

# COMMISSION OF THE EUROPEAN COMMUNITIES

SEC(93) 1991 final

Brussels, 14 December 1993

Draft

Commission Decision No .../93/ECSC of .....  
implementing Decision No .../93/ECSC establishing  
Community rules for State aid to the coal industry

(presented by the Commission)

- 1 -

Commission Decision No .../93/ECSC of .....  
implementing Decision No .../93/ECSC establishing  
Community rules for State aid to the coal industry

THE COMMISSION OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Coal and Steel Community,

Having regard to Commission Decision No .../93/ECSC of ..... 1993 establishing Community rules for State aid to the coal industry,

Having consulted the Council,

Whereas pursuant to Decision No .../93/ECSC the Commission shall authorize, subject to the conditions set out therein, financial measures by Member States in aid of the coal industry;

Whereas Decision No .../93/ECSC therefore provides that Member States must send notification by 30 September each year (or three months before the measures enter into force) at the latest of all the financial support which they intend to grant to the coal industry in the following year (and the reasons therefore, the scope thereof and its relation to the modernization, rationalization, restructuring and/or activity reduction plan); whereas in order to ensure that the communications in question are comparable and in order to check this information, it is desirable to set up a common framework for presenting the data;

Whereas in order for the Commission to carry out its monitoring of the supply conditions to the principal consumers in the Community, it is necessary that coal undertakings in the Community and, where appropriate, steel undertakings in the Community should submit information on the supply of coal and coke in the Community,

HAS ADOPTED THIS DECISION:

#### Article 1

1. To enable the Commission to evaluate conformity with the conditions laid down by Articles 3 and 4 of Decision No .../93/ECSC, the coal-producing Member States shall notify the Commission by the 31st March 1994 of the production costs of each coal-producing undertaking on form A in Annex 1 to this Decision.
2. The notifications provided for in Article 9(1) to (3) of Decision No .../93/ECSC shall be given in accordance with the explanatory notes in Annex 2 and, where appropriate, on the forms provided in Annexes 3 to 5 to this Decision.

#### Article 2

1. To enable the Commission to determine the price of coal from third countries intended for blast furnaces, as provided for by Article 3 of Decision No .../93/ECSC, the Community undertakings concerned shall notify the Commission of their purchases of coal, coking coal or coke from third countries intended to supply the blast furnaces of the Community's iron and steel industry.

2. The information referred to in paragraph 1 shall be sent to the Commission every quarter as indicated on form PT in Annex 6 and shall be protected by professional secrecy.
3. For the purposes of determining the price of coal from third countries intended to supply power stations in the Community, the Commission shall use the information communicated pursuant to Decision No 77/707/ECSC,<sup>1</sup> as amended by Decision No 85/161/ECSC.<sup>2</sup>

#### Article 3

1. Coal undertakings within the Community shall notify the Commission of contracts or additional clauses to existing contracts relating to the delivery of coal and coke to the Community's iron and steel industry and to deliveries of coal to electricity-generating undertakings in the Community.
2. The information referred to in paragraph 1 shall be sent to the Commission not later than thirty days after the date on which the contract or additional clause was concluded, as indicated on forms M, C and E in Annex 7 and shall be protected by professional secrecy.

#### Article 4

At the request of one or more Member States, the Commission may authorize simplifications of the notification procedure.

#### Article 5

The documents obtained or compiled by the national authorities in implementing this Decision shall be centralized in the national departments and kept at the disposal of the Commission.

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1 OJ No L 292, 16.11.1977, p. 11.

2 OJ No L 63, 2.3.1985, p. 20.

**Article 6**

Decision No 2645/86/ECSC<sup>3</sup> is hereby repealed.

**Article 7**

This Decision shall enter into force on the day of its publication in the Official Journal of the European Communities. It shall apply with effect from 1 January 1994.

This Decision shall be binding in its entirety and directly applicable in all Member States.

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**3** OJ No L 242, 27.8.1986, p. 1.

ANNEX 1

Form A

Notification of data for 1992

Country: .....  
Coalfield: .....  
Undertaking: .....  
Unit of production or production site: .....

Production  
year  
1992

1. BASIC DATA

1(a) Underground production ('000 metric tonnes of coal equivalent) .....  
    Opencast production ('000 metric tonnes of coal equivalent) .....  
1(b) Output per underground shift (metric tonnes/man-year) .....  
1(c) Gross average hourly wage underground .....  
1(d) Average lower calorific value (GJ/metric tonne)<sup>1</sup> .....  
1(e) Hours worked underground (x 10<sup>6</sup>) .....  
1(f) Average number of staff underground .....

2. PRODUCTION COSTS (In national currency/tce<sup>1</sup>)

2(a) Labour costs (per tce produced) .....  
2(b) Materials costs (per tce produced) .....  
2(c) Direct depreciation (per tce produced) .....  
2(d) Service of operating capital (per tce produced) .....  
2(e) Other costs (per tce produced) .....  
2(f) Total (per tce produced)<sup>2,3</sup> .....  
    [2(a) to 2(e) inclusive]

- Less costs included in the amount specified in Sections 2(a) to 2(e) but not connected with current production (restructuring costs, inherited liabilities or other exceptional costs), whether or not covered by aid:

2(g) Inherited liabilities and restructuring costs .....  
    (per tce produced)  
2(h) Financial measures concerning social security benefits .....  
    (per tce produced)  
2(i) Others (please specify) (per tce produced) .....

