fees related CITES activities?						Tick if applicable
	- Issuance of CITES documents:						X
	<ul> <li>Licensing or registration of operatio</li> </ul>	ns that p	roduce C	CITES sp	ecies:		
	<ul> <li>Harvesting of CITES-listed species</li> </ul>	:		-			
	– Use of CITES-listed species:						<u>X*</u>
	Assignment of quotas for CITES-lis	ted spec	ies:				
	- Importing of CITES-listed species:				. 1	,,.	
10	Other (specify): *refers to EU CITE  If Yes, please provide the amounts of such for same fees as for previous period. From June	ees. Duri 15, 2007	ing the re 7 there w	porting ill be an	perioc increa	d the	s
11	plant permit fees to SEK 400 and SEK 350 f						Tiels if annliaghle
11	Have revenues from fees been used for the in wildlife conservation?	mpiemer	itation oi	CHES	or		Tick if applicable
	– Entirely:						
	– Partly:						
	– Not at all:						<u>X*</u>
	- Not relevant:	2 6		22			
12	Comments: * contribute to salaries and wag Please provide details of any additional mea			ff			
12	Flease provide details of any additional fleas	sures tak	en.				
D6	Capacity building						
1	Have any of the following activities been u CITES implementation at the national level		n to enha	ince effe	ectiven	ness of	Tick if applicable
	Increased budget for activities		Improv networ	vement o	of nati	onal	X
	Hiring of more staff			se of tec oring/enf			oment for
	Development of implementation tools		Comp	ıterisatio	on		
	- Other (specify)		-				
2	Have the CITES authorities in your country			nt of any	of th	e follo	wing capacity building
	activities provided by external sources? See	e previou	is report.			T	
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Target group	or or	Te	Fir	Tra	Ð	
	Staff of Management Authority				İ□		
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders						
	NGOs					П	
	Public						

	Other (specify)												
3	Have the CITES a activities? See pr			ountry b	een th	e <i>provid</i> e	ers of an	y of th	e follo	owing capacity building			
	Please tick boxes group and which		te which ta	arget	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details			
	Target group			(	g C	Te	Fin	T <sub>1</sub>	Ŏ				
	Staff of Managen	nent Auth	ority										
	Staff of Scientific	Authorit	y										
	Staff of enforcem	ent autho	rities										
	Traders												
	NGOs												
	Public												
	Other parties/Inte	rnational	meetings										
	Other (specify)												
4	Please provide de	tails of a	ny addition	nal meas	ures ta	ken							
D7 Co	llaboration/co-op	erative i	nitiatives	<b>i</b>									
1	Is there an inter-a	-	inter-secto	oral com	mittee	on CITE	ES?			Yes $\square$ No $X^*$ No information $\square$			
2	If Yes, which age	encies are	represente	ed and h	ow oft	en does i	t meet?						
3	If No, please indi among CITES au									A to ensure co-ordination			
		Daily	Weekly	Monthl	у А	nnually	None		No rmation	Other (specify)			
	Meetings					#				#Quarterly			
	Consultations	X											
4	At the national le collaborate with:	vel have	there been	any effo	orts to		Tick i	f appl	icable	Details if available			
	Agencies for dev	elopment	and trade					X					
	Provincial, state	or territor	ial authori	ties				X					
	Local authorities	or comm	unities					X					
	Indigenous peopl	es											
	·									11111111111111111111111111111111111111			
	Trade or other pr	or other private sector associations											
	Trade or other pr NGOs	ivate sect	or associat	tions				X					

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if app	plicable
	SA		
	Customs		
	Police		
	Other border authorities (specify)		
	Other government agencies		
	Private sector bodies		
	NGOs		
	Other (specify)		
6	Has your country participated in any regional activities related to CITES?	Tick if app	plicable
	Workshops		X
	Meetings Reginal Plant meeting		X
	Other (specify)		
7	Has your country encouraged any non-Party to Yes		
	accede to the Convention?		X
	No information		
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to	Yes	
	another country in relation to CITES?	No No information	X
10	If Vos which country/ice) and what hind of againtance was answided?	No information	Λ
10	If Yes, which country(ies) and what kind of assistance was provided?	37	
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No	X
		No information	
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce	Yes	
	duplication of activities between the national authorities for CITES and other	No	
	multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	No information	X
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?						
	Activity	High	Medium	Low			
	Increased budget for activities			X			
	Hiring of more staff			X			
	Development of implementation tools						
	Improvement of national networks			X			
	Purchase of new technical equipment for monitoring and enforcement						
	Computerisation	X#					
	Other (specify) * Easier legislation with fewer exceptions and establishment of a national environmental (including CITES) team. #Customs.	X*#					
2	Has your country encountered any difficulties in implementing specific	Yes					
	Resolutions or Decisions adopted by the Conference of the Parties?	No		Χ¤			
	□ see previous report	No info	rmation	x*			
				*Cus toms			
3	If Yes, which one(s) and what is the main difficulty?						
4	Have any constraints to implementation of the Convention arisen in your country	Yes					
	requiring attention or assistance?	No		X			
		No inf	Cormation	X*			
				*cus			
				tom s			
5	If Yes, please describe the constraint and the type of attention or assistance that is Customs	required	. According	to			
6	Has your country identified any measures, procedures or mechanisms within the	Yes		X*			
	Convention that would benefit from review and/or simplification?	No					
			ormation				
7	If Yes, please give a brief description. *According to Customs to many difficult e etc.) see also previous report	xception	s (hunting tr	ophies			
8	Please provide details of any additional measures taken:						

## E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	X
		Not available	
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	X
		Not relevant	X
C5	Details of specimens seized, confiscated or forfeited	Enclosed	X
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	X
		Not relevant	X
C9	Details of violations and results of court actions	Enclosed	
		Not available	X
		Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for	Enclosed	X
	educational or public awareness purposes,	Not available	
		Not relevant	
	Comments		

## UNITED KINGDOM

## CITES BIENNIAL REPORT FOR 2005-2006 Part 1 - CITES Questions

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

## A. General information

Party	United Kingdom
Period covered in this report:	1 January 2005 to 31 December 2006
Details of agency preparing this report	UK Management Authority (Defra)
Contributing agencies, organizations or individuals	Scientific Authorities- JNCC (Fauna), Royal Botanic Gardens, Kew (Flora), Enforcement Authorities (Defra, HM Revenue and Customs, the Police Authorities)

## B. Legislative and regulatory measures

1	Has information on CITES- under the CITES National I If yes, ignore questions 2, 3	egislation F and 4.	Project?		Yes (1 No				
	Information has been fully property some UK Overseas Territor into force.					formation	n/unknown	Ш	
2	If your country has planned details:	, drafted or	enacted any	/ CITES-relevant le	gislation, p	lease pro	vide the follow	ing	
	Title and date: The Contr Endangered Species (Enfo (Amendment) Regulation	orcement) ns 2005		status:					
	Brief description of contents Regulation 338/97 (implem- fine.	enting CITE	ES in the EU	J) from two to five	years impri				
3	Is enacted legislation availal Convention?	ble in one of the working languages of the Yes  No  No information							
4	If yes, please attach a copy provisions that were gazette	of the full legislative text or key legislative legislation attached provided previously not available, will send later							
5	Which of the following issu your country has adopted for (in accordance with Article	r CITES-lis	ted species		neasures th	at Tick	all applicable		
			The conditi	ions for:	The	complete	prohibition of:		
	Issue	Yes	No	No information	Yes	No	No informat	ion	
	Trade	$\square$			$\boxtimes$				
	Taking	$\square$			$\boxtimes$				
	Possession	$\square$			$\boxtimes$				
	Transport					$\boxtimes$			
	Other (specify)	$\boxtimes$			$\boxtimes$				
	Additional comments	rhino horn Certain bii	Other = the sale of seriously threatened species such as tiger skins, bear bile, rhino horn and Tibetan antelope hair.  Certain bird species have to be registered with the Department under UK Conservation legislation. The taking and sale of some native species is also						
			gulated und		501C 01 5011	ic native	species is also		

6	6 What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?						
	Item	Adequate	Partially Inadequate	Inadequate	No information		
	Powers of CITES authorities						
	Clarity of legal obligations						
	Control over CITES trade						
	Consistency with existing policy on wildlife management and use						
	Coverage of law for all types of offences						
	Coverage of law for all types of penalties						
	Implementing regulations						
	Coherence within legislation						
	Other (please specify):						
	Please provide details if available:						
	Legislation relating to UK Ports of Ent						
	Inspection Posts. The UK is undertaking Regulation and noting ports for import			w to fully imple	ementing the		
7	If no review or assessment has taken place reporting period?	lace, is one planne	d for the next	Yes No No	information		
	Please provide details if available:						
	New legislation will come into force in						
8	Has there been any review of legislatio relation to implementation of the Conv		subjects in	Tick al	l applicable		
	Subject		Yes	No	No information		
	Access to or ownership of natural resou	urces					
ļ	Harvesting						
	Transporting of live specimens						
	Handling and housing of live specimen	ıs					
	Please provide details if available:						
9	Please provide details of any additional						
	A review of the controls on possession				-		
	New EU legislation: Implementation of <a href="http://www.defra.gov.uk/animalh/we">http://www.defra.gov.uk/animalh/we</a>				5		
	mip.//www.uena.gov.ur/animan/we	iiaie/iaiiiieu/liaii	<u> </u>	nueg.nun			
<del></del>	1						

## C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been u	ndertaken?		
	Review of reports and other information provided by traders and producers	$\boxtimes$		
	Inspections of traders, producers, markets			
	Border controls			
	Other (specify)			

2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?				]	
3	If Yes, please indicate how many and for what types of violations? If a Annex.	es, please indicate how many and for what types of violations? If available, please attach details as				
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?				]	
5	If information available:  ☐ Significant seizures/confiscations ☐ Total seizures/confiscations  If possible, please specify per group of species or attach details on annex. See Annex A . Significant seizures: 220 live Caiman Crocodilius and 102 live seahorses.		Numb 2 741			
6	Have there been any criminal prosecutions of significant CITES-related violations?				]	
7	If Yes, how many and for what types of violations? If available, please See Annex B	attach de	tails as Ann	ex.		
8	Have there been any other court actions of CITES-related violations?					
9	If Yes, what were the violations involved and what were the results? Please	attach deta	ils as Annex.			
10	How were the confiscated specimens generally disposed of?		T	ick if app	licable	
	Return to country of export					
	Public zoos or botanical gardens				$\boxtimes$	
	Designated rescue centres					
	Approved, private facilities					
	- Euthanasia					
	- Other (specify)	<del></del>				
	Comments:	·		<del> </del>		
11	Has your country provided to the Secretariat detailed information significant cases of illegal trade (e.g. through an ECOMESSAGE other means), or information on convicted illegal traders and persistent offenders?		Yes No Not appli No inform			
	Comments:					
12						
	Has your country been involved in cooperative enforcement activities	with	Yes		$\boxtimes$	
	other countries		No			
	(e.g. exchange of intelligence, technical support, investigative assistan joint operation, etc.)?		No inform			
13	If Yes, please give a brief description: Exchange of information betwe Illegal sale of Ivory. Information exchange between the Netherlands Perchange between Italian Police and NWCU. Numerous further contact as Avian Influenza / Birds of Prey with international counterparts.	olice and	NWCU. Info	ormation		
14	Has your country offered any incentives to local communities to assist	in the	Yes	•		
	enforcement of CITES legislation, e.g. leading to the arrest and convic		No		$\boxtimes$	
	offenders?		No inform	ation		
15	If Yes, please describe:					
16	Has there been any review or assessment of CITES-related enforcement	1t?	Yes	<del></del>	$\boxtimes$	
10	The more oven any review of assessment of CITES-related enforcement		No			
			Not appli	cable		
			No inform			
I			TAO HHOHIII	utiVII		

	Comments: NWCU, on behalf of Defra, conducted a review of CITES enforcement in the UK. A number of recommendations for improvement were made which are awaiting to be actioned.
17	Please provide details of any additional measures taken:

## D. Administrative measures

## D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	
2	If Yes, please use the opportunity to provide those changes here.	140 information	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	
4	If Yes, please name that MA and indicate whether it is identified as the lead I	MA in the CITES Direc	ctory.
5	How many staff work in each MA? 40		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation 83%	Yes No No information	
7	What are the skills/expertise of staff within the MA(s)?	Tick if ap	plicable
	- Administration		
	- Biology		
	- Economics/trade		
	– Law/policy		$\boxtimes$
	- Other (specify)		
	<ul> <li>No information</li> </ul>		
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	
9	If Yes, please give the species name and provide details of the kind of research	ch involved.	
	Basking Shark population assessment project (through satellite tagging)		
	Basking Shark genetic identification project. Plant alternatives for use in Traditional Chinese Medicine (to replace Asiatic horn)	black bears, tigers and	rhino
	Wild bird trade: impact on livelihoods and illegal trade		
	Development of genetic techniques for the forensic identification of CITES – products		d
	Survey of the occurrence and relative abundance of raptors in Guinea subject Pilot project underway to identify through DNA analysis the species compos illegally imported into the UK.		g
	Trade in Choice Plants- A review of trade in plants for specialist growers, fro	om China to the UK	
10	Please provide details of any additional measures taken Licensing function will transfer to a separate delivery body, called Animal H	ealth, on 1 April 2007	

## D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	
4	What is the structure of the SA(s) in your country?  Joint Nature Conservation Committee (animals)  Royal Botanic Gardens, Kew (plants)	Tick if a	pplicable
	<ul> <li>Government institution (JNCC)</li> <li>Academic or research institution (Kew)</li> <li>Permanent committee</li> <li>Pool of individuals with certain expertise</li> <li>Other (specify)</li> </ul>		
5	How many staff work in each SA on CITES issues?  JNCC – 4 staff. Kew - 4 staff. UK SA Total = 8		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation JNCC – 2 staff @90%, 1 @ 80% and 1 @ 45%.  Kew - 3 @ 100% and 1 @ 60%.	Yes No No information	
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable	
	<ul> <li>Botany (Kew)</li> <li>Ecology (Kew and JNCC)</li> <li>Fisheries (JNCC)</li> <li>Forestry (Kew)</li> <li>Welfare (Husbandry – JNCC)</li> <li>Zoology (JNCC)</li> <li>Other (specify) (Captive breeding issues – JNCC/ artificial propagation, plant trade issues, sustainable use, taxonomy and nomenclature, horticulture, wood anatomy, DNA techniques - Kew)</li> <li>No information</li> </ul>		
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No	
	Kew - Yes, Trade in plants from China, timber trade, wood identification	No information	

9	If Yes, please giv	If Yes, please give the species name and provide details of the kind of research involved.								
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)			
	Aves (Diurnal raptors)	Guinea	Yes	Yes	Yes	Yes	Checklist			
	Species collected by Alpine plant specialists in UK	China	Yes	Yes	Yes	Yes	Cultivation/ propagation  Identificatio			
	CITES woods - Ramin									
						N				
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?  No No No information									
11	Please provide de	etails of any additi	ional measures tal	ken:		1,0 2				
2	authorities that have been designated for the receipt of confidential enforcement information related to CITES?  No information  If No, please designate them here (with address, phone, fax and email).									
3	related enforceme	v established a speent (e.g. within the osecutor's office)?	toms, the	Yes No Under consideration No information						
4	If Yes, please star	te which is the lea	ad agency for enfo	rcement:		NO IIIIOIIIIauon				
	At ports of entry and exit: HMRC (Customs) has a dedicated CITES team based at Heathrow Airport which acts as a national focal point for customs-related CITES issues.									
	Internally: The NWCU (National Wildlife Crime Unit – launched in October 2006, succeeding the former National Wildlife Crime Intelligence Unit) acts as a national focal point for wildlife intelligence matters, and as an initial point of contact for the UK police service									
,	Please provide de	etails of any additi	ional measures tal	cen:						
)4	Communication, in	nformation mana	gement and exch	ange						
1	To what extent is CITES information in your country computerized?  Tick if applicable									
	Monitoring and reporting of data on legal trade									
	Monitoring and reporting of data on illegal trade						$\boxtimes$			
	- Permit iss	uance					$\boxtimes$			
	– Not at all									
	- Other (spe	ecify)								

2	Do the following auth	orities h	ave access t	to the Inter	net?			Tick if app	licable			
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all		vide details whe propriate	re			
	Management Authority											
	Scientific Authority											
	Enforcement Authority											
3	Do you have an electr species?	onic info	ormation sy	stem provi	ding info	ormation	No	Yes No No information				
4	If Yes, does it provide	de infor	mation on:					Tick if app	licable			
	<ul> <li>Conservation status (national, regional, international)?</li> <li>Other (please specify)? Taxonomy and Nomenclature (Kew)</li> </ul>											
	Contribution to MAs Guidance to traders and checklists (JNCC)											
5	Is it available through the Internet:  Yes  No  Not applicable  No information  Please provide URL: <a href="https://www.ukcites.gov.uk">www.ukcites.gov.uk</a> and <a href="https://www.rbgkew.org.uk">www.rbgkew.org.uk</a>											
6	Do the following auth	orities h	ave access t	to the follo	wing pu	blication	s?	Tick if applic	cable			
	Pu	blication				gement hority	Scientific Authority	Enforcem Authori				
	2003 Checklist of CIT	ES Spec	ies (book)			$\boxtimes$						
	2003 Checklist of CIT Appendices (CD-ROM		ies and Ann	otated								
	Identification Manual				[	$\boxtimes$						
	CITES Handbook					$\boxtimes$						
7	If not, what problems	have bee	en encounte	ered to acce	ess to the	e mention	ned information?					
8	<ul> <li>Mortality in transport?</li> <li>Seizures and confiscations?</li> <li>Discrepancy in number of items in permit and number of items actually traded?</li> </ul>						Tick if app	licable				
9	requirements? No						nformation					

	If Yes, please give the URL: www.ukcites.gov.uk					
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable				
	<ul> <li>Press releases/conferences (HMRC, NWCU, MA and Kew)</li> </ul>					
	<ul> <li>Newspaper articles, radio/television appearances (HMRC, NWCU MA)</li> </ul>	and				
	- Brochures, leaflets (MA and Kew)					
	<ul> <li>Presentations (HMRC, MA, JNCC and Kew)</li> </ul>					
	- Displays (MA and Kew)					
	<ul> <li>Information at border crossing points (HMRC and Kew)</li> </ul>					
	- Telephone hotline (MA)					
	<ul> <li>Other (specify) (MA and HMRC attendance at holiday &amp; regional shows)</li> </ul>					
	Please attach copies of any items as Annex.					
11	Please provide details of any additional measures taken:					
	'Souvenir alert' – continued distribution of leaflets and luggage labels					

## D5 Permitting and registration procedures

1	Have any changes in permit format or the designation and si empowered to sign CITES permits/certificates been reported Secretariat?	Yes No Not applicable No information			
	If no, please provide details of any:				
	Changes in permit format:				
	Changes in designation or signatures of relevant officials				
2	To date, has your country developed written permit procedu following?	Tick if applicable	1		
		Yes	No	No informati	on
	Permit issuance/acceptance				
	Registration of traders				
	Registration of producers				

3	Please indicate how many CITES documents were issued or denied in the two year period?							
	(Note that actual trade is norma documents).	lly reported in the	Annual Re	port by Parti	es. This qu	uestion refers to issu	ied	
	Year 1 - 2005	Import or introduction from the sea	Export	Re-export	Other	Comments		
	How many documents were issued?	12130	2101	5761	8689	Other = Art 10 certificates used for intra-Community		
	How many applications were denied because of severe omissions or misinformation?	175	5	36	229			
	Year 2 - 2006 How many documents were issued?	9731	1849	5289	12164	Other = Art 10 certificates used for intra-Community		
	How many applications were denied because of severe omissions or misinformation?	231	0	20	425			
4	Were any CITES documents the of severe omissions or mis-info	at were issued later rmation?	l because	Yes No No information				
5	If Yes, please give the reasons f							
6	Please give the reasons for recountries.	er	Tick if applicable					
	Re	ason		Yes	No	No information	on	
	Technical violations							
	Suspected fraud							
	Insufficient basis for finding of							
	Insufficient basis for finding of	legal acquisition			1 4			
7	Other (specify) Are harvest and/or export quota	e uced ac a manage	ement tool	in the proced	lure for	Yes		
,	issuance of permits?	s used as a manage	cincin toor	in the procee	iure for	No No information		
	Comments – Export quotas are native wildlife from the UK. Ho may be considered when assess measures.	owever, the use of	export quo	tas by other of	countries			
8	How many times has the Scient 48483 (referrals and re-referrals	•	n requested	to provide o	pinions?			
9	Has the Management Authority related CITES activities?	charged fees for p	ermit issua	nce, registra	tion or	Tick if app	olicable	
	- Issuance of CITES documents						$\boxtimes$	
	- Licensing or registration of op	_	uce CITES	species			님	
	<ul><li>Harvesting of CITES-listed sp</li><li>Use of CITES-listed species</li></ul>	oecies .					H	
	- Assignment of quotas for CIT	ES-listed species						
	- Importing of CITES-listed spe							
	- Other (specify)							
10	If Yes, please provide the amou £68,829 (06/07) Total = £140,1		£ 71,296 (	(05/06)				