- Less costs included in the amounts specified in Sections 2(a) to 2(e) but covered by aid equivalent to the aid provided for by Articles 6 and 7 of Decision No .../93/ECSC:

2(j) Aid for research and development (per tce produced) .....  
2(k) Aid for environmental protection (per tce produced) .....

2(l) Total deductions (per tce produced) .....  
    [2(g) to 2(k) inclusive]  
2(m) Cost of current production (per tce produced) .....  
    [2(f) minus 2(l)]

1 One tce = 29.302 GJ/metric tonne

2 Breakdown as in quarterly cost returns made by associations of undertakings to the Commission.

3 Allowing the necessary amortization and normal return on invested capital, in line with Article 3(c) of the ECSC Treaty.

ANNEX 2

**EXPLANATORY NOTES ON THE NOTIFICATION OF AID**

1. The forecasts for the operating aid provided for by Article 3 and for the aid for the reduction of activity provided for by Article 4 of Decision No .../93/ECSC must be notified on Form B in Annex 3. The real data must subsequently be submitted on Form C in Annex 4.
2. The State aid for financing the specific social welfare schemes provided for by Article 5(2) must be notified on the forms in Annex 5.
3. Any format may be used for notification of the aid provided for by Articles 5(1), 6 and 7.

ANNEX 3  
(Ref. Articles 3 and 4 of Decision No .../93/ECSC)

Form B

Forecasts for 19...

Country: .....  
Coalfield: .....  
Undertaking: .....  
Production unit or production site: .....

Reference year (N-1)	Forecast year (N)
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1. BASIC DATA

1(a) Underground production ('000 metric tonnes of coal equivalent)	.....	.....
Opencast production ('000 metric tonnes of coal equivalent)	.....	.....
1(b) Output per underground shift (metric tonnes/man-year)	.....	.....
1(c) Gross average hourly wage underground	.....	.....
1(d) Average lower calorific value (GJ/metric tonne) <sup>1</sup>	.....	.....
1(e) Hours worked underground (x 10 <sup>6</sup> )	.....	.....
1(f) Average number of staff underground	.....	.....

2. PRODUCTION COSTS (in national currency/tce<sup>1</sup>)

2(a) Labour costs (per tce produced)	.....	.....
2(b) Materials costs (per tce produced)	.....	.....
2(c) Direct depreciation (per tce produced)	.....	.....
2(d) Service of operating capital (per tce produced)	.....	.....
2(e) Other costs (per tce produced)	.....	.....
2(f) Total (per tce produced) <sup>2,3</sup> [2(a) to 2(e) inclusive]	.....	.....

- Less costs included in the amount specified in Sections 2(a) to 2(e) but not connected with current production (restructuring costs, inherited liabilities or other exceptional costs), whether or not they are covered by the aid provided for by Article 5 of Decision No .../93/ECSC):

2(g) Inherited liabilities and restructuring costs (per tce produced)	.....	.....
2(h) Financial measures concerning social security benefits (per tce produced)	.....	.....
2(i) Others (please specify) (per tce produced)	.....	.....

1 One tce = 29.302 GJ/metric tonne

2 Breakdown as in quarterly cost returns made by associations of undertakings to the Commission.

3 Allowing the necessary amortization and normal return on invested capital, in line with Article 3(c) of the ECSC Treaty.



	Reference year (N-1) .....	Forecast year (N) .....
- Less costs included in the amounts specified in Sections 2(a) to 2(e) compensated for by aid granted under Articles 6 and 7 of Decision No .../93/ECSC:		
2(j) Aid for research and development (per tce produced)	.....	.....
2(k) Aid for environmental protection (per tce produced)	.....	.....
2(l) Total deductions (per tce produced) [2(g) to 2(k) inclusive]	.....	.....
2(m) Cost of current production (per tce produced) [2(f) minus 2(l)]	.....	.....
<b>3. REVENUE (in national currency/tce<sup>1</sup>)</b>		
- Separate items:		
- sales to coking plants ('000 tce)	.....	.....
- sales to power stations ('000 tce)	.....	.....
- other sales ('000 tce)	.....	.....
- variations in stock : + stockbuilding - stock drawdown ('000 tce)	.....	.....
3(a) Total ('000 tce)	.....	.....
- Revenue <sup>4</sup> per tce produced from:		
- sales to coking plants	.....	.....
- sales to power stations	.....	.....
- other sales	.....	.....
3(b) Net revenue per tce sold	.....	.....
3(c) Value of stocks per tce	.....	.....
3(d) Total revenue per tce produced <sup>5</sup>	.....	.....
<b>4. LOSS ELIGIBLE FOR AID UNDER ARTICLE 3 OR 4* OF DECISION No .../93/ECSC</b> (* Delete as appropriate)		
Loss eligible for aid per tce of coal produced [2(m) - 3(d)]	.....	.....
<b>5. AID PROPOSED PURSUANT TO ARTICLE 3 OR 4* OF DECISION No .../93/ECSC</b> (* Delete as appropriate)		
5(a) Aid applied for per tce produced	.....	.....
5(b) Total aid for the year	.....	.....

4 Net total of all direct or indirect aid.

5 Breakdown as in quarterly revenue returns made by associations of undertakings to the Commission.

ANNEX 4  
(Ref. Articles 3 and 4 of Decision No .../93/ECSC)

Form C

Real data for 19..

Country: .....  
Coalfield: .....  
Undertaking: .....  
Production unit or headquarters: .....

	Forecast (Annex 3)	Real data
<b>1. BASIC DATA</b>		
1(a) Underground production ('000 metric tonnes of coal equivalent)	.....	.....
Opencast production ('000 metric tonnes of coal equivalent)	.....	.....
1(b) Output per underground shift (metric tonnes/man-year)	.....	.....
1(c) Gross average hourly wage underground	.....	.....
1(d) Average lower calorific value (GJ/metric tonne) <sup>1</sup>	.....	.....
1(e) Hours worked underground (x 10 <sup>6</sup> )	.....	.....
1(f) Average number of staff underground	.....	.....
<b>2. PRODUCTION COSTS (In national currency/tce<sup>1</sup>)</b>		
2(a) Labour costs (per tce produced)		.....
2(b) Materials costs (per tce produced)		.....
2(c) Direct depreciation (per tce produced)		.....
2(d) Service of operating capital (per tce produced)		.....
2(e) Other costs (per tce produced)		.....
2(f) Total (per tce produced) <sup>2,3</sup> [2(a) to 2(e) inclusive]	.....	.....
- Less costs included in the amount specified in Sections 2(a) to 2(e) but not connected with current production (restructuring costs, inherited liabilities or other exceptional costs), whether or not they are covered by the aid provided for by Article 5 of Decision No .../93/ECSC):		
2(g) Inherited liabilities and restructuring costs (per tce produced)	.....	.....
2(h) Financial measures concerning social security benefits (per tce produced)	.....	.....
2(i) Others (please specify) (per tce produced)	.....	.....

1 One tce = 29.302 GJ/metric tonne

2 Breakdown as in quarterly cost returns made by associations of undertakings to the Commission.

3 Allowing the necessary amortization and normal return on invested capital, in line with Article 3(c) of the ECSC Treaty.

	Forecast (Annex 3)	Real data
- Less costs included in the amounts stated in Sections 2(a) to 2(e) compensated for by aid granted under Articles 6 and 7 of Decision No .../93/ECSC:		
2(j) Aid for research and development (per tce produced)	.....	.....
2(k) Aid for environmental protection (per tce produced)	.....	.....
2(l) Total deductions (per tce produced) [2(g) to 2(k) inclusive]	.....	.....
2(m) Cost of current production (per tce produced) [2(f) minus 2(l)]	.....	.....
<b>3. REVENUE</b> (in national currency/tce <sup>1</sup> )		
- Separate items:		
- sales to coking plants ('000 tce)	.....	.....
- sales to power stations ('000 tce)	.....	.....
- other sales ('000 tce)	.....	.....
- variations in stock (+ stockbuilding - stock drawdown ('000 tce))	.....	.....
3(a) Total ('000 tce)	.....	.....
- Revenue <sup>4</sup> per tce produced from:		
- sales to coking plants	.....	.....
- sales to power stations	.....	.....
- other sales	.....	.....
3(b) Revenue per tce sold	.....	.....
3(c) Value of stocks per tce	.....	.....
3(d) Total revenue per tce produced <sup>5</sup>	.....	.....
<b>4. LOSS ELIGIBLE FOR AID UNDER ARTICLE 3 OR 4* OF DECISION No .../93/ECSC</b> (* Delete as appropriate)		
Loss eligible for aid per tce of coal produced [2(l) - 3(d)]	.....	.....
<b>5. AID GRANTED PURSUANT TO ARTICLE 3 OR 4* OF DECISION No .../93/ECSC</b> (* Delete as appropriate)		
5(a) Aid granted per tce produced to current production	.....	.....
5(b) Total aid granted for 19..	.....	.....

4 Net total of all direct or indirect aid.

5 Breakdown as in quarterly revenue returns made by associations of undertakings to the Commission.

ANNEX 5

(Ref. Article 5(2) of Decision No .../93/ECSC)

**FINANCING OF SOCIAL SECURITY BENEFITS IN THE COAL INDUSTRY**

Country: FEDERAL REPUBLIC OF GERMANY

FORM 1 a)

Date : .....

Production year : .....

**I TABULATION OF FINANCIAL MEASURES CONCERNING SOCIAL SECURITY BENEFITS**

(Figures in millions of German Marks)

Origin of funds	Amount	Purpose
TOTAL		

ANNEX 5 (cont'd)

Class of Insurance: Pensions(1)

FORM 1 b)

Country: FEDERAL REPUBLIC OF GERMANY

Date : .....