11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable
	- Entirely	
	– Partly	$\boxtimes$
	– Not at all	
	- Not relevant	
	Comments: Revenue goes back into central Defra coffers but Defra regularly provides funding for CITES projects and implementation within the UK NB: The fee system is under review, and it is likely that the UK	
12	will move to full cost recovery over the next three years.  Please provide details of any additional measures taken:	<u> </u>

D6 Capacity building

1	Have any of the following activities been u CITES implementation at the national level	,	Tick if applicable								
	Increased budget for activities		Impro	vement o	of natio	onal		$\boxtimes$			
	Hiring of more staff	$\boxtimes$		nse of tec oring/enf	oment for						
	Development of implementation tools		Comp	uterisatio	n		$\boxtimes$				
	_	<del>-</del>									
2	Have the CITES authorities in your country provided by external sources? No	been th	e recipie	nt of any	of the	e follo	ring capacity building activities				
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the e	xternal sources?			
	Staff of Management Authority										
	Staff of Scientific Authority										
	Staff of enforcement authorities										
	Traders										
	NGOs										
	Public										
	Other (specify)										

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?							
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details	
		$\square$		$\square$			UK Managament Authority	
	Staff of Management Authority						UK Management Authority provided funding for: 2005 - MIKE - Mission to Peru on mahogany - UK Wildlife Trade Enforcement Coordination Workshop - ETIS - Shark Specialist Group - African Elephant Specialist Group - contribution towards the 30 <sup>th</sup> anniversary of CITES - EIA Tiger & Leopard skin trade enforcement training film 2006 - Sponsored Delegates Project - 21 <sup>st</sup> Century Tiger - Cats Project - Participation on EU Mission to Peru on mahogany and CITES Mahogany Working group Ramin trade in Indonesia, Malaysia and Singapore: bridging the gap in implementing of the Tri-National task force - African Rhino Specialist Group - IUCN African Elephant Specialist Group - MIKE programme - MIKE financial assistance - Sponsored delegate project - ETIS - CITES Great Ape Task Force - Capacity building workshop on trade in agarwood - Livelihoods workshop - Electronic permitting - 21 <sup>st</sup> Century Tiger Projects: Tiger projects database - IUCN/Traffic project "Analyses of the proposals to amend the CITES Appendices	

Staff of Scientific Authority					Animals SA (JNCC):
					<ul> <li>Training to UK police and Customs.</li> </ul>
					- Training to Defra Wildlife
					<ul><li>Inspectors</li><li>Funded capacity building</li></ul>
					workshop for CITES enforcement authorities in
					Guinea in identification of raptors in trade
					<ul> <li>Provided advice and technical</li> </ul>
					assistance to other Scientific Authorities in the EU and UK
					overseas territories to other Scientific Authorities in the
					EU and UK overseas territories
					<ul> <li>Contributed to the CITES</li> <li>MSc. course run at the</li> </ul>
					Universidad Internacional de Andalucia in Baeza, Spain.
					Plant SA (KEW):
					- Training for enforcement and policy staff (Sweden) and
					contributed to CITES European Regional Plants Meeting (Perugia)
					-Produced a range of capacity building tools including guides to
	$\boxtimes$	$\boxtimes$	$\boxtimes$	$\boxtimes$	plants in trade, checklists funded by UK MA and distributed for
					free to all CITES Parties weblinks or copies attached).
					<ul> <li>Provided advice and technical assistance to other Scientific</li> </ul>
					Authorities in the EU and
					CITES Parties and UK Overseas Territories
					<ul> <li>Training to UK police and Customs.</li> </ul>
					<ul> <li>Training to Defra Licensing staff and Wildlife Inspectors</li> </ul>
					- Contributed to the CITES MSc. course run at the
					Universidad Internacional de
					Andalucia in Baeza, Spain
I I					

	Staff of enforcement authorities						'Customs – Hungary, Taiwan, Sweden and Montenegro. Training for UK Customs, police and Wildlife inspectors. NWCU – Mostly technical assistance and training to police authorities within the UK but also assistance given to India in the establishment of their Wildlife Crime Bureau.
	Traders						
	NGOs						
	Public						
	Other parties/International meetings						Kew International meetings including EU Enforcement Working Group, SRG, CITES Plants Committee, CITES CoP14 CITES Mahogany Working Group and Mission to Peru on mahogany (2005) & EU Mission to Peru on mahogany (2006)  Customs and Police: International meetings including CITES Expert Group, Europol, EU Enforcement Working Group, and Interpol Wildlife Working Group.
	Other (specify)			$\boxtimes$			•
4 D7 Co	Please provide details of any additional me As part of it's Presidency of the EU, in Oct Trade Enforcement Co-ordination worksho States. The workshop agreed a statement of further EU discussions on better enforcemental additional metabolic provides and the statement of the stat	tober 200 op for rep f recomm	of the Uk resentati nendation	ves of th	ne enfo	rcem	ent agencies of all EU Member
1	Is there an inter-agency or inter-sectoral co	ommittee	on CITE	ES?			Yes 🖂 No 🖂 No information
2	If Yes, which agencies are represent meet?  CITES Officers Group (COG) –MA/ SA  Joint Liaison Group – MA, SA, Customs  Inter-departmental Ministerial Group of Foreign and Commonwealth Office (FCO) Food and Rural Affairs (Defra), Department (DfID) and JNCC (SA) – every 6 months  Partnership for Action Against Wildlife MA/SA/Customs/Police – 3 a year	A/Custom and NGO n Biodiv Departn nt for Int	os – ever Os – ever ersity (I nent for I ernationa	y 6 mon ry 6 mor <b>DMGB</b> ) Environr	ths iths - ment,	t	

3	If No, please indi among CITES au							to ensure co-ordination		
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)		
	Meetings									
	Consultations									
4	At the national le collaborate with:	evel have	there been	any efforts	s to	Tick i	f applicable	Details if available		
	Agencies for dev	elopment	and trade			İ		FCO, DfID. DTI		
	Provincial, state	or territor	rial authori	ties				Local police authorities (NWCU)		
	Local authorities	or comm	unities					Trading Standards Offices, Port Health Authorities, Local Environmental Health Offices		
	Indigenous peopl	les								
	Trade or other private sector associations					THE REST OF THE RE		Sustainable Users Network (SUN – pet/plant trade),		
	NGOs					***************************************		LINK (Conservation NGOs), TRAFFIC (wildlife trade monitoring organisation) etc		
	Other (specify)									
5	To date, have any formal arrangement to CITES been agagencies?	ents for in	nstitutional	cooperation	on related			Tick if applicable		
	SA									
	Customs					$\boxtimes$				
	Police									
	Other border auth	norities (s	pecify)							
	Other governmer	nt agencie	es					$\boxtimes$		
	Private sector bo	dies								
	NGOs									
	Other (specify)									
6	Has your country related to CITES		nted in any	regional a	ctivities			Tick if applicable		
	Workshops									
	Meetings : EU C Scientific Review Group (EWG)									
	Other (specify) - Meeting (Kew). member states. In the approach to E crime.	NWCU -	- widesprea n an EU in	ad contact itiative to o	with EU co-ordinate					
7	Has your cour	ntry enc	ouraged	any non-	-Party to	Yes				

	accede to the Convention?		
	No information		
8	If Yes, which one(s) and in what way?		
	Turks & Caicos Islands through the Foreign and Commonwealth Office (FCO).		
9	Has your country provided technical or financial assistance to	Yes	$\boxtimes$
	another country in relation to CITES?	No	
		No information	
10	If Yes, which country(ies) and what kind of assistance was provided?		
	Management Authority – through assisted delegates fund.		
	Customs -Training in Hungary, Taiwan, Sweden and Montenegro		
	Kew – Sweden, Peru (capacity building material to help implement CITES)		
11	Has your country provided any data for inclusion in the CITES Identification	Yes	
	Manual?	No	$\boxtimes$
		No information	
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce	Yes	$\boxtimes$
	duplication of activities between the national authorities for CITES and other	No	
	multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	No information	
	Conventions):		
14	If Yes, please give a brief description. Meetings at official and ministerial level	on common issues, P	AW.
15	Please provide details of any additional measures taken: COG and IDMGB. Na (sitting 3 times a year)	tional Enforcement G	roup

#### D8 Areas for future work

	1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the nation level and what is the respective level of priority?								
		Activity	High	Medium	Low					
		Increased budget for activities	$\square$							
		Hiring of more staff (low for MA, SA but high for NWCU)								
		Development of implementation tools								
		Improvement of national networks								
		Purchase of new technical equipment for monitoring and enforcement								
		Computerisation								
		Other (specify)								
Ī	2	Has your country encountered any difficulties in implementing specific	Yes		$\boxtimes$					
		Resolutions or Decisions adopted by the Conference of the Parties?	No							
L			No info	rmation						
	3	If Yes, which one(s) and what is the main difficulty? Personal & Household Eff Annex A from Annex B crocodile species when in the form of a bag, belt, shoe of								
	4	Have any constraints to implementation of the Convention arisen in your country	Yes							
		requiring attention or assistance?	No		$\boxtimes$					
L			No inf	ormation						
	5	If Yes, please describe the constraint and the type of attention or assistance that it	s required							
	6	Has your country identified any measures, procedures or mechanisms within the	Yes		$\boxtimes$					
		Convention that would benefit from review and/or simplification?	No							
L			No inf	ormation						
	7	If Yes, please give a brief description. MA - Review of effectiveness of CITES i nationally and internationally in 2006/07.	mplement	ation –both						

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item	·	·
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	$\boxtimes$
С3	Details of violations and administrative measures imposed	Enclosed	$\boxtimes$
	See Annex A	Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	$\boxtimes$
	See Annex A	Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	$\boxtimes$
	See Annex B	Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for	Enclosed	
	educational or public awareness purposes,	Not available	
	Copies previously sent. Kew publications list and copies or weblinks attached (at Annex C)	Not relevant	
	Comments Souvenir alert leaflets, luggage labels		

## ANNEX - REGULATION BIENNIAL REPORT FORMAT PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:						
				Status:			
	Brief description of conte	nts:					
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>19</sup> ? Yes  Tick all applicable categories below that these categories apply to.						
			The condit	tions for:	The	complete	prohibition of:
	Issue	Yes	No	No information	Yes	No	No information
	Trade		$\boxtimes$				
	Taking	$\square$			$\boxtimes$		
	Possession						
	Transport						
	Other (specify)						
	Additional comments			st be registered with al species needs a li			
8b	Has there been any review relation to implementation			ollowing subjects in	1		
					Yes	No	No information
	Introduction of live Regulation-listed species into the  Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).						
	Marking specimens to fac with Article 19, paragraph		fication (in	accordance			
	Please provide details if a	vailable,					
9b	Please provide details of maximum penalties that may be imposed for Regulation-related violations, or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9)  Maximum prison sentence for import/export offences – 7 years. Maximum penalties for certain (internal) Article 8 offences are five years imprisonment and/or an unlimited fine.						

<sup>&</sup>lt;sup>19</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

## C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No				
		No information				
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes No No information				
	Comments:					
17 new	Have specimens been marked to establish whether they were born and bred in captivity or artificially propagated? (In accordance with Commission Regulation (EC) No 1808/2001 Article 36),	Yes No No information				
	Comments: All keepers of live Annex A specimens must mark all specimens	kept for commercial p	urposes.			
18 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c))	Yes No No information				
	Comments: For certain species we ask importers to complete a 'care and accommodation' questionnaire which seeks information about the proposed accommodation for the specimens to which the permit would relate. Wildlife inspectors can be sent to visit the premises concerned to verify the information provided in the questionnaire.					

## D. Administrative measures

## D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	
10 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2),	Yes No No information	

D2	Scientific Authorit	ty (SA)					
8b	Have any resear		een undertaken ecies?	by the SA	A(s) in	on $\square$	
9b	If Yes, please giv	e the species nar	me and provide de	etails of th	e kind of researc	h involved.	
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	Non-CITES plants collected by Alpine plant specialists in UK	China	Yes	Yes	Yes	Yes	Cultivation/ propagation
						No informati	ion
11 new	, , , , , , , , , , , , , , , , , , , ,						(7)
D3	Enforcement Autho	orities					
6 new	enforcement auth		or CITES been no untry?	ilililated w	vitimi cacii icieva	ant i	ck if applicable
						Yes No Under considera No inform	
D4	Communication, is	nformation man	nagement and exc	hange			
1b	Is Regulation-rela	ated information listed species - U		omputeriz	zed on?	Tio	ck if applicable
	<ul> <li>Other mat</li> </ul>						
3b	Do you have an e Regulation-listed		ation system prov CORN	iding info	rmation on	Yes No No inform	nation
D5	Permitting and reg	gistration proced	lures				
9b	Has the Managen not covered in qu Registration fees		harged fees for an	y Regulat	ion-related matte	ers Yes No No inform	mation

#### D8 Areas for future work

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6))	Yes No No information	
4b	Have any constraints to implementation of the Regulation, not reported under question D8(4), arisen in your country requiring attention or assistance?	Yes No No information	
7 bis new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs? Approx 63%	Number:	

# ANNEX 1

# **BULGARIA**

### A. General information

Party	BULGARIA
Period covered in this report:	1 January 2005 to 31 December 2006
Details of agency preparing this report	National Nature Protection Service Directorate
	Ministry of Environment and Water
	22, Maria Luisa Blvd.
	tel. (+359 2 940 6151)
Contributing agencies, organizations or individuals	

## B. Legislative and regulatory measures

1			S-relevant legislation already been S National Legislation Project?			Yes (fully) Yes (partly)		
	If yes, ignore questions 2		Ü	•	No			П
					No in	formatio	n/unknown	
2	If your country has planner following details:	ed, drafted	or enacte	d any CITES-relev	/ant legisla	ation, ple	ease provide	the
	Title and date:		S	Status:				
	Brief description of conte	nts:						
3	Is enacted legislation ava	ilable in or	ne of the w	orking languages	of Yes			
	the Convention?	No						$\boxtimes$
						formatio		
4	If yes, please attach a co			ve text or key	-	ation atta		
	legislative provisions that	were gaze	etted.		-	led previ	•	$\boxtimes$
					not av later	ailable, v	will send	
5	Which of the following iss					Tick	all applicabl	е
	measures that your coun	•	•	•	es			
	(in accordance with Articl							
		-	The condit		The c		prohibition of	
	Issue	Yes	No	No information	Yes	No	No informa	ation
	Trade							
	Taking							
	Possession				$\boxtimes$			
	Transport							
	Other (specify)							
	Additional comments	Complete Bulgariar	•	n of possession o	n protecte	d specie	s taken from	the

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?						
	Item	Adequate	Partially Inadequate	Inadequate	No information		
	Powers of CITES authorities	$\boxtimes$					
	Clarity of legal obligations	$\boxtimes$					
	Control over CITES trade						
	Consistency with existing policy on wildlife management and use	$\boxtimes$					
	Coverage of law for all types of offences	$\boxtimes$					
	Coverage of law for all types of penalties	$\boxtimes$					
	Implementing regulations	$\boxtimes$					
	Coherence within legislation	$\boxtimes$					
	Other (please specify):						
	Please provide details if available:						
7	If no review or assessment has taken	n place, is one p	lanned for the	Yes			
	next reporting period?			No			
				No	information		
	Please provide details if available:						
8	Has there been any review of legisla in relation to implementation of the		owing subjects	Tick al	l applicable		
	Subject		Yes	No	No information		
	Access to or ownership of natural re	esources					
	Harvesting						
	Transporting of live specimens			$\boxtimes$			
	Handling and housing of live specing	nens					
	Please provide details if available:						
	Since 2003 a Regulation for mini	mum requiren	nents of keepin	g animals in	zoos is in place.		
	The regulation serves as a base for	or evaluation o	of the existing f	acilities in th	e zoos. It is a		
	base also for the decisions about	issuance of im	port permits fo	or Appendix	I animals.		
9	Please provide details of any addition	onal measures ta	aken:				
	1						

## C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations l	oeen undert	taken?	
	Review of reports and other information provided by traders and producers:			
	Inspections of traders, producers, markets	$\boxtimes$		
	Border controls		$\boxtimes$	
	Other (specify)			$\boxtimes$
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?			

In 2006 a penalty was imposed for trade with illegally imported parrot.	3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.				
CITES specimens been made?		In 2006 a penalty was imposed for trade with illegally imported	parrot			
Significant seizures/confiscations   Total seizures/confiscations   If possible, please specify per group of species or attach details on annex.	4					
Total seizures/confiscations   If possible, please specify per group of species or attach details on annex.	5	If information available:		Numl	oer	
If possible, please specify per group of species or attach details on annex.		Significant seizures/confiscations	One	confiscatio	n of two	live
In Possible, please spect.   Per group of species of attach   details on annex.		☐ Total seizures/confiscations	speci	mens of Gr	een moi	ıkey.
CITES-related violations?   If Yes, how many and for what types of violations? If available, please attach details as Annex.				one	<b>!</b>	
Have there been any other court actions of CTTES-related violations?	6					
violations?   If Yes, what were the violations involved and what were the results? Please attach details as Annex.	7	If Yes, how many and for what types of violations? If available, pl	ease at	tach details	as Anne	ex.
How were the confiscated specimens generally disposed of?   Tick if applicable	8					
- Return to country of export - Public zoos or botanical gardens - Designated rescue centres - Approved, private facilities - Euthanasia - Other (specify)  Comments:  11 Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?  12 Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?  13 If Yes, please give a brief description:  14 Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?  15 If Yes, please describe:  16 Has there been any review or assessment of CITES-related enforcement?  17 No Not applicable No information Communities to assist in the enforcement?  18 No Not applicable No information Communities to assist in the enforcement?  19 Not applicable No information Communities to assist in the enforcement?  10 Not applicable No information Communities to assist in the enforcement?	9	If Yes, what were the violations involved and what were the results? P	lease at	tach details	as Annex	۲.
- Public zoos or botanical gardens - Designated rescue centres - Approved, private facilities - Euthanasia - Other (specify)  Comments:  11 Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?  12 Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?  13 If Yes, please give a brief description:  14 Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?  15 If Yes, please describe:  16 Has there been any review or assessment of CITES-related enforcement?  17 No information CITES legislation or conviction of CITES related enforcement?  18 No information CITES related Pres Pres Pres Pres Pres Pres Pres Pres	10	How were the confiscated specimens generally disposed of?		Tio	ck if app	licable
- Designated rescue centres - Approved, private facilities - Euthanasia - Other (specify)  Comments:  11 Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?  12 Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?  13 If Yes, please give a brief description:  14 Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?  15 If Yes, please describe:  16 Has there been any review or assessment of CITES-related enforcement?  17 No Not applicable not information communities to assist in the enforcement?  18 No Not applicable not applicable not applicable not information communities to applicable not information conviction of offenders?  18 No Not applicable not information communities to applicable not information conviction of offenders?		- Return to country of export				
- Approved, private facilities - Euthanasia - Other (specify)  Comments:  11 Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?  12 Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?  13 If Yes, please give a brief description:  14 Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?  15 If Yes, please describe:  16 Has there been any review or assessment of CITES-related enforcement?  17 No Not applicable No information  No Not applicable No information		- Public zoos or botanical gardens				$\boxtimes$
- Euthanasia - Other (specify)  Comments:  Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?  No Not applicable No information  Comments:  Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?  Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?  No No information  If Yes, please describe:  Has there been any review or assessment of CITES-related enforcement?  No Not applicable No information  Comments:		- Designated rescue centres				$\boxtimes$
- Other (specify)  Comments:  11 Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?  12 Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?  13 If Yes, please give a brief description:  14 Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?  15 If Yes, please describe:  16 Has there been any review or assessment of CITES-related enforcement?  No Not applicable not of the process of the pro		<ul> <li>Approved, private facilities</li> </ul>				
Comments:    11						
Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?  No Not applicable No information  Comments:  Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?  If Yes, please give a brief description:  Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?  No No information  If Yes, please describe:  Has there been any review or assessment of CITES-related enforcement?  No Not applicable No information  Comments:						
significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?    No Not applicable   No information						
other means), or information on convicted illegal traders and persistent offenders?  Not applicable No information  Comments:  12 Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?  13 If Yes, please give a brief description:  14 Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?  15 If Yes, please describe:  16 Has there been any review or assessment of CITES-related enforcement?  No No information  Comments:	11		r			
persistent offenders?    Not applicable   No information   Comments:			1			$\boxtimes$
Comments:  12  Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?  13  If Yes, please give a brief description:  14  Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?  15  If Yes, please describe:  16  Has there been any review or assessment of CITES-related enforcement?  16  No Not applicable No information  17  No Not applicable No information  18  No Not applicable No information		,				
Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?  If Yes, please give a brief description:  Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?  No No information  If Yes, please describe:  Has there been any review or assessment of CITES-related enforcement?  No No applicable No information  Comments:				No inform	nation	
with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?  13 If Yes, please give a brief description:  14 Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?  15 If Yes, please describe:  16 Has there been any review or assessment of CITES-related enforcement?  16 No Not applicable No information  17 No Not applicable No information Comments:		Comments:				
(e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?  13	12		ities	Yes		
assistance, joint operation, etc.)?  13 If Yes, please give a brief description:  14 Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?  15 If Yes, please describe:  16 Has there been any review or assessment of CITES-related enforcement?  No Not applicable No information  Comments:				No		$\boxtimes$
Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?  If Yes, please describe:  Has there been any review or assessment of CITES-related enforcement?  No No No No No No No No No No No No No				No inform	nation	
enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?  No information  If Yes, please describe:  Has there been any review or assessment of CITES-related enforcement?  No Not applicable No information  Comments:	13	If Yes, please give a brief description:				
conviction of offenders?  No information  If Yes, please describe:  Has there been any review or assessment of CITES-related enforcement?  No No No Not applicable No information  Comments:	14		the	Yes		
No information  If Yes, please describe:  Has there been any review or assessment of CITES-related enforcement?  No Not applicable No information  Comments:				No		$\boxtimes$
Has there been any review or assessment of CITES-related enforcement?  No Not applicable No information  Comments:		conviction of offenders:		No inform	nation	
enforcement?  No Not applicable No information	15	If Yes, please describe:				
Not applicable No information Comments:	16	Has there been any review or assessment of CITES-related		Yes		
No information Comments:		enforcement?		No		
No information Comments:				Not appli	cable	
Comments:						
17 Please provide details of any additional measures taken:		Comments:				
	17	Please provide details of any additional measures taken:				