	Mines scheme	General scheme
<b>II. PENSION INSURANCE</b>		
<b>1. Basic data</b>		
<b>A. Persons covered</b>		
1. Contributors(2)		
2. Beneficiaries		
a) Total		
b) Pensioners under 55(3)		
Difference		
<b>B. Financial data (DM million)</b>		
1. Charge to the industry (employers' and workers' contributions)(4)		
2. Total expenditure		
a) Total benefits		
b) Other expenditure		
Total (a + b) less:		
a) Refunds to migrant workers		
b) Benefit to pensioners under 55(3) (of which: other expenditure)(5)		
	( )	( )
Net total expenditure		
<b>2. Calculations</b>		
<b>A. Charge per worker employed</b>		
$\frac{\text{Total contributions}}{\text{Number of contributors}} = \text{(DM million)}$		
Contributions per worker employed (DM)		
		$C_G =$
<b>B. Benefit per beneficiary</b> (excluding pensioners under 55)		
$\frac{\text{Net expenditure}}{\text{number of beneficiaries}} = \text{(DM million)}$		
Benefit per beneficiary (DM)		
	$P_M =$	$P_G =$

'Normal' charge to the industry per worker employed =

$$C_M = \frac{P_M}{P_G} \times C_G = \dots \text{ DM}$$

'Normal' charge to the industry = $C_M$ x number of contributors	(DM million)
+ pensions to pensioners under 55	(DM million)
Total 'normal charge'	(DM million)
Actual charge (detected)	(DM million)
Difference	(DM million)

- (1) Invalidity, old age and survivors'.  
 (2) Compulsorily insured.  
 (3) Excluding widows' and orphans' pensions.  
 (4) Excluding State subsidies.  
 (5) 'Other expenditure' should be broken down in respect of pensioners under 55 as follows:
- Mines scheme :  $\frac{\text{benefit to pensioners under 55}}{\text{total benefits}} \times \text{other expenditure}$
- General scheme :  $\frac{\text{benefit to pensioners under 55}}{\text{total benefits}} \times \text{other expenditure}$

ANNEX 5 (cont'd)

Class of insurance: Sickness(1)

FORM 1 c)

Country: FEDERAL REPUBLIC OF GERMANY

Date : .....

	Mines scheme	General scheme
<b>III. SICKNESS INSURANCE(1)</b>		
<b>1. Basic data</b>		
<b>A. Total persons covered</b>		
1. Persons insured		
a) Members, excluding pensioners		
b) Pensioners		
2. Persons covered		
a) Members, excluding pensioners		
b) Dependants of members, excluding those of pensioners		
Total (a + b)		
c) Pensioners		
d) Dependants of pensioners		
Total (c + d)		
<b>B. Financial data (DM million)</b>		
1. Revenue		
a) Contributions of members, excluding pensioners		
b) Contributions of pensioners		
Total		
2. Expenditure		
a) Benefits (in cash and in kind) to members, excluding pensioners		
Other expenditure for members, excluding pensioners(2)		
Total (a)		
b) Benefits (in cash and in kind) to pensioners		
Other expenditure for pensioners(2)		
Total (b)		
Total		

(1) Sickness, maternity

(2) 'Other expenditure' should be broken down in respect of 'members, excluding pensioners' according to the number of persons covered in the two categories:

a) Mines scheme (members, excluding pensioners):

$\frac{\text{number of members, excluding pensioners + dependants}}{\text{total number of persons covered}} \times \text{other items of total expenditure} = \text{DM mio.}$

b) General scheme (members, excluding pensioners):

$\frac{\text{number of members, excluding pensioners + dependants}}{\text{total number of persons covered}} \times \text{other items of total expenditure} = \text{DM mio.}$

c) The formulae for pensioners should be worked out in the same way as in (a) and (b).

ANNEX 5 (cont'd)

Class of insurance: Sickness (cont'd)

FORM 1 c) (cont'd)

Country: FEDERAL REPUBLIC OF GERMANY

Date : .....

2. Calculations		
<b>A. Members, excluding pensioners and dependants</b>		
$P_M = \frac{\text{expenditure for members, excluding pensioners (M.S.) (DM mio.)}}{\text{number of members, excluding pensioners, + dependants (M.S.)}}$		DM
$C_G = \frac{\text{contributions of members, excluding pensioners (G.S.) (DM mio.)}}{\text{number of members, excluding pensioners (G.S.)}}$		DM
$P_G = \frac{\text{expenditure for members, excluding pensioners (G.S.) (DM mio.)}}{\text{number of members, excluding pensioners, + dependants (G.S.)}}$		DM
'Normal charge' per member, excluding pensioners:		
$C_M = \frac{P_M}{P_G} \times C_G$	=	DM
'Normal charge' to the industry (members, excluding pensioners)		
= $C_M \times \text{number of members, excluding pensioners}$	=	DM million
Actual charge to the industry (members, excluding pensioners)		
	=	DM million
	Difference =	DM million
<b>B. Pensioners and their dependants</b>		
$P_M = \frac{\text{expenditure for pensioners (M.S.) (DM million)}}{\text{number of pensioners + dependants (M.S.)}}$	=	DM
$C_G = \frac{\text{contributions of pensioners (G.S.) (DM million)}}{\text{number of pensioners (G.S.)}}$	=	DM
$P_G = \frac{\text{expenditure for pensioners (G.S.) (DM million)}}{\text{number of pensioners + dependants (G.S.)}}$	=	DM
'Normal charge' per pensioner:		
$C_M = \frac{P_M}{P_G} \times C_G$	=	DM
'Normal charge' to the industry (pensioners)		
= $C_M \times \text{number of pensioners}$	=	DM million
Actual charge to the industry (pensioners)		
	=	DM million
	Difference =	DM million

ANNEX 5 (cont'd)

Country: FEDERAL REPUBLIC OF GERMANY

FORM 1 d)

Date : .....

IV. SUMMARY

(DM million)

	Normal charge Article 5(2) of Decision n°..../93/ECSC	Actual charge	Net balance (+ or -)
- Pension insurance			
- Sickness insurance			
- Members, excluding pensioners, + dependants			
- Pensioners + dependants			
Total			

The actual charge to the mining industry overall is thus DM .... million above/below the 'normal charge' under Article 5(2) of Decision No ..../93/ECSC; of this, DM .... million (= ....%) is accounted for by the coal industry.



ANNEX 5 (cont'd)

FORM 2 a)

Country: FRANCE

Date : .....  
Production year : .....

I. TABULATION OF FINANCIAL MEASURES CONCERNING SOCIAL SECURITY BENEFITS

(FF million)

Origin of funds	Amount	Purpose
TOTAL		

ANNEX 5 (cont'd)

Class of insurance: Pensioners' supplementary insurance

FORM 2 b)

Country : FRANCE

Date : .....

II. SUPPLEMENTARY INSURANCE

1. Supplementary Insurance for executives (formerly CARIM)

A. Contribution rates

	Rates on portions of salary liable to contribution	
	between social insurance ceiling and AGIRC ceiling (T 2)	between AGIRC ceiling and double that amount (T 3)
Contractual contribution	%	%
Supplementary contribution	%	%
Equalization contribution		
Total		

B. Calculation of charges

(FF million)

	Portions of salary liable to contrib. (S)	Total contributions (A)	(Normal) contractual contributions (B)	Excess charge (A - B)
T2 contributions				
T3 contributions				
T 2 + T 3				

2. Supplementary Insurance for clerical, technical and supervisory personnel

(FF million)

	Portion of income liable to contribution (S)	Actual charges (1) (A)	Normal charges (B)	Excess charge (A - B)

3. Supplementary Insurance for workers

(FF million)

	Portion of wages liable to contrib. (S)	Actual charges (A)	Normal charge ..... % de S (B)	Excess charge (A - B)

ANNEX 5 (cont'd)

Class of insurance: Invalidity/old age

FORM 2 c)

Country: FRANCE

Date : .....

	Mines scheme	General scheme
<b>III. INVALIDITY AND OLD AGE INSURANCE</b>		
<b>1. Basic data</b>		
<b>A. Number of persons eligible</b>		
1. Contributors		
2. Beneficiaries		
of which: a) under 55		
b) over 55 (+ disabled persons and widows)		
<b>B. Financial data (FF million)</b>		
1. Charge to industry (contributions)		
2. Expenditure		
a) Benefit (beneficiaries over 55 under mines scheme)		
of which: - pensions		
- heating		
- accommodation		
b) Other expenditure less other revenue		
Net total expenditure (a + b)		
<b>2. Calculations</b>		
<b>A. Charge per worker employed</b>		
$\frac{\text{Total contributions}}{\text{Number of contributors}} =$ (FF million)		
Charge per worker employed	(FF)	$C_M =$ $C_G =$
<b>B. Benefit per beneficiary</b>		
$\frac{\text{Net expenditure}}{\text{Number of beneficiaries}} =$ (FF million)		
Benefit per beneficiary	(FF)	$P_M =$ $P_G =$
'Normal charge' on the industry per worker employed =		
$C_M = \frac{P_M}{P_G} \times C_G$		
Increase per worker employed in respect of benefits to beneficiaries under 55 falling wholly to the charge of the industry		
$\frac{\text{Total net benefit (under 55)}}{\text{Number of workers employed}}$		
Charge per mineworker employed thus amounts to at least:		
- for benefit to pensioners over 55 :		FF
- for benefit to pensioners under 55 :		FF
Total $C_M$ =		
Total 'normal charges' to the industry = $C_M \times$ number of contributors	=	FF mio.

ANNEX 5 (cont'd)

Class of insurance: Sickness/maternity/death

FORM 2 d)

Country: FRANCE  
(Workers employed only: cash benefits)

Date : .....

	Mines scheme	General scheme
<b>IV. SICKNESS/MATERNITY/DEATH INSURANCE</b>		
<b>1. Basic data</b>		
<b>A. Number of persons eligible</b>		
1. Contributors		
2. Beneficiaries		
<b>B. Financial data (FF million)</b>		
1. Total charge to the industry (contributions)		
2. Expenditure		
a) Benefits		
b) Net total expenditure (benefits + other expenditure - other revenue)		
<b>2. Calculation</b>		
<b>A. Charge per worker employed</b>		
$\frac{\text{Total contributions}}{\text{Number of contributors}} = (\text{FF million})$		
Charge per worker employed (FF)		
		$C_G =$
<b>B. Benefit per beneficiary</b>		
$\frac{\text{Total net expenditure}}{\text{Number of beneficiaries}} = (\text{FF million})$		
Benefit per beneficiary (FF) =		
	$P_M =$	$P_G =$
'Normal charge' to the industry per worker employed		
$C_M = \frac{P_M}{P_G} \times C_G =$		
		FF
'Normal charge' to the industry		
'Normal charge' per worker employed x number of workers employed:		
$C_M \times \text{number of contributors} =$		
		FF million

ANNEX 5 (cont'd)

Class of Insurance: Sickness/maternity/death (cont'd)

FORM 2 e)

Country: FRANCE

Date : .....