#### D. Administrative measures

## D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact	Yes	
	information for the MA(s) in your country which are not yet	No	$\boxtimes$
	reflected in the CITES Directory?	No information	
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been	Yes	
	designated?	No	$\boxtimes$
		No information	
4	If Yes, please name that MA and indicate whether it is identified as the Directory.	e lead MA in the CIT	ΓES
5	How many staff work in each MA? 4 experts		
6	Can you estimate the percentage of time they spend on CITES	Yes	
	related matters?	No	$\boxtimes$
		No information	
	If yes, please give estimation:		
	The percentage of the time spend on CITES issues depends of the percentage of the cases appeared.		
7	What are the skills/expertise of staff within the MA(s)?	Tick if ap	plicable
	- Administration		$\boxtimes$
	- Biology		$\boxtimes$
	- Economics/trade		
	- Law/policy		$\boxtimes$
	- Other (specify)		
	- No information		
8	Have the MA(s) undertaken or supported any research activities in	Yes	
	relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	No	$\boxtimes$
	species racinalization, not covered in B2(0) and B2(0).	No information	Ш
9	If Vos places give the species name and provide details of the kind of		
9	If Yes, please give the species name and provide details of the kind of	research involved.	
10	Please provide details of any additional measures taken		
D2	Scientific Authority (SA)		
1	Have there been any changes in the designation of or contact	Yes	
	information for the SA(s) in your country which are not yet reflected	No	$\boxtimes$
	in the CITES Directory?	No information	
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent	Yes	
	from the Management Authority?	No	
		No information	$\Box$
4	What is the structure of the SA(s) in your country?	Tick if ap	plicable
	- Government institution		
	- Academic or research institution		$\square$
	- Permanent committee		$\square$
	- Pool of individuals with certain expertise		
	- Other (specify)		
	\ I = 27		

5	How many staff work in each SA on CITES issues?									
		Since 2003 a special CITES Scientific council with 14 experts has been working to support the CITES MA in implementation of the Convention.								
6			age of time they s	spend on (	CITES	Yes				
	related matter	rs?		No						
						No informat	tion 🖂			
	If yes, please a	give estimation								
7	What are the	skills/expertise		Tic	k if applicable					
	- Botany	•					$\boxtimes$			
	- Ecolog	у					$\boxtimes$			
	- Fisheri	es					$\boxtimes$			
	- Forestr	У								
	- Welfar	e								
	- Zoolog	Sy.					$\boxtimes$			
	- Other (	(specify)								
	- No info	ormation								
8			een undertaken	by the SA	(s) in	Yes				
	relation to CI	TES species?				No				
						No informat	<u>—</u>			
9		give the species	name and provid							
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)			
	1			take	traue	traue	(specify)			
	2									
	3									
	etc.						# 1			
	Cic.					l. No informa	tion 🛛			
10	Have any pro	iect proposals fo	r scientific resea	rch been s	ubmitted to	Yes				
10		t under Resoluti				No				
						No informa	ntion 🗌			
11	Please provid	e details of any a	additional measu	ıres taken:	;		11.11			
	_	3								
•	•									

#### D3 Enforcement Authorities

DJ	Emorcement Authori	1163						
1	Has the Secretariat l						es Yes	$\boxtimes$
	that have been design				identia	ıl	No	
	enforcement inform	ation re	iated to C	11E5?			No information	
2	If No, please design	ate then	n here (wit	th address,	, phone	e, fax and	l email).	
3	Is there a specialized						Yes	
	enforcement (e.g. w police, public prosec			departmen	t, Cust	oms, the	No	$\boxtimes$
	police, public prosec	Lutoi s c	ince):				Under consideration	
			No information					
4	If Yes, please state v	vhich is	the lead a	gency for e	enforce	ment:		
							irectorates, Ministry of ational Custom Agency	
5	Please provide deta			-				
D4	Communication, info							
1	To what extent is C			<u> </u>			ized? Tick if appli	icable
	- Monitoring a	-	Ü	0				$\boxtimes$
ŀ	- Monitoring a	-	orting of d	ata on illeg	gal trad	e		
ŀ	- Permit issuar	nce						
	- Not at all							
	- Other (specif							
2	Do the following au	thoritie	s have acc	ess to the I	nterne	t?	Tick if appli	icable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details who appropriate	ere
	Management Authority							
	Scientific Authority							

 $\boxtimes$ 

Yes

No

No information

 $\boxtimes$ 

Do you have an electronic information system providing information on

Enforcement Authority

CITES species?

3

	7/3/ 1 1/ 11 1 / /		<del></del>	TT: 1 :6 1:	
4	If Yes, does it provide information on:		Tick if applic	cable	
	- Legislation (national, regional or intern	,			$\boxtimes$
	<ul> <li>Conservation status (national, regional,</li> </ul>	international)?			
	- Other (please specify)?				
5	Is it available through the Internet:		Ye	s	$\boxtimes$
			No	)	
			No	ot applicable	$\boxtimes$
			No	information	
	Please provide URL: http://www.moew.gover.http://www.chm.moew.government.bg/	nment.bg/ and			
6	Do the following authorities have access to the	following publica	tions?	Tick if application	able
	Publication	Management Authority	Scientific Authority	Enforceme Authority	
	2003 Checklist of CITES Species (book)	$\boxtimes$			
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)				
	Identification Manual	$\boxtimes$	$\boxtimes$		
	CITES Handbook	$\boxtimes$			
7	If not, what problems have been encountered	to access to the me	ntioned inforn	nation?	
8	Have enforcement authorities reported to the l	Management Auth	ority on:	Tick if applic	cable
	- Mortality in transport?				
	- Seizures and confiscations?				$\boxtimes$
	<ul> <li>Discrepancy in number of items in permit a traded?</li> </ul>	and number of iten	ns actually		
	Comments: The Customs have been obliged l	by the law to repo	rt of any		
	seizures and confiscation. The Minister of Enbeen empowered to decide about the final di				
9	Is there a government website with information	n on CITES and its	yes Yes		$\boxtimes$
	requirements?		No		
			No i	nformation	
	If Yes, please give the URL:				
	http://chm.moew.government.bg/nnps/Index		5		
10	Have CITES authorities been involved in any cactivities to bring about better accessibility to a the Convention's requirements to the wider put	and understanding	of	Tick if applic	cable
	- Press releases/conferences				$\overline{\Box}$
	<ul> <li>Newspaper articles, radio/television ap</li> </ul>	ppearances			
	- Brochures, leaflets	1			
	- Presentations				一
	- Displays				
	<ul> <li>Information at border crossing points</li> </ul>				
	- Telephone hotline		<u> </u>		$\overline{\Box}$
	- Other (specify)				
	Please attach copies of any items as Annex.				Ш_
11	Please provide details of any additional measu	ures taken:			
11	Trease provide details of any additional fileast	aco micro			

## D5 Permitting and registration procedures

1	Have any changes in permit for	mat or the des	signation a	and signatu	res of	Yes			
	officials empowered to sign CIT	been repor	ted	No	$\boxtimes$				
	previously to the Secretariat?					Not applicable			
		No information							
	If no, please provide details of a								
	Changes in permit format:								
	Changes in designation or s	_							
2	To date, has your country deve	loped written j	permit pro	ocedures fo	r any of	Tick if applicable	e		
	the following?					1 27			
				Yes	No	No informati	ion		
	Permit issuance/acceptance								
	Registration of traders								
	Registration of producers								
3	Please indicate how many CITE								
	(Note that actual trade is normal issued documents).	ally reported ir	n the Annı	ual Report	by Partie	es. This question ref	ers to		
	Year 1	Import or	_			Comments			
		introduction from the sea	Export	Re-export	Other				
	How many documents were	11	100	10		No permits for introd			
	issued?	11	180	10		from the sea were issu	ıed.		
	How many applications were								
	denied because of severe								
	ommissions or mis-								
	information?					N			
	Year 2		93	13		No permits for introd from the sea were issu			
	How many documents were issued?	•	93	13					
	How many applications were		<u>i</u>	<u> </u>					
	denied because of severe	_		_					
	ommissions or mis-	1		1					
	information?								
4	Were any CITES documents that			elled and re	eplaced	Yes	$\boxtimes$		
	because of severe ommissions of	or mis-informa	tion?			No			
						No information			
5	If Yes, please give the reasons for	or this.							
	The usual reason of cancellation								
	importer (in case of export) or					ause of late			
	issuance of the (re-) export doc			-	_	T: 1 : ( 1: 11			
6	Please give the reasons for reject countries.	ction of CITES	aocumeni	ts from othe	er	Tick if applicable	e		
	Reaso			Yes	No	No informati	ion		
	Technical violations	<u></u>					.011		
	Suspected fraud	1							
	Insufficient basis for finding of								
	Insufficient basis for finding of	legal acquisition	on						
	Other (specify)			<u> </u>					
7	Are harvest and/or export quo	tas as a manag	ement too	ol in the pro	cedure	Yes			
	for issuance of permits?					No	Ц		
						No information	Ш		
	Comments: Bulgaria has expor					/oluntary			
I	quota was set also for export o	ı cavıar produ	cea in aqu	uacuitures.					