(Workers and others covered + pensioners and others covered - benefits in kind and death grant)

	Mines scheme	General scheme
<b>IV. SICKNESS/MATERNITY/DEATH INSURANCE</b>		
<b>1. Basic data</b>		
<b>A. Number of persons eligible</b>		
1. Contributors		
2. Beneficiaries		
<b>B. Financial data (FF million)</b>		
1. Total charge to the industry (contributions)		
2. Expenditure		
a) Benefits		
b) Net total expenditure (benefits + other expenditure - other revenue)		
<b>2. Calculation</b>		
<b>A. Charge per worker employed</b>		
$\frac{\text{Total contributions}}{\text{Number of contributors}} = (\text{FF million})$		
Charge per worker employed (FF)		
		$C_G =$
<b>B. Benefit per beneficiary</b>		
$\frac{\text{Total net expenditure}}{\text{Number of beneficiaries}} = (\text{FF million})$		
Benefit per beneficiary (FF) =		
	$P_M =$	$P_G =$
'Normal charge' to the industry per worker employed		
$C_M = \frac{P_M}{P_G} \times C_G =$		
		FF
'Normal charge' to the industry		
'Normal charge' per worker employed x number of workers employed:		
$C_M \times \text{number of contributors} =$		
		FF million

ANNEX 5 (cont'd)

Country : FRANCE

FORM 2 f)

Date : .....

(FF million)

V. SUMMARY			
1. Primary Insurance			
A. 'Normal charge' on mines primary insurance			
Invalidity/old age			
Sickness/maternity/death			
a) workers employed only (cash benefit)			
b) workers employed and others covered (benefits in kind and death grant)			
c) pensioners and others covered			
			Total
B. Total charge (Invalidity/old age; sickness/maternity)(see above)			
'Normal charge' to mining industry			
			Remainder
of which ...% (1) accounted for by coal industry (Charbonnages de France)			
'Normal charge' overall in respect of primary insurance (Invalidity/old age and sickness/maternity) on Charbonnages de France			
	Actual charge	'Normal charge' [Article 5(2) of Decision No .../93/ECSC]	Excess charge (+)/ shortfall charge (-)
2. Supplementary Insurance			
Executives (formerly CARIM)			
Clerical, technical and supervisory personnel (formerly CAREM)			
Workers (CARCOM)			
			Total
3. Conclusions			
(Primary Insurance + supplementary insurance + charges carried forward)			
A. 'Normal charge' to Charbonnage de France			
1. Primary Insurance			
2. Supplementary Insurance			
			Total
B. Actual charge (employers' and workers' contributions)			
1. Primary Insurance			
2. Supplementary Insurance			
			Total
C. Excess charge (B - A)			

The actual charge to the Charbonnages de France is thus FF million .... above/below the 'normal charge' under Article 5(2) of Decision No .../93/ECSC.

(1) The Charbonnages de France's share of the volume of wages in the mining industry as a whole subjected to a contribution ceiling amounted in 19 .... to ....%.

ANNEX 5 (cont'd)

Country : UNITED KINGDOM

FORM 3

Date : .....

Production year : ....

I. TABULATION OF FINANCIAL MEASURES CONCERNING SOCIAL SECURITY BENEFITS

(£ million)

Origin of funds	Amount	Purpose
<b>Total</b>		

ANNEX 5 (cont'd)

Country: SPAIN

FORM 4 a)

Date : .....

Production year : .....

I. TABULATION OF FINANCIAL MEASURES CONCERNING SOCIAL SECURITY BENEFITS

(Pta million)

Origin of funds	Amount	Purpose
TOTAL		



ANNEX 5 (cont'd)

Branch : Social Insurance  
Country: SPAIN

FORM 4 b)

Date : .....

	Mines scheme	General scheme
<b>II. SOCIAL INSURANCE</b>		
<b>1. Basic data</b>		
A. Total persons covered (number)		
1. Contributors		
2. Beneficiaries		
Difference		
<b>B. Financial data (million Pta)</b>		
1. Charge to the industry (employers' and workers' contributions)		
2. Total expenditure		
Total benefits Other expenditure		
<b>2. Calculations</b>		
A. Charge per worker employed (contributions per worker employed) (Pta)	-	$C_G$
B. Benefit per beneficiary (Pta)	$P_M$	$P_G$

'Normal' charge to the industry per worker employed =

$$C_M = \frac{P_M}{P_G} \times C_G \quad = \quad \text{(Pta)}$$

$C_M$  x number of contributors = ..... millions Pta

Actual charge to the industry (employers' and workers' contribution) = ..... millions Pta

Difference = ..... millions Pta

ANNEX 5 (cont'd)

Country : PORTUGAL

FORM 5 a)

Date : .....

Production year : .....

I. TABULATION OF FINANCIAL MEASURES CONCERNING SOCIAL SECURITY BENEFITS

(Million Portuguese Escudos)

Origin of funds	Amount	Purpose
TOTAL		

ANNEX 5 (cont'd)

Branch: Social Insurance

FORM 5 b)

Country: PORTUGAL

Date : .....

	Mines scheme	General scheme
<b>II. SOCIAL INSURANCE</b>		
<b>1. Basic data</b>		
<b>A. Total persons covered (number)</b>		
1. Contributors		
2. Beneficiaries		
<b>Difference</b>		
<b>B. Financial data (million Esc)</b>		
1. Charge to the industry (employers' and workers' contributions)		
2. Total expenditure		
<b>Total benefits</b>		
<b>Other expenditure</b>		
<b>2. Calculations</b>		
<b>A. Charge per worker employed (contributions per worker employed)</b>	(Esc)	
	-	$C_G$
<b>B. Benefit per beneficiary</b>	(Esc)	
	$P_M$	$P_G$
<b>'Normal' charge to the industry per worker employed =</b>		
$C_M = \frac{P_M}{P_G} \times C_G$	=	(Esc)
$C_M \times$ number of contributors	=	million Esc
Actual charge to the industry (employers' and workers' contribution)	=	..... million Esc
<b>Difference</b>	=	million Esc

ANNEX 5 (cont'd)

Pays : ITALY

FORM 6 a)

Date : .....

Production year : .....

I. TABULATION OF FINANCIAL MEASURES CONCERNING SOCIAL SECURITY BENEFITS

(Lit million)

Origin of funds	Amount	Purpose
<b>Total</b>		

ANNEX 5 (cont'd)

Branch: Social Insurance

FORM 6 b)

Country: ITALY

Date : .....

	Mines scheme	General scheme
<b>II. SOCIAL INSURANCE</b>		
<b>1. Basic data</b>		
<b>A. Total persons covered (number)</b>		
1. Contributors		
2. Beneficiaries		
<b>Difference</b>		
<b>B. Financial data (million Lit)</b>		
1. Charge to the industry (employers' and workers' contributions)		
2. Total expenditure		
<b>Total benefits</b>		
<b>Other expenditure</b>		
<b>2. Calculations</b>		
<b>A. Charge per worker employed (contributions per worker employed) (Lit)</b>		
	-	$C_G$
<b>B. Benefit per beneficiary (Lit)</b>		
	$P_M$	$P_G$

'Normal' charge to the industry per worker employed =

$$C_M = \frac{P_M}{P_G} \times C_G \quad = \quad \text{..... (Lit)}$$

$C_M \times$  number of contributors = million Lit

Actual charge to the industry (employers' and workers' contribution) = ..... million Lit

Difference = million Lit

Undertaking making  
declaration  
(Name of firm - address)

Details of purchasing contract for coal (or coke) from third countries to supply blast  
furnaces of the Community steel industry (1)

Serial No:  
Date :

**A. GENERAL INFORMATION**

Producing country:  
Port or station of departure :  
Date of contract (or rider) :  
Delivery period (duration) :  
Total tonnage covered by contract :  
Tonnes to be delivered in 19 .. : .. ; 19.. : .. ; 19.. : ..  
Variations from contract:

**B. COUNTRY OF DESTINATION**

Port or station of arrival:

**C. FACTORS OF DELIVERED PRICE (per metric tonne, tax excluded in the  
Community, at the date of declaration)**

- a) Category and size:  
- fob price(2)  
- cif price (port .....)(2)  
- Freight(3)

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- b) Characteristics and price  
adjustments for quality(4)

- Moisture  
- Ash (dry)  
- Volatile matter (clean)  
- Sulphur (dry)  
- Coking properties(5)  
- Other characteristics(6)

Content or index	Point value

- c) Other variations from agreed  
price (7):

- (1) Declaration to be sent for all contracts or riders to the Director-General for Energy.  
(2) State the currency used in the contract.  
(3) If necessary, deal with this point separately.  
(4) State price (mine, fob or cif) to which adjustments apply.  
(5) State the criteria for the production of coke.  
(6) State the criteria, particularly the net calorific value (GJ/metric tonne) for coal for PCI purposes  
(7) Indexation, for example. State main arrangements and formulae.

Undertaking making declaration (Name of firm - address) Declaration of supply contract for coal produced in the Community and intended either for blast furnace coke manufacture, or for PCI\* purposes, for the Community steel industry(1)

N° de série (2) :  
Date (2) :

**A. PRODUCER (Community undertaking)**

Country :  
Undertaking :  
Mine or washing plant (3) :  
Station/port of departure :  
Date of contract :  
Delivery period (duration):  
Total tonnage covered by contract:  
Tonnages for 19.. : ....., 19.. : ....., 19.. : .....