0				
8	How many times has the Scientific Auth	ority be	een requested to provide opinions?	
			pendix I listed species, ones for annual no	on-
	detrimental finding for export of hunti			1: 1-1 -
9	Has the Management Authority charged registration or related CITES activities?	i rees ro	r permit issuance,	pplicable
	- Issuance of CITES documents:			$\boxtimes$
	- Licensing or registration of opera	ations tl	nat produce CITES species:	$\boxtimes$
	- Harvesting of CITES-listed speci	es:		
	<ul> <li>Use of CITES-listed species:</li> </ul>			
	<ul> <li>Assignment of quotas for CITES-</li> </ul>	listed s	pecies:	
	<ul> <li>Importing of CITES-listed specie</li> </ul>	s:		
	- Other (specify):			
10	If Yes, please provide the amounts of su-	ch fees.		
	1. Issuance of CITES import or exporspecies for Bulgaria - 23 BG leva (Ap	-	-	
	2. Issuance of CITES import permits leva (Appr. 21 Euro) for each species	for nor		
	3. Issuance of CITES import or expor		its or re-export certificate the zoos.	
	botanical gardens, circuses, delphina	-	-	
	and for specimens museums and scient		-	
	Euro) for the whole permit.			
	4. Registration of breeding operation	for Ap	ppendix - I species - 300 BG leva	
	(App. 154 Euro).			
11	Have revenues from fees been used for t CITES or wildlife conservation?	the imp	lementation of Tick if a	pplicable
11		the imp	lementation of Tick if a	pplicable
11	CITES or wildlife conservation?	the imp	lementation of Tick if a	pplicable
11	CITES or wildlife conservation?  - Entirely:	the imp	lementation of Tick if a	pplicable
11	CITES or wildlife conservation?  - Entirely: - Partly:	the imp	lementation of Tick if a	pplicable
11	CITES or wildlife conservation?  - Entirely: - Partly: - Not at all: - Not relevant:	-	lementation of Tick if a	
11	CITES or wildlife conservation?  - Entirely: - Partly: - Not at all: - Not relevant: Comments: The revenue comes into the	he Ente		□ ⊠ □ □
11	CITES or wildlife conservation?  - Entirely: - Partly: - Not at all: - Not relevant: Comments: The revenue comes into the	he Ente	erpise for Management of Environment try of Environment and Water. EMEPA	□ ⊠ □ □
11	CITES or wildlife conservation?  - Entirely: - Partly: - Not at all: - Not relevant: Comments: The revenue comes into the Protection Activities (EMEPA) in the	he Ente Minis gnated	erpise for Management of Environment try of Environment and Water. EMEPA CITES Rescue Centers.	□ ⊠ □ □
	CITES or wildlife conservation?  - Entirely: - Partly: - Not at all: - Not relevant: Comments: The revenue comes into the Protection Activities (EMEPA) in the finances the running cost of the designation.	he Ente Minis gnated	erpise for Management of Environment try of Environment and Water. EMEPA CITES Rescue Centers.	□ ⊠ □ □
12 D6	CITES or wildlife conservation?  - Entirely: - Partly: - Not at all: - Not relevant: Comments: The revenue comes into the Protection Activities (EMEPA) in the finances the running cost of the design Please provide details of any additional  Capacity building	he Ente Minis gnated measur	erpise for Management of Environment try of Environment and Water. EMEPA CITES Rescue Centers. es taken:	al
12	CITES or wildlife conservation?  - Entirely: - Partly: - Not at all: - Not relevant: Comments: The revenue comes into the Protection Activities (EMEPA) in the finances the running cost of the design Please provide details of any additional  Capacity building  Have any of the following activities been	he Ente Minis gnated measur	erpise for Management of Environment try of Environment and Water. EMEPA CITES Rescue Centers. es taken: Tick if a	□ ⊠ □ □
12 D6	CITES or wildlife conservation?  - Entirely: - Partly: - Not at all: - Not relevant: Comments: The revenue comes into the Protection Activities (EMEPA) in the finances the running cost of the design Please provide details of any additional  Capacity building  Have any of the following activities been effectiveness of CITES implementation	he Ente Minis gnated measur	erpise for Management of Environment try of Environment and Water. EMEPA CITES Rescue Centers. es taken:  rtaken to enhance Tick if a ational level?	al opplicable
12 D6	CITES or wildlife conservation?  - Entirely: - Partly: - Not at all: - Not relevant: Comments: The revenue comes into the Protection Activities (EMEPA) in the finances the running cost of the design Please provide details of any additional  Capacity building  Have any of the following activities been	he Ente Minis gnated measur	erpise for Management of Environment try of Environment and Water. EMEPA CITES Rescue Centers. es taken: Tick if a	al
12 D6	CITES or wildlife conservation?  - Entirely: - Partly: - Not at all: - Not relevant: Comments: The revenue comes into the Protection Activities (EMEPA) in the finances the running cost of the design Please provide details of any additional  Capacity building  Have any of the following activities been effectiveness of CITES implementation	he Ente Minis gnated measur	erpise for Management of Environment try of Environment and Water. EMEPA CITES Rescue Centers. es taken:  rtaken to enhance Tick if a ational level?	al opplicable
12 D6	CITES or wildlife conservation?  - Entirely: - Partly: - Not at all: - Not relevant: Comments: The revenue comes into the Protection Activities (EMEPA) in the finances the running cost of the design Please provide details of any additional  Capacity building  Have any of the following activities been effectiveness of CITES implementation  Increased budget for activities	he Ente Minis gnated measur	erpise for Management of Environment try of Environment and Water. EMEPA CITES Rescue Centers. es taken:  rtaken to enhance Tick if a ational level?  Improvement of national networks  Purchase of technical equipment for	al opplicable

2	Have the CITES authorities in your cou building activities provided by external			ecipient (	of any	of th	e following capacity
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority					П	
	Staff of Scientific Authority						The CITES MA provided regular training at least ones per year for officers from the National Custom Agency.
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other (specify)						
3	Have the CITES authorities in your cou building activities?	ntry bee	en the pa	1	of any	y of th	ne following capacity
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Target group	O. ad	Te	Ē	Ţ	δ	
	Staff of Management Authority						The CITES MA provide regular training at least ones a year for officers from the National Custom Agency.
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders	$\boxtimes$					
	NGOs						
	Public						
	Other parties/International meetings						
	Other (specify)						
4	Please provide details of any additional						
	Each year the CITES MA provides trai Inspectorates (REW) about the implen regulation of the domestic trade with	nentatio	n of the	Conve			

## D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?  Yes								
								No .	
								No information	
2	If Yes, which ag	encies a	re represe	ented and	how often	does it n	neet?		
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensuordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):								e co-
		Daily	Weekly	Monthl y	Annually	None	No information	Other (specif	y)
	Meetings								
	Consultations							the frequency the consultar is very mu depended or subject	tions ich
4	At the national collaborate with		ve there b	een any e	fforts to	Tick if	applicable	Details if avai	lable
	Agencies for de	velopm	ent and tra	ade					
	Provincial, state	or terri	torial autl	norities					
	Local authoritie	s or con	nmunities						
	Indigenous peo	ples							
	Trade or other p	orivate s	ector asso	ciations					
	NGOs								
	Other (specify)							Exsecutive Ag of Fishery a Aquacultu	ind
5	To date, have an arrangements for between the MA	or institu	itional co	operation	related to C			Tick if app	licable
	SA			0.0.					
	Customs								
	Police								
	Other border au <b>Border Control</b>	ıthoritie	s (specify)	: Veterin	ary and Phy	ytosanita	ary		
	Other governme	ent ager	icies:						
	Private sector be	odies							
	NGOs								
	Other (specify): clearly determine							are	
6	Has Governmer activities related	-	-	d in any 1	egional			Tick if app	licable
	Workshops								$\boxtimes$
	Meetings								$\boxtimes$
	Other (specify)								
7	Has there been a Party to accede	-			non-	Yes			

	No		$\boxtimes$
	No information		
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another	Yes	
	country in relation to CITES?	No	$\boxtimes$
		No information	
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES	Yes	
	Identification Manual?	No	$\boxtimes$
		No information	
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve co-ordination and reduce	Yes	$\boxtimes$
	duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the	No	
	biodiversity-related Conventions)?	No information	
14	If Yes, please give a brief description.		
	'National Nature Protection Service' Directorates in the Ministry of Endonly the body authorised to administrate the implementation of the body authorised to administrate the body authorised to administrate the implementation of the body authorised to administrate the body authorised to administrate the body authorised to administrate the body authorised to administrate the body authorised to administrate the body authorised to administrate the body authorised to administrate		
15	Please provide details of any additional measures taken:		

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?									
	Activity	High	Medium	Low						
	Increased budget for activities		$\boxtimes$							
	Hiring of more staff									
	Development of implementation tools									
	Improvement of national networks									
	Purchase of new technical equipment for monitoring and enforcement									
	Computerisation									
	Other (specify)									
2	Were any difficulties encountered in implementing specific Resolutions	Yes								
	or Decisions adopted by the Conference of the Parties?	No		$\boxtimes$						
		No info	ormation							
3	If Yes, which one(s) and what is the main difficulty?									
4	Have any constraints to implementation of the Convention arisen in your	Yes								
	country requiring attention or assistance?	No		$\boxtimes$						
		No in	formation							
5	If Yes, please describe the constraint and the type of attention or assistance	e that is	required.							
6	Have any measures, procedures or mechanisms been identified within	Yes								
	the Convention that would benefit from review and/or simplification?	No		$\boxtimes$						
		No in	formation							
7	If Yes, please give a brief description.									
8	Please provide details of any additional measures taken:									

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	$\boxtimes$
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	$\boxtimes$
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	$\boxtimes$
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	
		Not available	$\boxtimes$
		Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES	Enclosed	
	produced for educational or public awareness purposes,	Not available	$\boxtimes$
		Not relevant	
	Comments		