**B. CONSIGNEE (Community undertaking)**

Country :  
Undertaking :  
Coking plant or blast furnace(3) :  
Station/port of arrival :

**D. PRICE FACTORS USED AS A REFERENCE TO CALCULATE REBATE**

(Shown under C (a) per metric tonne, tax excluded in the Community)(4)

a) Country of origin of coal or coking coal:

Category and size  
fob price (port: .....)  
cif price (port : .....)  
Handling & other costs  
Transport costs (5)  
Price delivered at coking plant or blast furnace

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**C. FACTORS IN CALCULATING PRODUCER'S PRICE(4)**

(per metric tonnes, tax excluded)

a) Category and grades :

List price  
Transport costs(5)  
Delivered price according to price list  
Price rebate  
Net invoiced price  
Actual delivered price

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b) Characteristics and adjustments for quality:

- Moisture
- Ash (dry)
- Volatile matter (clean)
- Sulphur (dry)
- Coking properties(6)
- Other characteristics(7)

Content or reference index	Point value

b) Basic characteristics and adjustments for quality:

- Moisture
- Ash (dry)
- Volatile matter (clean)
- Sulphur (dry)
- Coking properties(6)
- Other characteristics(7)

Content or base index	Point value

c) Adjustments on standard quality

c) Other variations from agreed price (specify)

d) Adjustments on standard quality

\* Coal injected into blast furnaces.

(1) Riders to declared contracts must also be declared to the Commission.

(2) Serial number (from 1) and date to be given by coal-producing undertaking.

(3) Name and locality.

(4) State the currency for prices and costs.

(5) State the link and method of transport.

(6) State the criteria for the manufacture of coke.

(7) State the criteria, notably the net calorific value (GJ/metric tonne) for coal for PCI purposes.

ANNEX 7 (cont'd)

FORM C

Undertaking making declaration  
(Name of firm - address)

Declaration of supply contract for coke produced in the Community and intended  
for blast furnaces of the Community steel industry(1)

Serial No (2):  
Date (2) :

E. PRODUCER

Country :  
Undertaking:  
Coking plant(3) :  
Station/port of departure:  
Date of contract :  
Total tonnage covered by contract :  
Delivery period (duration):  
Tonnages for 19 : ....., 19 : ....., 19 : .....

F. CONSIGNEE

Country :  
Undertaking :  
Blast furnace(3) :  
Station/port of arrival:

H. PRICE FACTORS USED AS A REFERENCE TO CALCULATE REBATE  
(per metric tonne, tax excluded in the Community)(4)

a) Country of origin of coal from  
third countries:  
Place of coking  
Price of coal delivered at place  
of coking  
Average cost of coke produced(5)  
Price ex coking plant of blast  
furnace coke  
Transport costs(6)  
Price delivered at blast furnace

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G. FACTORS IN CALCULATING PRODUCER'S PRICE (4)  
(per metric tonne, tax excluded)

a) Size:  
List price  
Transport costs  
Delivered price according  
to price list  
Price rebate  
Net invoiced price  
Actual delivered price

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b) Basic characteristics and  
adjustments for quality:  
- Moisture  
- Ash (dry)  
- Sulphur (dry)  
- Indices (M 40, M 10)  
- Other characteristics  
(to be specified)

Content or base index	Point value

b) Characteristics of blast furnace  
coke and adjustments for quality  
- Size  
- Moisture  
- Ash (dry)  
- Sulphur (dry)  
- Indices (M 40, M 10)  
- Other characteristics  
(to be specified)

Content or base index	Point value

c) Adjustments on standard quality

c) Adjustments on standard quality

- (1) Riders to declared contracts must also be declared to the Commission.
- (2) Serial number (from 501) and date of declaration to be given by coke-producing undertaking.
- (3) Name and locality.
- (4) State the currency for prices and costs.
- (5) State calculation factors according to following equations:  $P(k) = P(c) \times Q + K$ , where  $P(k)$  = coke production costs,  $P(c)$  = delivered coal price,  $Q$  = amount of coal to be charged to produce one metric tonne of coke,  $K$  = net cost of coking.
- (6) Specify the link and method of transport.



ANNEX 7 (cont'd)

FORM E

Undertaking making declaration  
(Name of firm - Address)

Declaration of supply contract for coal produced in the Community and intended  
for power stations in the Community (1)

Serial number(2):  
Date (2):

A. PRODUCER

Country:  
Undertaking:  
Production unit or washing plant (3):  
Station/port of departure:  
Date of contract:  
Total tonnage covered by contract:  
Delivery period (duration):  
Tonnes for 19 : ....., 19 : ....., 19 : .....

B. CONSIGNEE

Country :  
Undertaking:  
Power station (3) :  
Station/port of arrival:

C. FACTORS IN CALCULATING PRODUCER'S PRICE (4)  
(per metric tonne, tax excluded)

a) Net mine price  
Transport costs (5)  
Price rebate  
Actual delivered price to  
power station

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b) Basic characteristics and  
adjustments for quality:

- Moisture
- Ash (dry)
- Volatile matter (clean)
- Sulphur (dry)
- Lower calorific value (6)
- Other characteristics (6)

Content or reference index	Valeur du point

- (1) Riders to declared contracts must also be declared to the Commission.  
(2) Serial number (from 1) and date of declaration to be given by coal-producing undertaking.  
(3) Name and locality.  
(4) State the currency for prices and costs.  
(5) Specify the link and method of transport.  
(6) List the criteria.