# ROMANIA



## CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA



## NOTIFICATION TO THE PARTIES

Bucharest, November 2007

#### **ROMANIA**

**Biennial Report** 

Ministry of Environment and Sustainable Development

**CITES Management Authority** 

Directorate for Nature Protection, Biodiversity and Biosafety

#### A. General information

Party	Romania
Period covered in this report: 1 January 2003 to 31 December 2004	1 January 2005 - 31 December 2006
Details of agency preparing this report	Ministry of Environment and Sustainable Development (National Management Authority)
Contributing agencies, organizations or individuals	National Customs Authority, National Environmental Guard, Romanian Academy, Forest Reserch and Management Planning Institute, Danube Delta National Institute for Research and Development

#### B. Legislative and regulatory measures

				<u> </u>					
1	Has information on CITE				Yes (fu				
	provided under the CITE		-	ition Project?	Yes (pa	artly)			
	If yes, ignore questions	2, 3 and 4	1.		No			$\boxtimes$	
					No info	rmation	/unknown		
2	<ul> <li>If any CITES-relevant legislation has been planned, drafted or enacted, please provide following details:</li> <li>On 2005-2006 period have been modified some laws and normative acts in this field, and approximately acts in the field of the field o</li></ul>								
	were presented in the Report concerning CITES activities implemented in Romania 2003 - 2004.								
	Title and date:		S	tatus:					
	Order No. 262/330/2006 on consisturgeon populations and develop aquaculture in Romania		noon	enacted					
	Brief description of conto	ents:							
	The annual prohibition C consultation with Ministr and conservation of stur	y of Wate	rs and Er					nt	
3	Is enacted legislation av	ailable in	one of the	e working	Yes			$\boxtimes$	
	languages of the Conve	ntion?		_	No				
					No info	rmation	1	$\Box$	
4	If yes, please attach a c	opv of the	full leaisl	ative text or kev	leaisl	ation att	tached		
	legislative provisions that					ded prev			
		J				······		- H	
					later	valiable,	, will send		
5	Which of the following is	sues are	addresse	ed by any stricter o		: T	Tick all applic	cable	
	measures adopted for C						supp		
	XIV of the Convention)?		·	•					
		Т	he condit	ions for:	The c	omplete	prohibition	of:	
	Issue	Yes	No	No information	Yes	No	No informa	ation	
	Trade								
	Taking								
	Possession								

	Transport Other (specify)							
	Additional comments		Ш			<u> </u>	<u> </u>	
6		were the results of any review or assessment of the effectiveness  Tick all applicable ES legislation, with regard to the following items?						
	Item		Adequa	ate	Partially Inadequa	, in	adequate	No information
	Powers of CITES autho	rities						
	Clarity of legal obligation	 าร						
	Control over CITES trac	le						
	Consistency with existin on wildlife management use							
	Coverage of law for all to	ypes of						
	Coverage of law for all t penalties	ypes of						
	Implementing regulation	S			$\boxtimes$			
	Coherence within legisla	ation						
	Other (please specify):							
	Please provide details if The CITES MA has info and conservation of fish to elaborate a regulation	ormed Mi ery stock	inistry of <i>A</i>	ovision	of the Re	es. Con	f. 12.7, aı	
7	If no review or assessm		aken place	e, is on	e planned	for	Yes	
	the next reporting period	1?					No	
							No in	formation
	Please provide details if	available	e:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?							
	Subject					'es	No	No information
	Access to or ownership	of natura	l resource	S		$\boxtimes$		
	Harvesting							
	Transporting of live spec							
	Handling and housing o							
	Please provide details if							
9	Please provide details o	f any add	ditional me	asures	taken:			

## C. Compliance and enforcement measures

		Yes	No ir	No nformation		
1	Have any of the following compliance monitoring operation	erations been undertaken?				
	Review of reports and other information provided by traders and producers:					
	Inspections of traders, producers, markets					
	Border controls					
	Other (specify)					
2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?					
3	If Yes, please indicate how many and for what types of viol attach details.	ations? If av	itions? If available, please			
	Regarding to the actions by customs authority regarding the traffic with endangered species of wild fauna and flora, Romanian Customs have not constated illegal trade with specimens of CITES in the period indicated.					
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?					
5	If information available:		Number			
	☐ Significant seizures/confiscations					
	☐ Total seizures/confiscations					
	If possible, please specify per group of species or attach details.					
6	Have there been any criminal prosecutions of significant CITES-related violations?					
7	If Yes, how many and for what types of violations? If availa Annex.	ole, please attach details as				
8	Have there been any other court actions of CITES-related violations?					
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.					
10	How were the confiscated specimens usually disposed of?		Tick if	Tick if applicable		
	Return to country of export			$\boxtimes$		
	Public zoos or botanical gardens			$\boxtimes$		
	Designated rescue centres					
	Approved, private facilities					
	– Euthanasia					
	- Other (specify)					
	Comments:					

11	Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes No Not applicable No information	
	Comments:		
12	Have there been any cooperative enforcement activities with other countries	Yes No	
	(e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	No information	
13	If Yes, please give a brief description:		
In 2005, Romanian representatives has participated to CITES training workshop in Bulgaria. The workshop was for training of the enforcement and scientific authoritie Estern Europe.			
14	Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	
		No	$\boxtimes$
	arrest and conviction of offenders:	No information	
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related	Yes	
	enforcement?	No	$\boxtimes$
		Not applicable	
		No information	
	Comments:		
17	Please provide details of any additional measures taken:		

## D. Administrative measures

## D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact	Yes	
	information for the MA(s) which are not yet reflected in the	No	$\boxtimes$
	CITES Directory?	No information	
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA	Yes	
	been designated?	No	$\boxtimes$
		No information	
4	If Yes, please name that MA and indicate whether it is identified a	s the lead MA in the	

	CITES Directory.		
5	How many staff work in each MA? 3 persons		
6	Can you estimate the percentage of time they spend on CITES-	Yes	$\boxtimes$
	related matters?	No	
	If yes, please give estimation	No information	
	1 persons- full time for CITES and the other two person - part time for CITES		
7	What are the skills/expertise of staff within the MA(s)?	Tick if app	licable
	<ul> <li>Administration</li> </ul>		
	<ul><li>Biology</li></ul>		$\boxtimes$
	<ul><li>Economics/trade</li></ul>		
	<ul><li>Law/policy</li></ul>		
	<ul><li>Other (specify)</li></ul>		
	<ul> <li>No information</li> </ul>		
8	Have the MA(s) undertaken or supported any research activities	Yes	
	in relation to CITES species or technical issues (e.g. labelling,	No	$\boxtimes$
	tagging, species identification) not covered in D2(8) and D2(9)?	No information	
9	If Yes, please give the species name and provide details of the kin	d of research involv	/ed.
10	Please provide details of any additional measures taken:		
D2 Sci	ientific Authority (SA)		
1	Have there been any changes in the designation of or contact	Yes	
	information for the SA(s) which are not yet reflected in the	No	$\boxtimes$
	CITES Directory?	No information	
2	If Yes, please use the opportunity to provide those changes here.		
	σος, γ το το το τη γ το τη σος το το το το το το το το το το το το το		
3	Is the designated Scientific Authority independent from the	Yes	$\square$
	Management Authority?	No	
		No information	
4	What is the structure of the SA(s)?	Tick if app	licable
	<ul> <li>Government institution</li> </ul>		
	Academic or research institution		$\boxtimes$
	<ul> <li>Permanent committee</li> </ul>		
	Pool of individuals with certain expertise		
	- Other (specify)		
	- ···-· ( <b>- / - / · / · / · / · / · / · / · / · / · </b>		

5	How many staff work in each SA on CITES issues?		
	Within the Romanian Academy (CITES SA) there is a comis permanent members.	ssion which include	e nine
	Within the Forest Research and Management Planning Institute Wildlife in that are working 20 persons.	there is a Departmo	ent of
	Within the Danube Delta National Institute for Research and coordinated by MA) are working 3 person on sturgeon stock estimissue.		
6	Can you estimate the percentage of time they spend on CITES-	Yes	
	related matters	No	
	If yes, please give estimation  TThe Comission within Romanian Academy meets every three months or more frequently if needed.	No information	
	The 20 persons from the Department of Wildlife of the Forest Research and Management Planning Institute are working full time on CITE.  The 3 persons from the Danube Delta National Institute for Research and Development are working full time on CITES.		
7	What are the skills/expertise of staff within the SA(s)?	Tick if appli	cable
	<ul><li>Botany</li></ul>		
	- Ecology		$\boxtimes$
	- Fisheries		$\boxtimes$
	<ul><li>Forestry</li></ul>		$\boxtimes$
	<ul> <li>Welfare</li> </ul>		$\boxtimes$
	<ul><li>Zoology</li></ul>		
	<ul><li>Other (specify)</li></ul>		
	<ul> <li>No information</li> </ul>		
8	Have any research activities been undertaken by the SA(s) in	Yes	
	relation to CITES species?	No	
		No information	

9	If Yes, plea	ase give the spe	cies name and p	rovide det	ails of the kir	nd of research	n involved.
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	Ursus arctos	yes	yes	yes	no	no	Managem ent Plan
	Canis lupus, Felis silvestris Lynx lynx	yes	yes	yes	no	no	
	Sturgeo n (Huso huso, Acipens er stellatus, Acipens er guelden staedtii, Acipens er ruthenus	yes	no	yes	yes	no	JPI
	[Please co	ntinue on separ	l ate sheet, as ne	cessarv.1	<u> </u>	No informa	tion $\square$
10	Have any	project proposal	s for scientific re	search be		Yes	
	submitted	to the Secretaria	at under Resolut	ion Conf. 1	2.2?	No	
						No informa	tion 🗌
11	Please pro	ovide details of a	ny additional me	easures tal	ken:		

## D3 Enforcement Authorities

1	Has the Secretariat been informed of any enforcement	Yes						
	authorities that have been designated for the receipt of confidential enforcement information related to CITES?	No	$\boxtimes$					
	confidential enforcement information related to GITES?	No information						
2	If No, please designate them here (with address, phone, fax and Wildlife law Enforcement contact information	d email).						
	Agency name: NATIONAL CUSTOMS AUTHORITY							
	Contact name/Title/Unit/Office:Ms. Irinel Doina SURUGIU customs inspector							
	National Customs Authority, Customs Surveillance and Control D	ivision						
	Mailing address National Customs Authority							
	13 Matei Millo Street, Sector 1, 010144 Bucharest, ROMANIA							
	Telephone number: +40 21 315 58 58 ext. 241, 328							
	Gsm:+40721 216 793							
	Fax number: +40 21 311 24 91							
	Email address: irinel@customs.ro							
3	Is there a specialized unit responsible for CITES-related	Yes						
	enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	No	$\boxtimes$					
	the police, public prosecutor's office):	Under consideration	$\boxtimes$					
		No information						
4	If Yes, please state which is the lead agency for enforcement:							
5	Please provide details of any additional measures taken:							

## D4 Communication, information management and exchange

1	To what extent is CITES information computerized?	Tick if applicable
	Monitoring and reporting of data on legal trade	
	Monitoring and reporting of data on illegal trade	
	- Permit issuance	
	- Not at all	
	- Other (specify)	

2	Do the following at	uthoritie	s have ac	cess to tl	ne Inte	rnet?		Tick if applica			
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	•	ide details wh propriate	ere		
	Management Authority										
	Scientific Authority										
	Enforcement Authority										
3	Is there an electronic information system providing information on CITES species?  No No information										
4	If Yes, does it prov	ide info	rmation o	า:			<del></del>	Tick if applic	able		
	<ul> <li>Legislation (nat</li> </ul>	ional, re	egional or	internatio	nal)?				$\boxtimes$		
	<ul> <li>Conservation st</li> </ul>	atus (n	ational, re	gional, in	ternati	onal)?			$\boxtimes$		
	<ul> <li>Other (please s</li> </ul>	pecify)?	?			•					
5	Is it available throu	igh the	Internet:				Ye	S	$\boxtimes$		
							No	)			
							No	t applicable			
							No	information			
	Please provide UR	RL:									
	http://rosturgeons.o										
6	Do the authorities	indicate	ed have ac	cess to t				Tick if applica			
	Puk	olication	)			gement nority	Scientific Authority	Enforceme Authority			
	2003 Checklist of 0	CITES	S <i>pecies</i> (b	ook)		$\boxtimes$					
	2003 Checklist of C Annotated Append			nd		$\boxtimes$					
	Identification Manu	ıal				$\boxtimes$					
	CITES Handbook					$\boxtimes$		$\boxtimes$			

7	If not, what problems have been encountered to ac	cess this in	nforma	ation?		
	For the development of an effective customs bordeneed the training of customs officers from R disemination of CITES handbook (identification ma	omanian				
8	Have enforcement authorities reported to the Mana	gement A	uthority	y on:	Tick if app	licable
	– Mortality in transport?					
	<ul><li>Seizures and confiscations?</li></ul>					$\boxtimes$
	<ul> <li>Discrepancies in number of items in permits and actually traded?</li> </ul>	number o	f items	5		
	Comments:					
9	Is there a government website with information on 0	CITES and	lits	Yes		
	requirements?			No		$\boxtimes$
				No ir	nformation	
	If Yes, please give the URL:					
10	Have CITES authorities been involved in any of the activities to bring about better accessibility to and u of the Convention's requirements to the wider public	nderstandi	ing		Tick if applic	cable
	Press releases/conferences					
	Newspaper articles, radio/television appearance	es				
	Brochures, leaflets					$\boxtimes$
	- Presentations					
	- Displays					
	Information at border crossing points					
	Telephone hotline					
	Other (specify)					
	Please attach copies of any items.					
11	Please provide details of any additional measures t	aken:				
D5 Pe	rmitting and registration procedures					
1	Have any changes in permit format or the designation			Yes		$\boxtimes$
	signatures of officials empowered to sign CITES per been reported previously to the Secretariat?	mits/certifi	cates	No		닏
	been reported previously to the decretaliat:				applicable information	님
	If no, please provide details of any:			INO I	ntormation	Ш
	Changes in permit format:					
	Changes in designation or signatures of relevant	officials:				
2	To date, has your country developed written permit pany of the following?	orocedures	s for	Tick	if applicable	
		Yes	No		No information	on
	Permit issuance/acceptance					

	Registration of traders							
	Registration of producers							
3	Please indicate how many period? (Note that actual t question refers to issued d	rade is reported						
	Year 1	Import or introduction from the sea	Export	Re- expoi	- 1 (	Other	Comments	
	How many documents were issued?	71	257	58				
	How many applications were denied because of serious omissions or misinformation?							
	Year 2							
	How many documents were issued?	313 235 37						
	How many applications were denied because of serious omissions or misinformation?				1861   1861   1861   1861   1861   1861   1861   1861   1861   1861			
4	Were any CITES documer replaced because of serious				ed an	d	Yes No No information	
5	If Yes, please give the reas	sons for this.						
6	Please give the reasons fo other countries.		TES docu	ıments	from		Tick if appli	cable
	Re	ason		Υ	⁄es	No	No information	n
	Technical violations							
	Suspected fraud							
	Insufficient basis for finding	g of non-detrime	nt					
	Insufficient basis for finding	g of legal acquis	ition					
	Other (specify)							
7	Are harvest and/or export		nagement	tool in	the		Yes	$\boxtimes$
	procedure for issuance of permits?				No			
	0						No information	
0	Comments  How many times has the S	`aiantifia A. 4h	tı bası =	2011004-	\d += =	ro, del	o oninions?	
8	How many times has the S	SCIENTING AUTHORI	ıy been re	equeste	eu io p	סועטונ	e opinions?	

9	Has the MA charged fees for permit is CITES activities?	ssuance	e, registration or related	Tick if app	olicable
	<ul> <li>Issuance of CITES documents:</li> </ul>				$\boxtimes$
	<ul> <li>Licensing or registration of operati species:</li> </ul>	ions tha	at produce CITES		
	<ul> <li>Harvesting of CITES-listed specie</li> </ul>	es :			$\boxtimes$
	<ul> <li>Use of CITES-listed species:</li> </ul>				
	Assignment of quotas for CITES-li				
	<ul> <li>Importing of CITES-listed species</li> </ul>				
	- Other (specify):				
10	If Yes, please provide the amounts of	such fe	 ∂es.		
11	Have revenues from fees been used CITES or wildlife conservation?	Tick if app	olicable		
	- Entirely:				
	- Partly:				
	- Not at all:				
	Not relevant:				$\boxtimes$
	Comments:				
12	Please provide details of any add	ditional	measures taken:		-
	<u> </u>				
D6 Ca	pacity building				
1	Have any of the following activities be effectiveness of CITES implementation			Tick if appl	licable
	Increased budget for activities		Improvement of national networks		
	Hiring of more staff		Purchase of technical equipmonitoring/enforcement	pment for	$\boxtimes$
	Development of implementation tools		Computerization		
	<ul><li>Other (specify)</li></ul>				

2	Have the CITES authorities received or benefited from any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidanc	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority					П	
	Staff of Scientific Authority						CITES Secretariat
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other (specify)						
3	Have the CITES authorities been the activities?	provide	ers of a	ny of th	e foll	owing	g capacity building
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority				П		
	Staff of Scientific Authority				П	П	
-	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other parties/International meetings						
	Other (specify)					П	
4	Please provide details of any addition	al mea	sures ta	aken:			
							•
D7 Co	llaboration/co-operative initiatives						
1	Is there an inter-agency or inter-sect	oral cor	nmittee	on CI	ΓES?	·	Yes
							No 🖂
							No information
2	If Yes, which agencies are represented and how often does it meet?						

3	If No, please ind Management Au SAs, Customs, p	thority t	o ensure o						1As,
		Daily	Weekly	Monthly	Annually	None	No information	(spe	ther ecify)
	Meetings								y time eeded
	Consultations								/ time eded
4	At the national le collaborate with:		e there be	en any effo	orts to	Tick if	applicable		ails if ilable
	Agencies for dev	velopme	ent and tra	de					
	Provincial, state	or territ	orial autho	rities			$\boxtimes$		
	Local authorities	or com	munities						
	Indigenous peop	oles							
	Trade or other p	rivate s	ector asso	ciations					
	NGOs								
	Other (specify)								
5	To date, have ar arrangements for agreed between agencies?	r institu	tional coop	peration rel	ated to CITI	ES been	Tio	ck if appl	licable
	Scientific Author	ity							
	Customs								
	Police								$\boxtimes$
	Other border au	thorities	(specify)						
	Other governme	nt agen	cies						
	Private sector be	odies							
	NGOs								$\boxtimes$
	Other (specify)								
6	Have Governme activities related			d in any re	gional		Tio	ck if appl	icable
	Workshops								$\boxtimes$
	Meetings								$\boxtimes$
	Other (specify)								
7	Has there been		ort to enco	urage any	non-Party	to accede	Yes		
	to the Convention	) I I (					No		
_							No infor	mation	
8	If Yes, which one	e(s) and	I in what w	ay?					

9	Has technical or financial assistance been provided to another	Yes	
	country in relation to CITES?	No	$\boxtimes$
		No information	
10	If Yes, which country(ies) and what kind of assistance was provided	l?	
11	Has any data been provided for inclusion in the CITES	Yes	
	Identification Manual?	No	$\boxtimes$
		No information	
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve co-ordination and reduce	Yes	
	duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the	No	$\boxtimes$
	biodiversity-related Conventions)?	No information	
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

## D8 Areas for future work

1	Are any of the following activities needed to enhance effectivenes implementation at the national level and what is the respective lev			
	Activity	High	Medium	Low
	Increased budget for activities			
	Hiring of more staff	$\square$		
	Development of implementation tools			
	Improvement of national networks			
	Purchase of new technical equipment for monitoring and enforcement			
	Computerization			
	Other (specify)			
2	Were any difficulties encountered in implementing specific	Yes		$\boxtimes$
	Resolutions or Decisions adopted by the Conference of the Parties?			
	Parties?	No information		
3	If Yes, which one(s) and what is the main difficulty?			
	Res. 12.7- There was no clear timeline for reporting on status of s	turgeon p	opulation.	
4	Have any constraints to implementation of the Convention arisen	Yes		
	in your country requiring attention or assistance?	No		
		No infor	mation	
5	If Yes, please describe the constraint and the type of attention or a	assistanc	e that is red	quired.
	Lack of time schedule for regional reporting in Res. 12.7; CITES S propose revision of Res. Conf. 12.7 at CoP 13	Secretaria	nt was aske	d to
6	Have any measures, procedures or mechanisms been identified	Yes		$\boxtimes$
	within the Convention that would benefit from review and/or	No		
	simplification?	No infor	mation	

7	If Yes, please give a brief description.
	Res. Conf. 12.7 as revised during CoP 13
8	Please provide details of any additional measures taken:

## E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	
		Not available	
		Not relevant	
D4(10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes	Enclosed	
		Not available	
		Not relevant	
	Comments		